



SAN JOAQUIN COUNCIL OF GOVERNMENTS'

BOARD OF DIRECTORS

555 E. Weber Avenue, Stockton, CA 95202

Thursday, November 16, 2017

BOARD OF DIRECTORS AT 4:00 PM

The San Joaquin Council of Governments is in compliance with the Americans with Disabilities Act of 1990 (42 U.S.C. # 12132) and the Ralph Brown Act (California Government Code # 54954.2) and will make all reasonable accommodations for the disabled to participate in employment, programs and facilities. Person requiring assistance or auxiliary aid in order to participate or persons wishing to store their bicycle safely during the meeting should contact Rebecca Calija at 235-0600 at least 24 hours prior to the meeting. Board Meetings, Committee Meetings and all Workshops are audio/video recorded by the San Joaquin Council of Governments.

AGENDA

Board Conference Room

Highlighted Items in blue are scheduled for discussion by the full board.

- 1. CALL TO ORDER / PLEDGE OF ALLEGIANCE / ROLL CALL:**
- 2. RECOGNITIONS / PRESENTATIONS: NONE**
- 3. PUBLIC COMMENTS:**

At this time, the public is invited to address the Board on any non-agendized item that is within the subject matter of this agency. There is a five minute maximum time limit. The determination of whether an item is within the subject matter, jurisdiction of the Council is a discretionary decision to be made by the Chair of the Council. If several speakers are commenting on the same issue, they should try to avoid repetition of views already expressed.

4. CONSENT CALENDAR: ROLL CALL VOTE REQUIRED

All numbered consent calendar items listed will be acted upon under one roll call vote unless specifically removed from the consent calendar by a member of the Board, a member of staff, or a member of the public. These are items:

4A. Minutes: October 26, 2017

Page 6

CONSENT

Attachments: Minutes: October 26, 2017

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- | | | | |
|---|--|----------|-------------------|
| 4B. | <u>SJCOG Monthly Financial Report & Transportation Authority Monthly Investment Report</u> | Page 16 | CONSENT |
| | <u>Attachments:</u> <u>SJCOG Monthly Financial Report</u> | | |
| 4C. | <u>Authorization of STA Funds for Operation of Non-Emergency Medical Transportation Service</u> | Page 110 | CONSENT |
| | <u>Attachments:</u> <u>Authorization of STA Funds for Operation of NEMT</u> | | |
| 4D. | <u>Extension of the Engagement of Independent Auditor for the San Joaquin Council of Governments Audit and Transportation Development Act Fiscal and Compliance Audits</u> | Page 127 | CONSENT |
| | <u>Attachments:</u> <u>Auditor Engagement Extension</u> | | |
| 4E. | <u>2017 Federal Transportation Improvement Program Amendment #18</u> | Page 130 | CONSENT |
| | <u>Attachments:</u> <u>2017 FTIP Amendment #18</u> | | |
| 4F. | <u>FY 2017-18 Transit Development Act Claim from City of Stockton</u> | Page 135 | CONSENT |
| | <u>Attachments:</u> <u>FY 17-18 TDA Claim from City of Stockton</u> | | |
| 5. SAN JOAQUIN COUNCIL OF GOVERNMENTS' ITEMS FOR DISCUSSION/ACTION: | | | |
| 5A. | <u>2018 State Transportation Improvement Program Funding Recommendations</u> | Page 139 | DISCUSSION |
| | <u>Attachments:</u> <u>2018 STIP Funding Recommendations</u> | | |
| 5B. | <u>2018 Regional Transportation Plan/Sustainable Communities Strategy Scenario Performance Update for Board Direction</u> | Page 150 | DISCUSSION |
| | <u>Attachments:</u> <u>2018 RTP/SCS Scenario Direction</u> | | |
| 5C. | <u>FY 2016-17 Federal Project Delivery Status</u> | Page 175 | DISCUSSION |
| | <u>Attachments:</u> <u>FY 16-17 Federal Project Delivery Status</u> | | |
| 5D. | <u>Dibs FY 2016-17 Community Report</u> | | DISCUSSION |
| | <u>Attachments:</u> <u>Dibs FY 16-17 Community Report</u> | Page 179 | |
| 6. AIRPORT LAND USE COMMISSION: NONE | | | |

7. INFORMATION ITEMS:

- 7A. [2018 San Joaquin One Voice Call for Projects](#) Page 182 **INFORMATION**

Attachments: [2018 SJ One Voice Call for Projects](#)

- 7B. [Support for the San Joaquin Regional Rail Commission's Transit and Intercity Rail Capital Program Grant Application \(Verbal Report\)](#) **INFORMATION**

*****ADJOURN SAN JOAQUIN COUNCIL OF GOVERNMENTS BOARD MEETING UNTIL THE CONCLUSION OF SJCOG, INC. MEETING*****

8. SJCOG, INC.:**8.1 OPEN MEETING OF SJCOG, INC. / ROLL CALL:****8.2 PUBLIC COMMENTS:**

At this time, the public is invited to address the Board on any non-agendized item that is within the subject matter of this agency. There is a five minute maximum time limit. The determination of whether an item is within the subject matter, jurisdiction of the Council is a discretionary decision to be made by the Chair of the Council. If several speakers are commenting on the same issue, they should try to avoid repetition of views already expressed.

8.3 CONSENT CALENDAR:

- 8.3A [Sun Valley Express Transport Project, Plan Participation](#) Page 185 **CONSENT**

Attachments: [Sun Valley Express Transport Project, PP](#)

- 8.3B [Farm Services Headquarters, Plan Participation and Alteration to the Habitat Classification](#) Page 191 **CONSENT**

Attachments: [Farm Services HQ, PP and Hab Class Change](#)

- 8.3C [Austin Road Excavation Project, Plan Participation and Alteration to the Habitat Classification](#) Page 199 **CONSENT**

Attachments: [Austin Road Excavation Project, PP](#)

- 8.3D [Calaveras River Bicycle and Pedestrian Bridge Project, Plan Participation and Buffer Reduction](#) Page 207 **CONSENT**

Attachments: [Calaveras River Bike and Ped Bridge Project](#)

8.4 SJCOG, INC. ITEMS FOR DISCUSSION / ACTION:

- 8.4A [Pescadero Levee Improvements Project](#) Page 213 **DISCUSSION**

Attachments: [Pescadero Levee Improvements Project](#)

8.5 SJMSCP INFORMATIONAL ONLY ITEMS:

8.5A SJMSCP Preserves Habitat Restoration Projects Update

Page 220

INFORMATION**Attachments:** SJMSCP Preserve Restoration******RECONVENE TO THE SAN JOAQUIN COUNCIL OF GOVERNMENTS BOARD MEETING********9. CHAIR'S REPORT:****10. COUNCIL MEMBERS' REPORT:****11. EX-OFFICIO COUNCIL MEMBERS' REPORT:****11A. Regional Transit District:****11B. Caltrans District 10:****11C. Port of Stockton:****12. EXECUTIVE DIRECTOR'S REPORT:****13. ADJOURNMENT:****Meeting adjourned to December 14, 2017 at 4:00 p.m., SJCOG Conference Room.****NOTE:**

The agenda packet is available for public inspection in the SJCOG Office at 555 E. Weber Avenue during normal business hours. These documents are also available on the San Joaquin Council of Governments' website at www.sjcog.org subject to staff's ability to post the documents before the meeting.

PARKING:

For your convenience, parking is available at the SJCOG Regional Center off of Channel Street- Marked "Visitor" on the east side of the parking lot. There is additional parking available at Public Parking Lot K, located on American Street, just south of Weber Avenue. Additional metered parking is available on Weber Ave.

"SJCOG fully complies with Title VI of the Civil Rights Act of 1964 and related statutes and regulations in all programs and activities. For more information, or to file a Title VI related complaint see <http://www.sjcog.org> or call (209) 235-0600.

AGENDA ITEM **4A**



SAN JOAQUIN COUNCIL OF GOVERNMENTS
BOARD OF DIRECTORS
SJCOG Conference Room
555 E. Weber Avenue
Stockton, CA 95202

October 26, 2017

REGULAR BOARD MEETING AT 4:00 P.M.

MINUTES

1. The Board meeting was called to order at 4:00 p.m. by Chair Miller.

Roll Call:

Board Members Present:

Councilmember Dresser, Lathrop; Supervisor Elliott, SJC; Vice Mayor Holman, Stockton; Councilwoman Lofthus, Stockton; Supervisor Miller, SJC; Councilmember Morowit, Manteca; Councilmember Murken, Escalon; Mayor Rickman, Tracy; Mayor Tubbs, Stockton; Supervisor Winn, SJC; Councilmember Zuber, Ripon.

Ex-Officio Members Present:

Gary Giovanetti, SJRTD; Jes Pedda, Caltrans.

Board Members Absent:

Mayor DeBrum, Manteca; Mayor Kuehne, Lodi.

Ex-Officio Members Absent:

Victor Mow, Port of Stockton.

Staff Members Present:

Andrew Chesley, Executive Director; Steve Dial, Deputy Executive Director/CFO; Diane Nguyen, Deputy Director; Vikram Sharma, Information Services Manager; Steve Mayo, Project Manager; Yvette Davis, Senior Regional Planner; Kim Anderson, Senior Regional Planner; Ryan Niblock, Senior Regional Planner; Rob Cunningham, Senior Regional Planner; Melody Lin, Assistant Regional Planner; Kari McNickle, Associate Program Specialist; Christine Corrales, Assistant Regional Planner; Travis Yokoyama, Associate Regional Planner; Kelly Bagley, Accounting Assistant II; Teresa Garcia, Planning Technician; Rosemary Romero, Planning Technician; Katy Castro, Administrative Clerk II; Rosie Gutierrez, Office Administrator; Melissa Ablang, Administrative Clerk II; Stephanie Maynard, Administrative Analyst; Rod Attebery, Counsel.

2. **Recognitions/Presentations:**

A. Update on Non-Emergency Medical Transportation Service

Andrew Chesley stated the SJCOG Board of Directors adopted an unmet, reasonable to meet, need for NEMT service and directed staff to come back to the Board in October or November with a report on how the service would be provided. SJRTD has agreed to take this on and has developed further information on questions raised by the Board regarding scope of services for NEMT service. Mr. Chesley thanked Donna DeMartino and her staff for taking ownership and for the work they have done.

Donna DeMartino thanked the Board for accommodating her schedule to address the Board. Ms. DeMartino said she and her staff are excited to work with COG and come up with a plan that would work. Ms. DeMartino presented a Power Point presentation and stated this all started because of the Stanislaus County existing service which has Bay Area service via StaRT Medivan. Ms. DeMartino discussed the San Joaquin RTD proposal and the comparison between Stanislaus existing service and San Joaquin proposal. Ms. DeMartino stated staff is already reaching out to health care providers and human services agencies to gauge the demand for additional transportation services and will be establishing a database. In regard to the short term RTD, will bring an item back to the Board for approval in order to move forward. If approved, RTD will launch the program immediately. In regard to cost, RTD will charge \$50 per trip at that the beginning of the program and at the end of one year a financial reconciliation and review will be conducted with COG staff. A recommendation for continuation will be up to COG staff and the Board.

Board member Winn thanked Donna DeMartino and her staff and for developing the pilot program and for considering other transportation needs.

Chair Miller stated the presentation was impressive, thanked Donna DeMartino and said she had addressed the questions and comments from Board.

Board member Dresser asked about a link or plan to expand to Stanislaus. Ms. DeMartino stated RTD will be open to a reverse trip and will work on that.

Dr. Armando Valerio addressed the Board and said he appreciates the foresight and moving forward with this process. Thank you on behalf of the 5c's. Dr. Valerio thanked Donna DeMartino for her presentation on the NEMT service.

Kelly Mraz on behalf of the 5C's stated they were refunded by Lucille Packard, specifically because of this project. Lucille Packard suggested they may write a white paper on this project on how SJCOG and SJRTD has done an excellent job looking at options and coming up with solutions. Ms. Mraz thanked the Board in moving this project forward for the residents.

**Mayor Tubbs joined the meeting before the next presentation.

B. Braille/Tactile Maps for Transit Services in San Joaquin County

Andrew Chesley introduced Joni Bauer, from Community Center for the Blind to discuss this item. Ms. Bauer stated this project has been in the making for two years and spoke briefly about how she learned about the tactile maps for transit services and the pen that reads braille. Ms. Bauer shared this project with RTD and not only was RTD interested, the City of Lodi, Manteca, and Tracy were also interested. Ms. Bauer said they partnered with Lighthouse for the Blind in SF who manufacture the talking maps. Ms. Bauer shared a video showing an example of the tactile maps of the Manteca transit station and how the pen reads the book in braille. Ms. Bauer said she is very grateful to RTD, it's a wonderful teaching tool. Ms. Bauer stated there will be maps at each of the transit stations, so teachers for visually impaired and other orientation and mobility specialists of San Joaquin County will have access. Ms. Bauer thanked the Board for their time.

Chair Miller thanked Ms. Bauer for sharing her presentation and for her service.

3. **Public Comments:**

Bill Goodwin reported on the Manteca City Council meeting he attended months ago where COG staff made a presentation on the interchange of Austin Road. Mr. Goodwin is opposed to having Austin Road rebuilt without an interchange.

4. **Consent Calendar Items:**

- A. MINUTES: September 28, 2017
- B. SJCOG Monthly Financial Report & Transportation Authority Monthly Investment Report
- C. I-205 Freeway Service Patrol Contract Award - Davis
- D. FY 16/17 Regional Transit District's Revised State Transit Assistance Claim
- E. Award Contracts for the Affordable Housing Sustainable Communities (AHSC) Technical Assistance Program
- F. Measure K Strategic Plan Amendment for the San Joaquin Regional Rail Commission
- G. FY 2016-17 Overall Work Program (OWP) Amendment #2

Andrew Chesley informed the Board members item 4C be pulled for more discussion and recommended voting on the rest of the consent calendar items separately.

Board member Elliott asked about the MK funds being used to pay for RTIF shortages. Steve Dial explained RTIF is a component of the MK program, and staff needs to move some of the administrative portion of MK into that work element. Board member Elliott asked if there is anything significant on these shortages or overages. Mr. Dial said it is not unusual and this is a typical action because work elements cannot be overspent at the end of the year so staff goes back and does minor clean-up.

It was moved/seconded (Zuber/Holman) to approve the consent calendar items excluding item 4C. Motion passed unanimously (11/0) by a roll call vote.

Board member Rickman excused himself from item 4C discussion due to a conflict.

Steve Dial gave a brief background on item 4C, and stated the Executive Committee members voted unanimously to recommend Stanislaus Towing. The staff report explains the reasoning behind the selection, process and recommendation. Staff recommended Stanislaus Towing be awarded the contract up to three and a half years beginning January 1, 2018.

Andrea Olivarez from Mike's Towing Service stated she is speaking on behalf of Meyers Towing Service who was unable to attend the meeting. Ms. Olivarez stated Meyers has several questions regarding the RFP process. Andrea Olivarez on behalf of Myers asked about the SJCOG process for protesting the bid process and also asked about the document request made by Myers and SJCOGs response.

General Counsel Rod Attebery addressed the Board and said, after the Executive Committee meeting, Meyers Towing Service made a public document request. The Public Act Records gives the agency ten days to respond to the requester. The documents however were provided yesterday electronically within the ten-day request.

Counsel indicated that both Mike's Towing and Meyers Towing hired legal counsel and Mr. Attebery said he has been in contact with counsel who indicated that she would not be attending the meeting to object. Mr. Attebery responded to the protest procedure question and indicated that the hearing and public comment period before the Executive Committee, at which both parties were present and spoke on the record, as well as the current Board Meeting and comment period is the opportunity to object to Board action and upon approval by the Board the next step for Meyer's and Mike's to pursue further claim would be to file the appropriate lawsuit.

It was moved/seconded (Zuber/Murken) to authorize the Executive Director to negotiate and execute a contract with Stanislaus Towing to provide FSP service from January 1, 2017 – June 30, 2017 with the option of annually administratively renewing contingent upon funding and satisfactory performance for three years (2019/20) not-to-exceed \$425,000 per year. Motion passed unanimously (10/0) by a roll call vote.

5. San Joaquin Council of Governments Items for Discussion/Action:

A. Regional Transportation Plan/Sustainable Communities Strategy

Kim Anderson presented a Power Point and stated the overall goal is to make sure staff understood the on-going dialogue from the COG Board. Ms. Anderson reviewed the purpose of the RTP, public input, schedule and next steps. Ms. Anderson spoke about each scenario and stated scenario 2a was favored by most participants, followed by scenario 1, scenario 2b and scenario 3. Ms. Anderson stated this item was presented at the Executive Committee meeting and they noted that the public supported 2a, however Ms. Anderson stated a formal recommendation will not be presented until November. Ms. Anderson emphasized staff is looking for the Board to support the foundational assumptions that would give staff the ability to move forward and to do final technical modeling.

Ms. Anderson answered Board member's questions regarding metrics, dollars invested by transportation mode, and the outreach by specific groups.

Board member Winn commented on the future of transportation mode and stated we need to be addressing those futuristic endeavors.

Board member Dresser asked about the data for disadvantage communities. Ms. Anderson said she does not have the information, however she does have a report and it will be sent by email.

This item was for discussion only; no action was taken.

B. Draft State Transportation Improvement Program Development

Ryan Niblock gave a brief overview on this item with a Power Point. Mr. Niblock stated this is the third month this item has been on the agenda, and the third discussion with standing committees. Mr. Niblock stated in November staff will provide firm funding recommendations and in December present the technical documentation for Board's consideration and submit to CTC for adoption in March 2018. Mr. Niblock reviewed the attached summary of SJCOG staff analysis of potential 2018 RTIP projects. Mr. Niblock

stated, based on the evaluation, the SR 99/120 Interchange and the I-205 Widening projects appear to be the highest priority. Mr. Niblock reported Caltrans released its ITIP recommendations and it did not include funding for any projects in San Joaquin County. Staff is committed to continue working with Caltrans staff on the possibility of receiving SHOPP funding for the SR 99/120 Interchange project.

Board member Rickman asked for clarification on the ITIP funding. Andrew Chesley explained the ITIP funding. Board member Rickman said he understands SR 99/120 will take most of the funds, however in November, will the Board know how much money will go towards that project? Mr. Niblock said yes. Board member Rickman asked if the City of Tracy provides funds, if it would help move this project forward. Mr. Niblock stated any additional funds would make this project attractive, however, staff needs to work with Caltrans to determine how much STIP we can commit to SR 99/120 and I-205 first.

Board members expressed their concerns with the traffic and accidents on I-580 and SR 99/120 projects.

Board member Holman asked if SB 1 affect STIP funding. Mr. Niblock said SB 1 is funding the STIP and if SB 1 is repeal projects will have to be deleted.

Andrew Malik, Tracy Development Services Director, addressed the Board stating Tracy appreciates the importance of SR 99/120 as well as going back to Caltrans for additional funding, however reminded the Board members, when there is an accident on I-205 the I-580 Mt. House Parkway is the diversion to take and cut through Tracy. Mr. Malik stated if there is leveraging of existing funds, Tracy recommends this is taken this into consideration.

Bill Goodwin expressed his concerns with highway 99. Mr. Chesley explained two ways to address the back-up on highway 99. Mr. Goodwin expressed his concerns with the continued widening and moving traffic. Mr. Chesley said the current proposal is for half an interchange of phase one of the 99/120 project and the complete build out will include the interchange at Austin Road and highway 99, however there is an extensive amount of right-of-way and construction cost.

Board member Morowit said he is well aware of the issues and spoke about the safety of highway 99/120 and what Caltrans is proposing to do with Austin Road. Mr. Morowit, speaking to Mr. Goodwin, assured him it's not going on deaf ears and anything that affects Manteca, there will be workshops to give you more information. Manteca will be well represented and you will have plenty of opportunity to speak.

This item was for discussion only; no action was taken.

****Mayor Tubbs exit the meeting before the next discussion item.**

C. Senate Bill 1: Steps to Implement and to Educate

Diane Nguyen explained Senate Bill 1 and stated the bill is for transportation improvements and will invest \$52 billion over the next decade to fix road, freeways and bridges in Communities across California. Staff feels that the public does not have accurate information on SB 1, what the elements are and the benefits it will provide to this county and to the State of California. Staff wants to have solid facts so the general public can make an informed decision on their gas tax revenue. Ms. Nguyen informed the Board there is a SB 1 handout in the blue folder and presented the video staff prepared internally which will also be posted on the SJCOG website. Ms. Nguyen encouraged the Board and member agencies to view the video and share with the local media to help get the accurate information out about SB 1. Ms. Nguyen stated the COG Executive Director will travel and speak to any group on SB 1 to get the information out.

Board member Holman stated he shared this information with his Rotary group and he suggested they get in contact with Andrew Chesley to schedule a presentation.

Chair Miller stated we already know who our partners are in the County and if the same game plan is run as with the MK and MKR there will be great success.

Board member Dresser suggested reaching out to the trucking groups.

Board member Zuber commented on the state taking our money away and he does not recall that SB 1 has stopped that. Mr. Chesley said ACA 5 will be on the ballot in June which provides a constitutional protection over the SB 1 dollars to address that question, and if there is a recall on the gas tax it will be on the November ballot. Mr. Chesley mentioned one of the other comments was that some thought the state had already started collecting the gas tax money.

Board member Rickman commented on the public not trusting Sacramento with the funds. He suggested not only in San Joaquin County, but throughout the state to show in each jurisdiction what exactly is going to be built.

Board member Zuber asked about when we will start receiving the money and what the money is for. Mr. Chesley said he will make sure it is available on the COG website.

This item was for discussion only; no action was taken.

6. Airport Land Use Commission: None.

7. Information Items:

A. SJCOG Board Orientation Booklet

Andrew Chesley announced on Saturday is the Board Orientation 8:00 a.m. to 11:00 a.m. and the new booklet was sent electronically and will also be in print form on Saturday.

Board member Elliott commented on the definitions and acronyms and asked about the climate change definition.

8. **SJCOG, INC:**

1. Open Meeting of SJCOG, Inc. /Roll Call: Roll call was called with ten voting members present.
2. Public Comment: None.
3. Consent Calendar: None.

4. **SJCOG, INC. ITEMS FOR DISCUSSION/ACTION:**

- A. Gonsalves Tiago Ranch Preserve Acquisition and Preserve Management Plan
Steve Mayo described the property and stated attachment one and two shows where the property is located.

It was moved/seconded (Zuber/Winn) to (1) approve the conversation easement acquisition and (2) approve the preserve management plan for the preserve site. Motion passed unanimously 10/0 by voice vote.

- B. Larry Alegre Property Preserve Acquisition and Preserve Management Plan
Steve Mayo described the property and stated attachment one and two shows where the property is located.

It was moved/seconded (Holman/Lofthus) to (1) approve the conservation easement acquisition and (2) approve the preserve management plan for the preserve site. Motion passed unanimously 10/0 by voice vote.

- C. Fagundes Property Preserve Acquisition and Preserve Management Plan
Steve Mayo described this property and stated attachment one and two shows where the property is located.

It was moved/seconded (Zuber/Morowit) to (1) approve the conservation easement acquisition and (2) approve the preserve management plan for the preserve site. Motion passed unanimously 10/0 by voice vote.

- D. Lodi White Slough Preserve Dedication and Preserve Management Plan
Steve Mayo described the property and stated attachment one and two shows where the property is located.

Board member Zuber asked about the difference between attachment one and two.

It was moved/seconded (Zuber/Rickman) to (1) approve the conversation easement dedication and (2) approve the preserve management plan for the preserve site. Motion passed unanimously 10/0 by voice vote.

5. **SJCOG, INC. INFORMATIONAL ONLY ITEMS:** None.

*****RECONVENE TO THE SJCOG BOARD MEETING*****

9. **Chair's Report:**

Chair Miller thanked Board members in advance for planning on attending the Board Orientation scheduled for Saturday, October 28, 2017 and thanked COG staff for all their hard work organizing this workshop.

10. **Council Member's Report:** None.

11. **Ex-Officio Member's Report**

A. **San Joaquin Regional Transit District**

Gary Giovanetti announced RTD participated at Family Day at the Park on September 16. Mr. Giovanetti stated RTD will provide a free shopper shuttle between the two malls and Stone Creek during the shopping season. Mr. Giovanetti announced RTD is having a full day on Unmet Transit Needs workshop from 8:00 a.m. to 7:00 p.m. along with food and prizes. Mr. Giovanetti announced, starting in November, RTD will show a welcome to RTD video.

B. **Caltrans, District 10**

Jes Padda reported on the CTC meeting and said the newest Commissioner Paul Van Konyneburg from Modesto was introduced.

Mr. Padda announced in regard to SB 1 Caltrans will schedule workshops to work together to put projects forward and to deliver them. Caltrans has already begun coordinating meetings to ensure we can deliver the projects as efficiently as possible.

Mr. Padda stated FHWA thanked Caltrans for having the lowest inactive obligations in the state. A big thank you for the efforts of Public Works agencies that helped drive down those obligations. Lastly, Caltrans will host Native American Tribes for Native American month on November 7.

C. **Port of Stockton**

No report.

12. **Executive Director's Report**

Andrew Chesley reported on the recently passed AB 758, creating the Tri-Valley Regional Rail Authority. The authority needs to have members appointed from the Cities of Tracy, Stockton, Lathrop and Manteca as well as the Rail Commission and Mt. House community. Mr. Chesley stated he is assuming Supervisor Elliott, who has been representing San Joaquin County, will continue that role and Council member Vargas from City of Tracy will continue to represent Tracy in that role.

Mr. Chesley announced Vik Sharma, COG IT Manager and his wife have delivered twins and today is his first day back to work.

Mr. Chesley asked the Board members to keep good thoughts for Rebecca Calija, COG Manager of Administrative her husband was in a serious accident, she and her family are going through a stressful time.

Mr. Chesley announced Kevin Sheridan recently got married and staff attended his wedding that was held in the foothills, it was a delightful ceremony and happy occasion.

Board member Elliott thanked staff for bringing up AB 758 Tri Valley Regional Rail Authority and mentioned they also intend to set up a technical advisory committee and he urged COG to appoint a staff member.

13. **Adjournment:**

Meeting was adjourned at 6:32 p.m. The next meeting will be held Thursday, November 16, 2017 at 4:00 p.m. SJCOG Conference Room.

AGENDA ITEM **4B**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: SJCOG Monthly Financial Report &
Transportation Authority Monthly Investment
Report

RECOMMENDED ACTION: Motion to Receive and File the Reports

DISCUSSION:

Budget

Attached is the monthly budget report for the month of October 2017. The October report is for the fourth month of fiscal year 2017/18 or 33.3% of the fiscal year.

Please see the notes that are attached to each budget report for comments on the respective months. Comments are provided for line items and objects exceeding 10% over the monthly straight-line benchmark. Budget control is at the Object level i.e., Salaries & Benefits, Services & Supplies, Consultant Contracts and Fixed Assets. However, staff provides line item information for the benefit of the Board.

Loan Status Report

Beginning with the original Measure K program, SJCOG has loaned member agencies funds to advance various projects throughout San Joaquin County.

SJCOG and the San Joaquin Regional Rail Commission have structured the two outstanding loan agreements to improve cash flow and there is less reliance on the line of credit. The two outstanding loans refinanced and consolidated were the loan to purchase property for a new ACE maintenance facility and a line of credit to help with cash flow.

The Port of Stockton was approved a \$4 million loan for channel deepening environmental work. This total authorization was repurposed several times, reprogramming \$1.5 million for the Marine Highway 580 barge modification project, the Navy Drive/BNSF design work, and a real estate transaction.

On July 2, 2014, the San Joaquin County Transportation Authority, issued Measure K Sales Tax Revenue bonds the par amount of \$49,245,000 to fund a program of projects to advance funding for a number of San Joaquin County projects. San Joaquin RTD requested \$14.5 million to augment funding for the Regional Transit Center. In addition, Stockton has been approved for \$14.5 million for the Thornton Road widening and \$9.5 million for the Hammer Lane widening

regional arterial projects. The Stockton Metro Airport has also been approved for \$9.7 million of funding for terminal expansion and modernization projects

LOAN STATUS REPORT AS OF 10/31/17						
		Authorized	Drawn	Loan Balance	Interest Balance	Maturity Date
Rail	Consolidated Loan	21,167,838.34	21,167,838.34	19,172,534.34		07/01/2030
Port of Stockton	Sanguinetti Property	602,900.00	602,900.00	210,845.43		10/01/2018
Port of Stockton	Sanguinetti Property Interest				837.41	10/01/2018
SJRTD	RTD MK Bond Loan	14,500,000.00	14,500,000.00	14,000,000.00		01/01/2032
SJRTD Interest	RTD MK Bond Loan				89,260.55	01/01/2032
Stockton Metro Airport	SMA MK Bond Loan	9,700,000.00	61,467.00	61,467.00		06/30/2020
Stockton Metro Airport Interest	SMA MK Bond Loan				2,856.61	
City of Stockton-Thornton	Thornton Road Widening Bond Loan	14,500,000.00	3,643,656.08	3,643,656.08		06/30/2026
City of Stockton-Thornton Interest	Thornton Road Widening Bond Loan Interest				61,085.99	06/30/2026
To be deducted from future RA						
City of Stockton-Hammer	Hammer Lane Widening Bond Loan	9,500,000.00	1,135,986.03	1,135,986.03		06/30/2026
City of Stockton-Hammer Interest	Hammer Lane Widening Bond Loan Interest				30,289.40	
To be deducted from future RA						
Stockton	Sperry Rd Advance	6,320,000.00	5,609,741.49	To be deducted from future RA		10/01/2014
Stockton	French Camp Rd I-5 Interchange	1,290,000.00	800,000.00	Paid in Full 03/31/16		04/01/2016
Stockton Interest	French Camp Rd I-5 Interchange			Paid in Full 03/31/16		04/01/2016
Port of Stockton	MH580 Barge Modification	1,500,000.00	1,500,000.00	Paid in Full 07/01/15		07/01/2015
Port of Stockton Interest	Interest on MH580 Barge Modification			Paid in Full 07/01/15		07/01/2015
Stockton Interest	Sperry Rd Advance Interest			Paid in Full 10/01/14		10/01/2014
Stockton	North Stockton Grade Separation	23,362,173.00	17,307,862.15	Paid in Full 07/01/14		07/01/2021
Rail	MK 10 Year Promissory Note	10,000,000.00	8,256,676.58	Paid in Full 07/01/14		07/01/2021
Rail	Line of Credit	12,000,000.00	12,500,000.00	Paid in Full 07/01/14		07/01/2023
S.J. County	MK LSR Advance	11,500,000.00	9,647,678.00	Paid in Full 06/30/14		06/30/2014
Stockton	MK LSR Advance	6,543,237.84	6,543,237.84	Paid in Full 06/30/14		06/30/2014
Stockton	MKR Otto Drive/ I-5 Interchange	863,589.00	646,792.94	Paid in Full 08/02/13		12/02/2013
Stockton Interest	Interest on MKR Otto Drive/ I-5 Interchange		111,063.04	Paid in Full 08/02/13		12/02/2013
Port of Stockton	Navy Drive/BKF	800,000.00	624,164.15	Paid in Full 07/10/17		2 yr maturity
Port of Stockton Interest	Navy Drive/BKF			Paid in Full 07/10/17		after final draw
				38,224,488.88	184,329.96	

Investment Recap as of 10/31/2017			
F&M Bank (GF/MK/RTIF)	F&M Bank (SJCOG, Inc.)	LAIF	County Pool
\$ 14,318,018.95	\$ 39,382,042.11	\$ 93,918.56	\$ 1,373.00
Bank of America Line of Credit			
	Established	Closing Market Value	Interest Rate
	03/01/2013	\$ 75,000,000.00	1.409500
Raymond James			Exhibit A
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
SJ County LTA 45925876	04/09/2012	\$ 4,216,400.78	0.95%
California Asset Management Program (CAMP)			Exhibit B
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
DSRF Reserve Fund 58-20	04/30/2011	\$ -	
DS Payment Acct 58-22	04/30/2011	\$ -	
2014 MK Tax Rev Bonds Proj Fund 58-24	07/02/2014	\$ 9,987,382.18	
2014 MK Tax Rev Bonds Cap Fund 58-26	07/02/2014	\$ 1,886,641.46	1.02%
2011 MK Sales Tax Rev Principal 58-28	09/01/2015	\$ -	
2017 Principal 58-30	04/03/2017	\$ 2,106,232.30	
2017 Interest 58-31	04/03/2017	\$ 2,164,044.23	
2011 Released Reserve 58-32	04/03/2017	\$ 8,605,105.68	1.27%
BANA LOC Disbursement 619-00	03/01/2013	\$ 153.86	
2014 MK Tax Rev Bonds Principle 619-01	07/02/2014	\$ 107,042.42	
2014 MK Tax Rev Bonds Interest 619-02	07/02/2014	\$ 370,882.35	
Total CAMP		\$ 25,227,484.48	
California Asset Management Program (CAMP) SJCOG, Inc.			Exhibit C
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
Jaques Preserve 6033-000	06/01/2012	\$ 14,399,905.54	2.15%
Public Financial Management (PFM)			Exhibit D
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
San Joaquin Transit Authority 76990300	04/30/2011	\$ 97,118,688.37	1.48%
	Closing Cash Balance	\$165,713.37	

Summary of Monthly Budget Report (October 31, 33.3% of FY)

The General Fund had the following expenditures for the month of October 31, 2017.

Salaries and Benefits are under at 32.5%

Memberships are over budget due to annual membership dues.

Publications & Legal Notices are over budget due to advertising for the new commute connection program which is now *dibs*.

Casualty/Liability/Auto Insurance is high due to the payment of one-time annual premiums.

Building Maintenance is high due to unexpected service on HVAC unit

The budget is below the straight-line target at 26.3%

SAN JOAQUIN COUNCIL OF GOVERNMENTS
FY 2017/18 ANNUAL FINANCIAL PLAN
(Adopted 3/23/17)
Through October 31, 2017
33.3%

DESCRIPTION	APPROVED BUDGET	THIS MONTH	YTD EXPEND.	% SPENT	BUDGET REMAINING	COMMENT & CONTROL BALANCE
Salaries & Benefits						
Salaries	\$ 2,913,882	\$ 318,276	947,472	32.5%	1,966,410	
Benefits	\$ 1,221,782	\$ 87,710	394,914	32.3%	826,868	
	4,135,664	405,986	1,342,386	32.5%	2,793,278	
Services & Supplies						
Office Expense	256,200	4,781	68,103	26.6%	188,097	
Communications	56,000	3,511	13,098	23.4%	42,902	
Memberships	56,000	175	32,156	57.4%	23,844	>10% HIGH-SEE COMMENTS
Maintenance - Equipment	13,000	1,030	2,060	15.8%	10,940	
Rents & leases - Equipment	300,000	14,245	70,593	23.5%	229,407	
Transportation & Travel	110,000	6,235	16,164	14.7%	93,836	
Publications & Legal Notice	3,000	595	2,204	73.5%	796	>10% HIGH-SEE COMMENTS
Casualty/Liability/Auto Insurance	108,000	0	94,063	87.1%	13,937	>10% HIGH-SEE COMMENTS
Building Maintenance	175,000	15,597	67,343	38.5%	107,657	>10% HIGH-SEE COMMENTS
Building Debt Service - Principle & Interest	100,000	0	0	0.0%	100,000	
Miscellaneous	0	0	0	N/A	0	
	1,177,200	46,169	365,783	31.1%	811,417	
Consultant Contracts						
Professional & Special Services	2,856,291	199,522	459,951	16.1%	2,396,340	
	2,856,291	199,522	459,951	16.1%	2,396,340	
Fixed Assets						
Equipment	170,500	0	29,058	17.0%	141,442	
Total	8,339,655	651,678	2,197,177	26.3%	6,142,478	

RAYMOND JAMES®

SJ Cnty Local Transportation Auth Account Summary

Account No. 45925876

Closing Value \$4,216,400.78



SAN JOAQUIN COUNTY LOCAL
TRANSPORTATION AUTHORITY
555 E WEBER AVE
STOCKTON CA 95202-3016552



BRYAN HICKINGBOTTOM

RaymondJames Financial Services, Inc.

RAYMOND JAMES FINANCIAL, SVCS | 215 WEST OAK STREET | SUITE 1 | LODI, CA 95240 |

(209) 333-4500

raymondjames.com/fmis| Bryan.Hickingbottom@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378)

Monday - Friday 8 a.m. to 9 p.m. ET

Online Account Access | raymondjames.com/investoraccess

Investment Objectives

Primary: Capital Preservation with a low risk tolerance and a time horizon less than 5 years.

Secondary: Income with a medium risk tolerance and a time horizon less than 5 years.

Activity

	This Statement		Year to Date	
Beginning Balance	\$	4,088,330.51	\$	4,177,999.74
Deposits	\$	0.00	\$	0.00
Income	\$	8,048.22	\$	57,550.83
Withdrawals	\$	0.00	\$	0.00
Expenses	\$	0.00	\$	0.00
Change in Value	\$	120,022.05	\$	(19,149.79)
Ending Balance	\$	4,216,400.78	\$	4,216,400.78
Purchases	\$	0.00	\$	(435,000.00)
Sales/Redemptions	\$	204,254.51	\$	591,240.42

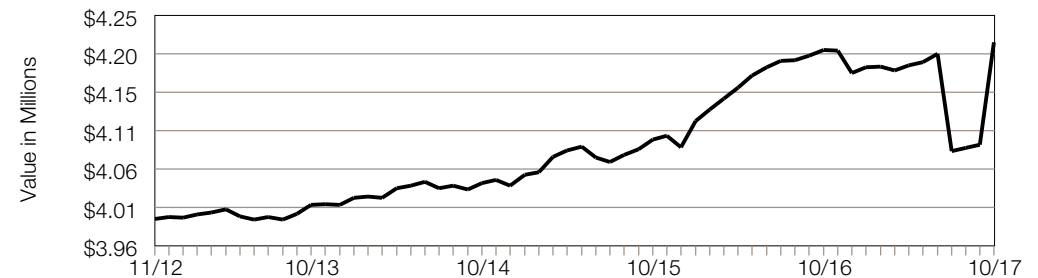
Dollar-Weighted Performance

See Understanding Your Statement for important information about these calculations.

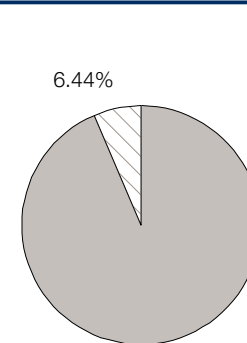
Performance Inception	YTD	2016	2015
04/09/12	0.95%	2.25%	1.25%

Excludes some limited partnerships, unpriced securities and annuity history prior to the annuity being linked to the account.

Value Over Time



Asset Allocation Analysis



		Value	Percentage
US Equities	\$	-	-
Non-US Equities	\$	-	-
Fixed Income	\$	3,944,619.59	93.56%
Real Estate & Tangibles	\$	-	-
Alternative Investments	\$	-	-
Non-classified	\$	-	-
Cash & Cash Alternatives	\$	271,781.19	6.44%





Understanding Your Statement

SJ Cnty Local Transportation Auth Account No. 45925876

Need help navigating your statement? Visit <http://raymondjames.com/statements/comp> for a guide.

Raymond James & Associates, Inc. (RJ&A), member New York Stock Exchange/SIPC, carries your account and acts as custodian for funds and securities deposited with us, directly by you, through Raymond James Financial Services, Inc. (RJFS), or as a result of transactions we process for your account. RJFS maintains written agreements with financial institutions. **Unless otherwise specified, products purchased through RJFS or held at RJ&A are not insured by the FDIC, NCUA, other financial institution insurance or government agencies, are not deposits or other obligations of and are not guaranteed by the financial institution, and are subject to investment risks, including possible loss of principal invested.** Our independent financial advisors may also be involved in other business entities, including their own registered investment advisor firms and/or independent insurance relationships, unrelated to their association with RJFS. These entities are completely independent of Raymond James. RJFS serves as the broker/dealer of record for your investment securities accounts only. Checks for the purchase of investment securities should be made payable to Raymond James & Associates unless you are submitting your investment directly to a recognized mutual fund or insurance company. Information about commissions, service fees and other charges related to your transactions is included on your transaction confirmations. All financial products you have purchased or sold through your Raymond James financial advisor should appear on a trade confirmation and your account statements. Please contact your financial advisor and Raymond James Client Services at 800-647-7378 if you do not see any such purchase or sale reported on your trade confirmation or account statements; if you have questions about the securities positions, balances and transactions in your account; or if you note any other inaccuracy on your account statement. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act. Raymond James' financial statement is available for your inspection at its offices or at <https://www.raymondjames.com>, or a copy will be mailed upon your written request. All other inquiries, **[including updates to your investment profile]** and questions about the following information regarding the investments held in your account should be directed to your financial advisor.

Securities offered through Raymond James Financial Services, Inc. Member FINRA/SIPC. Investment Advisory Services offered through Raymond James Financial Services Advisors, Inc. and/or the Independent Registered Investment Advisor, or both. Please refer to your advisory services contract and form ADV for more information. Your financial advisor may operate under a separate business entity. The business entity is independent of Raymond James Financial Services and not a broker/dealer.

Raymond James International Headquarters | 880 Carillon Parkway | St. Petersburg, FL 33716 | <https://www.raymondjames.com>

Securities Investor Protection Corporation - Raymond James & Associates, Inc. is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to \$500,000, including \$250,000 for claims for cash. Money market fund shares are not considered cash for this purpose; they are securities. An explanatory brochure is available upon request by calling 202-371-8300 or visiting <http://www.sipc.org>. Raymond James & Associates, Inc. has purchased excess SIPC coverage through various syndicates of Lloyd's, a London-based firm. Excess SIPC coverage is fully protected by the Lloyd's trust funds and Lloyd's Central Fund. The additional protection currently provided has an aggregate firm limit of \$750 million, including a sub-limit of \$1.9 million per customer for cash above basic SIPC for the wrongful abstraction of customer funds. SIPC coverage is not the same as, and operates differently from, FDIC deposit insurance. Account protection applies when a SIPC-member firm fails financially and is unable to meet obligations to securities clients, but it does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, National Association (N.A.), member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates or purchased from Raymond James Financial Services are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, N.A., are not guaranteed by Raymond James Bank, N.A., and are subject to investment risks, including possible loss of the principal invested.

FINRA Disclosure - For additional background information on any firm or representative registered with the Financial Industry Regulatory Authority (FINRA), please contact FINRA at 800-289-9999 or <http://www.finra.org> and request the public disclosure program brochure.

Availability of Free Credit, Bank Deposit Program, and Shares of the Cash Sweep Money Market Mutual Fund Balance - You have the right to receive, in the normal course of business, any free credit balance, bank deposit program balance, the net asset value of shares of the cash sweep money market mutual fund balance, and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

Cost Basis - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations. "Gain or (loss) Pct" is calculated utilizing total cost basis.

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

For securities classified as Grantor or Royalty Trusts, Master Limited Partnerships or other widely held fixed income trusts, cost basis is not adjusted. These securities receive principal payments or distributions that are classified differently by the issuer at the end of the year. Clients should continue to rely on the issuer information for both cost basis adjustments as well as proceeds adjustments for these securities. For this reason the gain/loss displayed will be unadjusted and is not a true indicator of the investment return. Any adjustment to sales proceeds will be reflected on your 1099.

Unrealized gains or losses are not calculated for depreciated gifted securities, referred to as dual basis, indicated by a "d." Both Total Cost and Gift FMV exist, as the actual gain/loss cannot be determined until the position is sold.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. For any security in which a client has elected the average cost reporting method, the Amount Invested will utilize the average cost per share of all tax lots to calculate amount invested.

Mutual fund tax lots are displayed as one total position and may include covered and non-covered tax lots some of which could be adjusted for wash sales. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various."

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Please refer to the fixed income and alternative investment disclosures for additional cost basis information on those securities.

Client Interest Program - The Client Interest Program (CIP) is an on-demand alternative for client cash that is held for future investment. As required by the U.S. Securities and Exchange Commission Rule 15c3-3, "The Customer Protection Rule," Raymond James segregates client cash from firm cash. The client cash that is set aside is required to be held in bank accounts or in certain high-quality U.S. Government securities. CIP balances are included in the coverage provided by the Securities Investor Protection Corporation (SIPC) and excess SIPC. The CIP rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income.

Dollar-Weighted Performance Reporting - The dollar-weighted performance results represented in this statement are based on performance calculations that take into account the impact of deposits and withdrawals. Because these cash flows are beyond the control of the advisor, they should not be used to evaluate his/her performance. Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Considering these exclusions, overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.

Fixed Income Investments - Fixed income securities, including brokered CDs, are priced using evaluations, which may be matrix- or model-based, and do not necessarily reflect actual trades. These price evaluations suggest current estimated market values, which may be significantly higher or lower than the amount you would pay (receive) in an actual purchase (sale) of the security. These estimates, which are obtained from various sources, assume normal market conditions and are based on large volume transactions. Market prices of fixed income securities may be affected by several risks, including without limitation: interest rate risk - a rise (fall) in interest rates may reduce (increase) the value of your investment, default or credit risk - the issuer's ability to make interest and principal payments, and illiquidity risk - the inability to sell bonds promptly prior to maturity with minimal loss of principal. An overview of these and other risks is available at <https://www.raymondjames.com>, <http://www.finra.org>, <https://emma.msrb.org>, and <http://investinginbonds.com>.

Investors interested in regular updates about individual municipal securities can sign up on EMMA (<https://emma.msrb.org>) to receive e-mail alerts when disclosure documents are posted on the website. Investors who track particular bonds identified by their unique "CUSIP" numbers can receive an e-mail notification from EMMA every time a new disclosure document is posted for that security. These documents can include annual and other periodic financial filings, operating data and other types of material events. To sign up for an alert, enter a nine-digit CUSIP number into the "Muni Search" function of EMMA.

Securities ratings, provided by independent nationally recognized statistical organizations, also called Ratings Agencies, are appraisals of the financial stability of a particular issuer and its ability to pay income and return principal on your investment. Although they can assist investors in evaluating the credit worthiness of an issuer, ratings are not recommendations to buy, sell or hold a security, nor do ratings remove market risk. In addition, ratings are subject to review, revision, suspension, reduction or withdrawal at any time, and any of these changes in ratings may affect the current market value of your investment. A Rating Agency may also place an issuer under review or credit watch which may be another indicator of a future rating change. Generally, higher yields and/or lower ratings reflect higher perceived credit risk. News events relating to a particular issuer may generally impact the market price, and consequently the yield, of that issuer's securities, even if their rating has not yet changed. Securities with the same rating can actually trade at significantly different prices. The absence of a rating may indicate that the issuer has not requested a rating evaluation, insufficient data exists on the issuer to derive a rating, or that a rating request was denied or removed. Non-rated securities are speculative in nature and are less liquid. Raymond James trade confirmations, online accounts and monthly statements display only the ratings of those Rating Agencies to which Raymond James subscribes. For more information on ratings, please visit <https://www.moody.com>, <http://www.standardandpoors.com> and <https://www.fitchratings.com>. Individual investors may request Moody's and/or S&P credit reports from their financial advisors. Additionally, Fitch reports are available for municipal bonds.

Certificates of Deposit (CDs) purchased through a securities broker and held in a brokerage account are considered deposits with the issuing institution and are insured by the Federal Deposit Insurance Company (FDIC), an independent agency of the U.S. government. FDIC insurance covers up to \$250,000 (including principal and interest) for deposits held in different ownership categories, including single accounts, joint accounts, trust accounts, IRAs, and certain other retirement accounts, per issuer. If you purchased this CD at a premium to par, the premium is not FDIC insured. Certificate of Deposit Disclosure Statement is available at <https://www.raymondjames.com/liquid.htm>. For more information, please visit <https://www.fdic.gov>.



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

Mortgage-backed securities and Collateralized Mortgage Obligations (CMOs) are priced based on average life. The actual maturity date may be shorter than stated. For more information, please review FINRA's Investor's Guide to Mortgage Securities and CMOs at <http://www.finra.org>.

Foreign bonds are subject to additional risks, including without limitation, currency fluctuations, differing accounting standards, political and economic instability, and changes in tax laws.

The cost basis for Original Issue Discount (OID) bonds and municipal bonds purchased at a premium may or may not have been adjusted using the constant yield method, providing an approximation of the adjusted cost basis and unrealized gains or losses. Cost basis information is displayed for your information only and should not be relied upon for tax reporting purposes. You should consult your tax advisor to ensure proper tax reporting.

Accrued interest for Fixed Income positions is not included in the total position value or the account summary total. Accrued interest is the interest earned but not yet paid on the bond since the principal investment or since the previous coupon payment if there has been one already. In most cases, it is calculated from the date of the last coupon payment (or dated date) through the last day of the month.

Future Payments - Cash and stock dividends, interest and principal payment information are provided to Raymond James by outside vendors. The information is believed to be accurate; however, because future payments listed may not represent all dividends, interest and/or principal that will be paid into your account, the information should be used as an estimate only. Dividends and interest payments are not included as part of your portfolio value; principal payments are included in your total portfolio value.

Raymond James Bank Deposit Program - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at <https://www.fdic.gov>.

The Raymond James Bank Deposit Program rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJBDP participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about the Bank Deposit Program, including the current Bank Priority Lists, is available at <https://www.raymondjames.com/rjbdp>.

Estimated Annual Income and Estimated Income Yield - The Estimated Annual Income (EAI) and Estimated Income Yield (EIY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EIY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. EAI and EIY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EIY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

Pricing - While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor evaluations. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities is obtained from a variety of sources, which may include issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

Standing Withholding Elections - The term "standing withholding election" refers to a federal or state income tax withholding election made for a Raymond James IRA that is carried over and applied to all future distributions from that IRA unless it is changed or revoked.

If income taxes are being withheld from the IRA payments you are receiving and you do not wish to have taxes withheld, please notify your financial advisor. However, if you elect not to have withholding apply or you do not have enough federal income tax withheld from your IRA payments, you may be responsible for the payment of estimated taxes. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient.

If income taxes are not being withheld from your IRA payments because you elected not to have



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

withholding apply and you now wish to revoke that election and have income taxes withheld, please notify your financial advisor.

Asset Allocation Analysis - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See <https://investoraccess.rjf.com/faq/#assetallocation> to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.



Cash & Cash Alternatives

Raymond James Bank Deposit Program [‡]

Description	(Symbol)	Value	Estimated Income Yield	Estimated Annual Income
Raymond James Bank Deposit Program [‡] - Selected Sweep Option				
Raymond James Bank N.A.		\$245,019.82	0.15%	\$407.67
Bank of China		\$26,761.37		
Raymond James Bank Deposit Program Total		\$271,781.19		\$407.67

Your bank priority state: CA

Participating banks recently added: West Bank 10/02/2017; Community Bank 07/24/2017

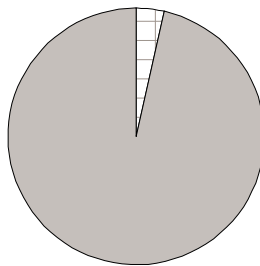
[‡] Please see the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Cash & Cash Alternatives Total	\$271,781.19	\$407.67
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Fixed Income [❖]

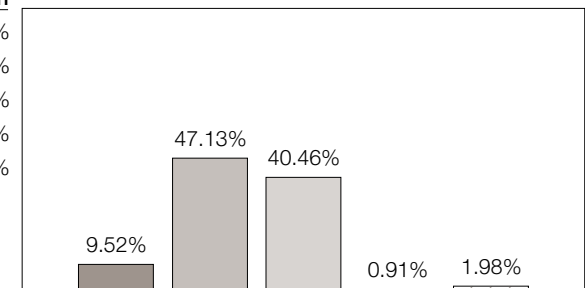
Credit Quality Analysis

Lowest Available *		Current Period Value	Percentage Allocation
U.S. Treasury	\$	0.00	0.00%
Agency/GSE Debt	\$	0.00	0.00%
ABS/MBS/CMOs	\$	139,365.49	3.53%
Certificates of Deposit (CDs)	\$	3,805,254.10	96.47%
AAA	\$	0.00	0.00%
AA	\$	0.00	0.00%
A	\$	0.00	0.00%
BAA	\$	0.00	0.00%
Below Investment Grade	\$	0.00	0.00%
Not Rated	\$	0.00	0.00%



Maturity Analysis

Maturity		Current Period Value	Percentage Allocation
0 to < 1 yr	\$	375,331.50	9.52%
1 to < 3 yrs	\$	1,859,209.15	47.13%
3 to < 7 yrs	\$	1,595,990.66	40.46%
7 to < 14 yrs	\$	36,037.27	0.91%
14 to > yrs	\$	78,051.01	1.98%



* Based on Moody's, S&P and Fitch (municipals only) Long Term Rating



Fixed Income (continued) *

Asset-Backed Securities (ABS), Mortgage-Backed Securities (MBS), Collateralized Mortgage Obligations (CMOs)

Description (CUSIP)	Par Value	Remaining Principal Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis	Gain or (Loss)
FNMA REMIC TRUST 2011-89 LE 3.0000% DUE 03/25/2039 FACTOR: .01651231 (3136A02M8) Ratings Information: Not Rated	\$207,000.00	\$3,418.04	\$102.54		\$100.387	\$3,431.28	\$8.54	\$3,521.01	\$(89.73)
LOT 1	\$154,000.00		\$76.29	10/26/2012	\$100.387	\$2,552.74		\$2,623.99	\$(71.25)
LOT 2	\$53,000.00		\$26.25	12/28/2012	\$100.387	\$878.54		\$897.02	\$(18.48)
FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .27416748 (3136AC4R9) Ratings Information: Not Rated	\$119,000.00	\$32,625.93	\$570.95	02/21/2013	\$95.240	\$31,072.94	\$47.58	\$33,027.39	\$(1,954.45)
FHLMC REMIC SERIES 3763 NE 2.5000% DUE 05/15/2025 FACTOR: .19292658 (3137A2ZM6) Ratings Information: Not Rated	\$185,000.00	\$35,691.41	\$892.29	04/23/2012	\$100.969	\$36,037.27	\$74.36	\$36,539.05	\$(501.78)
FHLMC REMIC SERIES 3773 GL 2.7500% DUE 12/15/2020 FACTOR: .08782427 (3137A3TB5) Ratings Information: Not Rated	\$285,000.00	\$25,029.91	\$688.32	05/08/2012	\$100.988	\$25,277.21	\$57.36	\$25,953.87	\$(676.66)
FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .01233879 (31392FMF1) Ratings Information: Not Rated	\$2,000,000.00	\$24,677.58	\$1,110.49	04/30/2012	\$105.989	\$26,155.52	\$92.54	\$26,651.76	\$(496.24)
GNMA REMIC TRUST 2012-55 A 1.7040% DUE 08/16/2033 FACTOR: .09952997 (38378BRK7) Ratings Information: Not Rated	\$175,000.00	\$17,417.74	\$296.80		\$99.848	\$17,391.27	\$24.73	\$17,670.29	\$(279.02)
LOT 1	\$30,000.00		\$50.88	06/07/2012	\$99.848	\$2,981.36		\$3,023.23	\$(41.87)



Fixed Income (continued) *

Asset-Backed Securities (ABS), Mortgage-Backed Securities (MBS), Collateralized Mortgage Obligations (CMOs) (continued)

Description (CUSIP)	Par Value	Remaining Principal Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis	Gain or (Loss)
LOT 2	\$60,000.00		\$101.76	06/20/2012	\$99.848	\$5,962.72		\$6,053.95	\$(91.23)
LOT 3	\$50,000.00		\$84.80	07/23/2012	\$99.848	\$4,968.93		\$5,057.33	\$(88.40)
LOT 4	\$35,000.00		\$59.36	12/21/2012	\$99.848	\$3,478.25		\$3,535.78	\$(57.53)
Asset-Backed Securities (ABS), Mortgage-Backed Securities (MBS), Collateralized Mortgage Obligations (CMOs) Total	\$2,971,000.00	\$138,860.61	\$3,661.39			\$139,365.49	\$305.11	\$143,363.37	\$(3,997.88)

Certificates of Deposit (CDs)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
ALLY BANK MIDVALE, UT FDIC # 57803 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.5000% DUE 05/21/2018 (02006LVT7) Ratings Information: Not Rated	\$150,000.00 ^C	\$2,250.00	11/16/2015	\$100.078	\$150,117.00	\$1,017.12	\$150,000.00 \$117.00	\$150,000.00 ^A \$117.00
AMERICAN EXPRESS BANK, FSB SALT LAKE CITY, UT FDIC # 35328 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.0000% DUE 07/24/2019 (02587CAJ9) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,000.00	07/18/2014	\$100.393	\$100,393.00	\$542.47	\$100,000.00 \$393.00	\$100,000.00 ^A \$393.00
AMERICAN EXPRESS BANK, FSB SALT LAKE CITY, UT FDIC # 35328 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 09/18/2019 (02587CBK5) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,100.00	09/12/2014	\$100.511	\$100,511.00	\$247.40	\$100,000.00 \$511.00	\$100,000.00 ^A \$511.00



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
BMW BANK OF NORTH AMERICA SALT LAKE CITY, UT FDIC # 35141 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 06/19/2020 (05580ABX1) Ratings Information: Not Rated	\$135,000.00 ^C	\$2,835.00	06/16/2015	\$100.277	\$135,373.95	\$1,040.79	\$135,000.00 \$373.95	\$135,000.00 ^A \$373.95
BMO HARRIS BANK, NA CHICAGO, IL FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 12/29/17 2.0000% DUE 03/29/2022 Callable 12/29/2017 @ 100.000 (05581WNK7) Step Schedule: 2.250% on March 29, 2020, 3.750% on March 29, 2021, 5.500% on September 29, 2021 Ratings Information: Not Rated	\$185,000.00	\$3,700.00	03/21/2017	\$100.127	\$185,234.95	\$324.38	\$185,000.00 \$234.95	\$185,000.00 ^A \$234.95
BLACKRIDGEBANK FARGO, ND FDIC # 58580 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CALLABLE BEGINNING 11/23/17 1.4500% DUE 06/23/2022 Callable 11/23/2017 @ 100.000 (09248ABB5) Step Schedule: 1.650% on June 23, 2018, 1.950% on June 23, 2019, 2.450% on June 23, 2020, 3.000% on June 23, 2021 Ratings Information: Not Rated	\$125,000.00	\$1,812.50	06/20/2017	\$98.306	\$122,882.50	\$39.73	\$125,000.00 \$(2,117.50)	\$125,000.00 ^A \$(2,117.50)
CAPITAL ONE BK USA NA GLEN ALLEN, VA FDIC # 33954 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.3000% DUE 09/23/2020 (140420VX5) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,300.00	09/18/2015	\$100.906	\$100,906.00	\$239.45	\$100,000.00 \$906.00	\$100,000.00 ^A \$906.00



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
CAPITAL ONE BANK, NA MCLEAN, VA FDIC # 4297 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.2000% DUE 10/15/2020 (14042RAW1) Ratings Information: Not Rated	\$150,000.00 ^C	\$3,300.00	10/09/2015	\$100.856	\$151,284.00	\$144.66	\$150,000.00 \$1,284.00	\$150,000.00 ^A \$1,284.00
CIT BANK FDIC # 35575 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.2000% DUE 12/03/2019 (17284C5A8) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,200.00	11/28/2014	\$100.948	\$100,948.00	\$904.11	\$100,000.00 \$948.00	\$100,000.00 ^A \$948.00
CIT BANK FDIC # 35575 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 01/14/2020 (17284DAD4) Ratings Information: Not Rated	\$150,000.00 ^C	\$3,150.00	01/07/2015	\$100.721	\$151,081.50	\$940.68	\$150,000.00 \$1,081.50	\$150,000.00 ^A \$1,081.50
DISCOVER BANK FDIC # 5649 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1500% DUE 10/22/2019 (254672CA4) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,150.00	10/17/2014	\$100.855	\$100,855.00	\$53.01	\$100,000.00 \$855.00	\$100,000.00 ^A \$855.00
DISCOVER BANK FDIC # 5649 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 12/07/2021 (254672V39) Ratings Information: Not Rated	\$100,000.00	\$2,100.00	12/02/2016	\$99.989	\$99,989.00	\$840.00	\$100,000.00 \$(11.00)	\$100,000.00 ^A \$(11.00)
FIRST CR BK FDIC # 24332 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CALLABLE BEGINNING 12/29/17 1.0000% DUE 06/29/2021 Callable 12/29/2017 @ 100.000 (320055BT2) Step Schedule: 1.300% on June 29, 2018, 1.750% on June 29, 2019, 2.250% on June 29, 2020 Ratings Information: Not Rated	\$200,000.00	\$2,000.00	06/27/2016	\$99.161	\$198,322.00	\$679.45	\$200,000.00 \$(1,678.00)	\$200,000.00 ^A \$(1,678.00)



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
FIRST NB OF SYRACUSE FDIC # 4779 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 11/28/17 2.1000% DUE 06/28/2022 Callable 11/28/2017 @ 100.000 (334342CA8) Ratings Information: Not Rated	\$125,000.00	\$2,625.00	06/19/2017	\$99.370	\$124,212.50	\$21.58	\$125,000.00 \$(787.50)	\$125,000.00 ^A \$(787.50)
GE MONEY BANK FDIC # 27314 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.5000% DUE 02/21/2018 (36160KA47) Ratings Information: Not Rated	\$100,000.00 ^C	\$1,500.00	02/19/2014	\$100.097	\$100,097.00	\$291.78	\$100,000.00 \$97.00	\$100,000.00 ^A \$97.00
GE CAPITAL RETAIL BANK (GE CAP FNCL INC) FDIC # 33778 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.6000% DUE 05/09/2018 (36161TG41) Ratings Information: Not Rated	\$125,000.00 ^C	\$2,000.00	05/06/2014	\$100.094	\$125,117.50	\$958.90	\$125,000.00 \$117.50	\$125,000.00 ^A \$117.50
GOLDMAN SACHS BANK USA NEW YORK, NY FDIC # 33124 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.6000% DUE 05/11/2021 (38148PEM5) Ratings Information: Not Rated	\$100,000.00	\$1,600.00	05/06/2016	\$98.567	\$98,567.00	\$758.36	\$100,000.00 \$(1,433.00)	\$100,000.00 ^A \$(1,433.00)
GULF COAST B&TC FDIC # 32974 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 01/14/18 1.5000% DUE 10/14/2021 Callable 01/14/2018 @ 100.000 (402194FG4) Ratings Information: Not Rated	\$150,000.00	\$2,250.00	10/11/2016	\$97.719	\$146,578.50	\$104.79	\$150,000.00 \$(3,421.50)	\$150,000.00 ^A \$(3,421.50)



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
JP MORGAN CHASE BANK, NA FDIC # 628 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 04/19/18 1.0000% DUE 10/19/2019 Callable 04/19/2018 @ 100.000 (48125YSK1) Step Schedule: 2.000% on April 19, 2018, 3.000% on October 19, 2018, 5.000% on April 19, 2019 Ratings Information: Not Rated	\$200,000.00	\$2,000.00	10/14/2015	\$99.871	\$199,742.00	\$65.75	\$200,000.00 \$(258.00)	\$200,000.00 ^A \$(258.00)
KEYBANK NA CLEVELAND, OH FDIC # 17534 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.5000% DUE 11/26/2018 (49306SVP8) Ratings Information: Not Rated	\$150,000.00 ^C	\$2,250.00	11/20/2015	\$100.120	\$150,180.00	\$980.14	\$150,000.00 \$180.00	\$150,000.00 ^A \$180.00
MARLIN BUSINESS BANK SALT LAKE CITY, UT FDIC # 58267 CERTIFICATE OF DEPOSIT SEMI-ANNUAL CALLABLE BEGINNING 12/02/17 1.6000% DUE 03/02/2021 Callable 12/02/2017 @ 100.000 (57116ALD8) Ratings Information: Not Rated	\$100,000.00	\$1,600.00	02/23/2016	\$98.710	\$98,710.00	\$258.63	\$100,000.00 \$(1,290.00)	\$100,000.00 ^A \$(1,290.00)
SALLIE MAE BK MURRAY, UT FDIC # 58177 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1500% DUE 10/22/2019 (795450UB9) Ratings Information: Not Rated	\$200,000.00 ^C	\$4,300.00	10/17/2014	\$100.738	\$201,476.00	\$106.03	\$200,000.00 \$1,476.00	\$200,000.00 ^A \$1,476.00



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
SPRINGS VALLEY BANK & TRUST FRENCH LICK, IN FDIC # 4419 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 11/23/17 1.6000% DUE 02/23/2021 Callable 11/23/2017 @ 100.000 (851791AG8) Ratings Information: Not Rated	\$100,000.00	\$1,600.00	02/11/2016	\$98.725	\$98,725.00	\$35.07	\$100,000.00 \$(1,275.00)	\$100,000.00 ^A \$(1,275.00)
STATE BK OF INDIA NY BR NEW YORK, NY FDIC # 33682 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.0500% DUE 07/29/2019 (856284Y81) Ratings Information: Not Rated	\$130,000.00 ^C	\$2,665.00		\$100.579	\$130,752.70	\$686.33	\$130,000.00 \$752.70	\$130,000.00 ^A \$752.70
LOT 1	\$100,000.00	\$2,050.00	07/24/2014	\$100.579	\$100,579.00		\$100,000.00 \$579.00	\$100,000.00 ^A \$579.00
LOT 2	\$30,000.00	\$615.00	07/24/2014	\$100.579	\$30,173.70		\$30,000.00 \$173.70	\$30,000.00 ^A \$173.70
STATE BK OF INDIA NY BR NEW YORK, NY FDIC # 33682 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1500% DUE 09/11/2019 (856284Z98) Ratings Information: Not Rated	\$100,000.00 ^C	\$2,150.00	09/17/2014	\$100.571	\$100,571.00	\$294.52	\$100,000.00 \$571.00	\$100,000.00 ^A \$571.00
SUNTRUST BANK ATLANTA, GA FDIC # 867 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 06/27/19 1.2000% DUE 12/27/2021 Callable 06/27/2019 @ 100.000 (86789VSQ1) Step Schedule: 1.300% on June 27, 2018, 2.000% on June 27, 2019, 3.000% on June 27, 2020, 5.000% on June 27, 2021 Ratings Information: Not Rated	\$200,000.00	\$2,400.00	06/09/2016	\$98.948	\$197,896.00	\$223.56	\$200,000.00 \$(2,104.00)	\$200,000.00 ^A \$(2,104.00)



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Est. Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
SYNCHRONY BANK FDIC # 27314 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 12/09/2021 (87164XPB6) Ratings Information: Not Rated	\$100,000.00	\$2,100.00	12/06/2016	\$99.984	\$99,984.00	\$828.49	\$100,000.00 \$(16.00)	\$100,000.00 ^A \$(16.00)
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 06/30/18 1.5000% DUE 06/30/2020 Callable 06/30/2018 @ 100.000 (94986TUG0) Step Schedule: 2.000% on June 30, 2018, 4.500% on June 30, 2019 Ratings Information: Not Rated	\$135,000.00	\$2,025.00	06/25/2015	\$100.100	\$135,135.00	\$171.99	\$135,000.00 \$135.00	\$135,000.00 ^A \$135.00
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CALLABLE BEGINNING 05/10/19 1.3000% DUE 05/10/2021 Callable 05/10/2019 @ 100.000 (94986TYT8) Step Schedule: 2.000% on May 10, 2019, 2.500% on November 10, 2019, 3.500% on May 10, 2020, 4.500% on November 10, 2020 Ratings Information: Not Rated	\$100,000.00	\$1,300.00	05/05/2016	\$99.612	\$99,612.00	\$619.73	\$100,000.00 \$(388.00)	\$100,000.00 ^A \$(388.00)
Certificates of Deposit (CDs) Total	\$3,810,000.00	\$66,262.50			\$3,805,254.10	\$13,418.90	\$3,810,000.00 \$(4,745.90)	\$3,810,000.00 \$(4,745.90)

^A Adjusted Cost figure may not have been modified with accrued market discount, acquisition premium or bond premium.

Fixed Income Total

\$69,923.89

\$3,944,619.59

\$13,724.01

♦ Please see Fixed Income Investments on the Understanding Your Statement page.



Your Portfolio (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

Portfolio Total **\$4,216,400.78**

Accrued Interest Total **\$13,724.01**

° Cost basis for these tax lots/securities will be supplied to the IRS on Form 1099-B.



Activity Summary

Income

Type	This Statement	Year to Date
Interest - Taxable	\$8,026.84	\$57,488.63
Interest at RJ Bank Deposit Program	\$21.38	\$62.20
Total Income	\$8,048.22	\$57,550.83

Purchases

Type	This Statement	Year to Date
Purchases	\$0.00	\$(435,000.00)
Total Purchases	\$0.00	\$(435,000.00)

Sales / Redemptions

Type	This Statement	Year to Date
Redemptions	\$200,000.00	\$500,000.00
Return of Capital/ Principal	\$4,254.51	\$91,240.42
Total Sales/Redemptions	\$204,254.51	\$591,240.42

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			Beginning Balance				\$59,478.46	
10/02/2017	Income	Interest - Taxable	WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 06/30/18 1.5000% DUE 06/30/2020 Callable 06/30/2018 @ 100.000 (94986TUG0)			\$510.41	\$59,988.87	Paid on 135,000
10/13/2017	Income	Interest - Taxable	HSBC BANK USA FDIC # 57890 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CALLABLE BEGINNING 10/13/17 3.1250% DUE 04/13/2020 Callable10/13/2017 @ 100.000 (40434ATC3)			\$1,128.08	\$61,116.95	Paid on 200,000
10/16/2017	Sale/ Redemption	Redemption	HSBC BANK USA FDIC # 57890 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CALLABLE BEGINNING 10/13/17 3.1250% DUE 04/13/2020 Callable10/13/2017 @ 100.000 (40434ATC3)	(200,000.000)	\$1.000	\$200,000.00	\$261,116.95	10/13/17 FULL CALL @ 100% 1.125% 04/13/20



Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
10/16/2017	Income	Interest - Taxable	CAPITAL ONE BANK, NA MCLEAN, VA FDIC # 4297 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.2000% DUE 10/15/2020 (14042RAW1)			\$1,654.52	\$262,771.47	Paid on 150,000
10/16/2017	Income	Interest - Taxable	FHLMC REMIC SERIES 3763 NE 2.5000% DUE 05/15/2025 FACTOR: .19292658 (3137A2ZM6)			\$75.66	\$262,847.13	Paid on 185,000
10/16/2017	Sale/ Redemption	Return of Capital/ Principal	FHLMC REMIC SERIES 3763 NE 2.5000% DUE 05/15/2025 FACTOR: .19292658 (3137A2ZM6)			\$627.27	\$263,474.40	PRINCIPAL DISTRIBUTION
10/16/2017	Income	Interest - Taxable	FHLMC REMIC SERIES 3773 GL 2.7500% DUE 12/15/2020 FACTOR: .08782427 (3137A3TB5)			\$60.26	\$263,534.66	Paid on 285,000
10/16/2017	Sale/ Redemption	Return of Capital/ Principal	FHLMC REMIC SERIES 3773 GL 2.7500% DUE 12/15/2020 FACTOR: .08782427 (3137A3TB5)			\$1,263.33	\$264,797.99	PRINCIPAL DISTRIBUTION
10/16/2017	Income	Interest - Taxable	GULF COAST B&TC FDIC # 32974 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 01/14/18 1.5000% DUE 10/14/2021 Callable 01/14/2018 @ 100.000 (402194FG4)			\$184.93	\$264,982.92	Paid on 150,000
10/16/2017	Income	Interest - Taxable	GNMA REMIC TRUST 2012-55 A 1.7040% DUE 08/16/2033 FACTOR: .09952997 (38378BRK7)			\$25.26	\$265,008.18	Paid on 175,000
10/16/2017	Sale/ Redemption	Return of Capital/ Principal	GNMA REMIC TRUST 2012-55 A 1.7040% DUE 08/16/2033 FACTOR: .09952997 (38378BRK7)			\$368.97	\$265,377.15	PRINCIPAL DISTRIBUTION
10/19/2017	Income	Interest - Taxable	JP MORGAN CHASE BANK, NA FDIC # 628 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BEGINNING 04/19/18 1.0000% DUE 10/19/2019 Callable 04/19/2018 @ 100.000 (48125YSK1)			\$504.11	\$265,881.26	Paid on 200,000
10/23/2017	Income	Interest - Taxable	DISCOVER BANK FDIC # 5649 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1500% DUE 10/22/2019 (254672CA4)			\$1,077.95	\$266,959.21	Paid on 100,000



Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
10/23/2017	Income	Interest - Taxable	BLACKRIDGEBANK FARGO, ND FDIC # 58580 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CALLABLE BEGINNING 11/23/17 1.4500% DUE 06/23/2022 Callable 11/23/2017 @ 100.000 (09248ABB5)			\$148.97	\$267,108.18	Paid on 125,000
10/23/2017	Income	Interest - Taxable	SPRINGS VALLEY BANK & TRUST FRENCH LICK, IN FDIC # 4419 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 11/23/17 1.6000% DUE 02/23/2021 Callable 11/23/2017 @ 100.000 (851791AG8)			\$131.51	\$267,239.69	Paid on 100,000
10/23/2017	Income	Interest - Taxable	SALLIE MAE BK MURRAY, UT FDIC # 58177 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1500% DUE 10/22/2019 (795450UB9)			\$2,155.89	\$269,395.58	Paid on 200,000
10/25/2017	Income	Interest - Taxable	FNMA REMIC TRUST 2011-89 LE 3.0000% DUE 03/25/2039 FACTOR: .01651231 (3136A02M8)			\$10.19	\$269,405.77	Paid on 207,000
10/25/2017	Sale/ Redemption	Return of Capital/ Principal	FNMA REMIC TRUST 2011-89 LE 3.0000% DUE 03/25/2039 FACTOR: .01651231 (3136A02M8)			\$658.38	\$270,064.15	PRINCIPAL DISTRIBUTION
10/25/2017	Income	Interest - Taxable	FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .27416748 (3136AC4R9)			\$48.71	\$270,112.86	Paid on 119,000
10/25/2017	Sale/ Redemption	Return of Capital/ Principal	FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .27416748 (3136AC4R9)			\$777.12	\$270,889.98	PRINCIPAL DISTRIBUTION
10/25/2017	Income	Interest - Taxable	FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .01233879 (31392FMF1)			\$94.64	\$270,984.62	Paid on 2,000,000
10/25/2017	Sale/ Redemption	Return of Capital/ Principal	FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .01233879 (31392FMF1)			\$559.44	\$271,544.06	PRINCIPAL DISTRIBUTION
10/30/2017	Income	Interest - Taxable	FIRST NB OF SYRACUSE FDIC # 4779 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 11/28/17 2.1000% DUE 06/28/2022 Callable 11/28/2017 @ 100.000 (334342CA8)			\$215.75	\$271,759.81	Paid on 125,000



Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
10/31/2017	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$21.38	\$271,781.19	

Cash Sweep Activity Recap

Raymond James Bank Deposit Program

Date	Activity Type	Amount	Balance
09/29/2017	Beginning Balance		\$59,478.46
10/02/2017	Transfer To	\$510.41	\$59,988.87
10/13/2017	Transfer To	\$1,128.08	\$61,116.95
10/16/2017	Transfer To	\$204,260.20	\$265,377.15
10/19/2017	Transfer To	\$504.11	\$265,881.26

Date	Activity Type	Amount	Balance
10/23/2017	Transfer To	\$3,514.32	\$269,395.58
10/25/2017	Transfer To	\$2,148.48	\$271,544.06
10/30/2017	Transfer To	\$215.75	\$271,759.81
10/31/2017	Interest at RJ Bank Deposit Program	\$21.38	\$271,781.19

Unsettled Trades

Trade Type	Description (Symbol or CUSIP)	Trade Date	Settlement Date	Quantity	Price	Amount	Additional Detail
Buy	WEX BANK MIDVALE, UT FDIC # 34697 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.7000% DUE 11/01/2019 (92937CGF9)	10/30/2017	11/01/2017	250,000.000	\$100.000	\$(250,000.00)	

Unsettled Trades Total \$(250,000.00)



Future Payments

Future Interest Payments [◇]

Description (Symbol or CUSIP)	Record Date	Payable Date	Estimated Amount	Additional Detail
GE CAPITAL RETAIL BANK (GE CAP FNCL INC) FDIC # 33778 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.6000% DUE 05/09/2018 (36161TG41)	10/23/2017	11/09/2017	\$1,008.22	1.60% coupon x 125,000.000 par value
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CALLABLE BEGINNING 05/10/19 1.3000% DUE 05/10/2021 Callable 05/10/2019 @ 100.000 (94986TYT8)	10/24/2017	11/10/2017	\$655.34	1.30% coupon x 100,000.000 par value
GOLDMAN SACHS BANK USA NEW YORK, NY FDIC # 33124 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.6000% DUE 05/11/2021 (38148PEM5)	10/25/2017	11/11/2017	\$806.58	1.60% coupon x 100,000.000 par value
GULF COAST B&TC FDIC # 32974 CERTIFICATE OF DEPOSIT MONTHLY CALLABLE BEGINNING 01/14/18 1.5000% DUE 10/14/2021 Callable 01/14/2018 @ 100.000 (402194FG4)	10/28/2017	11/14/2017	\$191.10	1.50% coupon x 150,000.000 par value
Future Interest Payments Total			\$2,661.24	

[◇] Please see Future Payments on the Understanding Your Statement page.



Exhibit B

P41



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

For the Month Ending
October 31, 2017

San Joaquin County Transportation Authority

Client Management Team

Lauren Brant

Managing Director
50 California Street, Suite 2300
San Francisco, CA 94111
415-982-5544
brantl@pfm.com

Kenneth Schiebel, CFA

Managing Director
One Keystone Plaza, Suite 300
Harrisburg, PA 17101
717-232-2723
schiebelk@pfm.com

Contents

Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

(see inside cover for list of accounts)

Important Messages

CAMP will be closed on 11/23/2017 for Thanksgiving Day.

SAN JOAQUIN COUNTY TRANSPORTATION AUTHORITY
STEVE DIAL
555 E. WEBER AVE
STOCKTON, CA 95202

Online Access www.camponline.com

Customer Service 1-800-729-7665



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

San Joaquin County Transportation Authority

For the Month Ending
October 31, 2017

Accounts included in Statement

58-18	2008 BAN PROJECT FUND	58-24	2014 MEASURE K SALES TAX REV BONDS PROJECT FUND
58-26	2014 MEASURE K SALES TAX REV BONDS CAPI FUND	58-30	Series 2017 Principal
58-31	Series 2017 interest	58-32	2011 Released Reserve
619-00	BANA LOC DISBURSEMENT ACCOUNT	619-01	2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU
619-02	2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN		



Account Statement

For the Month Ending **October 31, 2017**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by ICE Data Services or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for money market and TERM funds is contained in the appropriate fund information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed. Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
One Keystone Plaza, Suite 300
N. Front & Market Sts
Harrisburg, PA 17101



Consolidated Summary Statement

Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority

Portfolio Summary

Portfolio Holdings	Cash Dividends and Income	Closing Market Value	Current Yield
CAMP Pool	18,352.42	16,063,533.33	1.16 %
CAMP Managed Account	(705.70)	9,163,955.14	* N/A
Total	\$17,646.72	\$25,227,488.47	

* Not Applicable

Investment Allocation

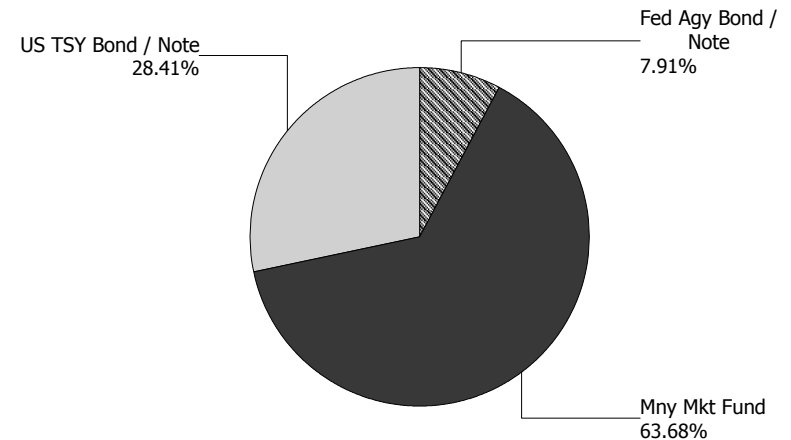
Investment Type	Closing Market Value	Percent
Federal Agency Bond / Note	1,995,685.27	7.91
Money Market Fund	16,063,533.33	63.68
U.S. Treasury Bond / Note	7,168,269.87	28.41
Total	\$25,227,488.47	100.00%

Maturity Distribution (Fixed Income Holdings)

Portfolio Holdings	Closing Market Value	Percent
Under 30 days	16,063,533.33	63.67
31 to 60 days	0.00	0.00
61 to 90 days	0.00	0.00
91 to 180 days	579,101.00	2.30
181 days to 1 year	6,589,168.87	26.12
1 to 2 years	1,995,685.27	7.91
2 to 3 years	0.00	0.00
3 to 4 years	0.00	0.00
4 to 5 years	0.00	0.00
Over 5 years	0.00	0.00
Total	\$25,227,488.47	100.00%

Weighted Average Days to Maturity **145**

Sector Allocation





Consolidated Summary Statement

Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority

Account Number	Account Name	Opening Market Value	Purchases / Deposits	Redemptions / Sales/ Maturities	Unsettled Trades	Change in Value	Closing Market Value	Cash Dividends and Income
58-18	2008 BAN PROJECT FUND	3.99	0.00	0.00	0.00	0.00	3.99	0.00
58-24	2014 MEASURE K SALES TAX REV BONDS PROJECT FUND	9,977,505.14	9,898.71	(21.67)	0.00	0.00	9,987,382.18	9,898.71
58-26	2014 MEASURE K SALES TAX REV BONDS CAPI FUND	5,883,066.65	3,469.72	(4,000,083.41)	0.00	188.50	1,886,641.46	3,469.72
58-30	Series 2017 Principal	1,776,141.79	330,090.51	0.00	0.00	0.00	2,106,232.30	1,909.19
58-31	Series 2017 interest	1,473,762.13	690,282.10	0.00	0.00	0.00	2,164,044.23	1,770.68
58-32	2011 Released Reserve	6,284,381.54	5,733,913.35	(3,405,290.19)	0.00	(7,899.02)	8,605,105.68	227.57
619-00	BANA LOC DISBURSEMENT ACCOUNT	86,760.78	2.82	(86,609.74)	0.00	0.00	153.86	2.82
619-01	2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU	94,026.68	13,015.74	0.00	0.00	0.00	107,042.42	99.07
619-02	2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN	189,121.72	181,760.63	0.00	0.00	0.00	370,882.35	268.96
Total		\$25,764,770.42	\$6,962,433.58	(\$7,492,005.01)	\$0.00	(\$7,710.52)	\$25,227,488.47	\$17,646.72



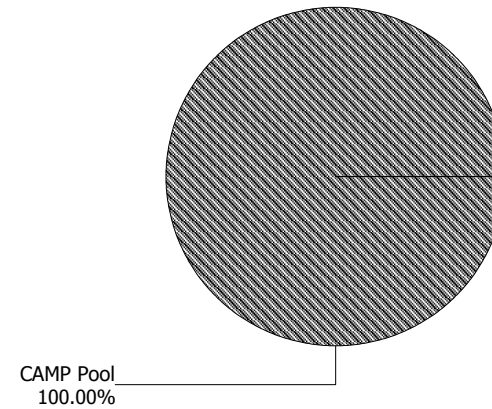
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2008 BAN PROJECT FUND - 58-18

CAMP Pool	
Opening Market Value	3.99
Purchases	0.00
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$3.99
Cash Dividends and Income	0.00

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	3.99	3.99
Total	\$3.99	\$3.99
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2008 BAN PROJECT FUND - 58-18

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					3.99
Closing Balance					3.99

	Month of October	Fiscal YTD January-October		
Opening Balance	3.99	3.99	Closing Balance	3.99
Purchases	0.00	0.00	Average Monthly Balance	3.99
Redemptions (Excl. Checks)	0.00	0.00	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	3.99	3.99		
Cash Dividends and Income	0.00	0.00		



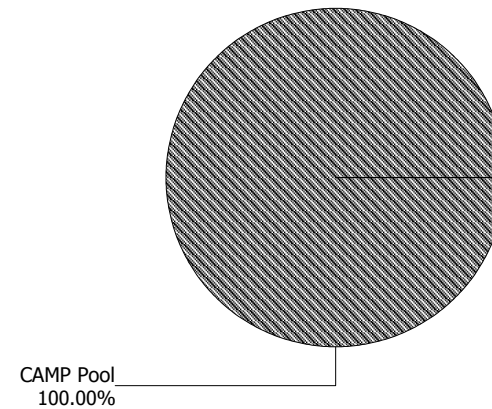
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PROJECT FUND - 58-24

CAMP Pool	
Opening Market Value	9,977,505.14
Purchases	9,898.71
Redemptions	(21.67)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$9,987,382.18
Cash Dividends and Income	9,898.71

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	9,987,382.18	9,977,505.14
Total	\$9,987,382.18	\$9,977,505.14
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PROJECT FUND - 58-24

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					9,977,505.14
10/27/17	10/27/17	U.S. Bank Fees August 2017	1.00	(21.67)	9,977,483.47
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	9,898.71	9,987,382.18
Closing Balance					9,987,382.18

	Month of October	Fiscal YTD January-October		
Opening Balance	9,977,505.14	17,868,073.22	Closing Balance	9,987,382.18
Purchases	9,898.71	119,513.16	Average Monthly Balance	9,977,820.96
Redemptions (Excl. Checks)	(21.67)	(8,000,204.20)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	9,987,382.18	9,987,382.18		
Cash Dividends and Income	9,898.71	119,513.16		



Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26

CAMP Pool

Opening Market Value	5,304,154.15
Purchases	3,469.72
Redemptions	(4,000,083.41)
Unsettled Trades	0.00
Change in Value	0.00

Closing Market Value **\$1,307,540.46**

Cash Dividends and Income 3,469.72

CAMP Managed Account

Opening Market Value	578,912.50
Purchases	0.00
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	188.50

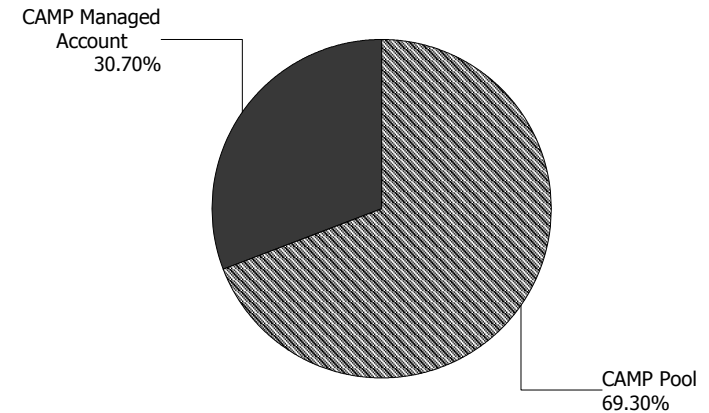
Closing Market Value **\$579,101.00**

Cash Dividends and Income 0.00

Asset Summary

	October 31, 2017	September 30, 2017
CAMP Pool	1,307,540.46	5,304,154.15
CAMP Managed Account	579,101.00	578,912.50
Total	\$1,886,641.46	\$5,883,066.65

Asset Allocation





Managed Account Summary Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26 - (12512210)

Transaction Summary - Money Market		Transaction Summary - Managed Account		Account Total	
Opening Market Value	\$5,304,154.15	Opening Market Value	\$578,912.50	Opening Market Value	\$5,883,066.65
Purchases	3,469.72	Maturities/Calls	0.00		
Redemptions	(4,000,083.41)	Principal Dispositions	0.00		
		Principal Acquisitions	0.00		
		Unsettled Trades	0.00		
		Change in Current Value	188.50		
Closing Market Value	\$1,307,540.46	Closing Market Value	\$579,101.00	Closing Market Value	\$1,886,641.46
Dividend	3,469.72				

Earnings Reconciliation (Cash Basis) - Managed Account		Cash Balance	
Interest/Dividends/Coupons Received	0.00	Closing Cash Balance	\$0.00
Less Purchased Interest Related to Interest/Coupons	0.00		
Plus Net Realized Gains/Losses	0.00		
Total Cash Basis Earnings	\$0.00		

Earnings Reconciliation (Accrual Basis)		Managed Account	Total	Cash Transactions Summary- Managed Account	
Ending Amortized Value of Securities	579,485.94	1,887,026.40		Maturities/Calls	0.00
Ending Accrued Interest	745.03	745.03		Sale Proceeds	0.00
Plus Proceeds from Sales	0.00	4,000,083.41		Coupon/Interest/Dividend Income	0.00
Plus Proceeds of Maturities/Calls/Principal Payments	0.00	0.00		Principal Payments	0.00
Plus Coupons/Dividends Received	0.00	0.00		Security Purchases	0.00
Less Cost of New Purchases	0.00	(3,469.72)		Net Cash Contribution	0.00
Less Beginning Amortized Value of Securities	(579,352.02)	(5,883,506.17)		Reconciling Transactions	0.00
Less Beginning Accrued Interest	(372.51)	(372.51)			
Dividends	0.00	3,469.72			
Total Accrual Basis Earnings	\$506.44	\$3,976.16			

For the Month Ending **October 31, 2017**

Account Summary

Unsettled Trades	0.00	0.00
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Sector Allocation

Account 58-26 Page 3



Managed Account Issuer Summary

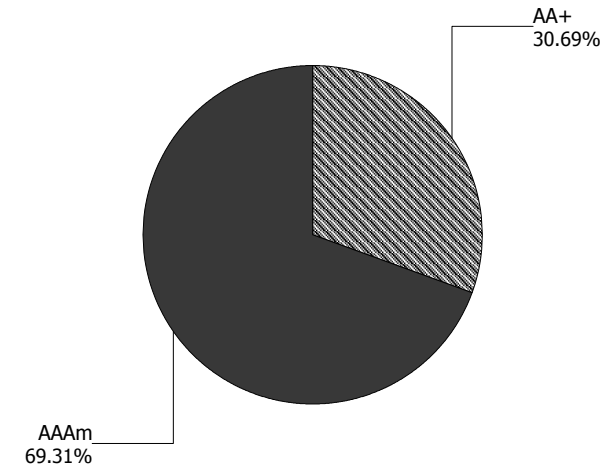
For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26 - (12512210)

Issuer Summary

Issuer	Market Value of Holdings	Percent
CAMP Pool	1,307,540.46	69.31
UNITED STATES TREASURY	579,101.00	30.69
Total	\$1,886,641.46	100.00%

Credit Quality (S&P Ratings)





Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26 - (12512210)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 02/28/2013 0.750% 02/28/2018	912828UR9	580,000.00	AA+	Aaa	02/06/15	02/09/15	575,287.50	1.02	745.03	579,485.94	579,101.00
Security Type Sub-Total		580,000.00					575,287.50	1.02	745.03	579,485.94	579,101.00
Managed Account Sub-Total		580,000.00					575,287.50	1.02	745.03	579,485.94	579,101.00
Money Market Fund											
CAMP Pool		1,307,540.46	AAAm	NR			1,307,540.46		0.00	1,307,540.46	1,307,540.46
Money Market Sub-Total		1,307,540.46					1,307,540.46		0.00	1,307,540.46	1,307,540.46
Securities Sub-Total		\$1,887,540.46					\$1,882,827.96	1.02%	\$745.03	\$1,887,026.40	\$1,886,641.46
Accrued Interest											\$745.03
Total Investments											\$1,887,386.49


Managed Account Fair Market Value & Analytics

 For the Month Ending **October 31, 2017**
San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26 - (12512210)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 02/28/2013 0.750% 02/28/2018	912828UR9	580,000.00	MORGANST		99.85	579,101.00	3,813.50	(384.94)	0.33	0.33	1.22
Security Type Sub-Total		580,000.00				579,101.00	3,813.50	(384.94)	0.33	0.33	1.22
Managed Account Sub-Total		580,000.00				579,101.00	3,813.50	(384.94)	0.33	0.33	1.22
Money Market Fund											
CAMP Pool		1,307,540.46			1.00	1,307,540.46	0.00	0.00	0.00	0.00	
Money Market Sub-Total		1,307,540.46				1,307,540.46	0.00	0.00	0.00	0.00	
Securities Sub-Total		\$1,887,540.46				\$1,886,641.46	\$3,813.50	(\$384.94)	0.33	0.33	1.22%
Accrued Interest						\$745.03					
Total Investments						\$1,887,386.49					



Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS CAPI FUND - 58-26

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					5,304,154.15
10/18/17	10/18/17	Redemption - Outgoing Wires	1.00	(4,000,000.00)	1,304,154.15
10/27/17	10/27/17	IP Fees September 2017	1.00	(47.63)	1,304,106.52
10/27/17	10/27/17	U.S. Bank Fees August 2017	1.00	(35.78)	1,304,070.74
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	3,469.72	1,307,540.46
Closing Balance					1,307,540.46

	Month of October	Fiscal YTD January-October		
Opening Balance	5,304,154.15	5,611,132.53	Closing Balance	1,307,540.46
Purchases	3,469.72	1,698,146.92	Average Monthly Balance	3,497,801.01
Redemptions (Excl. Checks)	(4,000,083.41)	(6,001,738.99)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	1,307,540.46	1,307,540.46		
Cash Dividends and Income	3,469.72	44,559.42		



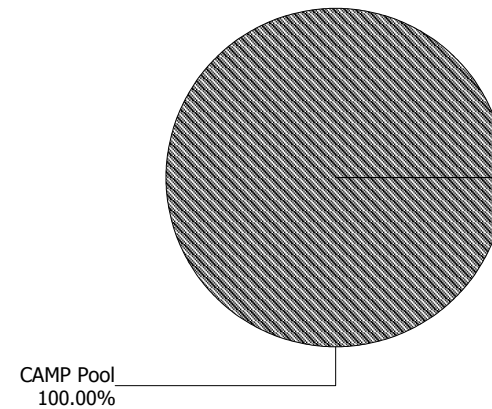
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - Series 2017 Principal - 58-30

CAMP Pool	
Opening Market Value	1,776,141.79
Purchases	330,090.51
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,106,232.30
Cash Dividends and Income	1,909.19

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	2,106,232.30	1,776,141.79
Total	\$2,106,232.30	\$1,776,141.79
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - Series 2017 Principal - 58-30

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,776,141.79
10/18/17	10/18/17	Purchase - Incoming Wires	1.00	328,181.32	2,104,323.11
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	1,909.19	2,106,232.30
Closing Balance					2,106,232.30

	Month of October	Fiscal YTD January-October		
Opening Balance	1,776,141.79	0.00	Closing Balance	2,106,232.30
Purchases	330,090.51	2,106,232.30	Average Monthly Balance	1,924,414.30
Redemptions (Excl. Checks)	0.00	0.00	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	2,106,232.30	2,106,232.30		
Cash Dividends and Income	1,909.19	5,869.66		



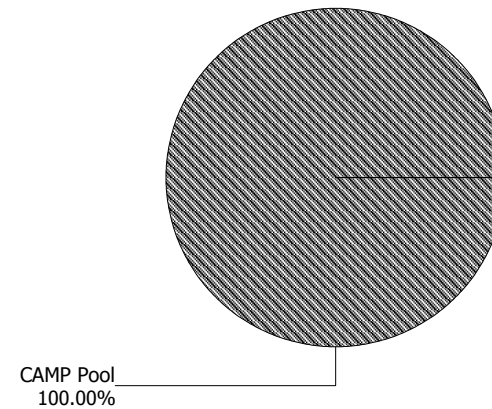
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - Series 2017 interest - 58-31

CAMP Pool	
Opening Market Value	1,473,762.13
Purchases	690,282.10
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,164,044.23
Cash Dividends and Income	1,770.68

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	2,164,044.23	1,473,762.13
Total	\$2,164,044.23	\$1,473,762.13
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - Series 2017 interest - 58-31

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,473,762.13
10/18/17	10/18/17	Purchase - Incoming Wires	1.00	688,511.42	2,162,273.55
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	1,770.68	2,164,044.23
Closing Balance					2,164,044.23

	Month of October	Fiscal YTD January-October		
Opening Balance	1,473,762.13	0.00	Closing Balance	2,164,044.23
Purchases	690,282.10	5,517,980.90	Average Monthly Balance	1,784,759.89
Redemptions (Excl. Checks)	0.00	(3,353,936.67)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	2,164,044.23	2,164,044.23		
Cash Dividends and Income	1,770.68	9,889.54		



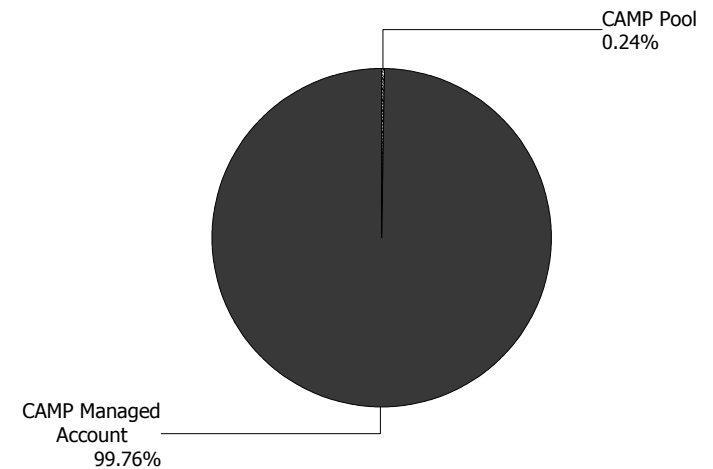
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32

CAMP Pool	
Opening Market Value	1,095,489.71
Purchases	2,330,052.02
Redemptions	(3,405,290.19)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$20,251.54
Cash Dividends and Income	933.27
CAMP Managed Account	
Opening Market Value	5,188,891.83
Purchases	3,403,861.33
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	(7,899.02)
Closing Market Value	\$8,584,854.14
Cash Dividends and Income	(705.70)

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	20,251.54	1,095,489.71
CAMP Managed Account	8,584,854.14	5,188,891.83
Total	\$8,605,105.68	\$6,284,381.54
Asset Allocation		





Managed Account Summary Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Transaction Summary - Money Market		Transaction Summary - Managed Account		Account Total	
Opening Market Value	\$1,095,489.71	Opening Market Value	\$5,188,891.83	Opening Market Value	\$6,284,381.54
Purchases	2,330,052.02	Maturities/Calls	0.00		
Redemptions	(3,405,290.19)	Principal Dispositions	0.00		
		Principal Acquisitions	3,403,861.33		
		Unsettled Trades	0.00		
		Change in Current Value	(7,899.02)		
Closing Market Value	\$20,251.54	Closing Market Value	\$8,584,854.14	Closing Market Value	\$8,605,105.68
Dividend	933.27				

Earnings Reconciliation (Cash Basis) - Managed Account		Cash Balance	
Interest/Dividends/Coupons Received	0.00	Closing Cash Balance	\$0.00
Less Purchased Interest Related to Interest/Coupons	(705.70)		
Plus Net Realized Gains/Losses	0.00		
Total Cash Basis Earnings	(\$705.70)		

Earnings Reconciliation (Accrual Basis)		Managed Account	Total	Cash Transactions Summary- Managed Account	
Ending Amortized Value of Securities	8,607,971.67	8,628,223.21		Maturities/Calls	0.00
Ending Accrued Interest	8,078.17	8,078.17		Sale Proceeds	0.00
Plus Proceeds from Sales	0.00	3,405,290.19		Coupon/Interest/Dividend Income	0.00
Plus Proceeds of Maturities/Calls/Principal Payments	0.00	0.00		Principal Payments	0.00
Plus Coupons/Dividends Received	0.00	0.00		Security Purchases	(3,404,567.03)
Less Cost of New Purchases	(3,404,567.03)	(5,734,619.05)		Net Cash Contribution	3,404,567.03
Less Beginning Amortized Value of Securities	(5,203,006.58)	(6,298,496.29)		Reconciling Transactions	0.00
Less Beginning Accrued Interest	(401.06)	(401.06)			
Dividends	0.00	933.27			
Total Accrual Basis Earnings	\$8,075.17	\$9,008.44			



Portfolio Summary and Statistics

For the Month Ending **October 31, 2017**

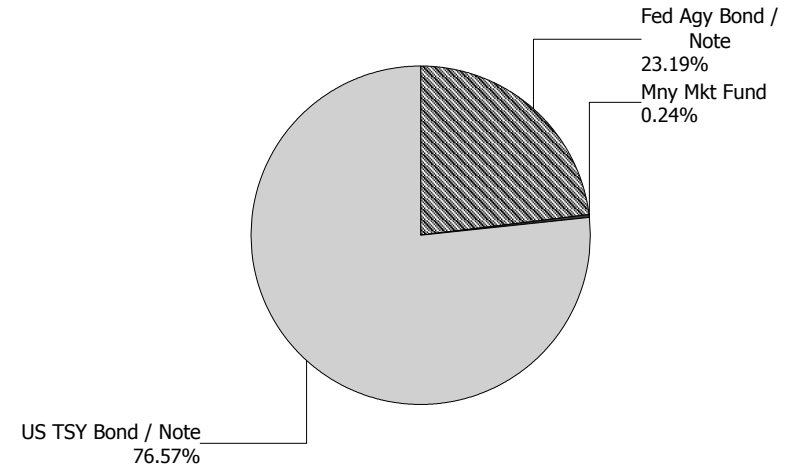
San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Account Summary

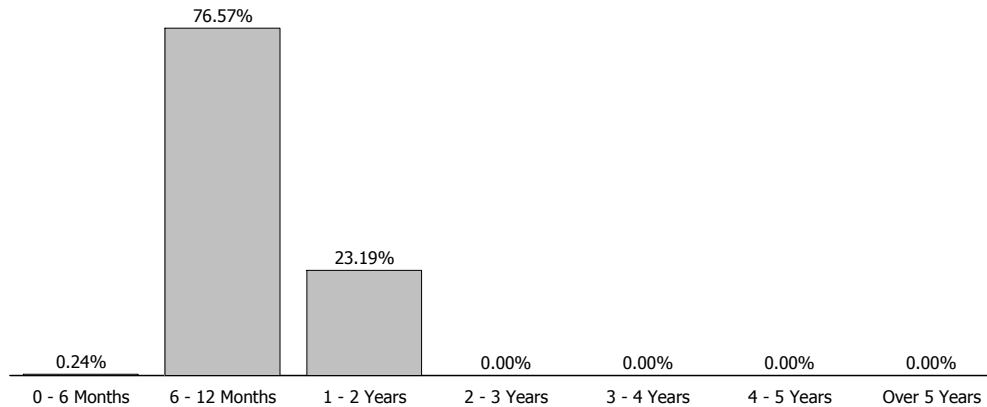
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	6,615,000.00	6,589,168.87	76.57
Federal Agency Bond / Note	2,020,000.00	1,995,685.27	23.19
Managed Account Sub-Total	8,635,000.00	8,584,854.14	99.76%
Accrued Interest		8,078.17	
Total Portfolio	8,635,000.00	8,592,932.31	
CAMP Pool	20,251.54	20,251.54	0.24
Total Investments	8,655,251.54	8,613,183.85	100.00%

Unsettled Trades **0.00** **0.00**

Sector Allocation



Maturity Distribution



Characteristics

Yield to Maturity at Cost	1.27%
Yield to Maturity at Market	1.52%
Duration to Worst	1.13
Weighted Average Days to Maturity	418



Managed Account Issuer Summary

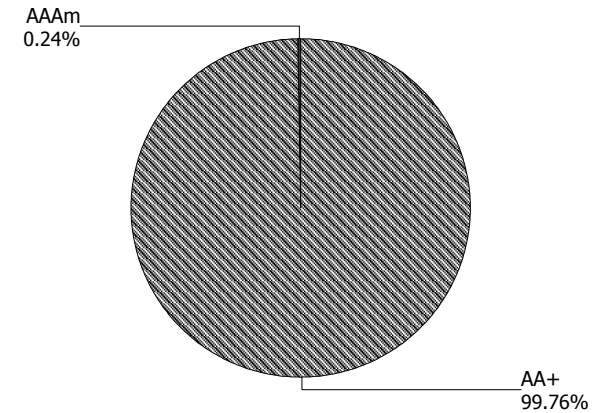
For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Issuer Summary

Issuer	Market Value of Holdings	Percent
CAMP Pool	20,251.54	0.24
FEDERAL HOME LOAN BANKS	1,995,685.27	23.19
UNITED STATES TREASURY	6,589,168.87	76.57
Total	\$8,605,105.68	100.00%

Credit Quality (S&P Ratings)





Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 09/30/2011 1.375% 09/30/2018	912828RH5	3,190,000.00	AA+	Aaa	11/15/16	11/16/16	3,211,183.59	1.02	3,856.04	3,200,380.67	3,186,510.14
US TREASURY NOTES DTD 09/30/2016 0.750% 09/30/2018	912828T42	3,425,000.00	AA+	Aaa	10/10/17	10/10/17	3,403,861.33	1.39	2,258.24	3,405,170.62	3,402,658.73
Security Type Sub-Total		6,615,000.00					6,615,044.92	1.21	6,114.28	6,605,551.29	6,589,168.87
Federal Agency Bond / Note											
FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	505,000.00	AA+	Aaa	01/03/17	01/09/17	498,768.30	1.47	490.97	500,607.24	498,921.32
FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	1,515,000.00	AA+	Aaa	01/03/17	01/04/17	1,496,198.85	1.47	1,472.92	1,501,813.14	1,496,763.95
Security Type Sub-Total		2,020,000.00					1,994,967.15	1.47	1,963.89	2,002,420.38	1,995,685.27
Managed Account Sub-Total		8,635,000.00					8,610,012.07	1.27	8,078.17	8,607,971.67	8,584,854.14
Money Market Fund											
CAMP Pool		20,251.54	AAAm	NR			20,251.54		0.00	20,251.54	20,251.54
Money Market Sub-Total		20,251.54					20,251.54		0.00	20,251.54	20,251.54
Securities Sub-Total		\$8,655,251.54					\$8,630,263.61	1.27%	\$8,078.17	\$8,628,223.21	\$8,605,105.68
Accrued Interest											\$8,078.17
Total Investments											\$8,613,183.85


Managed Account Fair Market Value & Analytics
For the Month Ending **October 31, 2017**
San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note												
US TREASURY NOTES		912828RH5	3,190,000.00	MERRILL		99.89	3,186,510.14	(24,673.45)	(13,870.53)	0.90	0.90	1.50
DTD 09/30/2011 1.375% 09/30/2018												
US TREASURY NOTES		912828T42	3,425,000.00	JPM_CHAS		99.35	3,402,658.73	(1,202.60)	(2,511.89)	0.91	0.91	1.47
DTD 09/30/2016 0.750% 09/30/2018												
Security Type Sub-Total			6,615,000.00				6,589,168.87	(25,876.05)	(16,382.42)	0.91	0.91	1.48
Federal Agency Bond / Note												
FHLB GLOBAL NOTES		3130A9EP2	505,000.00	BARCLAYS		98.80	498,921.32	153.02	(1,685.92)	1.87	1.87	1.64
DTD 09/09/2016 1.000% 09/26/2019												
FHLB GLOBAL NOTES		3130A9EP2	1,515,000.00	BARCLAYS		98.80	1,496,763.95	565.10	(5,049.19)	1.87	1.87	1.64
DTD 09/09/2016 1.000% 09/26/2019												
Security Type Sub-Total			2,020,000.00				1,995,685.27	718.12	(6,735.11)	1.87	1.87	1.64
Managed Account Sub-Total			8,635,000.00				8,584,854.14	(25,157.93)	(23,117.53)	1.13	1.13	1.52
Money Market Fund												
CAMP Pool			20,251.54			1.00	20,251.54	0.00	0.00	0.00	0.00	
Money Market Sub-Total			20,251.54				20,251.54	0.00	0.00	0.00	0.00	
Securities Sub-Total			\$8,655,251.54				\$8,605,105.68	(\$25,157.93)	(\$23,117.53)	1.13	1.13	1.52%
Accrued Interest							\$8,078.17					
Total Investments							\$8,613,183.85					



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Transaction Type		Security Description	CUSIP	Par	Principal	Accrued	Total	Realized G/L	Realized G/L	Sale
Trade	Settle				Proceeds	Interest		Cost	Amort Cost	Method
BUY										
10/10/17	10/10/17	US TREASURY NOTES DTD 09/30/2016 0.750% 09/30/2018	912828T42	3,425,000.00	(3,403,861.33)	(705.70)	(3,404,567.03)			
Transaction Type Sub-Total				3,425,000.00	(3,403,861.33)	(705.70)	(3,404,567.03)			
Managed Account Sub-Total					(3,403,861.33)	(705.70)	(3,404,567.03)			
Total Security Transactions					(\$3,403,861.33)	(\$705.70)	(\$3,404,567.03)			



Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,095,489.71
10/02/17	10/02/17	Purchase - Interest 912828TS9	1.00	7,187.50	1,102,677.21
10/02/17	10/02/17	Purchase - Interest 912828RH5	1.00	21,931.25	1,124,608.46
10/02/17	10/02/17	Purchase - Principal 912828TS9	1.00	2,300,000.00	3,424,608.46
10/10/17	10/10/17	Redemption - Interest 912828T42	1.00	(705.70)	3,423,902.76
10/10/17	10/10/17	Redemption - Principal 912828T42	1.00	(3,403,861.33)	20,041.43
10/27/17	10/27/17	IP Fees September 2017	1.00	(678.33)	19,363.10
10/27/17	10/27/17	U.S. Bank Fees August 2017	1.00	(44.83)	19,318.27
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	933.27	20,251.54
Closing Balance					20,251.54

	Month of October	Fiscal YTD January-October		
Opening Balance	1,095,489.71	0.00	Closing Balance	20,251.54
Purchases	2,330,052.02	3,429,305.15	Average Monthly Balance	933,244.72
Redemptions (Excl. Checks)	(3,405,290.19)	(3,409,053.61)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	20,251.54	20,251.54		
Cash Dividends and Income	933.27	1,963.52		



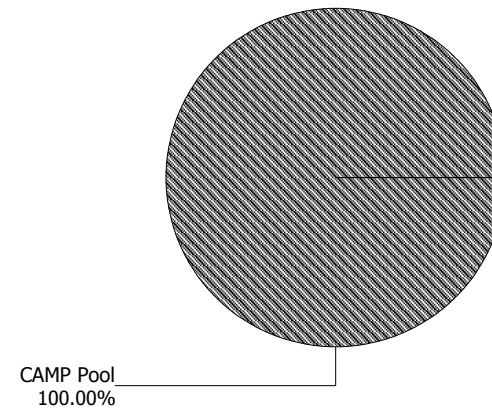
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - BANA LOC DISBURSEMENT ACCOUNT - 619-00

CAMP Pool	
Opening Market Value	86,760.78
Purchases	2.82
Redemptions	(86,609.74)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$153.86
Cash Dividends and Income	2.82

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	153.86	86,760.78
Total	\$153.86	\$86,760.78
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - BANA LOC DISBURSEMENT ACCOUNT - 619-00

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					86,760.78
10/02/17	10/02/17	Redemption - Outgoing Wires	1.00	(86,609.74)	151.04
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	2.82	153.86
Closing Balance					153.86

	Month of October	Fiscal YTD January-October		
Opening Balance	86,760.78	62,450.02	Closing Balance	153.86
Purchases	2.82	733,775.80	Average Monthly Balance	2,944.99
Redemptions (Excl. Checks)	(86,609.74)	(796,071.96)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	153.86	153.86		
Cash Dividends and Income	2.82	41.20		



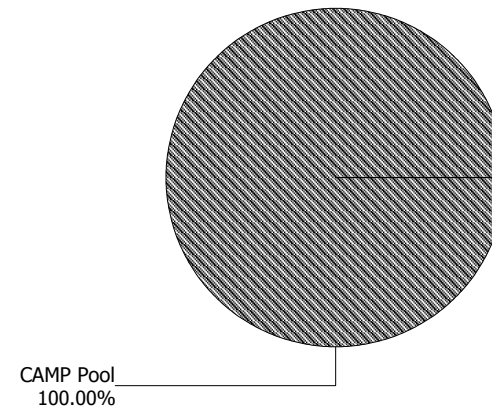
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU - 619-01

CAMP Pool	
Opening Market Value	94,026.68
Purchases	13,015.74
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$107,042.42
Cash Dividends and Income	99.07

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	107,042.42	94,026.68
Total	\$107,042.42	\$94,026.68
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU - 619-01

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					94,026.68
10/18/17	10/18/17	Purchase - Incoming Wires	1.00	12,916.67	106,943.35
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	99.07	107,042.42
Closing Balance					107,042.42

	Month of October	Fiscal YTD January-October		
Opening Balance	94,026.68	103,159.80	Closing Balance	107,042.42
Purchases	13,015.74	123,882.62	Average Monthly Balance	99,863.21
Redemptions (Excl. Checks)	0.00	(120,000.00)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	107,042.42	107,042.42		
Cash Dividends and Income	99.07	549.26		



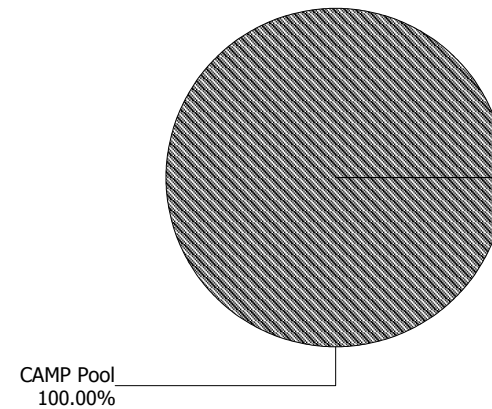
Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN - 619-02

CAMP Pool	
Opening Market Value	189,121.72
Purchases	181,760.63
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$370,882.35
Cash Dividends and Income	268.96

Asset Summary		
	October 31, 2017	September 30, 2017
CAMP Pool	370,882.35	189,121.72
Total	\$370,882.35	\$189,121.72
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2017**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN - 619-02

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					189,121.72
10/18/17	10/18/17	Purchase - Incoming Wires	1.00	181,491.67	370,613.39
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	268.96	370,882.35
Closing Balance					370,882.35

	Month of October	Fiscal YTD January-October		
Opening Balance	189,121.72	732,228.87	Closing Balance	370,882.35
Purchases	181,760.63	1,823,003.48	Average Monthly Balance	271,094.38
Redemptions (Excl. Checks)	0.00	(2,184,350.00)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	370,882.35	370,882.35		
Cash Dividends and Income	268.96	4,386.78		



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

SJCOG, INC.

For the Month Ending
October 31, 2017

Client Management Team

Lauren Brant

Managing Director
50 California Street, Suite 2300
San Francisco, CA 94111
415-982-5544
brantl@pfm.com

Contents

Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

6033-000 SJCOG, INC. HABITAT ENDOWMENT - JAQUES

Important Messages

CAMP will be closed on 11/23/2017 for Thanksgiving Day.

SJCOG, INC.
STEVE DIAL
555 E. WEBER AVE.
STOCKTON, CA 95202

Online Access www.camponline.com

Customer Service 1-800-729-7665



Account Statement

For the Month Ending **October 31, 2017**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

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Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

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Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

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PFM Asset Management LLC
Attn: Service Operations
One Keystone Plaza, Suite 300
N. Front & Market Sts
Harrisburg, PA 17101



Account Statement - Transaction Summary

For the Month Ending **October 31, 2017**

SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000

CAMP Pool

Opening Market Value	7,554,307.96
Purchases	7,494.56
Redemptions	(602.39)
Unsettled Trades	0.00
Change in Value	0.00

Closing Market Value **\$7,561,200.13**

Cash Dividends and Income 7,494.56

CAMP Managed Account

Opening Market Value	6,845,424.17
Purchases	0.00
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	(6,718.76)

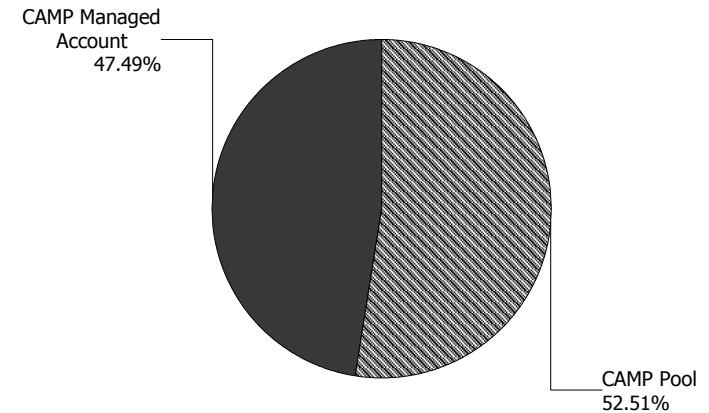
Closing Market Value **\$6,838,705.41**

Cash Dividends and Income 0.00

Asset Summary

	October 31, 2017	September 30, 2017
CAMP Pool	7,561,200.13	7,554,307.96
CAMP Managed Account	6,838,705.41	6,845,424.17
Total	\$14,399,905.54	\$14,399,732.13

Asset Allocation





Managed Account Summary Statement

For the Month Ending **October 31, 2017**

SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000 - (12512208)

Transaction Summary - Money Market		Transaction Summary - Managed Account		Account Total	
Opening Market Value	\$7,554,307.96	Opening Market Value	\$6,845,424.17	Opening Market Value	\$14,399,732.13
Purchases	7,494.56	Maturities/Calls	0.00		
Redemptions	(602.39)	Principal Dispositions	0.00		
		Principal Acquisitions	0.00		
		Unsettled Trades	0.00		
		Change in Current Value	(6,718.76)		
Closing Market Value	\$7,561,200.13	Closing Market Value	\$6,838,705.41	Closing Market Value	\$14,399,905.54
Dividend	7,494.56				

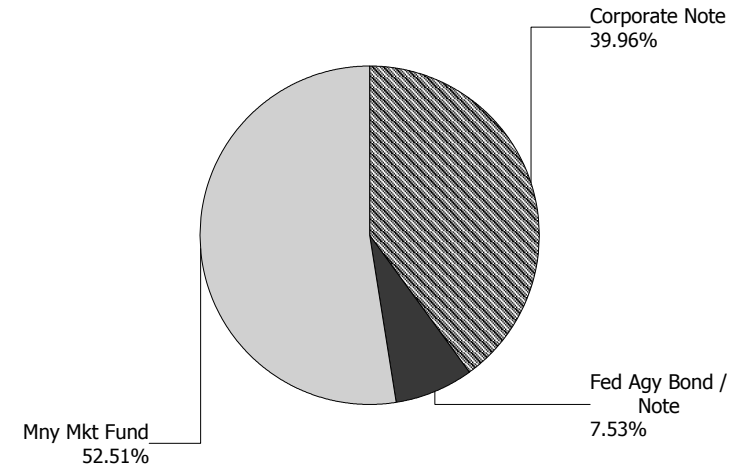
Earnings Reconciliation (Cash Basis) - Managed Account		Cash Balance	
Interest/Dividends/Coupons Received	0.00	Closing Cash Balance	\$0.00
Less Purchased Interest Related to Interest/Coupons	0.00		
Plus Net Realized Gains/Losses	0.00		
Total Cash Basis Earnings	\$0.00		

Earnings Reconciliation (Accrual Basis)		Managed Account	Total	Cash Transactions Summary- Managed Account	
Ending Amortized Value of Securities	6,810,272.29	14,371,472.42		Maturities/Calls	0.00
Ending Accrued Interest	41,439.93	41,439.93		Sale Proceeds	0.00
Plus Proceeds from Sales	0.00	602.39		Coupon/Interest/Dividend Income	0.00
Plus Proceeds of Maturities/Calls/Principal Payments	0.00	0.00		Principal Payments	0.00
Plus Coupons/Dividends Received	0.00	0.00		Security Purchases	0.00
Less Cost of New Purchases	0.00	(7,494.56)		Net Cash Contribution	0.00
Less Beginning Amortized Value of Securities	(6,810,212.21)	(14,364,520.17)		Reconciling Transactions	0.00
Less Beginning Accrued Interest	(29,312.85)	(29,312.85)			
Dividends	0.00	7,494.56			
Total Accrual Basis Earnings	\$12,187.16	\$19,681.72			

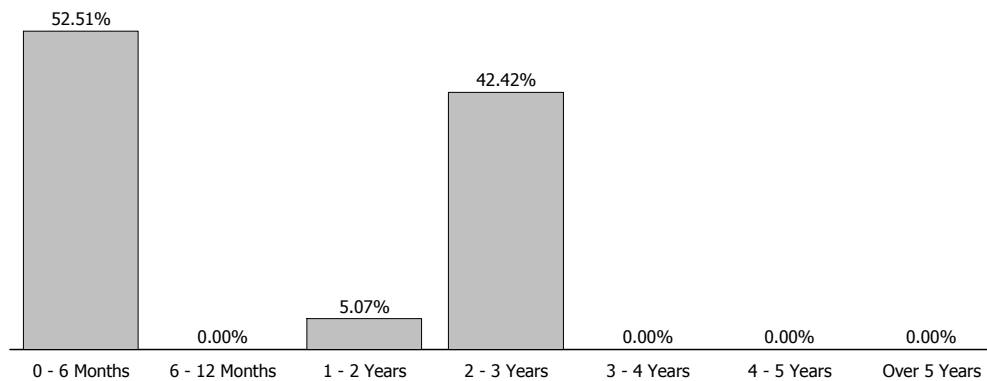
For the Month Ending **October 31, 2017**

Account Summary

Sector Allocation



Characteristics



Yield to Maturity at Cost	2.15%
Yield to Maturity at Market	1.94%
Duration to Worst	2.05
Weighted Average Days to Maturity	798



Managed Account Issuer Summary

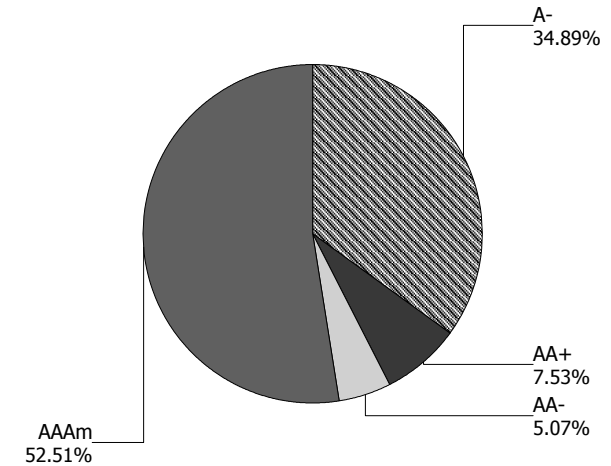
For the Month Ending **October 31, 2017**

SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000 - (12512208)

Issuer Summary

Issuer	Market Value of Holdings	Percent
CAMP Pool	7,561,200.13	52.51
FANNIE MAE	1,084,276.41	7.53
GENERAL ELECTRIC CO	729,669.00	5.07
JP MORGAN CHASE & CO	5,024,760.00	34.89
Total	\$14,399,905.54	100.00%

Credit Quality (S&P Ratings)





Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000 - (12512208)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FNMA BENCHMARK NOTES DTD 04/27/2015 1.500% 06/22/2020	3135G0D75	1,090,000.00	AA+	Aaa	10/15/15	10/16/15	1,094,469.00	1.41	5,858.75	1,092,560.32	1,084,276.41
Security Type Sub-Total		1,090,000.00					1,094,469.00	1.41	5,858.75	1,092,560.32	1,084,276.41
Corporate Note											
GENERAL ELECTRIC CAPITAL CORP NOTES DTD 01/14/2014 2.300% 01/14/2019	36962G7G3	725,000.00	AA-	A1	09/21/15	09/24/15	735,614.00	1.84	4,956.18	728,938.27	729,669.00
JPMORGAN CHASE & CO (CALLABLE) DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	5,000,000.00	A-	A3	10/15/15	10/20/15	4,978,950.00	2.35	30,625.00	4,988,773.70	5,024,760.00
Security Type Sub-Total		5,725,000.00					5,714,564.00	2.29	35,581.18	5,717,711.97	5,754,429.00
Managed Account Sub-Total		6,815,000.00					6,809,033.00	2.15	41,439.93	6,810,272.29	6,838,705.41
Money Market Fund											
CAMP Pool		7,561,200.13	AAAm	NR			7,561,200.13		0.00	7,561,200.13	7,561,200.13
Money Market Sub-Total		7,561,200.13					7,561,200.13		0.00	7,561,200.13	7,561,200.13
Securities Sub-Total		\$14,376,200.13					\$14,370,233.13	2.15%	\$41,439.93	\$14,371,472.42	\$14,399,905.54
Accrued Interest											\$41,439.93
Total Investments											\$14,441,345.47


Managed Account Fair Market Value & Analytics
For the Month Ending **October 31, 2017**
SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000 - (12512208)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Federal Agency Bond / Note											
FNMA BENCHMARK NOTES DTD 04/27/2015 1.500% 06/22/2020	3135G0D75	1,090,000.00	MORGANST		99.47	1,084,276.41	(10,192.59)	(8,283.91)	2.56	2.56	1.70
Security Type Sub-Total		1,090,000.00				1,084,276.41	(10,192.59)	(8,283.91)	2.56	2.56	1.70
Corporate Note											
GENERAL ELECTRIC CAPITAL CORP NOTES DTD 01/14/2014 2.300% 01/14/2019	36962G7G3	725,000.00	GOLDMAN		100.64	729,669.00	(5,945.00)	730.73	1.18	1.18	1.76
JPMORGAN CHASE & CO (CALLABLE) DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	5,000,000.00	MERRILL	12/23/19	100.50	5,024,760.00	45,810.00	35,986.30	2.12	2.07	2.02
Security Type Sub-Total		5,725,000.00				5,754,429.00	39,865.00	36,717.03	2.00	1.96	1.99
Managed Account Sub-Total		6,815,000.00				6,838,705.41	29,672.41	28,433.12	2.09	2.05	1.94
Money Market Fund											
CAMP Pool		7,561,200.13			1.00	7,561,200.13	0.00	0.00	0.00	0.00	
Money Market Sub-Total		7,561,200.13				7,561,200.13	0.00	0.00	0.00	0.00	
Securities Sub-Total		\$14,376,200.13				\$14,399,905.54	\$29,672.41	\$28,433.12	2.09	2.05	1.94%
Accrued Interest						\$41,439.93					
Total Investments						\$14,441,345.47					



Account Statement

For the Month Ending **October 31, 2017**

SJCOG, INC. - SJCOG, INC. HABITAT ENDOWMENT - JAQUES - 6033-000

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					7,554,307.96
10/27/17	10/27/17	IP Fees September 2017	1.00	(561.67)	7,553,746.29
10/27/17	10/27/17	U.S. Bank Fees August 2017	1.00	(40.72)	7,553,705.57
10/31/17	11/01/17	Accrual Income Div Reinvestment - Distributions	1.00	7,494.56	7,561,200.13

Closing Balance **7,561,200.13**

	Month of October	Fiscal YTD January-October		
Opening Balance	7,554,307.96	152,034.32	Closing Balance	7,561,200.13
Purchases	7,494.56	7,415,277.03	Average Monthly Balance	7,554,452.56
Redemptions (Excl. Checks)	(602.39)	(6,111.22)	Monthly Distribution Yield	1.17%
Check Disbursements	0.00	0.00		
Closing Balance	7,561,200.13	7,561,200.13		
Cash Dividends and Income	7,494.56	38,914.27		



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

For the Month Ending
October 31, 2017

SAN JOAQUIN COUNTY TRANSIT AUTHORITY

Client Management Team

Lauren Brant

Managing Director
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brantl@pfm.com

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76990300 SAN JOAQUIN COUNTY TRANS AUTHORITY

SAN JOAQUIN COUNTY TRANSIT AUTHORITY
STEVE DIAL
555 EAST WEBER AVE
STOCKTON, CA 95202

Online Access www.pfm.com

Customer Service 1-717-232-2723

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Attn: Service Operations
One Keystone Plaza, Suite 300
N. Front & Market Sts
Harrisburg, PA 17101

**Managed Account Summary Statement**For the Month Ending **October 31, 2017****SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300****Transaction Summary - Managed Account**

Opening Market Value	\$97,263,413.60
Maturities/Calls	(137,745.43)
Principal Dispositions	(2,555,767.90)
Principal Acquisitions	2,662,461.49
Unsettled Trades	0.00
Change in Current Value	(113,673.39)
Closing Market Value	\$97,118,688.37

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	2,569,364.25
Coupon/Interest/Dividend Income	55,867.29
Principal Payments	137,745.43
Security Purchases	(2,671,062.72)
Net Cash Contribution	(410.00)
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	69,463.64
Less Purchased Interest Related to Interest/Coupons	(8,601.23)
Plus Net Realized Gains/Losses	(5,355.50)
Total Cash Basis Earnings	\$55,506.91

Cash Balance**Closing Cash Balance** **\$165,713.37****Earnings Reconciliation (Accrual Basis)**

	Total
Ending Amortized Value of Securities	97,517,482.41
Ending Accrued Interest	359,236.49
Plus Proceeds from Sales	2,569,364.25
Plus Proceeds of Maturities/Calls/Principal Payments	137,745.43
Plus Coupons/Dividends Received	55,867.29
Less Cost of New Purchases	(2,671,062.72)
Less Beginning Amortized Value of Securities	(97,560,630.79)
Less Beginning Accrued Interest	(291,609.11)
Total Accrual Basis Earnings	\$116,393.25



Portfolio Summary and Statistics

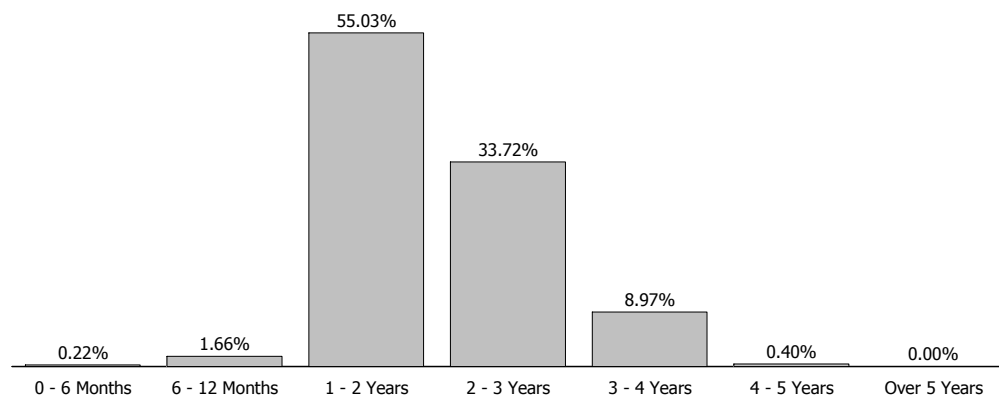
For the Month Ending **October 31, 2017**
SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Account Summary

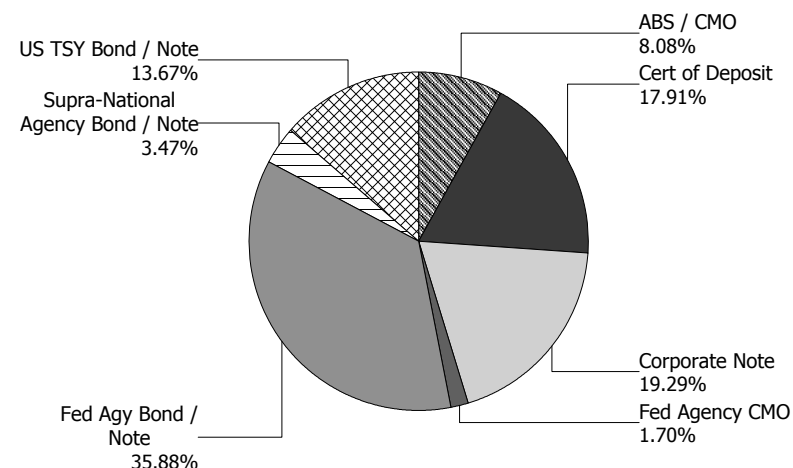
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	13,350,000.00	13,275,063.89	13.67
Supra-National Agency Bond / Note	3,390,000.00	3,371,226.12	3.47
Federal Agency Collateralized Mortgage Obligation	1,647,883.46	1,646,882.68	1.70
Federal Agency Bond / Note	35,060,000.00	34,854,518.74	35.88
Corporate Note	18,715,000.00	18,729,595.26	19.29
Certificate of Deposit	17,375,000.00	17,395,325.86	17.91
Asset-Backed Security / Collateralized Mortgage Obligation	7,864,429.26	7,846,075.82	8.08
Managed Account Sub-Total	97,402,312.72	97,118,688.37	100.00%
Accrued Interest		359,236.49	
Total Portfolio	97,402,312.72	97,477,924.86	

Unsettled Trades **0.00** **0.00**

Maturity Distribution



Sector Allocation



Characteristics

Yield to Maturity at Cost	1.48%
Yield to Maturity at Market	1.67%
Duration to Worst	1.88
Weighted Average Days to Maturity	736



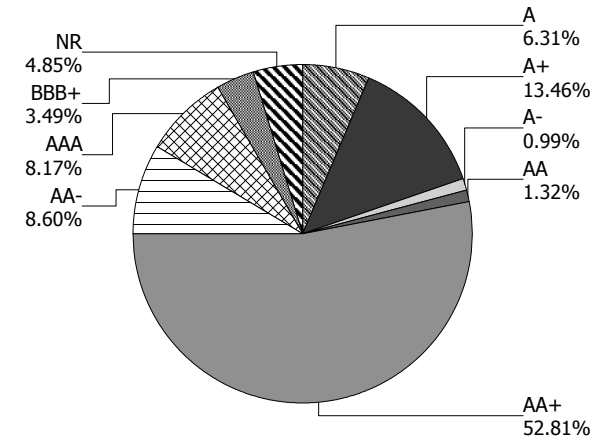
Managed Account Issuer Summary

For the Month Ending **October 31, 2017**
SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Issuer Summary

Issuer	Market Value of Holdings	Percent
ALLY AUTO RECEIVABLES TRUST	973,671.14	1.00
AMERICAN EXPRESS CO	1,356,505.38	1.40
AMERICAN HONDA FINANCE	803,793.45	0.83
APPLE INC	1,015,556.09	1.05
BANK OF AMERICA CO	971,639.18	1.00
BANK OF MONTREAL	1,932,563.33	1.99
BANK OF NOVA SCOTIA	1,922,867.10	1.98
BB&T CORPORATION	959,186.50	0.99
BERKSHIRE HATHAWAY INC	832,128.79	0.86
CANADIAN IMPERIAL BANK OF COMMERCE	1,929,758.60	1.99
CATERPILLAR INC	725,768.19	0.75
CHEVRON CORPORATION	646,491.89	0.67
CITIGROUP INC	1,907,224.13	1.96
CNH EQUIPMENT TRUST	329,002.75	0.34
DEERE & COMPANY	1,631,043.86	1.68
EXXON MOBIL CORP	500,739.50	0.52
FANNIE MAE	22,197,304.39	22.85
FEDERAL HOME LOAN BANKS	4,605,264.96	4.73
FORD CREDIT AUTO OWNER TRUST	638,199.04	0.66
FREDDIE MAC	9,698,832.07	9.98
GOLDMAN SACHS GROUP INC	977,526.23	1.01
HOME DEPOT INC	449,424.45	0.46
HONDA AUTO RECEIVABLES	683,751.33	0.70
HONEYWELL INTERNATIONAL	264,757.26	0.27
HYUNDAI AUTO RECEIVABLES	1,209,474.74	1.25
INTEL CORPORATION	500,475.00	0.52
INTER-AMERICAN DEVELOPMENT BANK	972,186.36	1.00
INTERNATIONAL BUSINESS MACHINES	976,804.73	1.01
INTL BANK OF RECONSTRUCTION AND DEV	2,399,039.76	2.47
JOHN DEERE OWNER TRUST	464,138.88	0.48
MICROSOFT CORP	455,270.73	0.47
MITSUBISHI UFJ FINANCIAL GROUP INC	974,538.83	1.00

Credit Quality (S&P Ratings)



**Managed Account Issuer Summary**For the Month Ending **October 31, 2017****SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300**

Issuer	Market Value of Holdings	Percent
MORGAN STANLEY	479,285.45	0.49
NISSAN AUTO RECEIVABLES	1,226,166.94	1.26
NORDEA BANK AB	3,007,416.00	3.10
PEPSICO INC	468,859.78	0.48
SKANDINAVISKA ENSKILDA BANKEN AB	1,948,488.75	2.01
SUMITOMO MITSUI FINANCIAL GROUP INC	1,928,445.75	1.99
SVENSKA HANDELSBANKEN AB	1,994,300.00	2.05
THE BANK OF NEW YORK MELLON CORPORATION	180,050.58	0.19
THE WALT DISNEY CORPORATION	1,133,005.82	1.17
TOYOTA MOTOR CORP	1,937,480.22	1.99
UNILEVER PLC	224,449.65	0.23
UNITED STATES TREASURY	13,275,063.89	13.66
VISA INC	251,779.75	0.26
WAL-MART STORES INC	449,881.20	0.46
WELLS FARGO & COMPANY	952,138.45	0.98
WESTPAC BANKING CORP	1,756,947.50	1.81
Total	\$97,118,688.37	100.00%



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,300,000.00	AA+	Aaa	01/10/17	01/12/17	1,290,554.69	1.49	4,106.66	1,293,006.29	1,288,878.50
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,875,000.00	AA+	Aaa	12/01/16	12/05/16	1,860,717.77	1.50	5,923.06	1,864,766.66	1,858,959.38
US TREASURY NOTES DTD 03/02/2015 1.375% 02/29/2020	912828J50	1,000,000.00	AA+	Aaa	08/31/17	09/01/17	999,960.94	1.38	2,354.97	999,963.57	993,477.00
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	825,000.00	AA+	Aaa	04/03/17	04/05/17	821,293.94	1.53	31.34	821,973.53	818,844.68
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,600,000.00	AA+	Aaa	06/15/17	06/20/17	1,605,000.00	1.52	8,760.87	1,604,411.57	1,596,812.80
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	2,350,000.00	AA+	Aaa	06/26/17	06/28/17	2,358,445.31	1.50	12,867.53	2,357,501.60	2,345,318.80
US TREASURY NOTES DTD 07/31/2015 1.625% 07/31/2020	912828XM7	1,975,000.00	AA+	Aaa	07/05/17	07/07/17	1,976,234.37	1.60	8,110.65	1,976,115.18	1,970,601.68
US TREASURY NOTES DTD 08/31/2015 1.375% 08/31/2020	912828L32	2,425,000.00	AA+	Aaa	08/31/17	09/01/17	2,420,358.40	1.44	5,710.81	2,420,614.80	2,402,171.05
Security Type Sub-Total		13,350,000.00					13,332,565.42	1.50	47,865.89	13,338,353.20	13,275,063.89
Supra-National Agency Bond / Note											
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 10/27/2016 1.125% 11/27/2019	459058FS7	975,000.00	AAA	Aaa	08/28/17	08/30/17	966,683.25	1.51	4,692.19	967,305.70	962,662.35
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 08/29/2017 1.625% 09/04/2020	459058GA5	475,000.00	AAA	Aaa	08/22/17	08/29/17	474,900.25	1.63	1,329.34	474,905.96	472,087.78
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020	45905UP32	975,000.00	AAA	Aaa	09/12/17	09/19/17	972,660.00	1.64	1,775.64	972,749.50	964,289.63



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Supra-National Agency Bond / Note											
INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	965,000.00	AAA	Aaa	10/02/17	10/10/17	973,944.69	1.81	9,797.43	973,784.28	972,186.36
Security Type Sub-Total		3,390,000.00					3,388,188.19	1.65	17,594.60	3,388,745.44	3,371,226.12
Federal Agency Collateralized Mortgage Obligation											
FNMA SERIES 2015-M7 ASQ2 DTD 04/01/2015 1.550% 04/01/2018	3136ANJY4	211,862.23	AA+	Aaa	04/15/15	04/30/15	213,980.09	0.83	273.66	211,958.00	211,714.05
FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AQSW1	286,002.77	AA+	Aaa	11/06/15	11/30/15	288,862.77	1.20	452.38	286,775.29	286,110.96
FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	495,681.41	AA+	Aaa	06/09/16	06/30/16	500,637.98	1.05	737.33	497,989.07	495,579.50
FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AODO0	654,337.05	AA+	Aaa	10/07/15	10/30/15	660,889.53	1.08	897.53	656,977.21	653,478.17
Security Type Sub-Total		1,647,883.46					1,664,370.37	1.06	2,360.90	1,653,699.57	1,646,882.68
Federal Agency Bond / Note											
FNMA NOTES DTD 11/03/2015 1.125% 12/14/2018	3135G0G72	2,125,000.00	AA+	Aaa	03/02/16	03/04/16	2,127,443.75	1.08	9,097.66	2,125,996.69	2,115,435.38
FNMA BENCHMARK NOTE DTD 01/08/2016 1.375% 01/28/2019	3135G0H63	4,170,000.00	AA+	Aaa	07/06/16	07/08/16	4,237,554.00	0.73	14,812.19	4,202,976.90	4,161,601.62
FNMA BENCHMARK NOTE DTD 02/23/2016 1.000% 02/26/2019	3135G0J53	2,250,000.00	AA+	Aaa	06/27/16	06/29/16	2,262,735.00	0.78	4,062.50	2,256,355.35	2,233,795.50
FNMA BENCHMARK NOTE DTD 02/23/2016 1.000% 02/26/2019	3135G0J53	3,990,000.00	AA+	Aaa	05/03/16	05/06/16	3,993,675.07	0.97	7,204.17	3,991,745.82	3,961,264.02
FHLMC NOTES DTD 03/27/2009 3.750% 03/27/2019	3137EACA5	2,440,000.00	AA+	Aaa	03/02/16	03/04/16	2,630,686.00	1.15	8,641.67	2,528,299.84	2,514,085.72
FHLB GLOBAL NOTE DTD 06/03/2016 1.125% 06/21/2019	3130A8DB6	800,000.00	AA+	Aaa	07/06/16	07/08/16	808,296.00	0.77	3,250.00	804,627.64	794,011.20



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FHLB GLOBAL NOTE DTD 06/03/2016 1.125% 06/21/2019	3130A8DB6	3,840,000.00	AA+	Aaa	06/02/16	06/03/16	3,838,387.20	1.14	15,600.00	3,839,123.60	3,811,253.76
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	2,975,000.00	AA+	Aaa	10/03/16	10/05/16	2,965,301.50	0.99	7,375.52	2,969,004.30	2,938,092.15
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	4,300,000.00	AA+	Aaa	07/19/16	07/20/16	4,289,594.00	0.96	10,660.42	4,294,004.38	4,246,654.20
FNMA BENCHMARK NOTE DTD 08/02/2016 0.875% 08/02/2019	3135G0N33	4,750,000.00	AA+	Aaa	07/29/16	08/02/16	4,742,020.00	0.93	10,275.17	4,745,311.37	4,688,772.50
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	2,215,000.00	AA+	Aaa	08/31/16	09/02/16	2,211,544.60	1.05	3,876.25	2,212,877.23	2,190,300.54
FNMA NOTES DTD 02/28/2017 1.500% 02/28/2020	3135G0T29	1,205,000.00	AA+	Aaa	02/24/17	02/28/17	1,204,228.80	1.52	3,163.13	1,204,399.38	1,199,252.15
Security Type Sub-Total		35,060,000.00					35,311,465.92	0.98	98,018.68	35,174,722.50	34,854,518.74
Corporate Note											
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 05/29/2015 1.600% 05/22/2018	06406HDB2	180,000.00	A	A1	05/22/15	05/29/15	179,983.80	1.60	1,272.00	179,996.87	180,050.58
JOHN DEERE CAPITAL CORP NOTE DTD 09/11/2015 1.750% 08/10/2018	24422ETA7	1,430,000.00	A	A2	09/08/15	09/11/15	1,428,598.60	1.78	5,630.63	1,429,618.29	1,431,461.46
AMERICAN HONDA FINANCE CORP NOTES DTD 02/23/2016 1.700% 02/22/2019	02665WBA8	700,000.00	A+	A2	02/18/16	02/23/16	699,860.00	1.71	2,280.83	699,937.97	698,979.40
BERKSHIRE HATHAWAY INC NOTES DTD 03/15/2016 1.700% 03/15/2019	084664CG4	390,000.00	AA	Aa2	03/08/16	03/15/16	389,703.60	1.73	847.17	389,862.57	390,164.58
PEPSICO INC CORP NOTE DTD 05/02/2017 1.550% 05/02/2019	713448DR6	470,000.00	A+	A1	04/27/17	05/02/17	469,647.50	1.59	3,622.26	469,734.10	468,859.78
BERKSHIRE HATHAWAY INC CORPORATE NOTES DTD 08/15/2016 1.300% 08/15/2019	084664CK5	445,000.00	AA	Aa2	08/08/16	08/15/16	444,568.35	1.33	1,221.28	444,740.60	441,964.21



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	450,000.00	AA	Aa2	10/11/17	10/20/17	449,991.00	1.75	240.63	449,991.09	449,881.20
HONEYWELL INTERNATIONAL CORP NOTES DTD 10/30/2017 1.800% 10/30/2019	438516BQ8	265,000.00	A	A2	10/23/17	10/30/17	264,793.30	1.84	13.25	264,793.58	264,757.26
GOLDMAN SACHS GROUP INC BONDS DTD 12/13/2016 2.300% 12/13/2019	38145GAJ9	975,000.00	BBB+	A3	12/16/16	12/21/16	969,852.00	2.48	8,596.25	971,305.03	977,526.23
CITIGROUP INC CORP NOTE DTD 01/10/2017 2.450% 01/10/2020	172967LF6	425,000.00	BBB+	Baa1	01/04/17	01/10/17	424,830.00	2.46	3,210.52	424,874.63	427,404.65
BB&T CORP (CALLABLE) NOTE DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	950,000.00	A-	A2	01/31/17	02/03/17	959,470.55	2.10	6,853.19	957,069.29	959,186.50
MORGAN STANLEY CORP BONDS DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	475,000.00	BBB+	A3	01/31/17	02/03/17	479,108.75	2.35	3,286.74	478,110.02	479,285.45
IBM CORP NOTES DTD 01/27/2017 1.900% 01/27/2020	459200JN2	975,000.00	A+	A1	01/24/17	01/27/17	974,064.00	1.93	4,837.08	974,296.56	976,804.73
WELLS FARGO & CO CORP BONDS DTD 02/02/2015 2.150% 01/30/2020	94974BGF1	950,000.00	A	A2	01/09/17	01/12/17	946,903.00	2.26	5,162.99	947,702.22	952,138.45
MICROSOFT CORP DTD 02/06/2017 1.850% 02/06/2020	594918BV5	455,000.00	AAA	Aaa	01/30/17	02/06/17	454,695.15	1.87	1,987.47	454,768.44	455,270.73
APPLE INC BONDS DTD 02/09/2017 1.900% 02/07/2020	037833CK4	750,000.00	AA+	Aa1	02/02/17	02/09/17	749,632.50	1.92	3,325.00	749,719.69	751,084.50
CHEVRON CORP CORP NOTES DTD 03/03/2017 1.991% 03/03/2020	166764BP4	645,000.00	AA-	Aa2	02/28/17	03/03/17	645,000.00	1.99	2,068.98	645,000.00	646,491.89
WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	185,000.00	A+	A2	03/01/17	03/06/17	184,951.90	1.96	571.19	184,962.08	185,314.32
EXXON MOBIL CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	500,000.00	AA+	Aaa	08/14/17	08/15/17	502,535.00	1.71	1,460.56	502,324.60	500,739.50
TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	305,000.00	AA-	Aa3	04/12/17	04/17/17	305,091.50	1.94	231.29	305,075.45	305,121.39



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	645,000.00	AA-	Aa3	04/11/17	04/17/17	644,703.30	1.97	489.13	644,755.34	645,256.71
UNILEVER CAPITAL CORP BONDS DTD 05/05/2017 1.800% 05/05/2020	904764AV9	225,000.00	A+	A1	05/02/17	05/05/17	224,282.25	1.91	1,980.00	224,396.46	224,449.65
APPLE INC BONDS DTD 05/11/2017 1.800% 05/11/2020	037833CS7	265,000.00	AA+	Aa1	05/04/17	05/11/17	264,729.70	1.84	2,252.50	264,771.28	264,471.59
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	500,000.00	A+	A1	05/08/17	05/11/17	499,810.00	1.86	4,368.06	499,839.22	500,475.00
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BO4	450,000.00	A	A2	05/24/17	06/05/17	449,739.00	1.82	3,285.00	449,773.49	449,424.45
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	950,000.00	A+	A2	06/01/17	06/06/17	948,898.00	1.84	6,887.50	949,042.57	947,691.50
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	200,000.00	A	A2	06/19/17	06/22/17	199,878.00	1.97	1,397.50	199,892.22	199,582.40
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	105,000.00	A+	A2	07/17/17	07/20/17	104,893.95	1.98	574.44	104,903.62	104,814.05
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913Q2A6	730,000.00	A	A3	09/05/17	09/07/17	729,386.80	1.88	2,025.75	729,416.70	725,768.19
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	525,000.00	BBB+	Baa1	09/22/17	09/26/17	530,838.00	2.27	193.23	530,665.23	529,484.03
AMERICAN EXPRESS CO CORP NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	975,000.00	NR	A3	10/23/17	10/30/17	973,732.50	2.25	59.58	973,733.64	972,271.95
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	250,000.00	A+	A1	08/25/17	08/30/17	252,750.00	1.85	2,093.06	252,610.24	251,779.75
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	370,000.00	BBB+	Baa1	09/22/17	09/26/17	369,408.00	2.37	1,028.85	369,422.26	368,724.61
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	605,000.00	BBB+	Baa1	09/13/17	09/18/17	605,000.00	2.33	1,682.30	605,000.00	602,914.57



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Security Type Sub-Total		18,715,000.00					18,721,330.00	1.98	85,036.21	18,722,105.35	18,729,595.26

Certificate of Deposit

CANADIAN IMPERIAL BANK NY CD DTD 12/05/2016 1.760% 11/30/2018	13606A5Z7	1,925,000.00	A+	A1	04/19/17	04/21/17	1,927,464.00	1.25	14,210.78	1,926,651.05	1,929,758.60
NORDEA BANK FINLAND NY CD DTD 12/05/2016 1.760% 11/30/2018	65558LWA6	3,000,000.00	AA-	Aa3	12/01/16	12/05/16	3,000,000.00	1.74	22,733.33	3,000,000.00	3,007,416.00
SVENSKA HANDELSBANKEN NY LT CD DTD 01/12/2017 1.890% 01/10/2019	86958JHB8	2,000,000.00	AA-	Aa2	01/10/17	01/12/17	2,000,000.00	1.91	11,970.00	2,000,000.00	1,994,300.00
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 02/09/2017 1.880% 02/07/2019	06427KRC3	1,925,000.00	A+	A1	02/08/17	02/09/17	1,925,000.00	1.90	8,444.33	1,925,000.00	1,932,563.33
BANK OF NOVA SCOTIA HOUSTON LT CD DTD 04/06/2017 1.910% 04/05/2019	06417GUE6	1,925,000.00	A+	A1	04/05/17	04/06/17	1,925,000.00	1.91	2,757.56	1,925,000.00	1,922,867.10
SUMITOMO MITSUI BANK NY CD DTD 05/04/2017 2.050% 05/03/2019	86563YVN0	1,925,000.00	A	A1	05/03/17	05/04/17	1,925,000.00	2.05	19,402.40	1,925,000.00	1,928,445.75
SKANDINAV ENSKILDA BANKEN NY CD DTD 08/04/2017 1.840% 08/02/2019	83050FXT3	1,950,000.00	A+	Aa3	08/03/17	08/04/17	1,949,239.50	1.85	8,870.33	1,949,332.48	1,948,488.75
BANK TOKYO MITSUBISHI UFJ LTD LT CD DTD 09/27/2017 2.070% 09/25/2019	06539RGM3	975,000.00	A+	A1	09/25/17	09/27/17	975,000.00	2.07	1,962.19	975,000.00	974,538.83
WESTPAC BANKING CORP NY CD DTD 08/07/2017 2.050% 08/03/2020	96121T4A3	1,750,000.00	AA-	Aa3	08/03/17	08/07/17	1,750,000.00	2.05	8,370.83	1,750,000.00	1,756,947.50

Security Type Sub-Total		17,375,000.00					17,376,703.50	1.84	98,721.75	17,375,983.53	17,395,325.86
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Asset-Backed Security / Collateralized Mortgage Obligation

CNH ABS 2016-C A2 DTD 09/21/2016 1.260% 02/15/2020	12635YAB9	329,429.26	AAA	Aaa	09/13/16	09/21/16	329,399.28	1.27	184.48	329,409.80	329,002.75
TOYOTA ABS 2016-C A3 DTD 08/10/2016 1.140% 08/15/2020	89237WAD9	305,000.00	AAA	Aaa	08/01/16	08/10/16	304,991.83	1.14	154.53	304,994.72	303,422.85
HYUNDAI ABS 2016-A A3 DTD 03/30/2016 1.560% 09/15/2020	44930UAD8	310,000.00	AAA	Aaa	03/22/16	03/30/16	309,939.86	1.57	214.93	309,963.32	309,423.68



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security / Collateralized Mortgage Obligation											
NISSAN ABS 2016-B A3 DTD 04/27/2016 1.320% 01/15/2021	65478VAD9	230,000.00	NR	Aaa	04/18/16	04/27/16	229,964.33	1.33	134.93	229,977.47	228,863.29
CITIBANK ABS 2017-A2 A2 DTD 01/26/2017 1.740% 01/17/2021	17305EGA7	950,000.00	AAA	Aaa	01/19/17	01/26/17	949,818.08	1.75	4,775.33	950,000.00	950,335.45
TOYOTA ABS 2017-A A3 DTD 03/15/2017 1.730% 02/15/2021	89238MAD0	235,000.00	AAA	Aaa	03/07/17	03/15/17	234,972.34	1.74	180.69	234,972.34	234,699.51
JOHN DEERE ABS 2017-A A3 DTD 03/02/2017 1.780% 04/15/2021	47787XAC1	190,000.00	NR	Aaa	02/22/17	03/02/17	189,972.94	1.79	150.31	189,972.94	189,756.80
HYUNDAI ABS 2016-B A3 DTD 09/21/2016 1.290% 04/15/2021	44891EAC3	495,000.00	AAA	Aaa	09/14/16	09/21/16	494,933.37	1.30	283.80	494,951.27	490,819.77
ALLY ABS 2017-1 A3 DTD 01/31/2017 1.700% 06/15/2021	02007PAC7	215,000.00	NR	Aaa	01/24/17	01/31/17	214,981.21	1.70	162.44	214,984.61	214,513.07
FORD ABS 2017-A A3 DTD 01/25/2017 1.670% 06/15/2021	34531EAD8	640,000.00	NR	Aaa	01/18/17	01/25/17	639,997.63	1.67	475.02	639,998.22	638,199.04
TOYOTA ABS 2017-B A3 DTD 05/17/2017 1.760% 07/15/2021	89190BAD0	450,000.00	AAA	Aaa	05/09/17	05/17/17	449,965.49	1.76	352.00	449,965.49	448,979.76
HONDA ABS 2017-1 A3 DTD 03/28/2017 1.720% 07/21/2021	43814TAC6	435,000.00	NR	Aaa	03/21/17	03/28/17	434,974.12	1.72	207.83	434,974.12	434,237.23
HYUNDAI ABS 2017-A A3 DTD 03/29/2017 1.760% 08/15/2021	44931PAD8	410,000.00	AAA	NR	03/22/17	03/29/17	409,966.83	1.76	320.71	409,966.83	409,231.29
NISSAN ABS 2017-A A3 DTD 03/28/2017 1.740% 08/15/2021	654747AD6	500,000.00	NR	Aaa	03/21/17	03/28/17	499,947.05	1.74	386.67	499,947.05	498,719.40
ALLY ABS 2017-2 A3 DTD 03/29/2017 1.780% 08/15/2021	02007HAC5	760,000.00	NR	Aaa	03/21/17	03/29/17	759,910.40	1.79	601.24	759,923.09	759,158.07
HAROT 2017-3 A3 DTD 09/29/2017 1.790% 09/18/2021	43814PAC4	250,000.00	AAA	NR	09/25/17	09/29/17	249,972.93	1.94	161.60	249,973.38	249,514.10
JOHN DEERE ABS 2017-B A3 DTD 07/15/2017 1.820% 10/15/2021	47788BAD6	275,000.00	NR	Aaa	07/11/17	07/18/17	274,979.87	1.82	222.44	274,981.48	274,382.08



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security / Collateralized Mortgage Obligation											
NISSAN ABS 2017-B A3 DTD 08/23/2017 1.750% 10/15/2021	65478GAD2	500,000.00	NR	Aaa	08/16/17	08/23/17	499,972.05	1.75	388.89	499,973.25	498,584.25
AMERICAN EXPRESS ABS 2017-4 A DTD 05/30/2017 1.640% 12/15/2021	02582JHG8	385,000.00	AAA	NR	05/22/17	05/30/17	384,938.32	1.65	280.62	384,943.44	384,233.43
Security Type Sub-Total		7,864,429.26					7,863,597.93	1.66	9,638.46	7,863,872.82	7,846,075.82
Managed Account Sub-Total		97,402,312.72					97,658,221.33	1.48	359,236.49	97,517,482.41	97,118,688.37
Securities Sub-Total		\$97,402,312.72					\$97,658,221.33	1.48%	\$359,236.49	\$97,517,482.41	\$97,118,688.37
Accrued Interest											\$359,236.49
Total Investments											\$97,477,924.86



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,300,000.00	BARCLAYS		99.14	1,288,878.50	(1,676.19)	(4,127.79)	2.20	2.20	1.64
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,875,000.00	HSBC		99.14	1,858,959.38	(1,758.39)	(5,807.28)	2.20	2.20	1.64
US TREASURY NOTES DTD 03/02/2015 1.375% 02/29/2020	912828J50	1,000,000.00	JPM_CHAS		99.35	993,477.00	(6,483.94)	(6,486.57)	2.28	2.28	1.66
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	825,000.00	MORGAN_S		99.25	818,844.68	(2,449.26)	(3,128.85)	2.45	2.45	1.68
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,600,000.00	MORGAN_S		99.80	1,596,812.80	(8,187.20)	(7,598.77)	2.58	2.58	1.70
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	2,350,000.00	CITIGRP		99.80	2,345,318.80	(13,126.51)	(12,182.80)	2.58	2.58	1.70
US TREASURY NOTES DTD 07/31/2015 1.625% 07/31/2020	912828XM7	1,975,000.00	CITIGRP		99.78	1,970,601.68	(5,632.69)	(5,513.50)	2.67	2.67	1.71
US TREASURY NOTES DTD 08/31/2015 1.375% 08/31/2020	912828L32	2,425,000.00	JPM_CHAS		99.06	2,402,171.05	(18,187.35)	(18,443.75)	2.76	2.76	1.72
Security Type Sub-Total		13,350,000.00				13,275,063.89	(57,501.53)	(63,289.31)	2.51	2.51	1.69
Supra-National Agency Bond / Note											
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 10/27/2016 1.125% 11/27/2019	459058FS7	975,000.00	CITIGRP		98.73	962,662.35	(4,020.90)	(4,643.35)	2.03	2.03	1.75
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 08/29/2017 1.625% 09/04/2020	459058GA5	475,000.00	JPM_CHAS		99.39	472,087.78	(2,812.47)	(2,818.18)	2.76	2.76	1.85
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020	45905UP32	975,000.00	HSBC		98.90	964,289.63	(8,370.37)	(8,459.87)	2.78	2.78	1.96
INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	965,000.00	HSBC		100.74	972,186.36	(1,758.33)	(1,597.92)	2.89	2.89	1.87
Security Type Sub-Total		3,390,000.00				3,371,226.12	(16,962.07)	(17,519.32)	2.59	2.59	1.86



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
Federal Agency Collateralized Mortgage Obligation											
FNMA SERIES 2015-M7 ASQ2 DTD 04/01/2015 1.550% 04/01/2018	3136ANJY4	211,862.23	GOLDMAN		99.93	211,714.05	(2,266.04)	(243.95)	0.34	0.34	1.45
FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AQSW1	286,002.77	GOLDMAN		100.04	286,110.96	(2,751.81)	(664.33)	0.58	1.00	1.73
FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	495,681.41	CSFB		99.98	495,579.50	(5,058.48)	(2,409.57)	0.93	1.41	1.72
FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	654,337.05	MORGANST		99.87	653,478.17	(7,411.36)	(3,499.04)	0.93	1.65	1.66
Security Type Sub-Total		1,647,883.46				1,646,882.68	(17,487.69)	(6,816.89)	0.79	1.30	1.66
Federal Agency Bond / Note											
FNMA NOTES DTD 11/03/2015 1.125% 12/14/2018	3135G0G72	2,125,000.00	GOLDMAN		99.55	2,115,435.38	(12,008.37)	(10,561.31)	1.10	1.10	1.53
FNMA BENCHMARK NOTE DTD 01/08/2016 1.375% 01/28/2019	3135G0H63	4,170,000.00	CITIGRP		99.80	4,161,601.62	(75,952.38)	(41,375.28)	1.22	1.22	1.54
FNMA BENCHMARK NOTE DTD 02/23/2016 1.000% 02/26/2019	3135G0J53	2,250,000.00	GOLDMAN		99.28	2,233,795.50	(28,939.50)	(22,559.85)	1.30	1.30	1.55
FNMA BENCHMARK NOTE DTD 02/23/2016 1.000% 02/26/2019	3135G0J53	3,990,000.00	CITIGRP		99.28	3,961,264.02	(32,411.05)	(30,481.80)	1.30	1.30	1.55
FHLMC NOTES DTD 03/27/2009 3.750% 03/27/2019	3137EACA5	2,440,000.00	MORGANST		103.04	2,514,085.72	(116,600.28)	(14,214.12)	1.37	1.37	1.56
FHLB GLOBAL NOTE DTD 06/03/2016 1.125% 06/21/2019	3130A8DB6	800,000.00	TD SEC U		99.25	794,011.20	(14,284.80)	(10,616.44)	1.61	1.61	1.59
FHLB GLOBAL NOTE DTD 06/03/2016 1.125% 06/21/2019	3130A8DB6	3,840,000.00	WELLSFAR		99.25	3,811,253.76	(27,133.44)	(27,869.84)	1.61	1.61	1.59
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	2,975,000.00	NOMURA		98.76	2,938,092.15	(27,209.35)	(30,912.15)	1.69	1.69	1.61
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	4,300,000.00	TD SEC U		98.76	4,246,654.20	(42,939.80)	(47,350.18)	1.69	1.69	1.61
FNMA BENCHMARK NOTE DTD 08/02/2016 0.875% 08/02/2019	3135G0N33	4,750,000.00	GOLDMAN		98.71	4,688,772.50	(53,247.50)	(56,538.87)	1.73	1.73	1.62
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	2,215,000.00	GOLDMAN		98.88	2,190,300.54	(21,244.06)	(22,576.69)	1.80	1.80	1.62



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
Federal Agency Bond / Note											
FNMA NOTES DTD 02/28/2017 1.500% 02/28/2020	3135G0T29	1,205,000.00	JPM_CHAS		99.52	1,199,252.15	(4,976.65)	(5,147.23)	2.27	2.27	1.71
Security Type Sub-Total		35,060,000.00				34,854,518.74	(456,947.18)	(320,203.76)	1.53	1.53	1.59
Corporate Note											
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 05/29/2015 1.600% 05/22/2018	06406HDB2	180,000.00	GOLDMAN	04/22/18	100.03	180,050.58	66.78	53.71	0.52	0.47	1.55
JOHN DEERE CAPITAL CORP NOTE DTD 09/11/2015 1.750% 08/10/2018	24422ETA7	1,430,000.00	CITIGRP		100.10	1,431,461.46	2,862.86	1,843.17	0.76	0.76	1.62
AMERICAN HONDA FINANCE CORP NOTES DTD 02/23/2016 1.700% 02/22/2019	02665WBA8	700,000.00	CITIGRP		99.85	698,979.40	(880.60)	(958.57)	1.28	1.28	1.81
BERKSHIRE HATHAWAY INC NOTES DTD 03/15/2016 1.700% 03/15/2019	084664CG4	390,000.00	JPMCHASE		100.04	390,164.58	460.98	302.01	1.35	1.35	1.67
PEPSICO INC CORP NOTE DTD 05/02/2017 1.550% 05/02/2019	713448DR6	470,000.00	JPM_CHAS		99.76	468,859.78	(787.72)	(874.32)	1.47	1.47	1.71
BERKSHIRE HATHAWAY INC CORPORATE NOTES DTD 08/15/2016 1.300% 08/15/2019	084664CK5	445,000.00	MERRILL		99.32	441,964.21	(2,604.14)	(2,776.39)	1.75	1.75	1.69
WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	450,000.00	MORGAN_S		99.97	449,881.20	(109.80)	(109.89)	1.90	1.90	1.76
HONEYWELL INTERNATIONAL CORP NOTES DTD 10/30/2017 1.800% 10/30/2019	438516BO8	265,000.00	MERRILL		99.91	264,757.26	(36.04)	(36.32)	1.96	1.96	1.85
GOLDMAN SACHS GROUP INC BONDS DTD 12/13/2016 2.300% 12/13/2019	38145GAJ9	975,000.00	SUSQ	11/13/19	100.26	977,526.23	7,674.23	6,221.20	2.00	1.96	2.17
CITIGROUP INC CORP NOTE DTD 01/10/2017 2.450% 01/10/2020	172967LF6	425,000.00	CITIGRP	12/10/19	100.57	427,404.65	2,574.65	2,530.02	2.07	2.03	2.18
BB&T CORP (CALLABLE) NOTE DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	950,000.00	GOLDMAN	12/15/19	100.97	959,186.50	(284.05)	2,117.21	2.08	2.05	2.00
MORGAN STANLEY CORP BONDS DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	475,000.00	WELLS_FA		100.90	479,285.45	176.70	1,175.43	2.15	2.15	2.23


Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**
SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
Corporate Note											
IBM CORP NOTES DTD 01/27/2017 1.900% 01/27/2020	459200JN2	975,000.00	RBC		100.19	976,804.73	2,740.73	2,508.17	2.17	2.17	1.81
WELLS FARGO & CO CORP BONDS DTD 02/02/2015 2.150% 01/30/2020	94974BGF1	950,000.00	MERRILL		100.23	952,138.45	5,235.45	4,436.23	2.18	2.18	2.05
MICROSOFT CORP DTD 02/06/2017 1.850% 02/06/2020	594918BV5	455,000.00	HSBC		100.06	455,270.73	575.58	502.29	2.20	2.20	1.82
APPLE INC BONDS DTD 02/09/2017 1.900% 02/07/2020	037833CK4	750,000.00	JPM_CHAS		100.14	751,084.50	1,452.00	1,364.81	2.20	2.20	1.83
CHEVRON CORP CORP NOTES DTD 03/03/2017 1.991% 03/03/2020	166764BP4	645,000.00	CITIGRP		100.23	646,491.89	1,491.89	1,491.89	2.27	2.27	1.89
WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	185,000.00	CITIGRP		100.17	185,314.32	362.42	352.24	2.27	2.27	1.88
EXXON MOBIL CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	500,000.00	GOLDMAN	02/06/20	100.15	500,739.50	(1,795.50)	(1,585.10)	2.25	2.20	1.85
TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	305,000.00	HSBC		100.04	305,121.39	29.89	45.94	2.39	2.39	1.93
TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	645,000.00	MERRILL		100.04	645,256.71	553.41	501.37	2.39	2.39	1.93
UNILEVER CAPITAL CORP BONDS DTD 05/05/2017 1.800% 05/05/2020	904764AV9	225,000.00	MORGAN_S		99.76	224,449.65	167.40	53.19	2.42	2.42	1.90
APPLE INC BONDS DTD 05/11/2017 1.800% 05/11/2020	037833CS7	265,000.00	GOLDMAN		99.80	264,471.59	(258.11)	(299.69)	2.44	2.44	1.88
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	500,000.00	MORGAN_S		100.10	500,475.00	665.00	635.78	2.44	2.44	1.81
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BO4	450,000.00	JPM_CHAS		99.87	449,424.45	(314.55)	(349.04)	2.51	2.51	1.85
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	950,000.00	DEUTSCHE		99.76	947,691.50	(1,206.50)	(1,351.07)	2.50	2.50	1.90
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	200,000.00	DEUTSCHE		99.79	199,582.40	(295.60)	(309.82)	2.54	2.54	2.03
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	105,000.00	BARCLAYS		99.82	104,814.05	(79.90)	(89.57)	2.62	2.62	2.02
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913Q2A6	730,000.00	BARCLAYS		99.42	725,768.19	(3,618.61)	(3,648.51)	2.75	2.75	2.06



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
Corporate Note											
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	525,000.00	GOLDMAN		100.85	529,484.03	(1,353.97)	(1,181.20)	2.86	2.86	2.35
AMERICAN EXPRESS CO CORP NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	975,000.00	RBC	09/29/20	99.72	972,271.95	(1,460.55)	(1,461.69)	2.87	2.89	2.30
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	250,000.00	WELLS_FA	11/14/20	100.71	251,779.75	(970.25)	(830.49)	2.96	2.90	1.96
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	370,000.00	UBS	10/01/20	99.66	368,724.61	(683.39)	(697.65)	3.05	3.71	2.42
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	605,000.00	MERRILL	10/01/20	99.66	602,914.57	(2,085.43)	(2,085.43)	3.05	3.71	2.42
Security Type Sub-Total		18,715,000.00				18,729,595.26	8,265.26	7,489.91	2.14	2.17	1.95
Certificate of Deposit											
CANADIAN IMPERIAL BANK NY CD DTD 12/05/2016 1.760% 11/30/2018	13606A5Z7	1,925,000.00	GOLDMAN		100.25	1,929,758.60	2,294.60	3,107.55	1.07	1.07	1.50
NORDEA BANK FINLAND NY CD DTD 12/05/2016 1.760% 11/30/2018	65558LWA6	3,000,000.00	MERRILL		100.25	3,007,416.00	7,416.00	7,416.00	1.08	1.08	0.70
SVENSKA HANDELSBANKEN NY LT CD DTD 01/12/2017 1.890% 01/10/2019	86958JHB8	2,000,000.00	MERRILL		99.72	1,994,300.00	(5,700.00)	(5,700.00)	1.19	1.19	1.31
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 02/09/2017 1.880% 02/07/2019	06427KRC3	1,925,000.00	GOLDMAN		100.39	1,932,563.33	7,563.33	7,563.33	1.26	1.26	1.53
BANK OF NOVA SCOTIA HOUSTON LT CD DTD 04/06/2017 1.910% 04/05/2019	06417GUE6	1,925,000.00	MERRILL		99.89	1,922,867.10	(2,132.90)	(2,132.90)	1.42	1.42	1.31
SUMITOMO MITSUI BANK NY CD DTD 05/04/2017 2.050% 05/03/2019	86563YVN0	1,925,000.00	JPM_CHAS		100.18	1,928,445.75	3,445.75	3,445.75	1.49	1.49	1.89
SKANDINAV ENSKILDA BANKEN NY CD DTD 08/04/2017 1.840% 08/02/2019	83050FXT3	1,950,000.00	BARCLAYS		99.92	1,948,488.75	(750.75)	(843.73)	1.73	1.73	1.62
BANK TOKYO MITSUBISHI UFJ LTD LT CD DTD 09/27/2017 2.070% 09/25/2019	06539RGM3	975,000.00	MITSU		99.95	974,538.83	(461.17)	(461.17)	1.88	1.88	1.97
WESTPAC BANKING CORP NY CD DTD 08/07/2017 2.050% 08/03/2020	96121T4A3	1,750,000.00	JPM_CHAS		100.40	1,756,947.50	6,947.50	6,947.50	2.73	2.73	1.85
Security Type Sub-Total		17,375,000.00				17,395,325.86	18,622.36	19,342.33	1.48	1.48	1.44



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst	YTM at Mkt
Asset-Backed Security / Collateralized Mortgage Obligation											
CNH ABS 2016-C A2 DTD 09/21/2016 1.260% 02/15/2020	12635YAB9	329,429.26	WELLSFAR		99.87	329,002.75	(396.53)	(407.05)	0.45	1.98	1.33
TOYOTA ABS 2016-C A3 DTD 08/10/2016 1.140% 08/15/2020	89237WAD9	305,000.00	MITSU		99.48	303,422.85	(1,568.98)	(1,571.87)	1.01	1.99	1.40
HYUNDAI ABS 2016-A A3 DTD 03/30/2016 1.560% 09/15/2020	44930UAD8	310,000.00	MERRILL		99.81	309,423.68	(516.18)	(539.64)	1.07	2.34	1.64
NISSAN ABS 2016-B A3 DTD 04/27/2016 1.320% 01/15/2021	65478VAD9	230,000.00	SOC GEN		99.51	228,863.29	(1,101.04)	(1,114.18)	1.24	2.16	1.55
CITIBANK ABS 2017-A2 A2 DTD 01/26/2017 1.740% 01/17/2021	17305EGA7	950,000.00	CITIGRP		100.04	950,335.45	517.37	335.45	1.20	1.66	1.72
TOYOTA ABS 2017-A A3 DTD 03/15/2017 1.730% 02/15/2021	89238MAD0	235,000.00	MERRILL		99.87	234,699.51	(272.83)	(272.83)	2.01	2.04	1.79
JOHN DEERE ABS 2017-A A3 DTD 03/02/2017 1.780% 04/15/2021	47787XAC1	190,000.00	MERRILL		99.87	189,756.80	(216.14)	(216.14)	1.82	2.27	1.84
HYUNDAI ABS 2016-B A3 DTD 09/21/2016 1.290% 04/15/2021	44891EAC3	495,000.00	JPMCHASE		99.16	490,819.77	(4,113.60)	(4,131.50)	1.59	2.88	1.58
ALLY ABS 2017-1 A3 DTD 01/31/2017 1.700% 06/15/2021	02007PAC7	215,000.00	CITIGRP		99.77	214,513.07	(468.14)	(471.54)	1.49	3.23	1.77
FORD ABS 2017-A A3 DTD 01/25/2017 1.670% 06/15/2021	34531EAD8	640,000.00	CITIGRP		99.72	638,199.04	(1,798.59)	(1,799.18)	1.72	2.63	1.78
TOYOTA ABS 2017-B A3 DTD 05/17/2017 1.760% 07/15/2021	89190BAD0	450,000.00	SOCGEN		99.77	448,979.76	(985.73)	(985.73)	1.91	2.27	1.86
HONDA ABS 2017-1 A3 DTD 03/28/2017 1.720% 07/21/2021	43814TAC6	435,000.00	MERRILL		99.82	434,237.23	(736.89)	(736.89)	1.75	2.49	1.79
HYUNDAI ABS 2017-A A3 DTD 03/29/2017 1.760% 08/15/2021	44931PAD8	410,000.00	SOCGEN		99.81	409,231.29	(735.54)	(735.54)	1.78	3.06	1.82
NISSAN ABS 2017-A A3 DTD 03/28/2017 1.740% 08/15/2021	654747AD6	500,000.00	MERRILL		99.74	498,719.40	(1,227.65)	(1,227.65)	2.02	2.23	1.86
ALLY ABS 2017-2 A3 DTD 03/29/2017 1.780% 08/15/2021	02007HAC5	760,000.00	MERRILL		99.89	759,158.07	(752.33)	(765.02)	1.57	3.39	1.81
HAROT 2017-3 A3 DTD 09/29/2017 1.790% 09/18/2021	43814PAC4	250,000.00	JPM_CHAS		99.81	249,514.10	(458.83)	(459.28)	2.20	1.94	1.89



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

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Asset-Backed Security / Collateralized Mortgage Obligation											
JOHN DEERE ABS 2017-B A3 DTD 07/15/2017 1.820% 10/15/2021	47788BAD6	275,000.00	MITSU		99.78	274,382.08	(597.79)	(599.40)	2.47	1.63	1.96
NISSAN ABS 2017-B A3 DTD 08/23/2017 1.750% 10/15/2021	65478GAD2	500,000.00	SOCGEN		99.72	498,584.25	(1,387.80)	(1,389.00)	2.29	1.97	1.89
AMERICAN EXPRESS ABS 2017-4 A DTD 05/30/2017 1.640% 12/15/2021	02582JHG8	385,000.00	RBC		99.80	384,233.43	(704.89)	(710.01)	1.52	2.05	1.74
Security Type Sub-Total		7,864,429.26				7,846,075.82	(17,522.11)	(17,797.00)	1.62	2.36	1.75
Managed Account Sub-Total		97,402,312.72				97,118,688.37	(539,532.96)	(398,794.04)	1.80	1.88	1.67
Securities Sub-Total		\$97,402,312.72				\$97,118,688.37	(\$539,532.96)	(\$398,794.04)	1.80	1.88	1.67%
Accrued Interest						\$359,236.49					
Total Investments						\$97,477,924.86					



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
BUY											
	10/02/17	10/10/17	INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	965,000.00	(973,944.69)	(8,601.23)	(982,545.92)			
	10/11/17	10/20/17	WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	450,000.00	(449,991.00)	0.00	(449,991.00)			
	10/23/17	10/30/17	HONEYWELL INTERNATIONAL CORP NOTES DTD 10/30/2017 1.800% 10/30/2019	438516BO8	265,000.00	(264,793.30)	0.00	(264,793.30)			
	10/23/17	10/30/17	AMERICAN EXPRESS CO CORP NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	975,000.00	(973,732.50)	0.00	(973,732.50)			
Transaction Type Sub-Total					2,655,000.00	(2,662,461.49)	(8,601.23)	(2,671,062.72)			
INTEREST											
	10/01/17	10/01/17	FHLB GLOBAL NOTE DTD 08/26/2016 0.875% 10/01/2018	3130A9AE1	750,000.00	0.00	3,281.25	3,281.25			
	10/01/17	10/25/17	FNMA SERIES 2015-M7 ASQ2 DTD 04/01/2015 1.550% 04/01/2018	3136ANJY4	220,800.21	0.00	285.20	285.20			
	10/01/17	10/25/17	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	533,761.07	0.00	793.97	793.97			
	10/01/17	10/25/17	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	299,950.68	0.00	474.44	474.44			
	10/01/17	10/25/17	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AODO0	701,906.29	0.00	1,227.61	1,227.61			
	10/02/17	10/02/17	MONEY MARKET FUND	MONEY0002	0.00	0.00	10.10	10.10			
	10/05/17	10/05/17	BANK OF NOVA SCOTIA HOUSTON LT CD DTD 04/06/2017 1.910% 04/05/2019	06417GUE6	1,925,000.00	0.00	18,588.01	18,588.01			
	10/15/17	10/15/17	AMERICAN EXPRESS ABS 2017-4 A DTD 05/30/2017 1.640% 12/15/2021	02582JHG8	385,000.00	0.00	526.17	526.17			
	10/15/17	10/15/17	FORD ABS 2017-A A3 DTD 01/25/2017 1.670% 06/15/2021	34531EAD8	640,000.00	0.00	890.67	890.67			
	10/15/17	10/15/17	HYUNDAI ABS 2016-A A3 DTD 03/30/2016 1.560% 09/15/2020	44930UAD8	310,000.00	0.00	403.00	403.00			
	10/15/17	10/15/17	JOHN DEERE ABS 2017-A A3 DTD 03/02/2017 1.780% 04/15/2021	47787XAC1	190,000.00	0.00	281.83	281.83			



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
INTEREST											
	10/15/17	10/15/17	CNH ABS 2016-C A2 DTD 09/21/2016 1.260% 02/15/2020	12635YAB9	358,639.90	0.00	376.57	376.57			
	10/15/17	10/15/17	ALLY ABS 2017-2 A3 DTD 03/29/2017 1.780% 08/15/2021	02007HAC5	760,000.00	0.00	1,127.33	1,127.33			
	10/15/17	10/15/17	HYUNDAI ABS 2016-B A3 DTD 09/21/2016 1.290% 04/15/2021	44891EAC3	495,000.00	0.00	532.13	532.13			
	10/15/17	10/15/17	JOHN DEERE ABS 2017-B A3 DTD 07/15/2017 1.820% 10/15/2021	47788BAD6	275,000.00	0.00	417.08	417.08			
	10/15/17	10/15/17	NISSAN ABS 2017-B A3 DTD 08/23/2017 1.750% 10/15/2021	65478GAD2	500,000.00	0.00	729.17	729.17			
	10/15/17	10/15/17	NISSAN ABS 2016-B A3 DTD 04/27/2016 1.320% 01/15/2021	65478VAD9	230,000.00	0.00	253.00	253.00			
	10/15/17	10/15/17	NISSAN ABS 2017-A A3 DTD 03/28/2017 1.740% 08/15/2021	654747AD6	500,000.00	0.00	725.00	725.00			
	10/15/17	10/15/17	ALLY ABS 2017-1 A3 DTD 01/31/2017 1.700% 06/15/2021	02007PAC7	215,000.00	0.00	304.58	304.58			
	10/15/17	10/15/17	TOYOTA ABS 2017-B A3 DTD 05/17/2017 1.760% 07/15/2021	89190BAD0	450,000.00	0.00	660.00	660.00			
	10/15/17	10/15/17	TOYOTA ABS 2017-A A3 DTD 03/15/2017 1.730% 02/15/2021	89238MAD0	235,000.00	0.00	338.79	338.79			
	10/15/17	10/15/17	HYUNDAI ABS 2017-A A3 DTD 03/29/2017 1.760% 08/15/2021	44931PAD8	410,000.00	0.00	601.33	601.33			
	10/15/17	10/15/17	TOYOTA ABS 2016-C A3 DTD 08/10/2016 1.140% 08/15/2020	89237WAD9	305,000.00	0.00	289.75	289.75			
	10/17/17	10/17/17	TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	645,000.00	0.00	6,288.75	6,288.75			
	10/17/17	10/17/17	TOYOTA MOTOR CREDIT CORP (CALLABLE) DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	305,000.00	0.00	2,973.75	2,973.75			
	10/18/17	10/18/17	HAROT 2017-3 A3 DTD 09/29/2017 1.790% 09/18/2021	43814PAC4	250,000.00	0.00	236.18	236.18			
	10/21/17	10/21/17	HONDA ABS 2017-1 A3 DTD 03/28/2017 1.720% 07/21/2021	43814TAC6	435,000.00	0.00	623.50	623.50			



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
INTEREST											
	10/26/17	10/26/17	CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	525,000.00	0.00	6,956.25	6,956.25			
	10/31/17	10/31/17	US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	825,000.00	0.00	5,671.88	5,671.88			
Transaction Type Sub-Total					13,675,058.15	0.00	55,867.29	55,867.29			
PAYDOWNS											
	10/01/17	10/25/17	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	47,569.24	47,569.24	0.00	47,569.24	(476.35)	0.00	
	10/01/17	10/25/17	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	13,947.91	13,947.91	0.00	13,947.91	(139.48)	0.00	
	10/01/17	10/25/17	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	38,079.66	38,079.66	0.00	38,079.66	(380.78)	0.00	
	10/01/17	10/25/17	FNMA SERIES 2015-M7 ASQ2 DTD 04/01/2015 1.550% 04/01/2018	3136ANJY4	8,937.98	8,937.98	0.00	8,937.98	(89.35)	0.00	
	10/15/17	10/15/17	CNH ABS 2016-C A2 DTD 09/21/2016 1.260% 02/15/2020	12635YAB9	29,210.64	29,210.64	0.00	29,210.64	2.66	0.00	
Transaction Type Sub-Total					137,745.43	137,745.43	0.00	137,745.43	(1,083.30)	0.00	
SELL											
	10/02/17	10/10/17	FHLB GLOBAL NOTE DTD 08/26/2016 0.875% 10/01/2018	3130A9AE1	750,000.00	746,602.50	164.06	746,766.56	(2,887.50)	(3,158.66)	FIFO
	10/02/17	10/10/17	FNMA NOTES DTD 11/03/2015 1.125% 12/14/2018	3135G0G72	250,000.00	249,057.50	906.25	249,963.75	(1,230.00)	(1,065.82)	FIFO
	10/12/17	10/19/17	FNMA NOTES DTD 11/03/2015 1.125% 12/14/2018	3135G0G72	325,000.00	323,700.00	1,269.53	324,969.53	(1,673.75)	(1,456.94)	FIFO
	10/23/17	10/30/17	AMERICAN EXP CREDIT CORP NT (CALLABLE) DTD 11/05/2015 1.875% 11/05/2018	0258M0DZ9	1,235,000.00	1,236,407.90	11,256.51	1,247,664.41	1,519.05	1,446.16	FIFO
Transaction Type Sub-Total					2,560,000.00	2,555,767.90	13,596.35	2,569,364.25	(4,272.20)	(4,235.26)	
Managed Account Sub-Total						31,051.84	60,862.41	91,914.25	(5,355.50)	(4,235.26)	



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2017**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Total Security Transactions	\$31,051.84	\$60,862.41	\$91,914.25	(\$5,355.50)	(\$4,235.26)
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AGENDA ITEM **4c**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: Authorization of STA Funds for
Operation of Non-Emergency Medical
Transportation Service

RECOMMENDED ACTION: Approve expenditure of \$250,000 from
the section 99313 regional portion of
the FY 17/18 State Transit Assistance
Program for Non-Emergency
Transportation Service

SUMMARY:

In June 2017, the Board adopted the finding that Non-Emergency Medical Transportation (NEMT) from San Joaquin County to medical facilities outside the region is an Unmet Transit Need that is “Reasonable to Meet,” and set aside \$250,000 of the section 99313 regional portion of the FY 17/18 State Transit Assistance (STA) Program to plan and implement the service. The approved motion also included the requirement that SJCOG and RTD staff conduct further research into the Board’s questions regarding implementation of the service, and bring back a scope of services for Board approval before the STA funding could be expended on operations. Of the \$250,000, \$35,000 was identified as allowable for this initial planning of the service.

SJCOG has worked closely with RTD to develop a feasible plan for a pilot implementation of NEMT service in San Joaquin County. RTD, as the regional transit provider, has taken the lead role in this transit planning effort, with SJCOG in a supportive role. The scope of services outlined in this report would provide 16 roundtrips to medical facilities in the Bay Area and Sacramento, with door-to-door connecting service. Assuming ridership equivalent to Stanislaus County – where NEMT service to the Bay Area currently exists – the cost would be approximately \$180,000 per year. RTD and SJCOG staff propose this as a pilot implementation that would be thoroughly evaluated after one fiscal year of operation, at which point the Board will have the opportunity to review and consider revisions to the service plan.

RECOMMENDATION:

Approve the expenditure of \$250,000 from the section 99313 regional portion of the FY 17/18 State Transit Assistance Program for Non-Emergency Transportation Service.

FISCAL IMPACT:

The budget authority has already been approved by the Board so there is no fiscal impact other than expenditure of funds.

BACKGROUND:

Unmet Transit Needs Process

Each year, pursuant to state law, as the Regional Transportation Planning Agency the San Joaquin Council of Governments (SJCOG) must identify any unmet transit needs that may exist in San Joaquin County. If needs are found, a further determination must be made to determine whether those needs are reasonable to meet. State law requires SJCOG to ensure that reasonable needs are met before Transportation Development Act (TDA) funds are allocated to local jurisdictions for non-transit purposes.

The annual unmet transit needs assessment requires SJCOG to meet the following minimum requirements:

- Ensure that several factors have been considered in the planning process, including:
 1. Size and location of groups likely to be dependent on transit,
 2. Adequacy of existing services and potential alternative services
 3. Service improvements that could meet all or part of the travel demand.
- Hold a public hearing to receive testimony on unmet needs.
- Determine definitions for "unmet transit needs" and "reasonable to meet."
- Adopt a finding regarding unmet transit needs and allocate funds to address those needs, if necessary, before street and road TDA allocations.

Non-Emergency Medical Transportation (NEMT)

Non-emergency medical transportation (NEMT) is defined as transportation used to ensure patients can travel to and from their medical appointments, the pharmacy, urgent care, specialized treatment, or the hospital. Of those comments received during the public outreach process for the FY 17-18 Unmet Transit Needs Report, the most frequently requested service was NEMT service to medical facilities in the Bay Area. During discussions at SJCOG committees and the Board, NEMT service to Sacramento was also raised as an important need, although it hadn't been raised during the formal comment period.

NEMT Example: StaRT Medi-Van Service

During this process, SJCOG learned that neighboring Stanislaus County previously identified NEMT as an Unmet Transit Need that was reasonable to meet, and has been offering service for its residents going to and from Bay Area medical facilities for 17 years. The Stanislaus Regional Transit (StaRT) provides a bus from Modesto Downtown Transportation Center to Bay Area medical facilities, four days per week (approximately \$210,000 per year). The StaRT NEMT

service has sufficient ridership to support 4 days of service per week, carrying 2,300+ riders at \$11 per ride.

Board Actions Related to NEMT To Date

On June 22, 2017, the Board passed a motion to:

- (1) adopt the Unmet Transit Needs Findings for FY 2017-18 which identify NEMT as an unmet transit need that is reasonable to meet;
- (2) Set aside \$250,000 from the section 99313 regional portion of the FY 17/18 State Transit Assistance Program for planning and implementation of NEMT service;
- (3) The expenditure will initially be for planning to get all the answers to the questions related to the scope of services and no expenditure shall occur on the actual operations until it comes back to the Board to hear what the planning level analysis has shown, what the scope of services would be, and have the Board then consider authorizing expenditure for operations.

On August 24, 2017, the Board passed a motion to adopt the proposed FY17/18 STA apportionments with the \$250,000 set-aside for NEMT.

DISCUSSION:

San Joaquin RTD Service Proposal for Non-Emergency Medical Transportation

RTD's service proposal would use existing transit service offered by RTD, StaRT, ACE and other operators to provide 16 total options per day for NEMT service to medical facilities in the Bay Area and Sacramento. These options are described in further detail below:

Bay Area service via StaRT Medivan

StaRT currently provides a Medivan service from Modesto to the Bay Area. The service operates four days per week, leaving Modesto Transportation Center at 6:30 AM and stopping at medical facilities in Livermore, Oakland, San Francisco, Palo Alto and other locations. The Medivan makes one return trip in the afternoon. Passengers must call and request NEMT service in advance, and the fare is \$11.

RTD has worked with StaRT to add a connection stop in Tracy on the Medivan route. San Joaquin County passengers would pay the same fare as Stanislaus County passengers. Passengers can access the stop in Tracy by their own means (e.g. be driven by a friend/family member) or use RTD-contracted curb-to-curb service via Uber or Journey Via Gurney – see “Dispatch and Connecting Services” below.

Bay Area Service via RTD Commuter Route 150 and Altamont Corridor Express (ACE)

Interregional transportation between San Joaquin County and the Bay Area is currently provided by RTD and Altamont Corridor Express (ACE). RTD's Commuter Route 150 provides nine round trips daily, five days per week from Stockton's Downtown Transit Center to the Dublin/Pleasanton BART station, with stops in Manteca, Lathrop and Tracy. One-way fares are

\$7. ACE provides four daily roundtrip trains from San Joaquin County to the Bay Area, with stops in Stockton, Lathrop/Manteca, Tracy, Livermore, Pleasanton, Fremont, Santa Clara and San Jose. One-way fares range from \$7.50 to \$14.50.

These existing services are able to provide additional connections to Bay Area medical facilities. One important role these services could play is providing rides to/from the Bay Area at alternate times of day from the single round trip provided by the StaRT Medivan, potentially reducing waiting times for passengers and resulting in a more convenient trip. These options could also allow NEMT patrons to travel to additional medical destinations in the Bay Area and allow appointments to be scheduled on Friday (not currently available on StaRT's service schedule). The station stops in the Bay Area for RTD Commuter 150 and ACE, for the most part, are not directly adjacent to medical facilities. Passengers would need to arrange connecting transportation from the station stops to medical facilities.

Sacramento Service via RTD Routes 163 and 165

RTD currently runs two commuter routes from Stockton to Downtown Sacramento, 163 (via SR 99) and 165 (via I-5). Both routes currently travel northbound in the morning, terminating on N Street near the State Capitol, and provide return service in the afternoon. RTD's proposal would allow NEMT passengers to access medical destinations within 2 miles of the existing terminus of the routes after the commuting passengers have been dropped off. Medical destinations include Kaiser Medical Center, Sutter Medical Center, UC Davis Medical Center, Cares Community Health, C.O.R.E. Medical Clinic, Inc. and Sacramento Naturopathic Medical Center. Passengers would request NEMT service in advance and pay the regular fare of \$7 per trip for the commuter bus.

Dispatch and Connecting Services

To coordinate the various NEMT services offered, RTD will establish a centralized booking and dispatch system. San Joaquin County residents who wish to request NEMT service will call RTD in advance to book the service. RTD will assist the passenger in identifying the appropriate service based on the desired origin and destination (e.g. StaRT service, RTD/ACE to Bay Area, or RTD to Sacramento), and arrange connecting service if needed.

Connecting service to the San Joaquin County origin points for StaRT, RTD, and ACE services could be arranged through RTD. RTD has already contracted with Uber to provide the RTD Go! service, which could be expanded and used for this purpose. Passengers with special needs or requiring ADA-accessible service may use Journey Via Gurney, who is also under contract with RTD. NEMT passengers would be charged \$3 per trip for either of these services. In addition, it is expected that a certain percentage of the passengers will arrange their own connecting transportation, such as being dropped off by a family member or friend.

Marketing, Launch of Service and Evaluation

RTD and SJCOG are currently conducting a survey, distributed to health care providers and human service agencies, to estimate demand for the NEMT services, with preliminary results indicating strong support for the services to be offered.

Upon Board approval of the use of STA funds for operation of the service, RTD has identified the following short-term implementation steps:

- Develop and implement marketing campaigns (radio, TV, newspaper)
- Conduct community outreach
- Develop program management plan:
 - Develop application form
 - Develop customer database

After pilot implementation of one year, RTD and SJCOG staff will evaluate the program in terms of ridership, costs and other factors, and present the results to the Board for further direction.

COMMITTEE ACTIONS:

The Technical Advisory Committee (TAC) and Management & Finance Advisory Committee (M&F) had not met at the time of the “mail out” of this item to the Board. The actions taken by those committees will be noted as part of the record and may be reported verbally if requested by the Board.

NEXT STEPS:

- If approved, RTD will begin implementation of NEMT service plan immediately, starting with the marketing, outreach and program management steps identified above.
- RTD and SJCOG will evaluate the pilot service and return to the Board for further direction within one year.

ATTACHMENTS:

- Attachment A: RTD Presentation to SJCOG Board on NEMT Service Plan, October 26, 2017

Report prepared by Rob Cunningham, Senior Regional Planner.



Non-Emergency Medical Transportation Service Plan

San Joaquin Council of Governments Board
of Directors Meeting

October 26, 2017

Donna DeMartino – Chief Executive Officer

Stanislaus County Existing Service

Bay Area service via StaRT Medivan

- Access to medical facilities in Livermore, Oakland, San Francisco, Menlo Park, Stanford and Palo Alto
- Costs \$260,000 annually

One option per day, four trips per week

All passengers ride the same route and make all stops along the way

San Joaquin RTD Proposal

- Stanislaus = 1 option per day
San Joaquin = 16 options per day
- Stanislaus = \$260,000 annual cost
San Joaquin = \$180,000 annual cost
(based on 2,600 trips per year)

San Joaquin RTD Service Proposal

- **Connecting daily service** to Bay Area and Sacramento
 - Bay Area service via StaRT Medivan
 - Access to medical facilities in Livermore, Oakland, San Francisco, Menlo Park, Stanford and Palo Alto
 - Bay Area service via RTD Commuter Route 150 and ACE
 - RTD – Nine round trips daily, five days per week
 - ACE – Four round trips per day, five days per week
 - Access to all Bay Area and San Jose medical facilities
 - Sacramento service via RTD routes 163 and 165
 - Access to medical facilities in Sacramento including: Kaiser Medical Center, Sutter Health Medical Center, UC Davis Medical Center, Cares Community Health, C.O.R.E. Medical Clinic and Sacramento Naturopathic Medical Center etc.

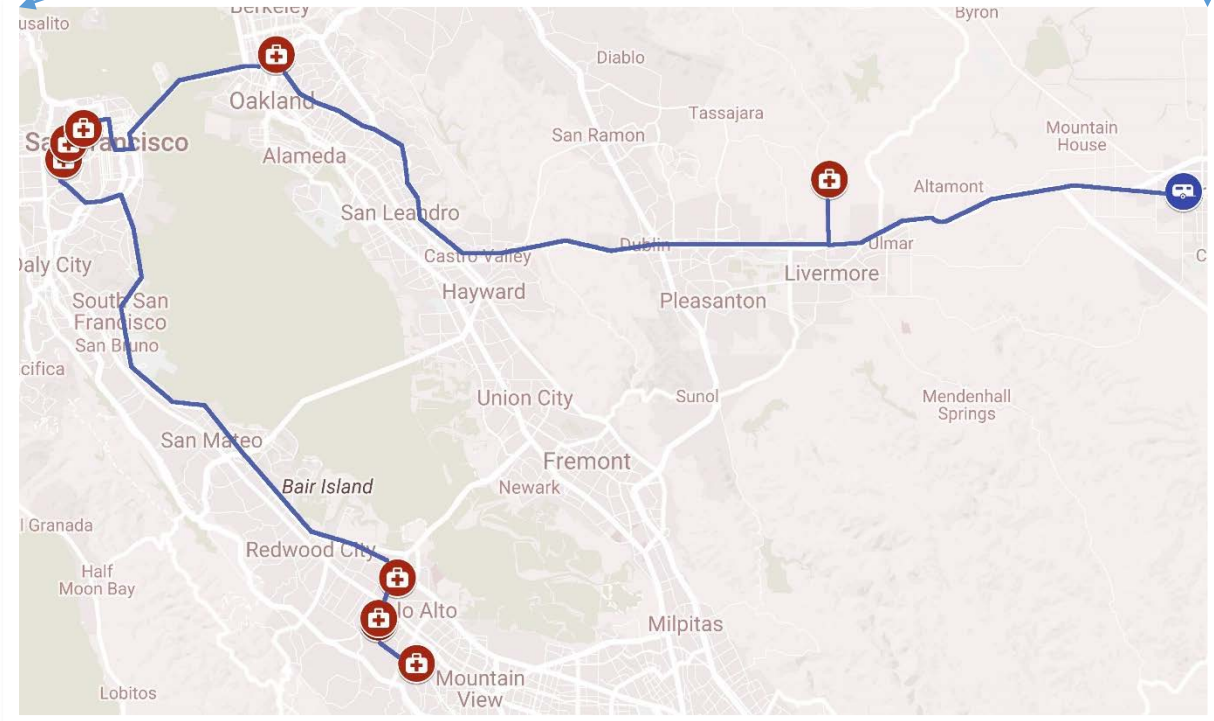
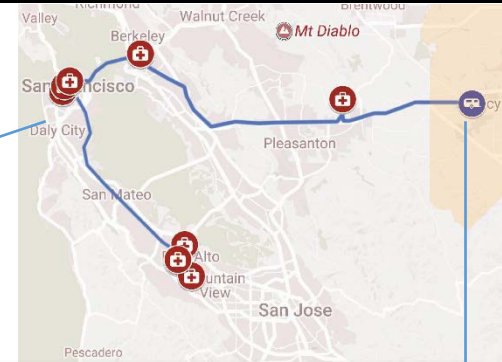
NEMT Service to Bay Area

San Joaquin County (Uber/JVG coverage)



Examples of Medical Facilities






- UCSF Benioff Children's Hospital Oakland
- Stanford Hospital
- Livermore VA Hospital
- UCSF Medical Center
- Menlo Park VA Hospital
- Palo Alto Veterans Hospital
- St.Mary's Medical Center
- Lucile Packard Children's Hospital
- Mt. Zion Clinic

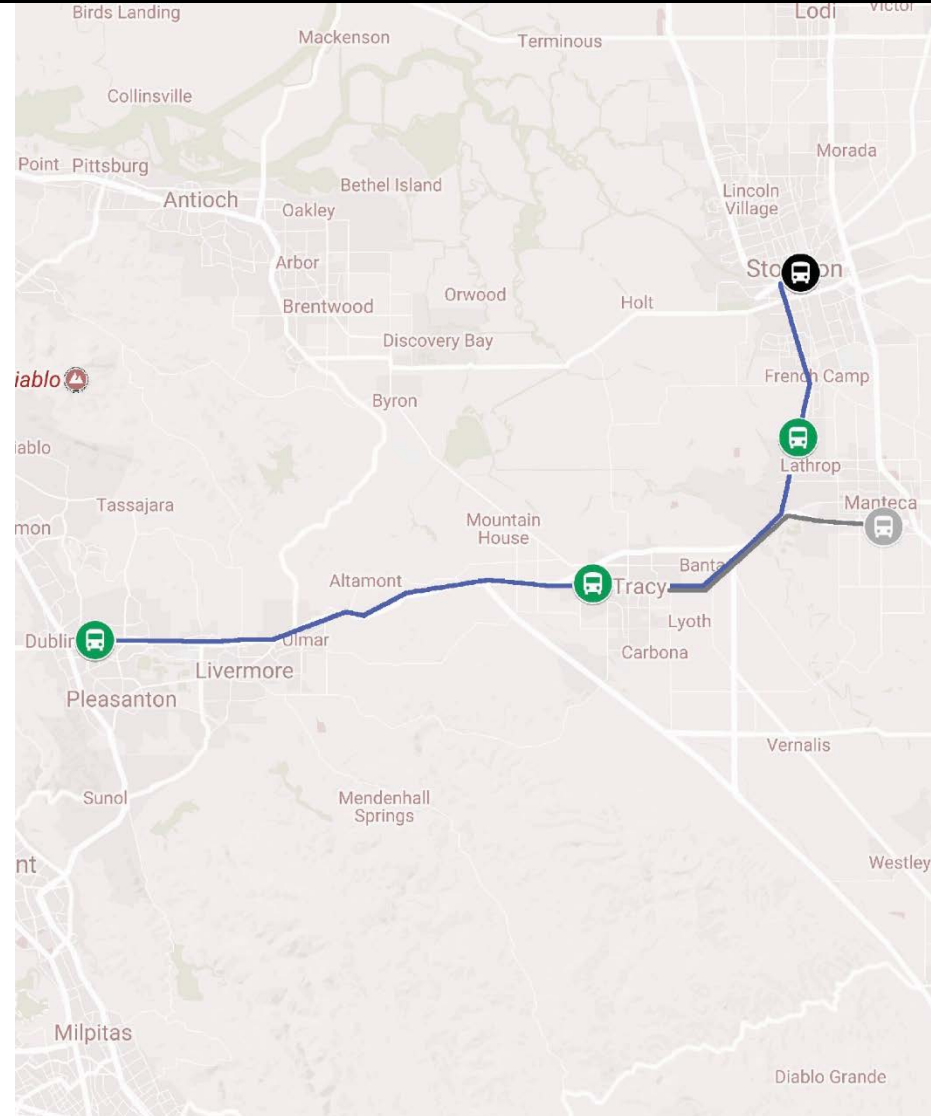


Bay Area Service via RTD Commuter Route 150

- Stockton to Bay Area
- 9 daily trips, M – F

150

-  Stockton
-  Lathrop
-  Tracy
-  Manteca Shuttle
-  Dublin/BART



ACE Connection to Bay Area

- Stockton to Bay Area
- 4 daily trips, M – F

- ● Stockton
- ● Lathrop/Manteca
- ● Tracy
- ● Vasco Rd
- ● Livermore
- ● Pleasanton
- ● Fremont
- ● Great America
- ● Santa Clara
- ● San Jose



NEMT Service to Sacramento via RTD Commuter Routes 165 & 163



San Joaquin County (Uber/JVG coverage)

165



N St/14thSt (Last Stop for Commuter)

163



N St/15th St (Last Stop for Commuter)

Examples of Medical Facilities



Kaiser Medical Center



Sutter Medical Center



UC Davis Medical Center



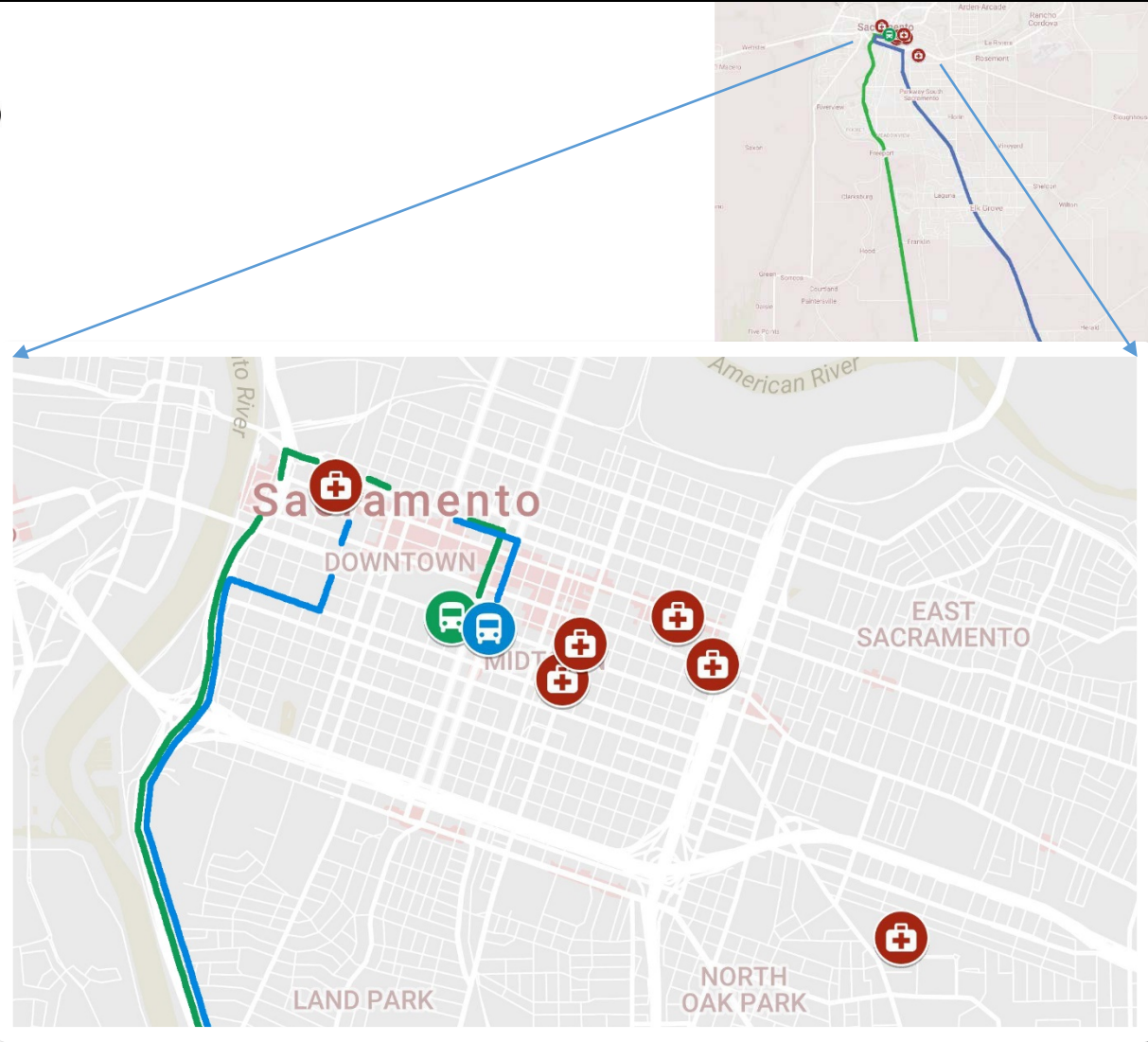
Cares Community Health



C.O.R.E. Medical Clinic, Inc.



Sacramento Naturopathic Medical Center



Cost Estimates

Initial Startup	
• Startup	\$15,000.00
• Marketing and Outreach	\$15,000.00
Fixed Cost	Annual Cost
• Program Management, Customer Service, Reservations, Dispatch	\$50,000.00
Variable Cost	Amount
• Cost per Trip	\$50.00
Proposed Passenger Fare	Cost per Trip
• Connection Service to Pick-up Location	\$3.00
• StaRT Medivan	\$11.00
• Bay Area Service (150)*	\$7.00
• Sacramento Service (165 & 163)	\$7.00
• ACE Service to Bay Area	\$7.25 – \$14.50

*Free for ADA-certified customers enrolled in RTD's FREEdom program



DRIVEN BY PRIDE

Next Steps

- Currently, survey (distributed to health care providers and human services agencies) is being conducted to gauge the demand for additional transportation services
- Short-term plan (2–3 months)
 - Bring item back to SJCOG Board of Directors (BOD) Meeting in November
 - Provide a detailed service plan
 - If BOD approves plan, launch the program
 - Develop and implement marketing campaigns (radio, TV, newspaper)
 - Conduct community outreach
 - Develop program management plan
 - Develop application form
 - Develop customer database
- Long-term plan (1 year)
 - Financial reconciliation and review with SJCOG staff at end of pilot program



AGENDA ITEM **4D**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: Extension of the Engagement of
Independent Auditor for the San Joaquin
Council of Governments Audit and
Transportation Development Act Fiscal and
Compliance Audits

RECOMMENDED ACTION: Recommend the Board Approve an
extension for 1 year with Brown Armstrong
Accountancy Corporation for FYE June 30,
2018 with SJCOG

SUMMARY:

In 2013 The San Joaquin Council of Governments requested proposals from qualified accountancy firms to perform professional auditing services for the fiscal year ending June 30, 2013 with options to extend the engagement for four additional years. The engagement was awarded to Brown Armstrong Accountancy (BAC).

BACKGROUND:

SJCOG, acting as both the Metropolitan Planning Organization, Regional Transportation Planning Agency and Local Transportation Authority, is required to have an independent audit of its financial statements and, as the administrator of the Transportation Development Act (TDA) in San Joaquin County, is required to have fiscal and compliance audits prepared for all TDA claimants. For the past ten years, SJCOG has retained Brown Armstrong Accountancy to conduct these audits.

SJCOG staff's intent was to disseminate a Request for Proposal to qualified certified public accountant firms for the upcoming fiscal year audit ending June of 2018. However, due to the implementation of a new accounting and program management system with Tyler Technologies Inc., SJCOG staff believes it would be beneficial to extend Brown Armstrong's services one more year, since this year's audit will be predominantly electronic. SJCOG has converted most financial information to an electronic format and BAC is familiar with SJCOG's records. The complexity of the federally-mandated single audit and TDA fiscal and compliance audits is difficult in usual circumstances, however, with the transition to the new system, it is more so. Maintaining BAC's engagement provides a team that is familiar with SJCOG's previous system and is invaluable to assure a quality and complete audit in a timely manner.

Brown Armstrong Accountancy has provided SJCOG with very capable staff in the past who are knowledgeable and a great resource to the agency. To maintain independence, BAC has changed audit managers every couple of years. BAC has been available to discuss various issues throughout the years and, during this time of transition, they will be most helpful in providing SJCOG with the guidance and expertise necessary to move forward with electronic record keeping that is up to audit standards.

RECOMMENDATION

That Board approve an extension of the engagement with Brown Armstrong Accountancy that would continue through the audit of fiscal year ending June 30, 2018.

FISCAL IMPACT

Resources for audit services are included in the SJCOG Annual Financial Plan and the OWP. The most recent agreement with BAC for the fiscal year ending June 30, 2017 was not to exceed \$107,030 and SJCOG does not anticipate this year's audit to be much more than this amount.

AGENDA ITEM **4E**



November 2017
Board of Directors

STAFF REPORT

- SUBJECT:** 2017 Federal Transportation Improvement Program (Type 3) Amendment #18
- RECOMMENDED ACTION:** (1) Approve Formal Amendment #18 to the 2017 Federal Transportation Improvement Program; and
(2) Adopt Resolution R-18-16

SUMMARY:

Every Federal transportation dollar in San Joaquin must be identified in the San Joaquin Council of Governments (SJCOC) Federal Transportation Improvement Program (FTIP) before the funds can be expended. The FTIP is the four-year capital improvement program that provides any agency receiving the federal funding the authority to move forward to seek approval to expend the funds. If a project is not identified in the FTIP, an amendment to the plan is needed. There are different “types” of amendments, depending on the change proposed.

Amendment #18 is a Type 3 amendment which adds projects that are exempt from conformity into the FTIP. The amendment would modify two and add three new listings. Notations in CTIPS for the 2017 FTIP are added as follows:

- CTIPS ID 212-0000-0315: Grouped Projects for Roadway Preservation - SHOPP: adds new project 1C500 with \$38,094,000 of SHOPP funds in FY 2019/20.
- CTIPS ID 212-0000-0437: SJRRC Equipment Maintenance Facility: adds existing listing back into 2017 FTIP with \$2,172,538 of FTA 5337 funds in FY 2017/18.
- CTIPS ID 212-0000-0690: Preventative Maintenance: adds existing listing back into 2017 FTIP with \$246,138 of FTA 5307 funds and \$342,423 of FTA 5337 funds in FY 2017/18.
- CTIPS ID 212-0000-0691: Positive Train Control: adds existing listing back into 2017 FTIP with \$135,641 of FTA 5307 funds in FY 2017/18
- CTIPS ID 212-0000-0719: Miner Avenue Complete Streets: shifts \$670,000 of ATP funds for PS&E Phase from FY 2019/20 to FY 2017/18, and adds \$3,816,000 of ATP funds for CON Phase in FY 2018/19.

The details behind the amendment can be found at www.sjcog.org/ftip which was posted for the required seven day review period.

The changes made by Amendment #18 do not interfere with the conformity analysis years as assumed for the regional emissions analysis of the currently conformed and amended 2014 RTP and 2017 FTIP.

The cost changes for these projects do not interfere with the timely implementation of any approved Transportation Control Measures (TCMs). The 2017 FTIP as amended meets all the applicable transportation planning requirements per 23 Code of Federal Regulations (CFR) Part 450.

RECOMMENDATION:

SJCOG staff is recommending the SJCOG Board approve FTIP (Type 3) Amendment #18 to the 2017 FTIP and Adopt Resolution R-18-16.

FISCAL IMPACT:

Approval of the SJCOG staff recommendation would allow four Active Transportation Program projects and several transit projects to move forward. In total, these adjustments amounts to a total of increase of \$44,806,740 over the four year FTIP period (FY 2016/17 – FY 19/20).

BACKGROUND:

The Federal Transportation Improvement Program (FTIP) is a financially constrained transportation programming document developed by SJCOG through its member agencies and in cooperation with State and Federal agencies and the eight San Joaquin Valley counties.

The premise behind an FTIP is that it serves as the incremental implementation (four years) of the long-range Regional Transportation Plan (RTP). The FTIP also serves to present federal funding agencies with manageable components to fund the long-range plan. If any amendments made to the FTIP cause a change in the financial element, it is required that a revised financial plan be open to public comment. SJCOG is proposing a Type 3 amendment to the 2017 FTIP (FY 2016/17 – FY 19/20). This amendment consists of the addition of new projects exempt from Air Quality Conformity.

PUBLIC INPUT:

SJCOG posted the amendment documentation on the SJCOG website on November 9, 2017 at www.sjcog.org. Comments can be accepted on the FTIP Amendment #18 until 12:00 pm on November 16, 2017. The SJCOG Board is anticipated to take action on Amendment #18 at the November 16, 2017 meeting. State and Federal approval of this amendment is required.

ATTACHMENTS:

1. Resolution R-18-16



RESOLUTION SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-18-16

RESOLUTION APPROVING (TYPE 3) AMENDMENT #18 TO THE 2017 FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM (FTIP)

WHEREAS, the San Joaquin Council of Governments (SJCOG) is a Regional Transportation Planning Agency and a Metropolitan Planning Organization (MPO), pursuant to State and Federal designation; and

WHEREAS, federal planning regulations require MPOs to prepare and adopt a long range Regional Transportation Plan (RTP) for their region; and

WHEREAS, federal planning regulations require that MPOs prepare, adopt, and maintain a Federal Transportation Improvement Program (FTIP) for their region; and

WHEREAS, the 2017 Federal Transportation Improvement Program Amendment #18 (2017 FTIP Amendment #18) has been prepared to comply with Federal and State requirements for local projects and through a cooperative process between the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), the State Department of Transportation (Caltrans), principal elected officials of general purpose local governments and their staffs, and public owner operators of mass transportation services acting through the SJCOG forum and general public involvement; and

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 program listing is consistent with: 1) the 2014 Regional Transportation Plan; 2) the 2016 State Transportation Improvement Program; and 3) the Corresponding Conformity Analysis; and

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 contains the MPO's certification of the transportation planning process assuring that all federal requirements have been fulfilled; and

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 meets all applicable transportation planning requirements per 23 CFR Part 450.

WHEREAS, projects submitted in the 2017 FTIP (Type 3) Amendment #18 must be financially constrained and the financial plan affirms that funding is available; and

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 is consistent with the adopted Conformity Analysis for the 2017 FTIP and the 2014 RTP; and

Page 2 of 2
R-18-16

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 does not interfere with the timely implementation of the Transportation Control Measures; and

WHEREAS, the 2017 FTIP (Type 3) Amendment #18 conforms to the applicable SIPs; and

WHEREAS, a 7-day public review process occurred from November 9, 2017 to November 16, 2017 consistent with the SJCOG adopted Public Participation Plan.

NOW, THEREFORE, BE IT RESOLVED, that the SJCOG approves the formal 2017 FTIP (Type 3) Amendment #18; and

THE FOREGOING RESOLUTION was passed and adopted by the SJCOG this 16th day of November 2017.

AYES:

NOES:

ABSENT:

KATHERINE MILLER
Chair

AGENDA ITEM **4F**



STAFF REPORT

SUBJECT: Fiscal Year 2017-18 Transportation Development Act (TDA) Claim from the City of Stockton

RECOMMENDED ACTION: Motion to Endorse Executive Director's Action Approving the Claim and Adopt Resolution #R-18-17 that Documents Board Actions

DISCUSSION:

The City of Stockton has submitted a Transportation Development Act (TDA) Claim for the Fiscal Year 2017-18. The total claim is for \$57,003 in previous year's unclaimed Local Transportation Funds.

To summarize, City of Stockton's TDA claim is for the following purposes and amounts:

CITY OF STOCKTON 2016-17 TDA CLAIM:		
Fund	Amount	Purpose
LTF	\$57,003	Previous Year's Unclaimed Apportionment Pedestrian and Bicycle: Article 3 (PUC 99234)
Total TDA Claimed	\$57,003	Total LTF Claimed
Less:	(0)	Unexpended Carryover/Administration
Net Funds Due:	<u>\$57,003</u>	Net TDA Funds to be paid to Claimant

The City of Stockton is completely within the SJRTD boundaries and SJRTD, as the transit service provider for Stockton, statutorily receives the population based apportionment for general transportation purposes. Stockton is, therefore, only eligible for Bike & Ped apportionments. The amount being claimed is available and the intended claim purposes are eligible expenses.

FISCAL IMPACT

This claim represents a primary source of funding for pedestrian and bicycle projects for the City of Stockton.



RESOLUTION
SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-18-17

**RESOLUTION ALLOCATING LOCAL TRANSPORTATION FUNDS
 TO THE CITY OF STOCKTON
 FOR FISCAL YEAR 2017-18**

WHEREAS, the San Joaquin Council of Governments is the regional transportation planning agency;
 and

WHEREAS, under law and regulation in the Public Utilities Code, Sections 99230 and 99235, and California Administrative Code, Section 6659, the San Joaquin Council of Governments is authorized to accept claims against and make allocations from the San Joaquin County Local Transportation Fund (LTF); and

WHEREAS, the above cited laws and regulations require that certain details be specified in the adopting resolution; and,

NOW THEREFORE, BE IT RESOLVED that the following claimant be allocated for fiscal year 2017-18 the indicated amounts for the indicated purposes:

CITY OF STOCKTON 2017-18 TDA CLAIM:		
Fund	Amount	Purpose
LTF	\$57,003	Previous Year's Unclaimed Apportionment Pedestrian and Bicycle: Article 3 (PUC 99234)
Total TDA Claimed	\$57,003	Total LTF Claimed
Less:	(0)	Unexpended Carryover/Administration
Net Funds Due:	<u>\$57,003</u>	Net TDA Funds to be paid to Claimant

Resolution #R-18-17

Page 2

BE IT FURTHER RESOLVED that these funds will be paid to the claimant quarterly when sufficient funds become available and are deposited with the San Joaquin County Treasurer.

BE IT FURTHER RESOLVED THAT the funds being allocated represent two percent or less of the jurisdiction's total annual apportionments and therefore represents funds not subject to the unmet transit needs finding (99233.3).

PASSED AND ADOPTED this 16th day of November 2017 to wit:

AYES:

NOES:

ABSENT:

KATHERINE MILLER
Chairman

AGENDA ITEM **5A**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: 2018 State Transportation Improvement Program (STIP) Funding Recommendations

RECOMMENDED ACTIONS: Recommend that the SJCOG Board:

- 1) Approve STIP Programming as identified in Exhibit A.
- 2) Approve programming the estimated \$2.1 million of SJCOG's Formulaic share of the Local Partnership Program, subject to adjustment based upon the exact amount available and \$1.7 million from the Regional Share of the Regional Transportation Impact Fee Program (RTIF) for the State Route 99/120 Connector Project
- 3) Approve \$1 million from the Regional Share of the Regional Surface Transportation Program (RSTP) for the City of Ripon's Stockton Avenue Project

SUMMARY:

The State Transportation Improvement Program (STIP) is a five-year capital improvement program of transportation projects, updated every two years. The STIP is divided with 75% of the funding going to the regions. Each regional agency must prepare a Regional Transportation Improvement Program (RTIP). The remaining 25% is devoted to the Interregional Transportation Improvement Program (ITIP), consisting of interregional priorities set by Caltrans in collaboration with the regions. On October 13, Caltrans released its ITIP recommendations. The ITIP recommendations did not include funding for any projects in San Joaquin County. Subsequently, SJCOG staff developed a series of programming recommendations detailed in Attachment A and summarized in Tables 1 and 2 below.

Table 1: Existing 2016 STIP Projects Carried Over Into 2018 STIP

Project Sponsor	Project Title	Existing STIP Funding	Proposed Fiscal Year
Manteca	SR 120/McKinley Avenue Interchange	\$12,300,000	Shift from 2019/20 to 2018/19
Tracy	MacArthur Drive Widening (Schulte Road to Valpico Road) ⁽¹⁾	\$3,194,000	2016/17, No Change
SJCOG	Planning, Programming, and Monitoring	\$200,000	2018/19, No Change
	TOTAL =	\$15,694,000	

Table 2: Proposed New 2018 STIP Projects

Project Sponsor	Project Title	Proposed New STIP Funding	Proposed Fiscal Year
Caltrans	SR 99/120 Connector Project	\$13,550,000	2018/19 and 2020/21
Caltrans	I-205 Tracy HOV 8 Lane Widening Corridor: Alameda County Line to I-5 - PA&ED ⁽²⁾	\$12,359,000	2018/19
Caltrans	I-205 Tracy HOV 8 Lane Widening – Segment 1: Alameda County Line to Eleventh Street – PS&E	\$7,179,000	2021/22
SBCAG	U.S. 101 HOV Lanes - Carpinteria Creek to Sycamore Creek (in Santa Barbara County) ⁽³⁾	\$8,853,000	2019/20
SJCOG	Planning, Programming, and Monitoring	\$1,754,000	Multiple
	TOTAL =	\$43,695,000	

⁽¹⁾ Project granted 16-month Time Extension June 2017

⁽²⁾ Programming includes \$4.954 million of Advanced Project Development Element STIP shares

⁽³⁾ Repayment for November 2012 revenue neutral exchange of \$8.853 million of Santa Barbara County Association of Governments (SBCAG) State and Local Partnership Program funds

SJCOG staff continues to work with Caltrans staff on the possibility of receiving State Highway Operations and Protection Program (SHOPP) funding for the SR 99 / SR 120 Connector project. However, because the exact amount of SHOPP funding Caltrans can officially commit to the project will not be known, until after the RTIP submittal date, the Draft 2018 RTIP will propose the remaining \$36,850,000, for the Construction Capital phase, be funded through the statewide competitive program for the Senate Bill 1 Solutions for Congested Corridors Program. If funding is not realized from the SHOPP or the Solutions for Congested Corridors Program, SJCOG staff will return to the SJCOG Board to determine another programming recommendation.

For the I-205 Tracy HOV 8 Lane Widening project, sufficient STIP funds and Advanced Project Development Element (APDE) STIP funds are available to fully fund the PA&ED phase for the entire corridor. However, only sufficient STIP funds are available to fund the PS&E phase for the

first segment between the Alameda County Line and Eleventh Street. SJCOG staff believes this infusion of STIP funds will help the project leverage additional state and federal competitive funds to deliver the entire corridor. If insufficient funding isn't available in the future, the project can continue to be segmented to be delivered in phases, similar to the previous six lane widening of I-205 that occurred in the late 1990s (I-580 to Eleventh Street) and mid 2000s (Eleventh Street to I-5).

Insufficient STIP funds remain to fund the next highest priorities, the City of Tracy's I-580/International Parkway/Patterson Pass Road Interchange and I-205/International Parkway/Mountain House Parkway Interchange. SJCOG staff will continue to support the Tracy's efforts to deliver these projects, and assist as a partner, to secure funds from the various competitive trade corridor programs Tracy is pursuing—including the federal INFRA program.

In addition to the STIP funding recommendations, SJCOG staff have developed a number of related programming recommendations for consideration:

Senate Bill 1 Local Partnership Program Formulaic Share

Senate Bill 1 includes funding for a new Local Partnership Program, with 50% of the funds being available on a competitive basis statewide and 50% by formula to each of the entities with voter approved transportation sales taxes, property taxes, tolls, or development fees. The exact formulaic amount available to SJCOG in the first cycle (Fiscal Year 2017/18 and 2018/19) of the program will not be known until draft figures are released by the California Transportation Commission (CTC) on November 13 and adopted by the CTC on December 6-7. However, based upon the previous, similar State Local Partnership Program funded through Proposition 1B, SJCOG staff believes the funding amount available to SJCOG should be roughly \$2.1 million.

Due to the small funding amount, the critical timelines for submitting proposed projects to the CTC by December 15, and the need for the funds to be allocated in Fiscal Year 2018/19, SJCOG staff did not hold a call for projects for the funds. Recognizing the regional significance of the State Route 99/120 Connector Project, SJCOG staff recommend the estimated \$2.1 million be committed to the Right of Way Capital phase in Fiscal Year 2018/19, subject to adjustment based upon the actual amounts available as identified by the CTC in December.

Regional Share of the Regional Transportation Impact Fee Program

SJCOG staff recommends that \$1.7 million of SJCOG's Regional Share of the Regional Transportation Impact Fee Program (RTIF) be committed to the Right of Way Support phase of the State Route 99/120 Connector Project. \$350,000 of RTIF funds were previously committed to the City of Manteca's project to construct interim improvements at the SR 99/Austin Road Interchange. As that project did not proceed, and the SR 99/120 Connector Project proposes to modify the SR 99/Austin Road Interchange, SJCOG staff recommends repurposing the unspent \$350,000 and supplementing it with an additional \$1.35 million of RTIF funds to fully fund the Right of Way Support phase in Fiscal Year 2018/19. An unprogrammed balance of over \$4.1 million in RTIF regional share funds is available to accommodate these changes.

Regional Share of the Regional Surface Transportation Program

SJCOG staff recommends \$1 million from the Regional Share of the Federal Regional Surface Transportation Program (RSTP) be advanced for the City of Ripon's Stockton Avenue Project. This funding is necessary for the project due to the deletion without prejudice of a like amount of STIP funds from the project in the 2016 STIP process. The use of RSTP funds instead of STIP funds will allow the City to avoid the burdensome administrative process for STIP-funded projects, allowing this project to be delivered sooner in Fiscal Year 2018/19.

RECOMMENDED ACTION:

SJCOG staff recommends the SJCOG Board that they approve the following programming recommendations:

- 1) Approval of STIP Programming Identified in Exhibit A.
- 2) Approve programming the estimated \$2.1 million of SJCOG's formulaic share of the Local Partnership Program, subject to adjustment based upon the exact amount available and \$1.7 million from the Regional Share of the Regional Transportation Impact Fee Program (RTIF) for the State Route 99/120 Connector Project.
- 3) Approve \$1 million from the Regional Share of the Regional Surface Transportation Program (RSTP) for the City of Ripon's Stockton Avenue Project.

FISCAL IMPACT:

At the conclusion of this process, the SJCOG Board will be making programming actions to fund STIP-eligible projects for the \$30.25 million available of STIP funds, \$4.594 million available in Advanced Project Development Element STIP funds, approximately \$2.1 million of Local Partnership Program funds, \$1.7 million of RTIF funds, and \$1 million of RSTP funds.

BACKGROUND:

The California Transportation Commission (CTC) adopts a new STIP every two years. The STIP is a five-year capital improvement program of transportation projects on and off the state highway system, funded with revenues from the State Highway Account and other funding sources. As part of the STIP development process, each region in California is required to develop a Regional Transportation Improvement Program (RTIP) which consists of the region's priorities for funding for 75% of the funding in the STIP. Concurrent with the RTIP development at the regional level, Caltrans works to put together funding recommendations for the remaining 25% of funding in the Interregional Transportation Improvement Program (ITIP), which consists of interregional priorities set by Caltrans in collaboration with the regions. Caltrans merges the regions' RTIPs and Caltrans' ITIP into the statewide document, the STIP, and submits it to the CTC for approval.

The STIP is generally our region’s largest source of capital funding besides the Measure K program. STIP funding may be used for highway/roadway widening, traffic operational improvements and transit capital improvements. All project development phases from environmental through construction are eligible for funding. A new project must have a Project Study Report (PSR) or equivalent to support the programming of project costs.

2018 STIP Fund Estimate Programming Implications

Per the adopted 2018 STIP Fund Estimate, as prepared by CTC staff, approximately \$39.101 million of RTIP funds are anticipated to be available to the SJCOG region for programming in the STIP. Of this amount, approximately \$30.25 million will be available for programming new projects after the repayment of \$8.853 million to the Santa Barbara County Association of Governments (SBCAG).

Table 1: San Joaquin Region RTIP Programming Target

San Joaquin Region RTIP Target Share	\$39.101 million
Repayment to Santa Barbara CAG	(\$8.853 million)
Net Total Programming Target	\$30.248 million

This \$30.25 million in funding consists of new funding capacity and an unprogrammed balance of RTIP funds that could not be programmed in the 2016 STIP due to lack of capacity. The new capacity also includes funding that was deleted from the City of Ripon’s Stockton Avenue Widening Project (\$1 million), and the City of Lodi’s State Route 99/Turner Road Operational Improvements Project (\$3.061 million) due to significant decreases in gasoline price based excise tax revenue that had necessitated \$754 million of project deletions statewide in the 2016 STIP. Also, while the 2018 STIP Fund Estimate identifies new programming capacity throughout California, CTC staff has indicated there will be a decreasing amount of federal funding in the STIP over time as a result of state transportation funding changes from Senate Bill 1. CTC staff is encouraging the regions to continue programming projects that are eligible for federal funding, however, over time, more “state-only” funds will become available for projects to be programmed in the STIP.

Based upon current STIP revenue sources and the diversion of Public Transportation Account revenues to the Transit and Intercity Rail Capital Program under Senate Bill 1, transit capital projects must either be eligible for funding from the State Highway Account, as specified through Article XIX of the California Constitution, or be funded from the federal revenues in the STIP with the non-federal match requirement provided by the project sponsor from a non-STIP source. However, San Joaquin County has never passed a ballot measure allowing the use of State Highway Account funds for transit purposes, and as a result, any potential transit project would have to be programmed with federal funds.

In addition, STIP revenues are forecast to be stable enough to allow funding to be available for Advanced Project Development Element (APDE) for the first time since 2002. APDE allows a region to advance a percentage of their future STIP shares to provide funding for the Project Approval and Environmental Document (PA&ED) and Plans, Specifications and Estimates

(PS&E) phases. The target for APDE is determined by calculating the STIP formula share of the estimated capacity to be available for APDE, which is forecast to be \$4.594 million for the San Joaquin region. Projects programmed using APDE capacity are identified and tracked separately by the CTC as they are treated as advances of the regular STIP funds from future STIP cycles. As such, the SJCOG Board can recommend programming \$4.594 million in addition to the \$30.25 million discussed above.

Existing Commitments of RTIP Funding

In November 2012, the SJCOG Board approved the revenue neutral exchange of \$8.853 million in SJCOG's 2014 RTIP shares with \$8.853 million in State and Local Partnership Program (SLPP) from the Santa Barbara County Association of Governments (SBCAG). This action included the prioritization of the programming of an \$8.853 million project for SBCAG in SJCOG's 2014 RTIP. Through this exchange, SJCOG could immediately exchange the \$8.8 million of SBCAG's SLPP funds for \$8.853 million of Measure K Renewal funds committed to the State Route 99 South Stockton Widening project. The SJCOG Board subsequently included in their action dedicated the \$8.853 million in Measure K funds freed from the State Route 99 South Stockton Widening project to fully fund the construction of the County's Lower Sacramento Road Widening (Pixley Slough to Harney Curve) project. Due to significant decreases in gasoline price-based excise tax revenue, this project was deleted without prejudice by the CTC to fiscally constrain the 2016 STIP. SBCAG requested the funding for this project be restored in the 2018 STIP and be programmed in FY 2019/20.

Approximately \$16 million in RTIP was previously programmed in the 2016 STIP for projects in the SJCOG region. The majority of this funding has been previously allocated by the CTC for projects in construction including the City of Manteca's State Route 120/McKinley Avenue Interchange project (\$12.3 million) and the City of Tracy's MacArthur Drive Widening and Reconstruction project (\$3.194 million). The remaining commitments of RTIP funding included funding for SJCOG's Planning, Programming, and Monitoring (PPM) functions.

2018 STIP Project Candidates

In response to the request that \$754 million of projects throughout the state be deleted as part of the 2016 STIP, the CTC developed a set of priorities for STIP projects. Through these priorities, the CTC established their preferred project types. These priorities focused sharply on safety and expansion of the state highway system, and capacity expansion of inter-regional transit. Local road rehabilitation and reconstruction projects received low priority and were generally deleted from the STIP. SJCOG staff also discussed previously that local project delivery delays and defunding have hampered successful delivery of local projects with STIP funds. Given the feast or famine nature of STIP funding levels (e.g., a repeal of Senate Bill 1 could create another substantial funding shortfall), SJCOG staff identified project selections on the State Highway System. These were presented to SJCOG committees in August and September, where SJCOG staff sought additional input and refinement. The list of projects under consideration included:

- Caltrans: I-205 Widening
- Caltrans: I-5 Mossdale Widening
- Caltrans: SR 120 Widening
- Caltrans: SR 99 / SR 120 Interchange
- Lathrop: I-5 / Louise Avenue Interchange
- Lodi: SR 99 / Harney Lane Interchange
- Manteca: SR 120 / McKinley Avenue Interchange
- Ripon: Ripon Multimodal Station
- San Joaquin County: Grant Line Road Corridor Improvements
- Stockton: I-5 North Stockton (Hammer Lane to Eight Mile) Widening
- Stockton: SR 99 / Eight Mile Interchange
- Tracy: I-205 / Lammers Road / Eleventh Street Interchange
- Tracy: I-205 / Mountain House Parkway / International Parkway Interchange
- Tracy: I-580 / International Parkway / Patterson Pass Road Interchange

The combined funding needed from projects under consideration is in the hundreds of millions of dollars. With \$30.25 million available in programming (and \$4.594 million in APDE additionally available), the need far outweighs the funding available.

SJCOG staff prepared an analysis to help determine how well a project aligns with the CTC's standards, as well as the standards that SJCOG has historically used in making STIP recommendations. Among the criteria were:

- Statewide significance: improvement that offers inter-regional benefit; primary indicator of a project's strength as a STIP candidate.
- Regional benefit: projects that lack statewide significance may provide significant regional benefit worthy of consideration.
- Project Readiness, Committed Funds, and Potential to Leverage Funds: Standard criteria for any agency distributing funds. Increased likelihood of project delivery strengthens a project's candidacy for STIP.
- Requesting Capital Funding: Project is seeking funding for capital expenses, such as construction.
- Measure K Project: SJCOG would like to expedite projects in the Measure K program.
- Project Study Report: must be completed to be eligible for STIP.

This analysis was circulated to all committees and the SJCOG Board in September for review and discussion. Based on the SJCOG staff analysis, the following projects best aligned with the established criteria:

- **Caltrans: SR 99 / SR 120 Interchange.** Project receives highest marks under all categories. The interchange is of high interregional/statewide significance, and has significant operational and safety challenges that the SJCOG Board would like addressed. As such, the project has been SJCOG's highest priority regional project.

- **Caltrans: I-205 Widening.** Project receives high marks under statewide significance and regional benefit. I-205 carries one of the largest traffic loads in the region, and experiences significant congestion.
- **Manteca: SR 120 / McKinley Avenue Interchange.** Project already has an existing \$12.3 million programmed in STIP, and accordingly receives high marks under all categories. However, given the existing STIP, Measure K, and RTIF funding commitments to this project, it is SJCOG staff's position that the existing regional contribution to the project is commensurate with its overall regional benefit, and no additional STIP funding is recommended.
- **Tracy: I-205 / Mountain House Parkway / International Parkway Interchange.** Project receives moderate scores against the analysis criteria. As an interchange project with only moderate statewide significance, the project would not be as high a priority to the CTC. However, the project can be constructed within the period of the 2018 STIP.
- **Tracy: I-580 / International Parkway / Patterson Pass Road Interchange.** Project receives moderate scores against the analysis criteria. As an interchange project with only moderate statewide significance, the project would not be as high a priority to the CTC. However, the project can be constructed within the period of the 2018 STIP.

Based on this evaluation, the SR 99 / SR 120 Interchange and I-205 Widening projects were determined to be the highest priority projects. Given the size of each project, the \$30 million under consideration will only be able to fund specific project development phases (i.e., only engineering design, or only right-of-way, etc.). SJCOG staff has provided brief funding summaries for each project below:

Table 2: Estimated Project Cost Summaries for High Priority Projects

Project	Estimated Project Cost	PA&ED	PS&E	ROW	CON
SR 99 / SR 120 Interchange	\$60.5 M	\$1.8 M	\$4.5 M	\$5.9 M	\$48.3 M
I-205 Widening	\$411.2 M	\$12.4 M	\$25.4 M	\$7.2 M	\$366.2 M

Both projects are in preconstruction phases, and are seeking funding to proceed as soon as possible. Regarding the SR 99 / SR 120 Interchange project, SJCOG staff is working with Caltrans to partner in funding and delivering the project. The project is anticipated to be competitive for funding from the SHOPP. A commitment of RTIP will help to leverage other funding needed to complete its overall funding package.

Overall (rough estimate) cost summaries for all other projects are shown below:

Table 3: Estimated Project Cost Summaries for Remaining Projects

Agency	Project	Estimated Project Cost
Lathrop	I-5 / Louise Avenue Interchange	\$29 M
Manteca	SR 120 / McKinley Avenue Interchange	\$46 M
SJ County	Grant Line Road Corridor Improvements	\$28 M
Stockton	I-5 North Stockton Widening (Hammer to Eight Mile)	\$125 M
Stockton	SR 99 / Eight Mile Road Interchange	\$93 M
Tracy	I-205 / Lammers Road / Eleventh St Interchange	\$52 M
Tracy	I-205 / Mountain House Pkwy Interchange	\$5 M
Tracy	I-580 / International Pkwy Interchange	\$11 M

SCHEDULE:

- November 16, 2017 – SJCOG Board Meeting Approves 2018 STIP Programming
- November 20, 2017 – Draft 2018 RTIP Released for Public Review
- December 2017 – Date TBD – SJCOG Board Adoption of Final 2018 RTIP
- December 15, 2017 – Submittal of Final 2018 RTIP to CTC
- December 15, 2017 – Caltrans submits Final 2018 ITIP to CTC
- February 28, 2018 – CTC publishes 2018 STIP staff recommendations
- March 21-22, 2018 – CTC adopts 2018 STIP

ATTACHMENT:

A. Proposed 2018 STIP Projects Programming Summary

Prepared by: Ryan Niblock, Senior Regional Planner and David Ripperda, Associate Regional Planner

ATTACHMENT A: STIP FUNDING AVAILABLE AND PROPOSED PROGRAMMING

STIP Funding Available

San Joaquin Region RTIP Target Share (Line 1)	\$ 39,101,000
Repayment to Santa Barbara CAG (Line 2)	\$ 8,853,000

Net Total Programming Target (Line 1 - Line 2)	\$ 30,248,000
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Advanced Project Development Element (APDE) STIP Funds	\$4,594,000
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Summary of STIP Programming Recommendation

STIP Project	Sponsor	Proposed (additional) STIP Funding	Proposed Advanced Project Development Element (APDE) STIP Funding	Proposed Programming Year
SR 120/McKinley Avenue	Manteca	No change to existing STIP commitment.	\$ -	FY 2018/19 (advanced from FY 2019/20)
U.S. 101 HOV Lanes (Santa Barbara CAG loan)	SBCAG	\$ 8,853,000	\$ -	FY 2019/20
SR 99/120 Connector	Caltrans	\$ 13,550,000	\$ -	FY 2018/19 and FY 2020/21
I-205 Tracy HOV 8 Lane Widening Corridor: Alameda County Line to I-5 (PA&ED Only)	Caltrans	\$ 7,765,000	\$ 4,594,000	FY 18/19
I-205 Tracy HOV 8 Lane Widening Segment 1: Alameda County Line to Eleventh Street (PS&E Only)	Caltrans	\$ 7,179,000	\$ -	FY 2021/22
Planning, programming, and monitoring (Five-Year total)	SJCOG	\$ 1,754,000	\$ -	FY 2018/19 - FY 2022/23

STIP Programming Totals (after repayment of SBCAG Loan)		\$ 30,248,000	\$ 4,594,000
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Additional Programming Recommendation for Non-STIP funds

Non-STIP Programming Recommendation	Sponsor	Local Partnership Program (formula to SJCOG)	Regional Transportation Impact Fee (Regional Share)	Regional Surface Transportation Program (Regional Share)
SR 99/120 Connector	Caltrans	\$ 2,100,000	\$ 1,700,000	\$ -
Stockton Avenue	Ripon	\$ -	\$ -	\$ 1,000,000

AGENDA ITEM **5B**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: 2018 Regional Transportation
Plan/Sustainable Communities Strategy
(RTP/SCS) Scenario Performance Update
for Board Direction

RECOMMENDED ACTION: Support Staff's Recommendation to Use
Scenario 2a as the Basis for the Draft
Regional Transportation Plan and
Associated Environmental Impact Report
and to Coordinate with Local Jurisdictions
on Refinement of Draft Transportation
Project Lists, Revenue Assumptions, and
SCS Elements



SUMMARY:

After nearly a year of public, stakeholder, and community partner outreach, SJCOG staff is seeking committee input for a recommendation to the SJCOG Board to use Scenario 2a as the foundation for the Draft 2018 RTP/SCS and Environmental Impact Report (EIR). These includes staff's further coordination with local jurisdictions to refine any changes to project lists, revenue assumptions, or SCS elements since the scenarios were originally presented, or any refinements as a result of committee input and SJCOG Board direction this month.

Over the last several months, staff has presented four possible "scenarios" or transportation futures for consideration as the foundation of the 2018 RTP/SCS. The approach to the 2018 RTP/SCS has been an emphasis on continuation of the goals and strategies from the 2014 plan, which have provided a guide for specific policy and funding decisions made by the SJCOG Board and informed by committee recommendations and actions since the adoption of that plan in June 2014. The 2018 plan update will continue to serve as the general framework for future Board actions. A short description of the four scenarios put forward by staff, identified as 1, 2a, 2b, and 3, is included in the text and graphics on the next page. The attachments to the staff report provide additional information on the scenarios, important performance metrics (including performance in communities of concern), and more detailed information on the second round of public outreach. Transportation model related measures were not final in final form as of the writing of this staff report and will be discussed as part of the presentation at the meeting on November 16.

Scenario 2a preserves the foundational elements of SJCOG's ambitious 2014 plan, while refreshing important planning assumptions, project lists, revenue assumptions, and implementation activities undertaken by SJCOG and local jurisdictions since the 2014 plan was adopted. The staff recommendation for Scenario 2a does not include the additional ¼ cent sales tax assumption from the 2014 plan. As with the 2014 plan, the recommendation captures the ability of the region, within the limits of local general plans, to satisfy the intent of SB375 through its sustainability focused metrics. And, although final updated SB375 greenhouse gas reduction targets have not been finalized by the California Air Resources Board (CARB), Scenario 2a achieves reductions similar to 2014 and is consistent with the target recommendation report provided by SJCOG to CARB staff in December 2016. This recommendation further reflects public input to date, which is further detailed later in the staff report.

Scenario 2b varies from 2a in its total revenue assumption and, thus, funds additional projects across all funding categories. The increased revenue allows for the inclusion of projects that would otherwise be considered “tier 2” – a separate list of projects in the RTP that identify additional funding and project needs but cannot be constrained to forecast revenue.

Scenarios 1 and 3 have the same overall revenue assumption as 2a, but pivots on the investment “theme” in support of possible alternative growth patterns. As noted in the short descriptions in the graphic that follows – Scenario 1's investment focus for the 10% of total funding identified as “flexible” (versus “core”) is on congestion relief on the State highway system and regional roadways, while Scenario 3's investment theme is more transit oriented in support of increased jobs and housing near downtown cores. The scenarios all represent possible futures in San Joaquin County over the next 25 years and are responsive to not only early public outreach, committee input, and Board direction to date for this plan, but also public and stakeholder group comments on the 2014 effort.

What are the Scenarios?

Renewed 2014 RTP/SCS			
1 Scenario 1 no Measure K 3.0	2A Scenario 2A no Measure K 3.0	2B Scenario 2B with Measure K 3.0	3 Scenario 3 no Measure K 3.0
<ul style="list-style-type: none"> Invests in congestion relief through significant expansion and improvements to state highways and regional roadways. Focus of flexible funding is roadway expansion and maintaining roads and streets. Investments in public transit are modest with an emphasis on maintaining existing service over service expansion. ACE expansion only assumed for Modesto/Merced and no expansion of ACE service on existing routes. Least bicycle/pedestrian and smart growth investments. Investments support land use patterns along highway corridors with less development in urban core areas. 	<ul style="list-style-type: none"> Invests in transportation systems that complement compact growth and minimize impacts on agricultural land. Flexible funding flows to all modes of transportation. ACE expansion assumed for Modesto/Merced, San Jose, and “planning level only” work for Sacramento service; includes related minimal improvements needed to support the service expansions. Bus transit service includes expanded Bus Rapid Transit and more connectivity within communities and between communities. More investment in bicycle and pedestrian investments, complementing public transit and increasing alternatives to driving a car. 	<ul style="list-style-type: none"> Transportation investment strategies and land use assumptions mirror Scenario 2A. Assumes a quarter-cent sales tax starting in 2020 - creating over \$1 billion of additional revenue in Measure K, resulting in more investments in all transportation modes, in accordance with the existing Measure K investment strategy. Makes possible ACE expansion to both Modesto/Merced and to Sacramento. Highest infusion of investment dollars to transit, bicycle and pedestrian improvements. 	<ul style="list-style-type: none"> Invests in transportation systems that support the highest level of compact growth and infill, resulting in the least impact on agricultural land. Focus of flexible funds on bicycle/pedestrian facilities and public transit. Highest level of Bus Rapid Transit Corridors in urbanized areas and more bus transit amenities or stations. Highest level of ACE expansion including service to Modesto/Merced; ACE planning & development to expand to Sacramento; and implementation of additional train capacity to San Jose. Least investment in maintenance of roadway system and expansion of state highways and local roads and streets.

The following sections provide additional information on differences in scenario investments, some initial metrics, and a summary of round 2 of the public outreach effort.

Key Project List Differences:

As would be expected, the list of projects associated with each of the three alternative scenarios pivots from Scenario 2a on the investment themes identified above. In some cases, there are project specific impacts – such as a project from the “tier 2” or unconstrained project list moving to the fiscally constrained project list (available to receive funding). In other cases, the differences are less specific, such as less money for overall operations (transit) or less money for as yet unspecified projects (bike and pedestrian). The funding graphic included on page 7 of the staff report shows the funding changes in aggregate; the attachments to the staff report illustrate changes in the number of potential projects funded.



Once the SJCOG Board have weighed in on their preference for the investment approach for the plan, staff will develop the project list for the draft plan in conjunction with local jurisdictions’ planning and public works departments. *While the draft plan will detail total revenue assumed and planned investments, it will be up to future calls for projects and actions by the SJCOG Board and local project sponsors to assign specific funding to specific projects. While the RTP serves as a high-level blueprint for transportation investments, it is subject to*

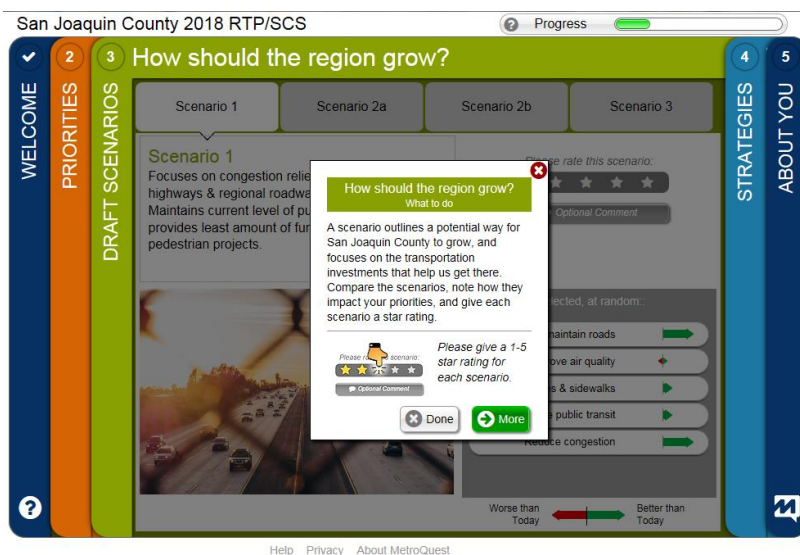
amendment as required to support delivery of future transportation projects.

Public Outreach Summary:

The poor condition of roads and the need for repair and maintenance was strongly felt across the region.

During late 2016 and into 2017, the committees and the SJCOG Board received regular updates and provided input and initial direction to staff on key financial assumptions, goals and strategies, performance metrics, and priorities for investment choices. In September and October the committees and the SJCOG Board were given an update on the results of the second round of the public outreach process; this followed an August 24th workshop where staff presented the four draft 2018 RTP scenarios prior to release for public and stakeholder input.

The second phase of the public outreach process for the 2018 RTP/SCS began with the release of the Metro Quest on-line public engagement tool on September 11 (English version) and September 18 (Spanish version). Both the English and Spanish surveys closed in October. This second round of outreach was designed to elicit public input on each of four possible RTP scenarios, priorities for evaluating the scenarios, and some clarifying questions on the strategies to address those priorities. Staff engaged residents at 11 different community events or meetings to answer questions and encourage participation with the on-line tool. Participants rated each of the scenarios based on how well the policies, investment themes, and strategies aligned with their wishes for the future of transportation in San Joaquin County.



A total of 590 San Joaquin County residents responded to either the English or Spanish on-line engagement tool, answering questions on priorities for measuring the scenario outcomes, or answering clarifying questions on strategies for addressing transportation concerns expressed in phase one outreach surveys.

The input received is shown in the graphic below. ***The results indicate support for all scenarios, with 2a, the scenario based on the 2014 plan without the additional ¼ cent sales tax (Measure K 3.0), receiving the highest rating.***

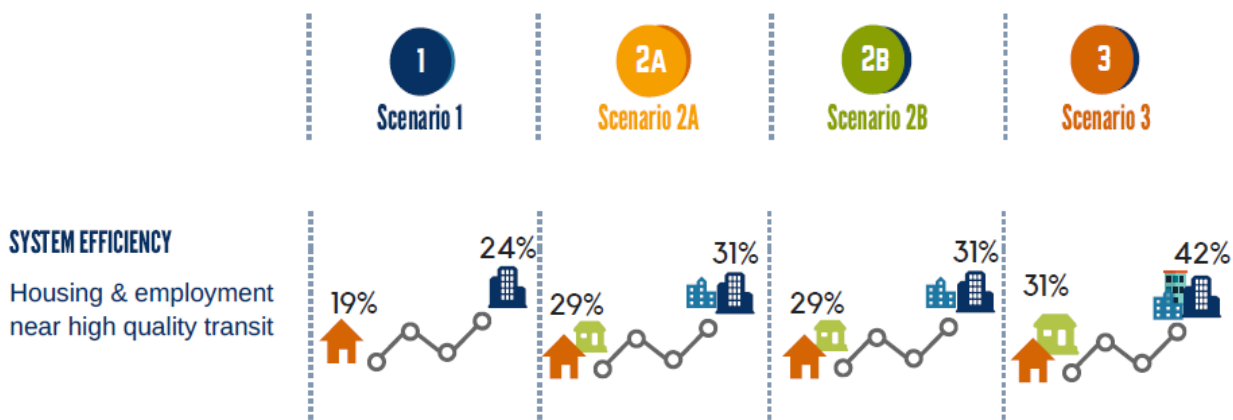


While the Metro Quest public input process was on-going, SJCOG staff worked on quantifying additional performance measures for each scenario.

Alongside the public outreach results and discussion of key transportation projects, staff will be presenting additional technical information on how the four scenarios perform one to another. The workshop is planned for November 9 from 6pm to 8pm in the SJCOG Boardroom, one week prior to the staff presentation at the SJCOG Board meeting on November 16. The purpose of the workshop is for participants to view additional technical information on the four scenarios, ask questions of staff, or, if they have not previously provided input via the MetroQuest platform, to do so at the workshop.

Technical Metrics:

The metrics noted above will further assist policymakers, members of the public, and stakeholder groups, over and above the financial details and policy choices already provided, in weighing in on which scenario will best guide San Joaquin County's future transportation investments. These metrics will include congestion, mobility, air quality, and impacts to the environment and agricultural lands. The metrics to be presented are identical in most respects to the measures used in the 2014 plan, with some additions related to potential health impacts, improved measures of access to jobs and other destinations, as well as enhanced measures of plan performance in communities of concern. An example is included below. A variety of scenario metrics are included on the attachments; certain transportation model metrics are being reviewed and finalized – these will be presented at the November meeting. Several metrics and their relevance to the RTP will be further discussed with Board members.



RECOMMENDATION:

Provide direction to staff to use Scenario 2a as the basis for the Draft Regional Transportation Plan and Associated Environmental Impact Report and additionally coordinate with local jurisdictions on any needed refinement to draft transportation project lists, revenue assumptions, or SCS elements based on changes since the scenarios were originally defined or on SJCOG Board direction.

FISCAL IMPACT:

Developing the 2018 RTP/SCS is programmed in SJCOG's Overall Work Program. The Regional Transportation Plan itself is not a budget document but a comprehensive transportation plan that sets forward policies and identifies eligible transportation improvements for future Board funding actions. If a project is not in the SJCOG's adopted RTP, it is not eligible for state/federal funds.

BACKGROUND:

RTP Components

There are four required elements of the RTP; all must be internally consistent. The goals and strategies in the policy element reflect regional priorities for mobility, which are supported by the assumptions in the SCS, and are further reflected in the funding allocations in the financial element. A scenario represents the potential future interaction of these elements. Each scenario will be evaluated through a series of metrics to inform policymakers and the public how the scenario meets regional goals and strategies for improvement over current conditions. Each element's relationship to scenario development is discussed below.

RTP Components



Policy Element:

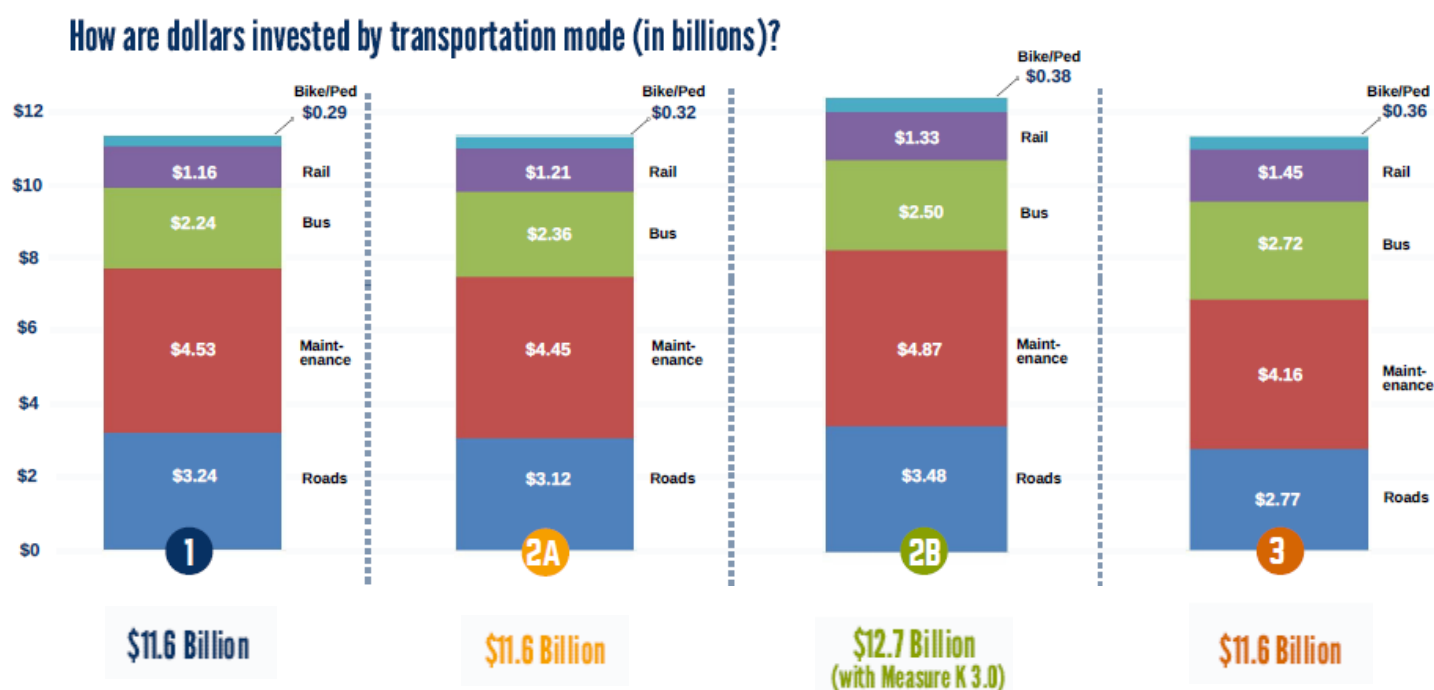
The overall approach to the 2018 RTP/SCS is to build upon the “ambitious and achievable” 2014 plan with updated planning assumptions. Goals, policies, and strategies have been carried over to the 2018 plan, plus any additional policies needed to address new requirements or updated technologies. The scenarios address the overall policy goals and intent with a different strategic focus, as has been illustrated throughout the staff report. SJCOG staff will compare not only metrics from each scenario against one another, but will look at measurable results against previous and current conditions based on empirical data. In other words, we can measure progress over time since the last RTP and assess whether a forecast of future conditions is better today over a similar set of policies and strategies as in 2014.

Financial Element:

The total funding for the 2018 RTP/SCS has been allocated to projects in five broad funding categories: roadway expansion, roadway operations and maintenance, bus transit, rail transit, and active transportation.

SJCOG staff estimates the total funding for the 2018 RTP at \$11.46 billion. Of this funding, 90%, or about \$10.3 billion dollars is in funding sources dedicated to one or more of the five broad funding categories: highway and regional roadway expansion, roadway operations and maintenance, bus transit, rail transit, and active transportation (called “core” revenues). The remaining \$1.2 billion shifts between the five project categories depending on the focus of each scenarios investments (called “flexible” revenues).

Outside of this “core” versus “flexible” funding discussion for Scenarios 1, 2a, and 3, an additional ¼-cent sales tax increment illustrated in Scenario 2b would add approximately \$1.1 billion to the total forecast for that scenario, for a total of \$12.56 billion. The following graphic (also included on Attachment 1) illustrates the total funding amounts:



Action Element:

SJCOG staff has met with each jurisdiction in the County, as well as the Regional Transit District, CalTrans, and the Regional Rail Commission to update and refine a baseline project list for the 2018 RTP. This includes removal of completed or substantially completed projects, adding any new future projects, or modifications to projects based on changes to scope or schedule. Project lists for each scenario are modified to reflect the different theme, policy choices, and investment priority focus of each of the alternative scenarios. At the November workshop, each scenario will be presented indicating how projects and programs being funded will change across the scenarios. In addition, key projects across the four scenarios will be highlighted. Once the SJCOG Board has

weighed in on the preferred foundational scenario for the RTP, a final project list will be established for the plan based on those priorities and investments.



Sustainable Communities Strategy:

This element provides future land-use assumptions upon which each scenario is constructed. SJCOG staff has met with each jurisdiction in San Joaquin County to discuss any changes to current planning assumptions, or potential changes to the location of future development since the last RTP/SCS was developed. As with 2014, the scenarios presented for consideration vary in the location and intensity of future growth. These assumptions are guided in each scenario by general plans; however, general plans provide for a range of specific development characteristics based on future priorities and desires of residents, shifting demographics, incentives, and private sector responses to these variables. Each scenario's land-use assumptions are matched to investment priorities and project lists for the public, stakeholders, and SJCOG committees and Board to weigh in on for the 2018 RTP/SCS.

Draft Scenarios & Scenario Development ¹

“Scenario planning is a unique methodology for any community to better prepare for its future. It offers powerful analytical tools to estimate how well existing or potential plans and strategies will do in meeting important local and regional needs given different assumptions about the future.”

The process for developing the RTP involves providing the public and policymakers options known as “scenarios” of possible future planning assumptions that will be the foundation of the RTP. Each scenario is similar in:

- Total countywide forecasts of jobs, population, and households
- Location of growth will be guided by most recent general plans

¹ <http://envisiontomorrow.org/what-is-scenario-planning/>

- For three of the four scenarios, the total revenue available will be identical, the fourth scenario will respond to the SJCOG's Board request that one scenario illustrate the effect of the additional ¼-cent sales tax assumption included in the 2014 RTP.

Each scenario differs in some respects that respond to Board and committee input, public outreach, and stakeholder input. Differences are:

- Theme and focus of investment strategies
- Mix of transportation projects/options
- Assumptions on development patterns, development intensities, and housing options.

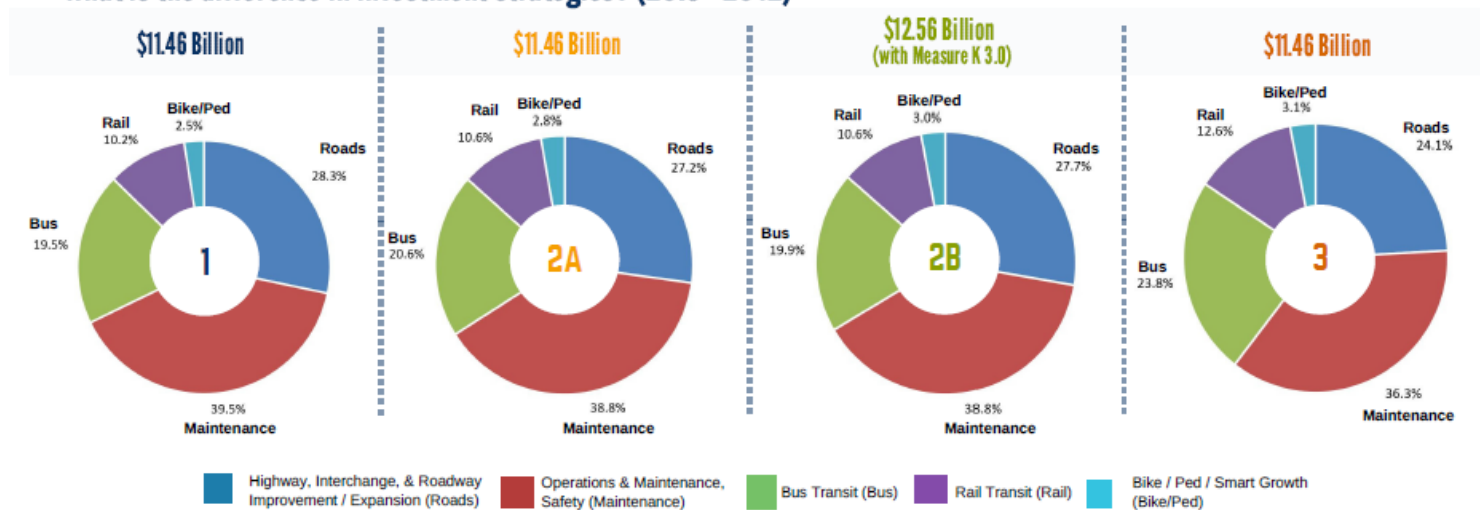
These variations affect how each scenario performs against various metrics, including congestion, mobility, air quality, and impacts to the environment and agricultural lands. The metrics provide an additional basis for committee members, stakeholders, the public, and policy makers to weigh in on their preferred vision for future transportation investments in San Joaquin County.



During late 2016 and into 2017, the SJCOG Board received regular updates and provided input and direction to staff on key financial assumptions, goals and strategies, performance metrics, and priorities for investment choices. Two of the four scenarios, labeled as 2a & 2b, are refreshed versions of the adopted and approved 2014 plan and are identical except for the total revenue assumption and expanded project list. The increased revenue allows for the inclusion of projects that would otherwise be considered “tier 2” – a separate list of projects in the RTP that identifies additional funding and project needs but cannot be constrained to forecast revenue. This additional revenue is owing to inclusion of an additional ¼ cent sales tax increment (over and above the current ½ cent Measure K Renewal) that has not been approved by San Joaquin County taxpayers. In the 2014 plan, the “MK 3.0” was assumed to begin in 2016. This assumption adds \$1.1 billion to Scenario 2b’s total revenue available for projects across the region. If the scenario with this assumption is chosen as the foundation for the 2018 plan, it would be assumed to begin in 2020. The continuation of this assumption from 2014 has not enjoyed wide support from the Board or SJCOG committees, but the SJCOG Board was interested in seeing how the scenario performed relative to the other alternatives.

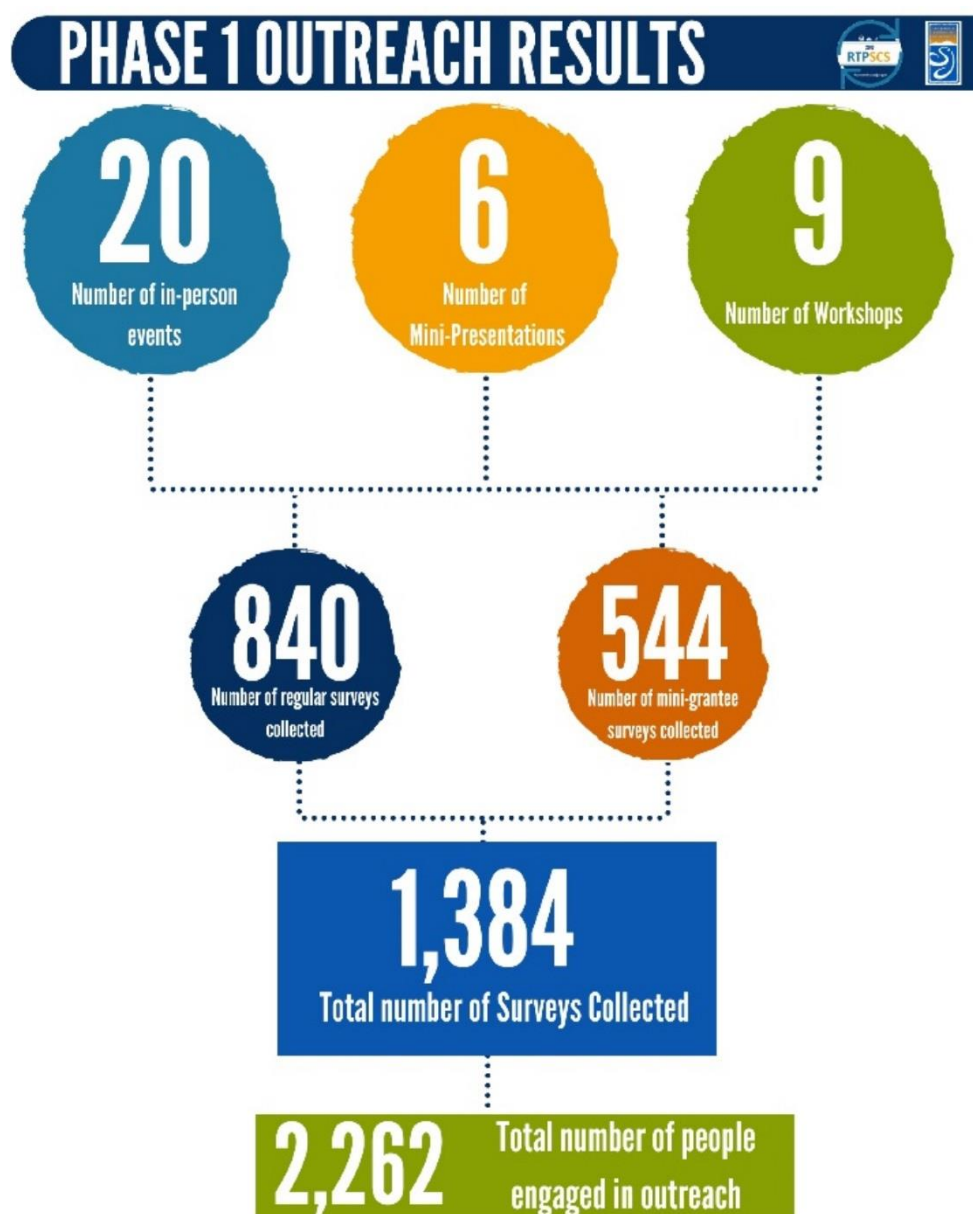
In addition to the sales tax assumption, SJCOG staff has identified “core” funding (that which is assigned to specific project types), and “flexible” funding (funding which can be moved between project categories). This amounts to \$1.2 billion and is assigned to projects in each scenario based on the priorities in that scenario. The differences are detailed on the graphic below.

What is the difference in investment strategies? (2016 - 2042)



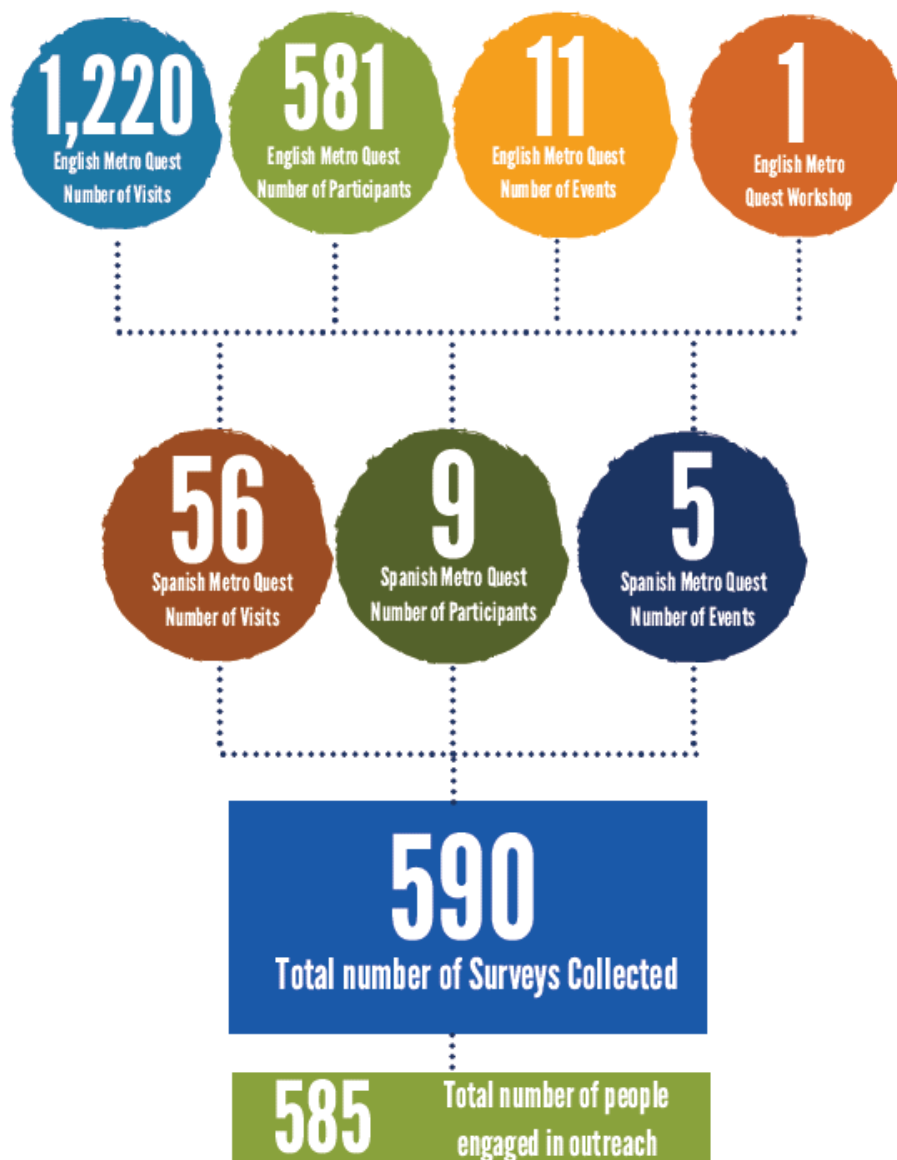
Outreach

The graphics on the next two pages summarize the formal outreach efforts to date for the RTP/SCS. Civic engagement during the 2018 update process has been robust and has exceeded 2014 plan participation at the same point in the process. Additionally, staff has provided an attachment further highlighting specific outreach efforts in communities of concern.



SJCOG will continue civic engagement efforts on the RTP/SCS over the next eight months until the consideration of the adoption of the final plan in June 2018. This will include mini-presentations to civic groups, member agencies, and others on the plan contents, both before and after the anticipated release of the draft plan in February 2018. Once the draft plan is released, formal outreach and public hearings will be conducted to elicit public feedback and formal comment letters on the plan. The required public review period for the RTP/SCS is 55 days; the required public review period for the accompanying Environmental Impact Report is 45 days.

PHASE 2 OUTREACH RESULTS



COMMITTEE ACTIONS:

As of the writing of the staff report, only the RTP/SCS Working Group has provided input on the staff recommendation. The working group is not an official standing committee of the SJCOG Board, but rather a group of technical experts in a variety of disciplines as recommended by SB375. Of the nine group members providing input, five supported Scenario 3, three supported Scenario 2a, and one supported 2a with additional bike/ped and transit elements. Two of those supporting Scenario 3, secondarily supported 2a, and one supporting 2a secondarily supported 2a with enhanced transit and bike/ped options. The group did not reach a consensus.

SCHEDULE & NEXT STEPS:

The direction received from the second round of public outreach will culminate in a workshop on November 9 for staff to provide additional technical information on the four potential RTP/SCS scenarios to SJCOG standing committee members, the public, and other stakeholders. The same workshop materials and the input received at the workshop will be communicated to the SJCOG Board at its regular November 16, 2017 meeting, where staff will ask for input from Board members on their preferences for the foundational scenario elements for the 2018 RTP/SCS. Recommendations and input from the SJCOG standing committees will also be communicated to the Board at that time. Once staff receives this input, additional technical work will be completed, leading to the release of the full draft 2018 RTP/SCS plan and associated Environmental Impact Report (EIR) for public review in February 2018. The public draft will be accompanied by a robust outreach campaign to ensure a thorough review prior to a final plan in June 2018. The chart below highlights the milestones in this timeline.

Scenario Revenue Assumptions / Project Lists	Complete
Phase One Public Outreach Meetings	Complete
Scenario Refresh / Update	Complete
Phase Two Outreach: Metro Quest Launch / Scenario Review	Complete
Phase Two Outreach: Workshop	November 2017
Public Review Draft Plan / EIR	February 2018
2018 RTP / EIR & Associated Documents for Board Consideration	June 2018

Staff will continue to update the SJCOG standing committees and Board on progress and milestones as the update process continues.

Prepared by: Kim Anderson, Senior Regional Planner

What are the Scenarios?

Renewed 2014 RTP/SCS

1

Scenario 1

no Measure K 3.0

- Invests in congestion relief through significant expansion and improvements to state highways and regional roadways.
- Focus of flexible funding is roadway expansion and maintaining roads and streets.
- Investments in public transit are modest with an emphasis on maintaining existing service over service expansion.
- ACE expansion only assumed for Modesto/Merced and no expansion of ACE service on existing routes.
- Least bicycle/pedestrian and smart growth investments.
- Investments support land use patterns along highway corridors with less development in urban core areas.

2A

Scenario 2A

no Measure K 3.0

- Invests in transportation systems that complement compact growth and minimize impacts on agricultural land.
- Flexible funding flows to all modes of transportation.
- ACE expansion assumed for Modesto/Merced, San Jose, and "planning level only" work for Sacramento service; includes related minimal improvements needed to support the service expansions.
- Bus transit service includes expanded Bus Rapid Transit and more connectivity within communities and between communities.
- More investment in bicycle and pedestrian investments, complementing public transit and increasing alternatives to driving a car.

2B

Scenario 2B

with Measure K 3.0

- Transportation investment strategies and land use assumptions mirror Scenario 2A.
- Assumes a quarter-cent sales tax starting in 2020 - creating over \$1 billion of additional revenue in Measure K, resulting in more investments in all transportation modes, in accordance with the existing Measure K investment strategy.
- Makes possible ACE expansion to both Modesto/Merced and to Sacramento.
- Highest infusion of investment dollars to transit, bicycle and pedestrian improvements.

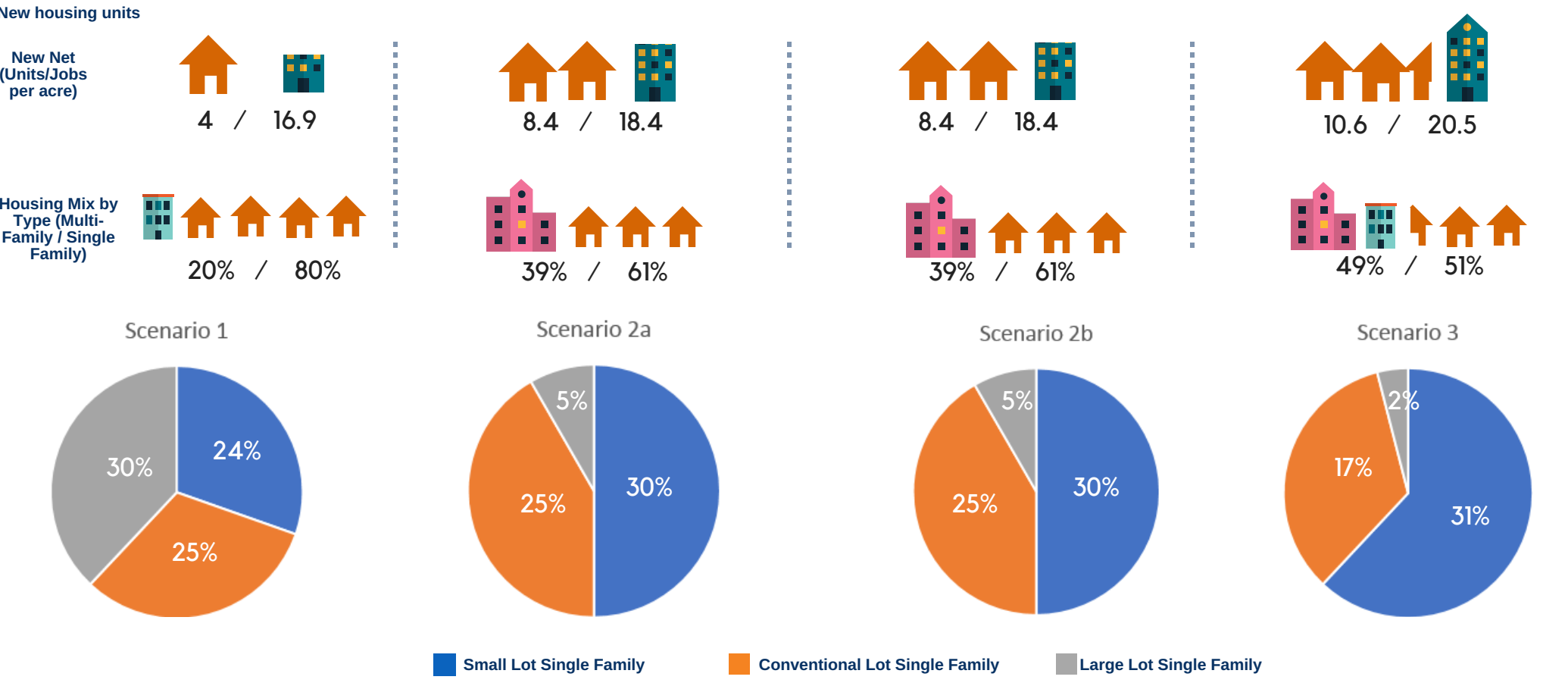
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Scenario 3

no Measure K 3.0

- Invests in transportation systems that support the highest level of compact growth and infill, resulting in the least impact on agricultural land.
- Focus of flexible funds on bicycle/ pedestrian facilities and public transit.
- Highest level of Bus Rapid Transit Corridors in urbanized areas and more bus transit amenities or stations.
- Highest level of ACE expansion including service to Modesto/Merced; ACE planning & development to expand to Sacramento; and implementation of additional train capacity to San Jose.
- Least investment in maintenance of roadway system and expansion of state highways and local roads and streets.

What are the assumptions about the future?



2035

1
Scenario 1

2A
Scenario 2A

2B
Scenario 2B

3
Scenario 3

MOBILITY & ACCESSIBILITY

Average trip length

Daily Transit Ridership

Trip Delay

ENVIRONMENT

Acres of land consumed by new development

Acres of prime farmland consumed by new development

Residential energy use per capita (million BTU/yr)

Outdoor residential water consumption (gallons/day)

Meets greenhouse gas reduction targets

Conforms with Federal Clean Air Act

SYSTEM EFFICIENCY

Housing & employment near high quality transit

Vehicle miles traveled (per capita)

Non-auto mode share



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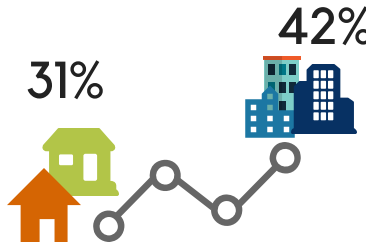
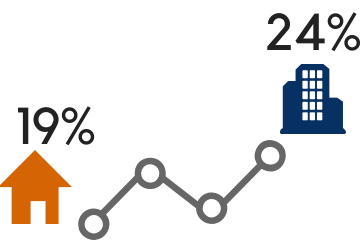
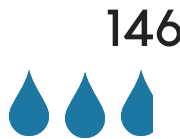
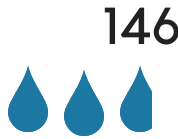
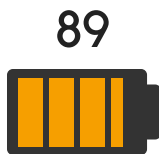
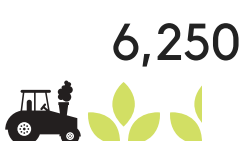
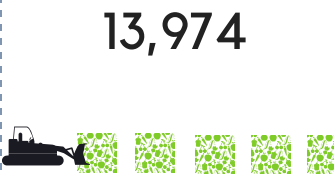
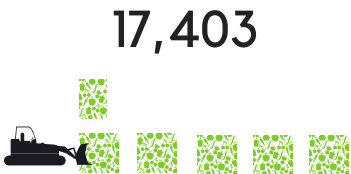
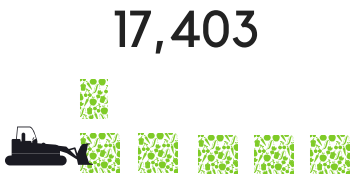
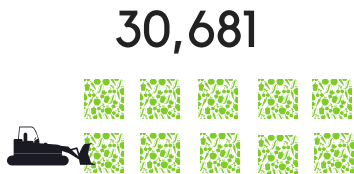
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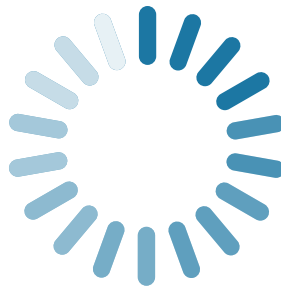
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2035

1
Scenario 1

2A
Scenario 2A

2B
Scenario 2B

3
Scenario 3

SAFETY

Collision rate



JOBS & ECONOMY

New local jobs resulting
from RTP/SCS investments

91,314



90,422



97,648



91,559



Labor income (in billions of
dollars)

\$4.6



\$4.5



\$4.9



\$4.5



Value added, or
contribution to GDP (in
billions of dollars)

\$6.55



\$6.49



\$7.07



\$6.49



Output, or total value of
industry production (in
billions of dollars)

\$13.9



\$13.8



\$15.2



\$13.7



QUALITY OF LIFE & PUBLIC HEALTH

Percent of all households
within 500' of a freeway

4%



4.3%



4.3%



4.4%



Weekly total walking
minutes per person

74.36



74.37



74.37



74.54



Daily total biking minutes
per person

0.332



0.332



0.332



0.333



Daily total recreational
physical activity minutes
per person

7.04



7.06



7.06



7.10



Average body mass index

28.25



28.24



28.24



28.23



General poor health

17.1%



16.9%



16.9%



15.5%



2018 RTP/SCS Public Outreach

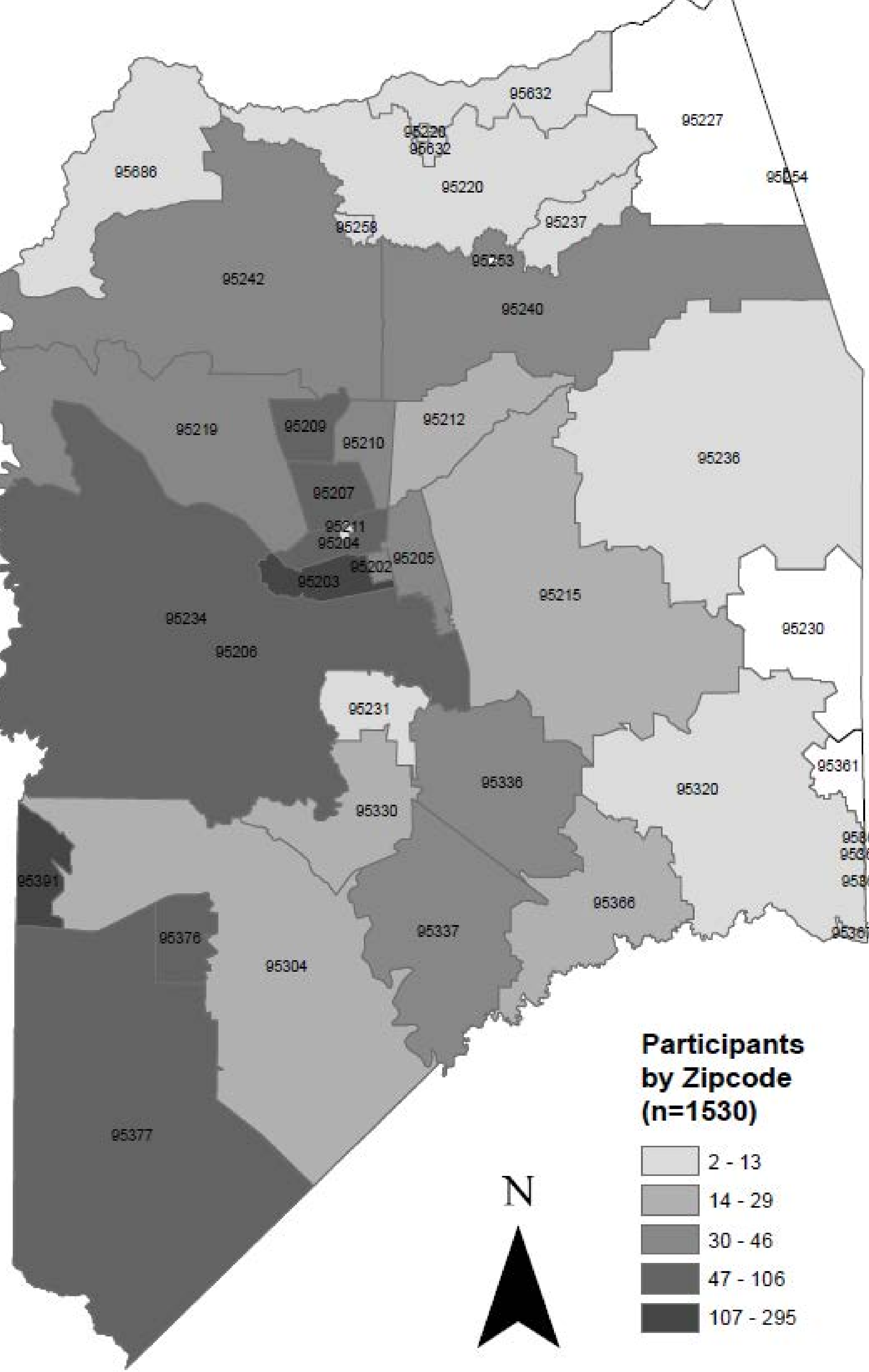


Public Outreach Process



What Have We Heard?

RESIDENTS ACROSS THE REGION SHARED THEIR INPUT WITH US, AND THERE WERE SOME BIG THEMES.



Residents across the region would like to see improvement in alternative transportation modes, such as public transit, biking, and walking. A number of residents want to ensure mobility for low income residents, youth, seniors, and people living with disabilities.



The poor condition of roads and the need for repair and maintenance was strongly felt across the region.



Some residents expressed support for providing more housing options that are affordable and safe. One commented on the lack of housing available for residents making median family income for the region.



Some residents commented on the lack of basic services nearby, such as groceries, gas stations, etc. While others noted concerns with blight and personal safety in their communities.

San Francisco Bay Area commuters are struggling with heavy congestion and long travel times. To address congestion, some residents favor adding new lanes and roads, while others would like to see improved bus and rail transit connectivity to Bay Area transit systems.



Some residents noted the lack of good paying jobs nearby and the resulting need to commute outside the county for work. Many would like to see more jobs that pay livable wages brought to the region.



ROUND 1: March – June 2017

The first round of public outreach and engagement gathered input to guide the development of scenarios which represent potential alternative futures for the regional transportation system. SJCOG staff asked the public what transportation options are needed, what transportation investments should we prioritize, and how should the region grow? SJCOG staff reached community members at local events, through local organizations, and with community-based groups as partners in historically underrepresented communities. Here is Round 1 by the numbers:

29

Community Outreach Events

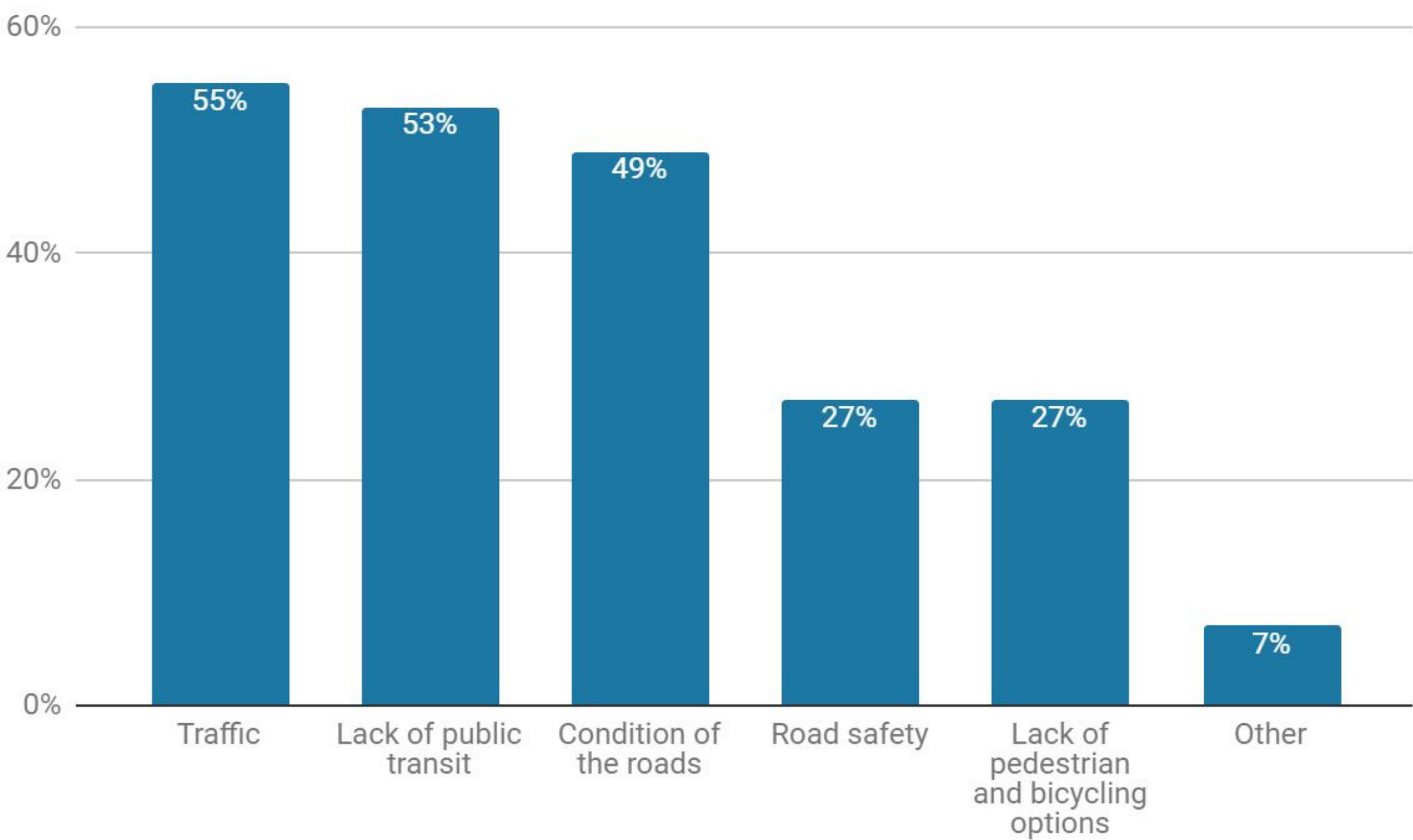
2200+

Community members engaged

1222

Surveys Collected

Top Transportation Problems



Needs a Lot of Improvement

- 1 Traffic on the freeways
- 2 Pavement conditions
- 3 Commute times

Regional Transportation Goals

★ A future with less driving ★

82% think it is important to reduce miles traveled by car

78% think it is important to increase walking, bicycling, and public transit use

★ A more prosperous region ★

90% think it is important to increase the number of new jobs in San Joaquin County

Investment Priorities



Tier 1	Tier 2	Tier 3
<ul style="list-style-type: none">Fix it firstTransit service expansionOptimized routes	<ul style="list-style-type: none">Increase road network capacityProtect our environment	<ul style="list-style-type: none">Anticipate new technology

2018 RTP/SCS Public Outreach

P168



ROUND 2: September - October 2017

The second round of public outreach and engagement presented four scenarios which represent potential alternative futures for the regional transportation system. SJCOG staff asked the public to consider how the different scenarios impact mobility and environment and give each scenario a rating. SJCOG staff reached community members through social media, at local events, through local organizations, and with the help of community-based groups to reach smaller communities in the eastern rural areas of San Joaquin County. Here is Round 2 by the numbers:

13

Community
Outreach Events

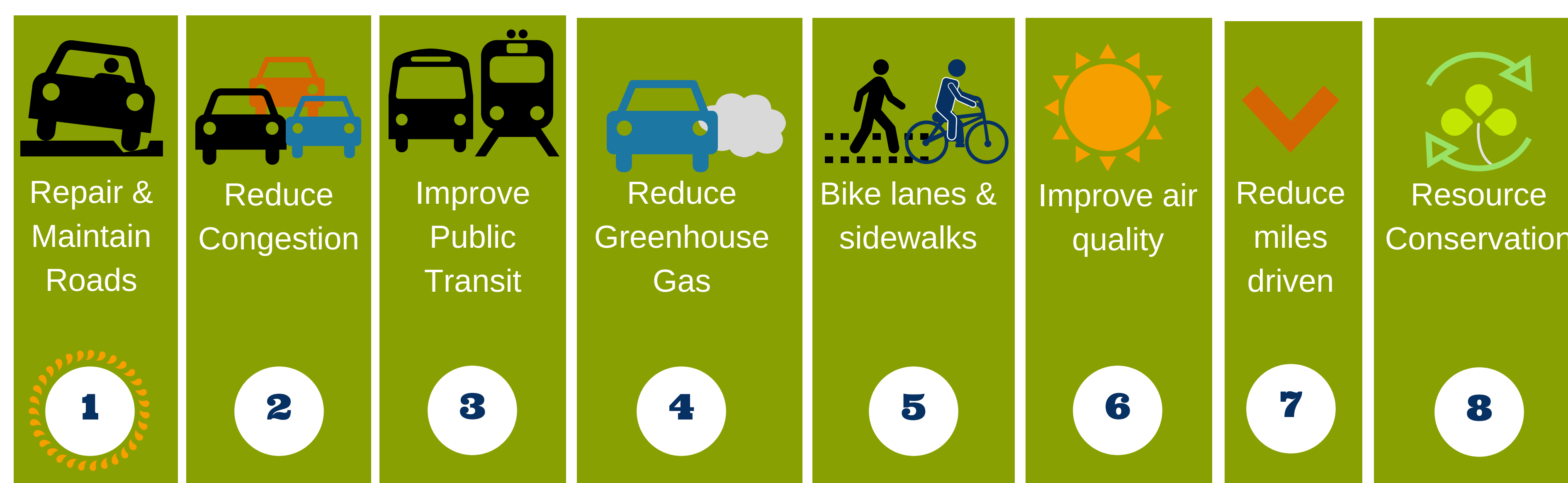
1900+

Community members
engaged

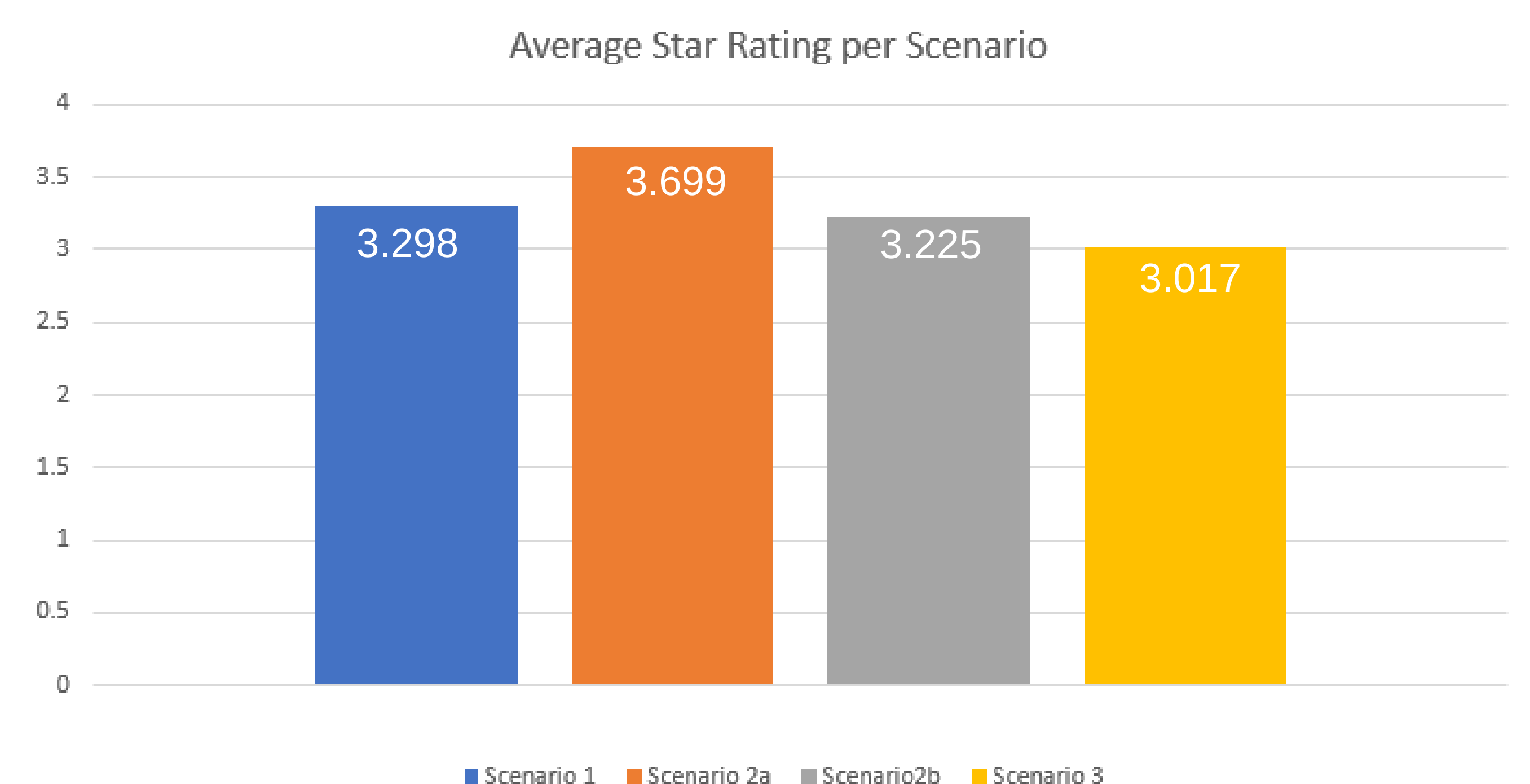
590

Surveys Collected

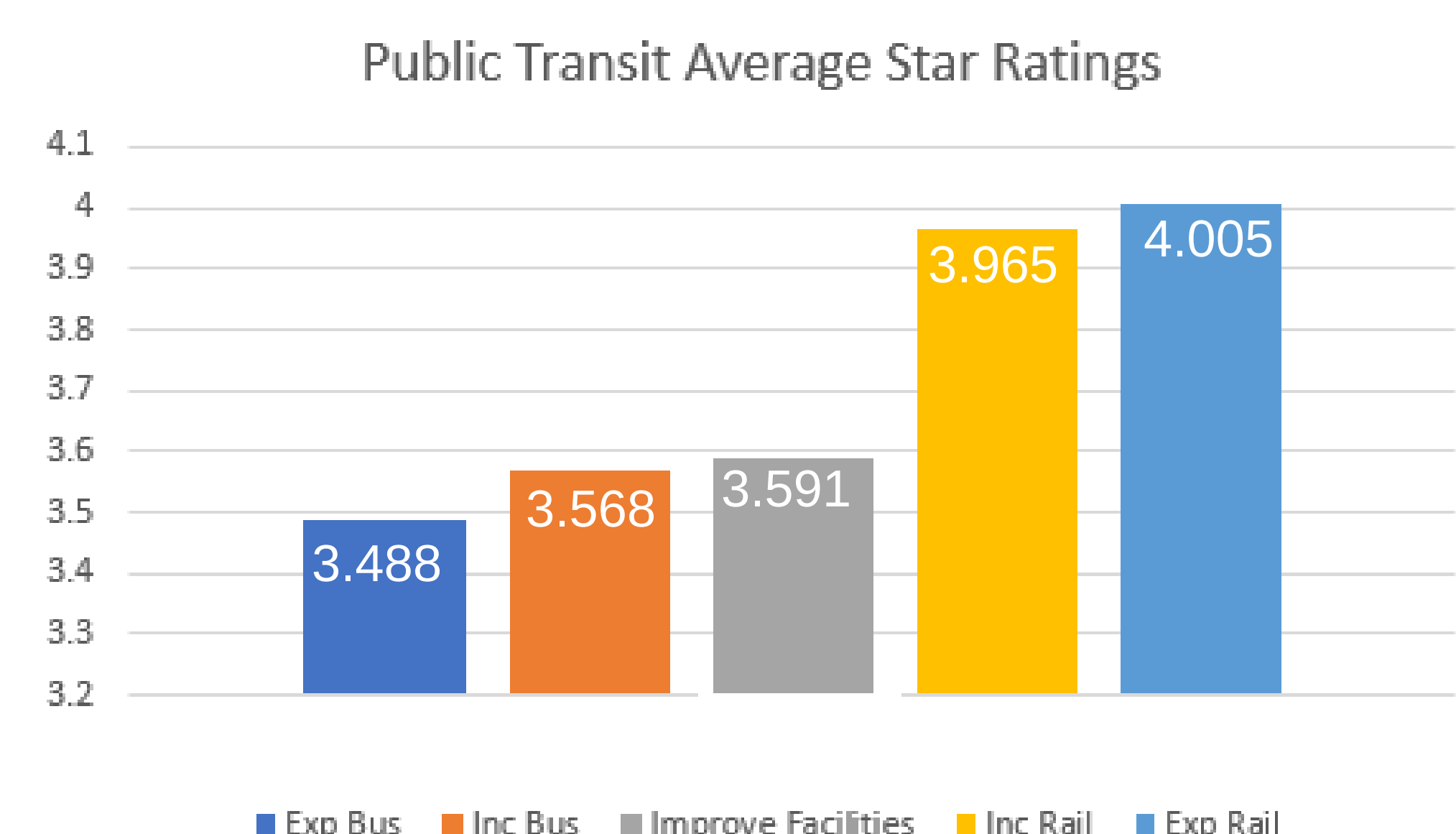
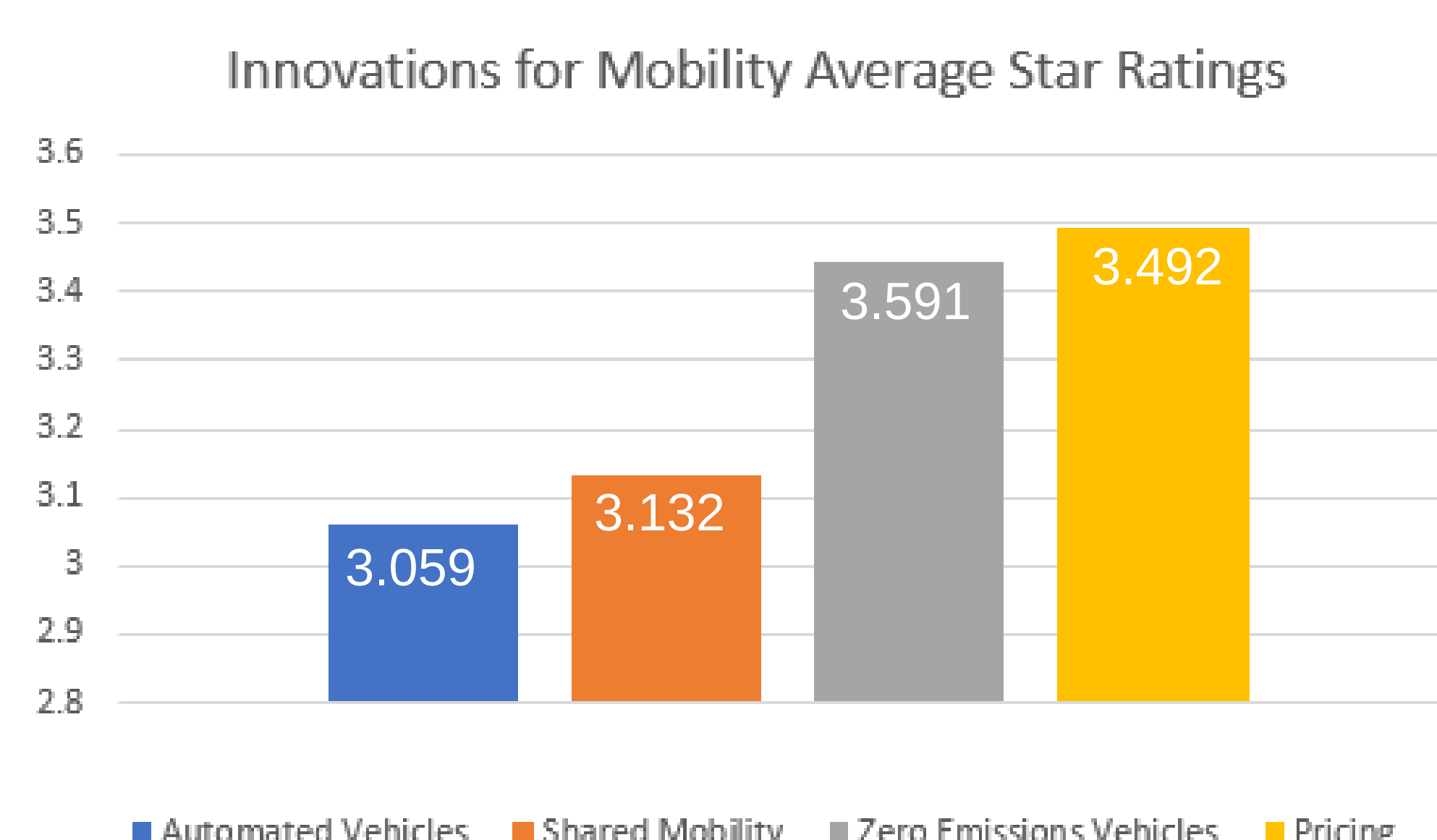
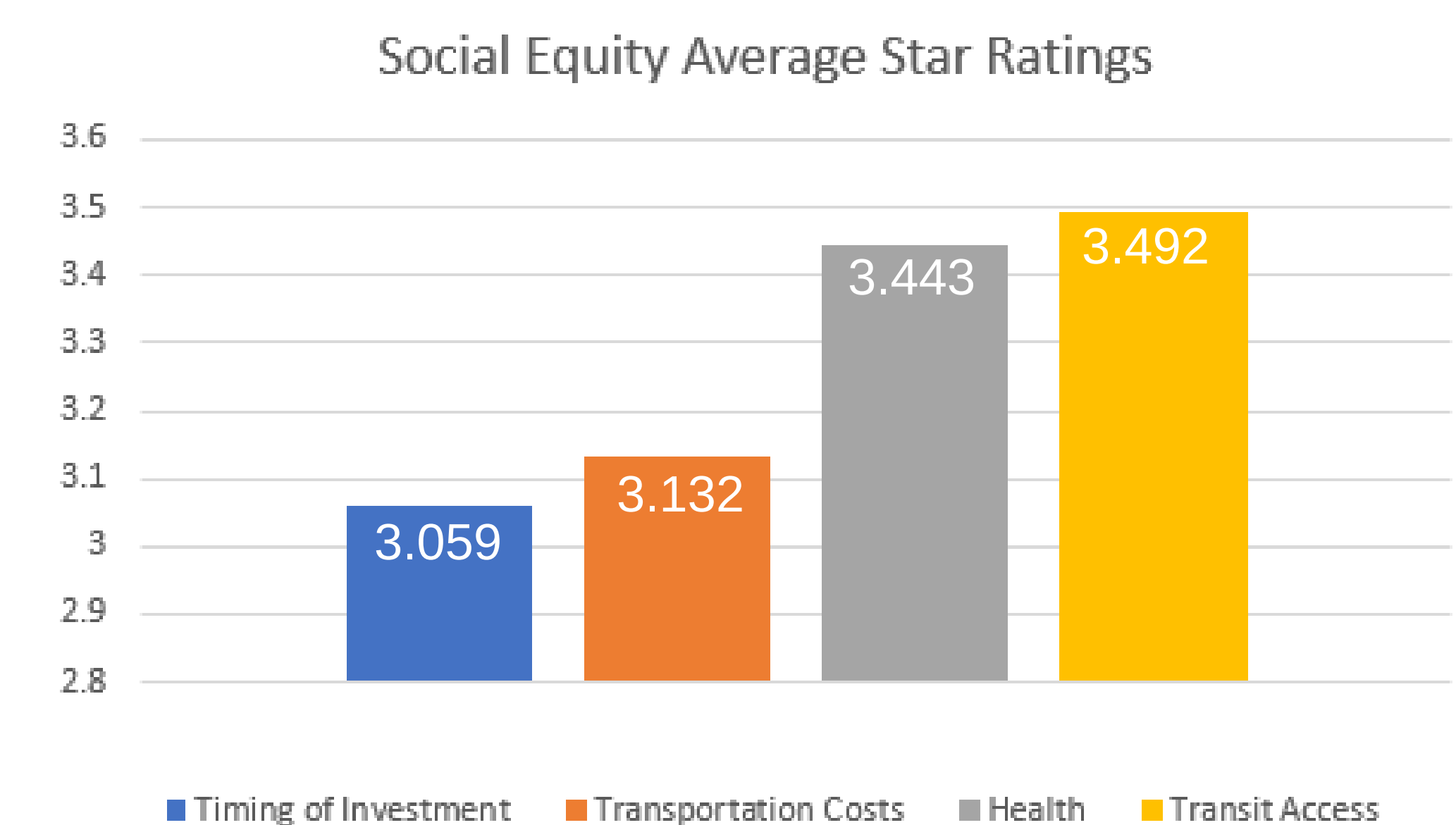
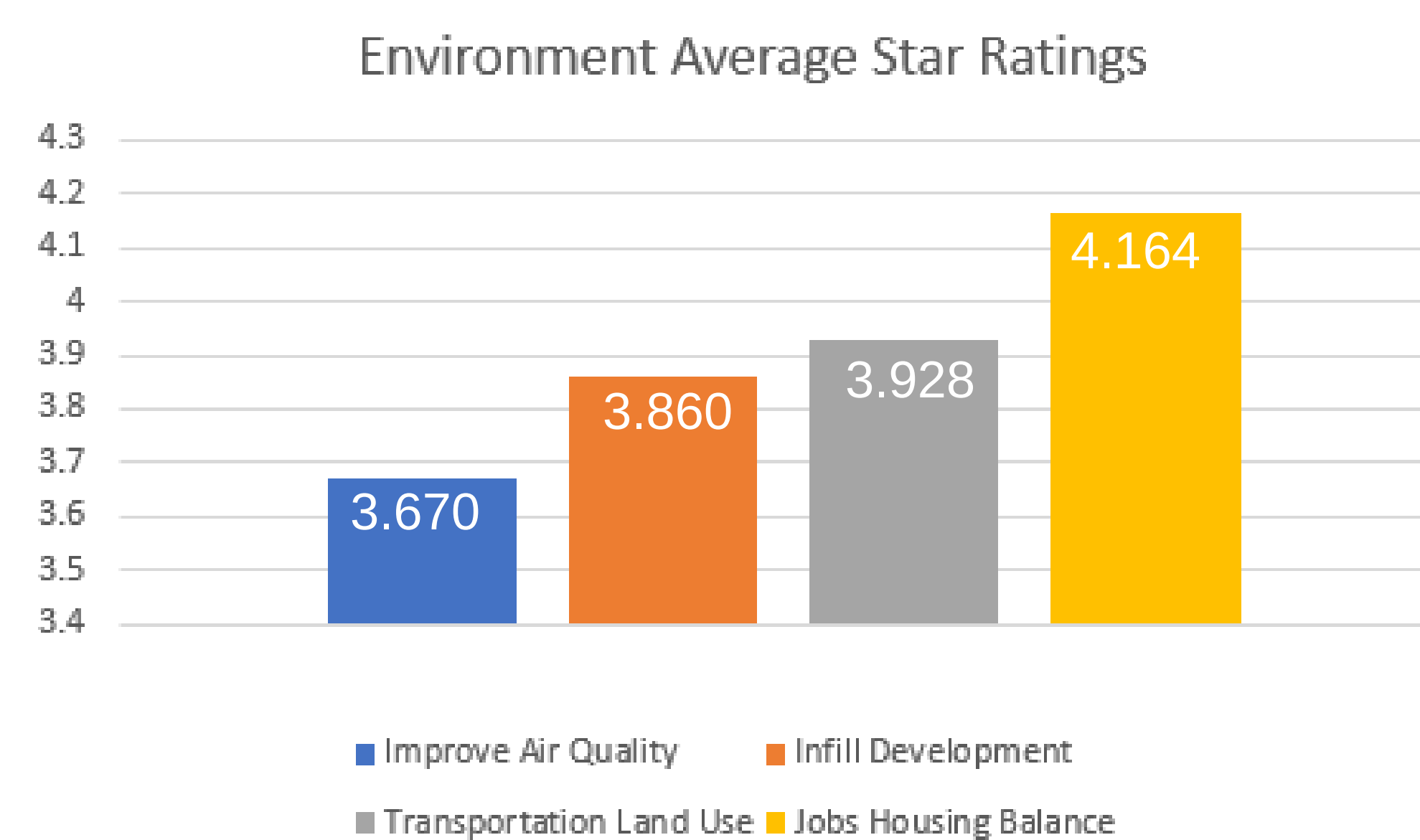
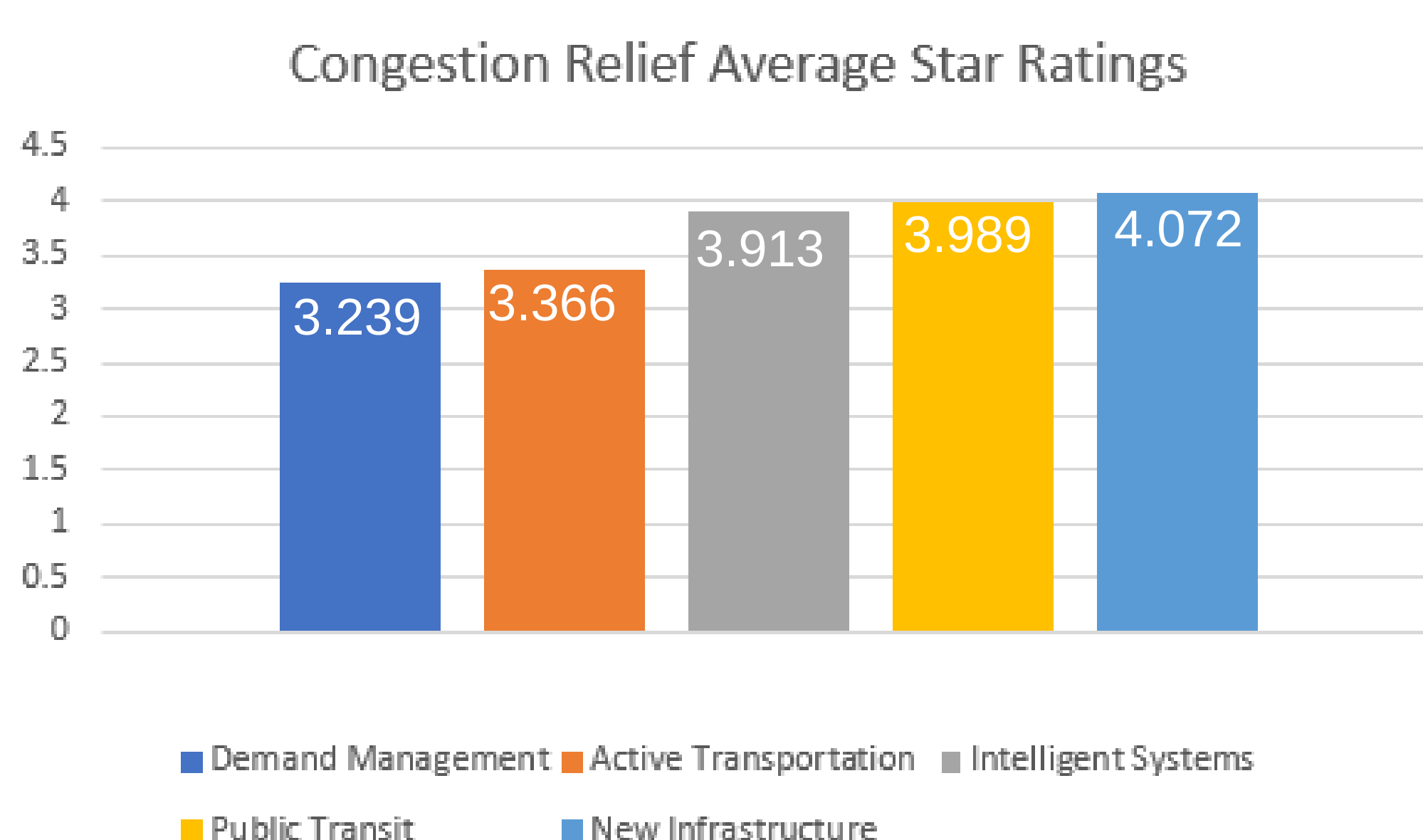
Priorities



Public Response to Scenarios



Tending to Regional Issues



MEMO

To: RTP/SCS Working Group

From: SJCOG Staff

Date: November 8, 2017

RE: How does the RTP/SCS impact Environmental Justice communities?

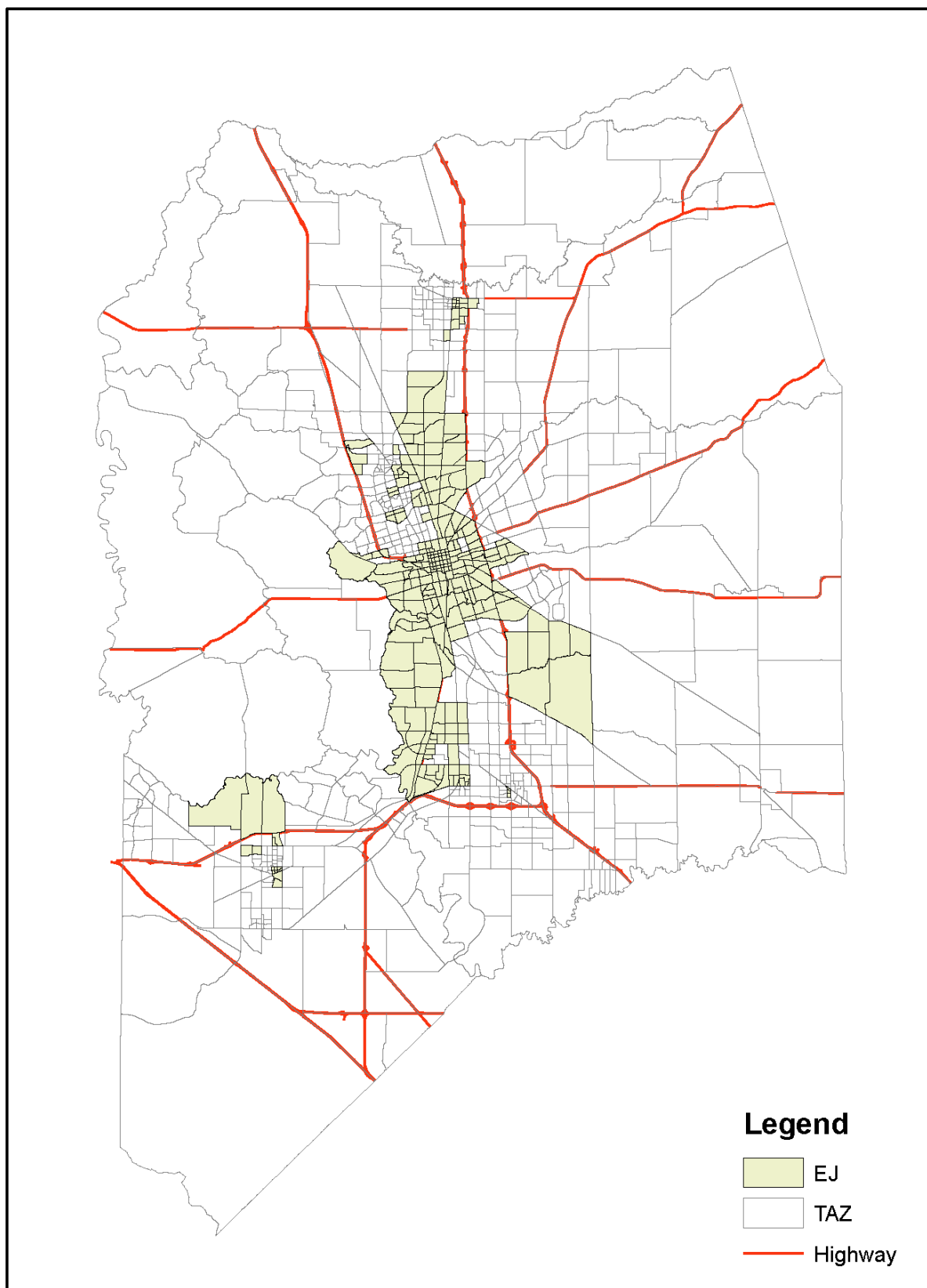
To determine 2018 Regional Transportation Plan & Sustainable Communities Strategy (RTP/SCS) impacts to the region's minority and low-income populations, and in accordance with federal and state statutes, SJCOG estimates the distribution of benefits and burdens of proposed land use and transportation policies and projects on disadvantaged communities. For the purposes of this work, SJCOG defines Environmental Justice communities as any census block group that meets the following conditions:

- Greater than 70% of the population identifies as minority (e.g. NOT non-white, non-Hispanic); and/or
- Greater than 30% of the population lives below the federal poverty level.

Using these criteria, Environmental Justice (EJ) Communities were identified across the region, with many located within the urban core of San Joaquin County (Figure 1). Overall, the areas highlighted in Figure 1 cover approximately 30% of the regional population.

Using land use and transportation modeling tools available to SJCOG, various performance metrics were examined to determine RTP/SCS impacts on EJ communities compared to non-EJ communities in the region across the scenarios. The results of this analysis are summarized in this memo.

Figure 1. San Joaquin County Environmental Justice Communities



System Efficiency

Figure 2. Housing & Jobs Near High Quality Transit – San Joaquin County Total

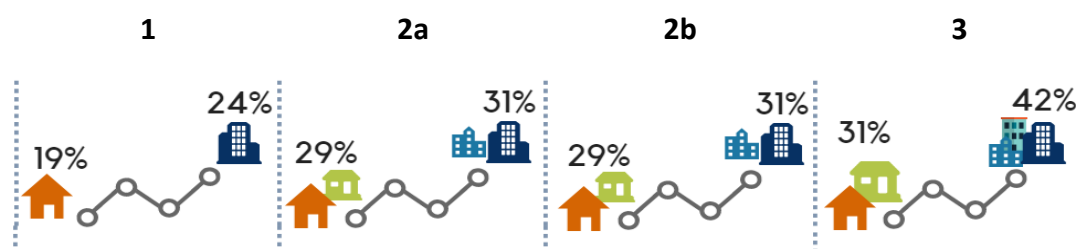


Table 1. New Housing and Jobs Near High Quality Transit – EJ versus Non-EJ Communities

	1		2a		2b		3	
	Housing Units	Jobs	Housing Units	Jobs	Housing Units	Jobs	Housing Units	Jobs
EJ	14%	18%	19%	26%	19%	26%	23%	29%
Non-EJ	4%	6%	11%	5%	11%	5%	8%	13%

High quality public transit access is an important indicator for EJ communities, where car ownership rates are statistically lower and thus dependency on other modes of transportation are higher than the general population. Adding housing and jobs that are near high quality public transit helps to improve the ability to get around without a car. Across each of the scenarios, EJ communities are assumed to receive a larger share of new housing and new jobs near high quality transit compared to non-EJ communities. Scenario 3 represents the greatest positive impact for EJ communities under this performance metric.

Quality of Life & Public Health

Figure 3. Housing Mix: Multi-family / Single-Family – San Joaquin County Total

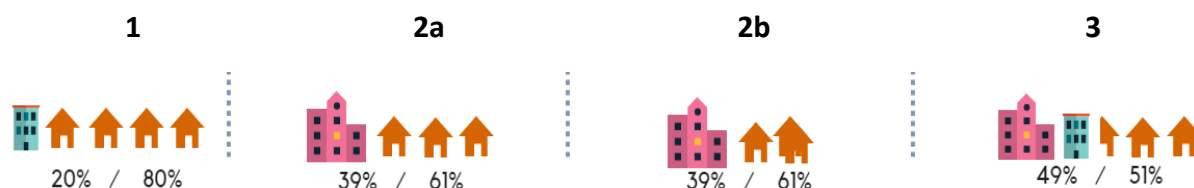


Table 2. Housing Mix by Type - EJ versus Non-EJ Communities

<div></div>	1		2a		2b		3	
	Multi-family	Single Family	Multi-family	Single Family	Multi-family	Single Family	Multi-family	Single Family
EJ	38%	63%	47%	52%	47%	52%	51%	48%
Non-EJ	14%	86%	36%	63%	36%	63%	48%	53%

Housing mix is yet another important indicator for EJ communities, where housing affordability weighs heavily on a household's ability to pay for other critical needs, such as food, clothing, and transportation. A more diverse housing mix can help to assure that individuals and families at all income levels can have a safe place to stay. When compared to non-EJ communities, EJ communities are assumed to see new housing development with a greater emphasis on multi-family housing options with each scenario. Scenario 3 presents the most aggressive assumptions on increased multi-family housing options.

Figure 4. Percent of All Households within 500 Feet of Freeway – San Joaquin County Total

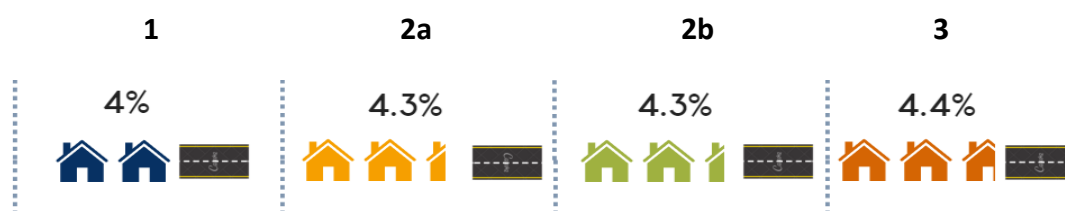


Table 3. Percent of All Housing Units within 500 Feet of Freeway - EJ versus Non-EJ Communities

	1	2a	2b	3
EJ	32.2%	31.3%	31.3%	31.6%
Non-EJ	67.8%	68.6%	68.6%	68.4%

Freeways are one of the most pressing concerns for air pollution and studies point out that there are health risks associated with living nearby. People in these areas suffer higher rates of asthma, heart attacks, strokes, and lung cancer. In Table 3, EJ communities have less housing units within 500 feet of freeway compared to non-EJ communities. It is important to note that EJ communities make up approximately 30% of the regional population, yet the scenarios show at least 31.3% of all housing units are within 500 feet of freeway in San Joaquin County. This may suggest a disproportionately adverse effect for EJ communities in the region and will require measures to minimize or mitigate these effects.

AGENDA ITEM **5C**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: FFY 16/17 Federal Project Delivery Status

RECOMMENDED ACTION: Discussion

SUMMARY:

SJCOG distributes Congestion Mitigation Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funds to local agencies, and programming projects into each year of the Federal Transportation Improvement Plan, based on amounts apportioned to the region annually. In Federal Fiscal Year (FFY) 2016/2017, agencies within San Joaquin County have received authorization to proceed with \$14,663,231 in CMAQ and RSTP projects, amounting to a delivery of **167 percent of the region's obligation authority for CMAQ and RSTP projects.**

DISCUSSION:

Each year, regional agencies are provided with an obligation authority total for CMAQ and RSTP funding. "Obligation" refers to the activation of funding for a project, allowing a given phase of a project to proceed with federal funding, and "obligation authority" refers to the dollar figure expected to be obligated within a given fiscal year. Each region's obligation authority total functions as a spending level expectation. Caltrans encourages project delivery beyond established obligation authority target each year, because it demonstrates the need for transportation funding in California, and demonstrates an ability to spend funds when they are available. As a result, Caltrans is put in a better position to capture additional obligation authority from states unable to use it.

The San Joaquin County region has built a history of meeting (and exceeding) its obligation targets for CMAQ and RSTP funded projects. In FFY 2016/17, 23 projects using CMAQ and/or RSTP funding have been obligated. In total these projects amount to \$14,663,231 in CMAQ and RSTP obligations, representing delivery of 167 percent of the region's obligation authority. **Further, this total represents the second largest obligation percentage in the State.** At the conclusion of FFY 16/17, Caltrans awarded additional obligation authority for use in FFY 17/18 to regions that were able to over deliver in FFY 16/17, based proportionally on the amounts delivered beyond the established obligation authority targets.

A summary of all CMAQ and RSTP projects obligated within FFY 16/17, including work description, project phase, and funding amount, is provided in Attachment A to this report. The funding obligated under RSTP will fund substantial roadway rehabilitation efforts, and the funding obligated under CMAQ will allow for cleaner vehicle replacements, intersection operational improvements, and improvements to pedestrian and bicycle facilities.

RECOMMENDATION:

This staff report is for information only; no recommendations are provided.

FISCAL IMPACT:

None.

ATTACHMENT:

A. SJCOG Federal Aid Obligations for FFY 2016/17

Prepared by: Ryan Niblock, Senior Regional Planner

FFY 2016/17
Local Assistance Delivery
Formula Obligation Authority (OA) Funds as of September 30, 2017

Region	FFY 16/17 Actual OA	FFY 16/17 Total Obligations	Percent OA Delivered	August Redistribution
San Diego	\$43,413,460	\$76,261,084	175.7%	\$10,742,354
San Joaquin	\$8,793,037	\$14,663,231	166.8%	\$1,919,764
San Francisco Bay Area (MTC)	\$118,008,768	\$183,609,407	155.6%	\$21,453,767
Los Angeles	\$156,382,825	\$226,601,852	144.9%	\$22,964,146
Sacramento (SACOG)	\$32,182,611	\$45,127,937	140.2%	\$4,233,587
Kern	\$17,990,326	\$24,190,515	134.5%	\$2,027,685
Monterey	\$4,806,269	\$6,323,127	131.6%	\$496,067
Riverside	\$97,344,832	\$123,071,636	126.4%	\$8,413,590
Fresno	\$21,758,817	\$26,306,608	120.9%	\$1,487,291
Tulare	\$8,487,715	\$8,957,527	105.5%	\$153,645
Imperial	\$4,221,605	\$4,415,737	104.6%	\$63,488
Stanislaus	\$8,779,646	\$9,010,164	102.6%	\$75,388
Butte	\$4,906,702	\$4,800,855	97.8%	-
Orange	\$66,956,855	\$62,841,800	93.9%	-
Amador	\$883,202	\$800,348	90.6%	-
San Luis Obispo	\$7,976,791	\$6,969,621	87.4%	-
Kings	\$4,851,054	\$3,756,116	77.4%	-
Nevada	\$3,200,266	\$2,426,486	75.8%	-
Ventura	\$17,069,136	\$12,566,069	73.6%	-
Santa Barbara	\$7,297,753	\$5,268,464	72.2%	-
San Bernardino	\$89,453,159	\$63,374,665	70.8%	-
Tuolumne	\$993,249	\$687,196	69.2%	-
Merced	\$6,483,206	\$3,889,619	60.0%	-
Calaveras	\$846,941	\$504,958	59.6%	-
Tehama	\$2,628,960	\$927,664	35.3%	-
Madera	\$7,461,384	\$2,267,439	30.4%	-
Tahoe	\$5,012,292	\$656,641	13.1%	-
Mariposa	\$1,471,240	\$189,646	12.9%	-
TOTAL:	\$749,662,101	\$920,466,412	122.8%	\$74,030,772

AGENDA ITEM **5D**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: **dibs** FY 2016-17 Community Report

RECOMMENDED ACTION: Presentation

DISCUSSION:

SUMMARY:

Ahoy! In FY 16-17, Smart Travelers throughout San Joaquin, Stanislaus, and Merced counties were introduced to **dibs** - the new brand for SJCOG's Transportation Demand Management Program.

In keeping with the spirit of progress and innovation in the program, this year's report takes you along a quest to see the greatest Smart Travel Treasures uncovered in FY 2016-17. The Community Report will be hosted online, and showcased for Committee Members live at the meeting. Below is a preview of some of the highlights from this past year's voyage.

BACKGROUND:

dibs Community Report FY 16/17 Preview

Find the Smart Travel Treasures!



MAP LEGEND

- ABOUT DIBS
- VANPOOLS
- BIKE TO WORK MONTH
- DIBS COLLABORATION
- ECO AWARDS
- EMERGENCY RIDE HOME
- HOV LAUNCH CAMPAIGN
- ONE MILLION MILES BY 2020
- PARK & RIDE

It was an epic journey of a year!

We said “*Bon Voyage!*” to Commute Connection and sent our old branding for a walk down the plank, then hoisted our sails in a new direction with the launch of **dibs**. Follow along for a few of our highlights leading up to our maiden voyage, and beyond as we sail the Smart Travel Seas.

A few highlights...



126 vanpools now cruise the commuter seas
(That’s nearly 3 miles of traffic wiped out of the shipping lanes)



During Bike Month **533** intrepid adventurers grabbed life by the handlebars and saved over **3 million pounds of CO2** emissions (talk about wind in your sails!)



A flotilla of partnerships helped advance multiple efforts, including vanpool strategizing with Mountain House, pooling resources with Kern & Fresno COGs, and data sharing with MTC.



Buried treasure? Not anymore, as we recognized the Amazon Fulfillment Center in Tracy and Frito Lay in Modesto as **ECO Award** winners for their Smart Travel efforts.



With the launch of **dibs**, we undertook a comprehensive launch campaign including...



Radio ads for **87,000** residents through seven stations



Digital campaigns which drove **7,758** visitors to the new website at www.DibsMyWay.com



A series of direct mail postcards to **2,575 residents**



All told, this led to **1,512** Smart Travel sailors pledging to join the **dibs Crew**!

And...the voyage to **Go Dibs 2020** continues!

We have hit the **400,000 mile** mark in our journey to reaching one million Smart Travel miles logged by the year 2020.



AGENDA ITEM **7A**



November 2017
Board of Directors

STAFF REPORT

SUBJECT: 2018 San Joaquin One Voice®
Call for Projects

RECOMMENDED ACTION: Information

DISCUSSION:

SUMMARY:

The annual San Joaquin One Voice® trip to Washington, D.C. for elected officials, business leaders, and staff will take place April 15-19, 2018. This trip is part of SJCOG's regional advocacy efforts to establish and expand upon relationships with Congressional and federal departmental representatives. The secondary goal is to seek legislative support for the federal funding and regulatory relief needs, issues, and projects of regional significance to the San Joaquin region. The San Joaquin One Voice® delegation members also receive first-hand information on available grant and other funding available to meet our regional priority needs.

The efforts of the One Voice® program provides the platform to effectively advocate for policy and regulatory reform and for preservation of funding programs crucial to projects that bring economic prosperity to San Joaquin County while improving transportation choices and infrastructure.

With this in mind, staff is issuing a "call for projects" for our 2018 San Joaquin One Voice® program. The instructions for submitting project requests and the necessary forms can be found on the program website at www.sjcog.org/onevoice.

RECOMMENDATION:

This is an information item only.

FISCAL IMPACT:

Funding for San Joaquin One Voice® is included in the adopted FY 2017-18 SJCOG OWP. Earmarks are not expected in the federal FY 2018 budget; however discretionary funding allocations are available from departments and through grants. The status of requests for federal funding for some projects will not be known until next fiscal year. Direct costs pertaining to the trip will be offset by sponsor donations.

BACKGROUND:

This is the 18th trip for SJCOG. Our efforts are positively recognized at the federal level and we have been encouraged to continue our collaborative approach and build upon the relationships and communications established with our federal representatives.

Historically, the SJCOG Board endorses 2-3 projects as the over-arching priorities for the region. The Board will review the list of projects submitted in February 2018 and will make the regional priority recommendations at that time.

SCHEDULE & LOGISTICS:

<i>San Joaquin One Voice® Schedule</i>	
November 2017	Call for Projects issued
December 2017 - February 2018	Local jurisdictions, transit agencies, partners, and outside organizations develop project requests and obtain approvals by their local boards and councils
January 2018	Registration materials distributed
February 26, 2018	Deadline to submit project requests
March 23, 2018	Project Requests are presented to SJCOG Board and Regional Priorities determined
March 14, 2018	Hotel Reservation deadline
April 15-19, 2018	Travel to Washington, DC

Accommodations will be at the Washington Court Hotel, 525 New Jersey Ave., N.W., Washington, DC. Reservations can be made by calling 800-321-3010 or by visiting <http://www.washingtoncourthotel.com>.

As is the custom, the registration fee for SJCOG Board members (or their elected designee) is **waived**. Also, SJCOG will pay **one-half** of SJCOG Board members' travel expenses for the trip.

Prepared by: Nicole Gorham, Public Information Officer

AGENDA ITEM **8.3A**



November 2017
SJCOG, Inc.

STAFF REPORT

SUBJECT: Sun Valley Express Transport Project, Plan Participation

RECOMMENDED ACTION: Motion to Allow the Sun Valley Express Transport Project to Participate in the SJMSCP

DISCUSSION:

SUMMARY:

The project applicant, Rigoberto Gama, is requesting coverage under the San Joaquin Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) through the San Joaquin County Community Development Department because the site is in an unmapped area of the Plan. The project site is located on the east side of North State Route 99 West Frontage Road, at the southwest corner of State Route 99 and Peltier Road, Acampo in the Central Zone (attachment 1 & 2).

RECOMMENDATION:

SJCOG, Inc. staff and HTAC recommend SJCOG, Inc. Board to allow the project to participate under the SJMSCP to provide biological coverage for the project impacts to the habitat types under the federal and state permits.

FISCAL IMPACT:

If project participation is approved, SJCOG, Inc. will be provided mitigation for the project impacts as required under the SJMSCP for approximately 3.59 acres. The impacts of this project would consist of 3.59 acres of Multi-Purpose Open Space (C2) habitat at the appropriate rate of disturbance under the Plan.

BACKGROUND:

This project consists of a Use Permit application for a truck parking facility to be built in 2 phases over 5 years. Phase 1 consists of the construction of a 1,400 square foot office and parking for 90 trucks with double trailers. Phase 2 consists of the construction of a 7,000 square foot shop and a 4,000 square foot office addition (attachment 3).

If allowed to participate in the SJMSCP, the total disturbed area will consist of 3.59 acres of Multi-Purpose Open Space (C2) habitat impacts.

The project applicant will be responsible for mitigating for the habitat impacts caused by this project by either paying the appropriate fees at the time of ground disturbance or dedicating land in lieu of a fee at the appropriate SJMSCP ratio.

Adjacent Vegetation and Land Use

Location	SJMSCP Vegetation Map Classification	Habitat Type Category	Actual Use Of Property
Site	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)
North	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)
South	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)
East	Urban (U)	Urban (U)	Urban (U)
West	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)	Multi-Purpose Open Space (C2)

COMMITTEE ACTIONS:

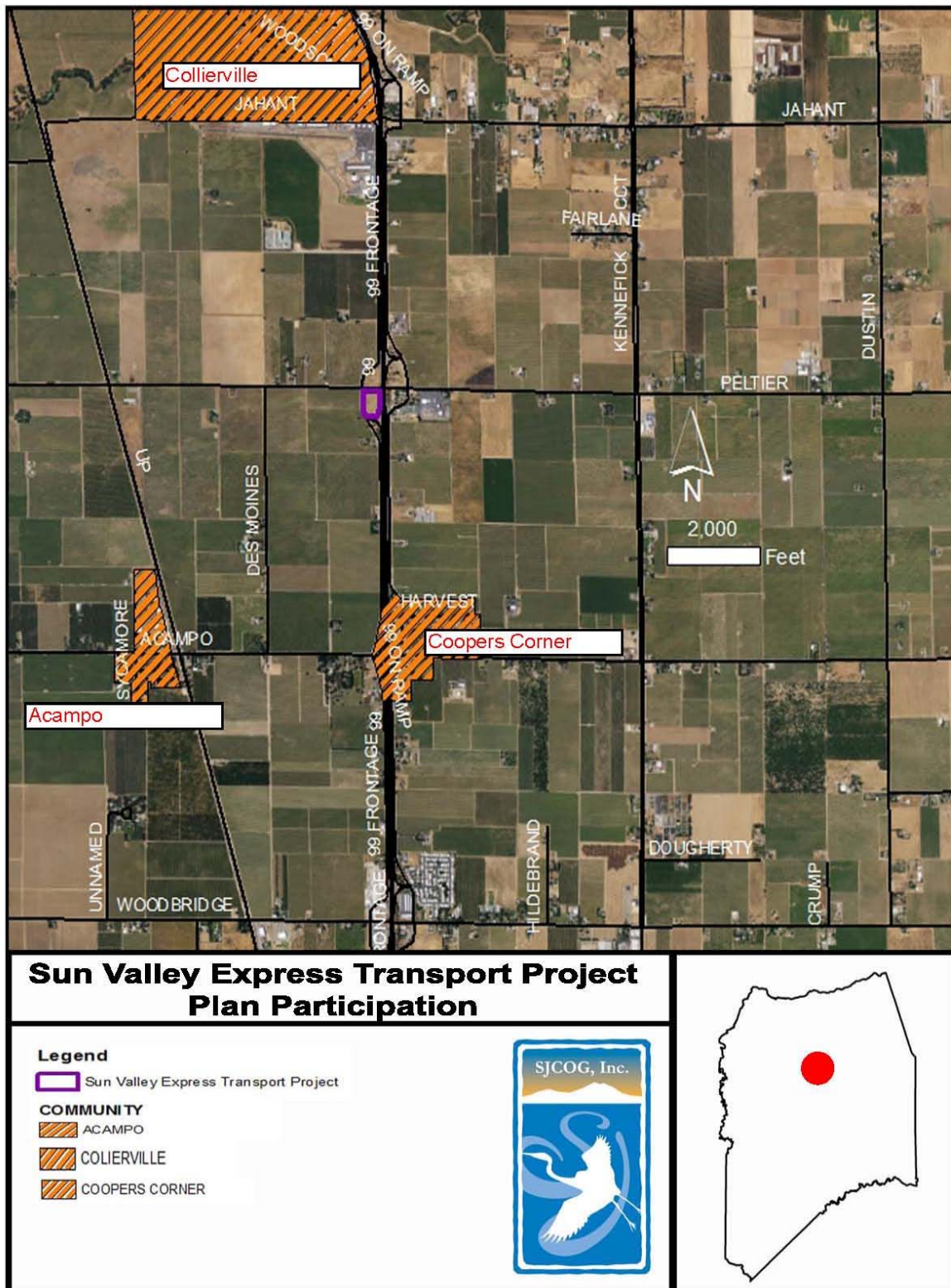
- Habitat Technical Advisory Committee: Recommended Approval
- SJCOG, Inc. Board: Action Required

ATTACHMENTS:

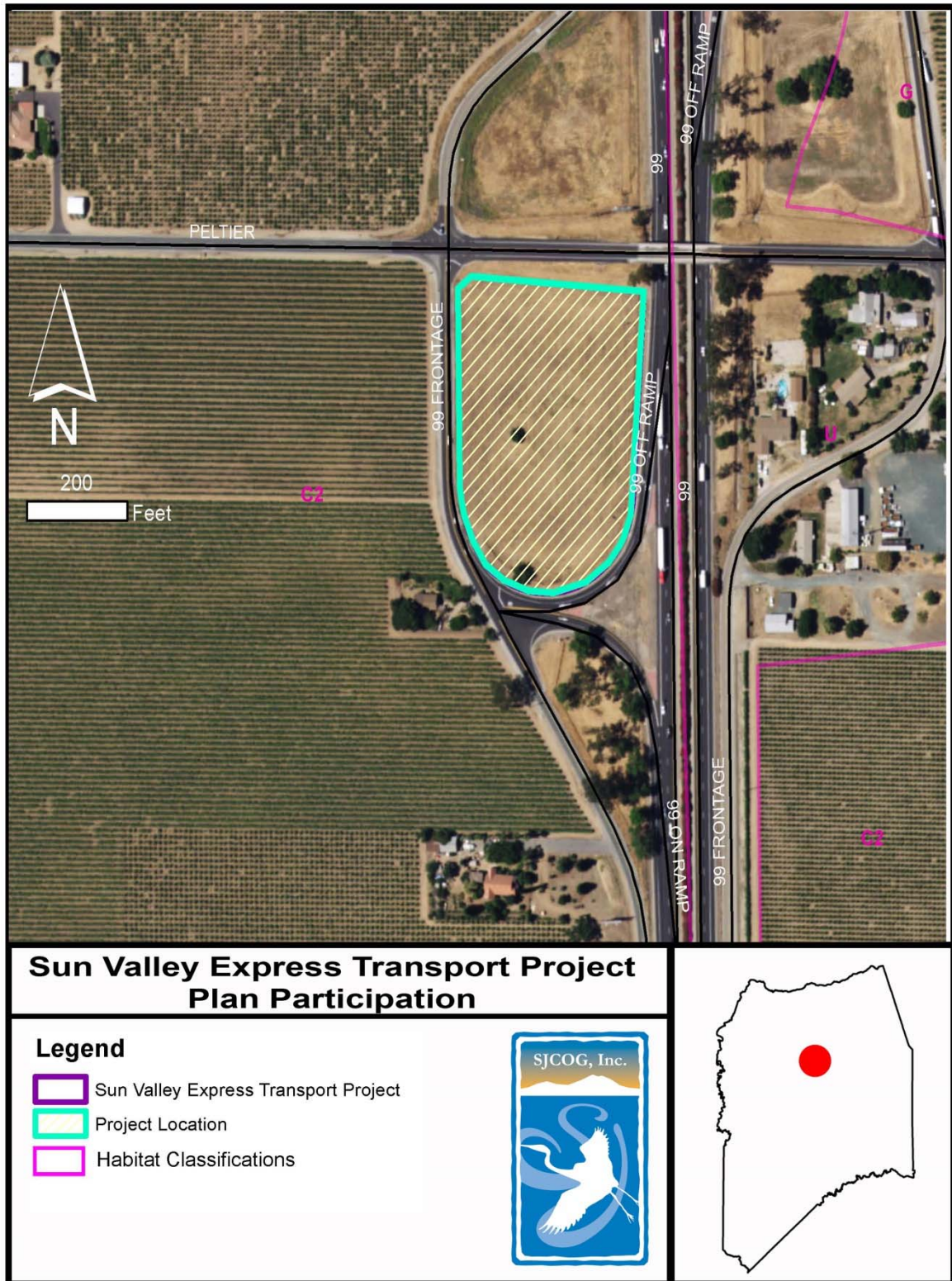
1. General Location Map
2. Project Location Map
3. Project Site Map

Prepared by: Laurel Boyd, Assistant Habitat Planner

ATTACHMENT 1



ATTACHMENT 2





AGENDA ITEM **8.3B**



November 2017
SJCOG, Inc.

STAFF REPORT

SUBJECT: Farm Services Headquarters Project, Plan Participation, and Alteration to the Habitat Classification

RECOMMENDED ACTION: Motion to (1) Allow the Farm Services Headquarters Project to Participate in the SJMSCP and; (2) Allow an Alteration to the Habitat Type Coverage from Multi-Purpose Open Space (C2) Habitat Land to Urban (U) Habitat Land

DISCUSSION:

SUMMARY:

The project applicant, Adrian Fondse, is requesting coverage under the San Joaquin Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) through the San Joaquin County Community Development Department because the site is in an unmapped area of the Plan. The project site is located on the west side of South Carrolton Road, 975 feet south of East Mello Avenue, northeast of Ripon in the Central Zone (attachment 1 & 2).

RECOMMENDATION:

SJCOG, Inc. staff and HTAC recommend SJCOG, Inc. Board to allow the project to participate under the SJMSCP to provide biological coverage for the project impacts to the habitat types under the federal and state permits; 2) allow an alteration to the habitat type coverage from Multi-Purpose Open Space (C2) habitat land to Urban (U) habitat land.

FISCAL IMPACT:

If project participation is approved, SJCOG, Inc. will be provided mitigation for the project impacts as required under the SJMSCP for approximately 6.15 acres. The impacts of this project would consist of 1.77 acres of Multi-Purpose Open Space (C2) and 4.38 acres of Urban (U) habitat impacts at the appropriate rate of disturbance under the Plan.

BACKGROUND:

This project consists of a Site Approval application to convert a 9,975 square foot private agricultural storage building and a 2,457 square foot private office into a farm services headquarters for an orchard removal and ground preparation service. There is an existing private nut hulling operation that will remain in use (attachment 3).

In accordance with the SJMSCP Planned Land Use Map, the project site is located in the “unmapped” land use area of the plan. Because it is not located on an SJMSCP Compensation Map, the project can request an alteration to the SJMSCP vegetation map habitat classification on a case by case review. The project is seeking an alteration to the habitat classification on the SJMSCP vegetation map pursuant to the SJMSCP Section 8.8.2.1 for a clerical change based on aerial photography imagery dated just prior to SJMSCP permit issuance in 2001 on a case-by-case review by the Habitat TAC for recommendation to the SJCOG, Inc. Board for approval.

The SJMSCP GIS vegetation map habitat classification for the project site consists of Multi-Purpose Open Space (C2) habitat land. However; aerial photography (attachment 4) shows portions of the land within the project footprint as Urban (U) consisting of existing structures. The original SJMSCP habitat classification for this specific area would be re-classified from 6.15 acres of Multi-Purpose Open Space (C2) to 4.38 acres of Urban (U) and 1.77 acres of Multi-Purpose Open Space (C2) habitat land.

If allowed to alter the SJMSCP vegetation map habitat classification under the SJMSCP, the total disturbed area of 6.15 acres will consist of 4.38 acres of Urban (U) and 1.77 acres of Multi-Purpose Open Space (C2) habitat impacts. The project applicant will be responsible for mitigating for the habitat impacts caused by this project by either paying the appropriate fees at the time of ground disturbance or dedicating land in lieu of a fee at the appropriate SJMSCP ratio.

Adjacent Vegetation and Land Use

Location	SJMSCP Vegetation Map Classification	Habitat Type Category	Actual Use Of Property
Site	Multi-Purpose Open Space (C2), Urban (U)	Multi-Purpose Open Space (C2), Urban (U)	Multi-Purpose Open Space (C2), Urban (U)
North	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
South	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
East	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
West	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),

COMMITTEE ACTIONS:

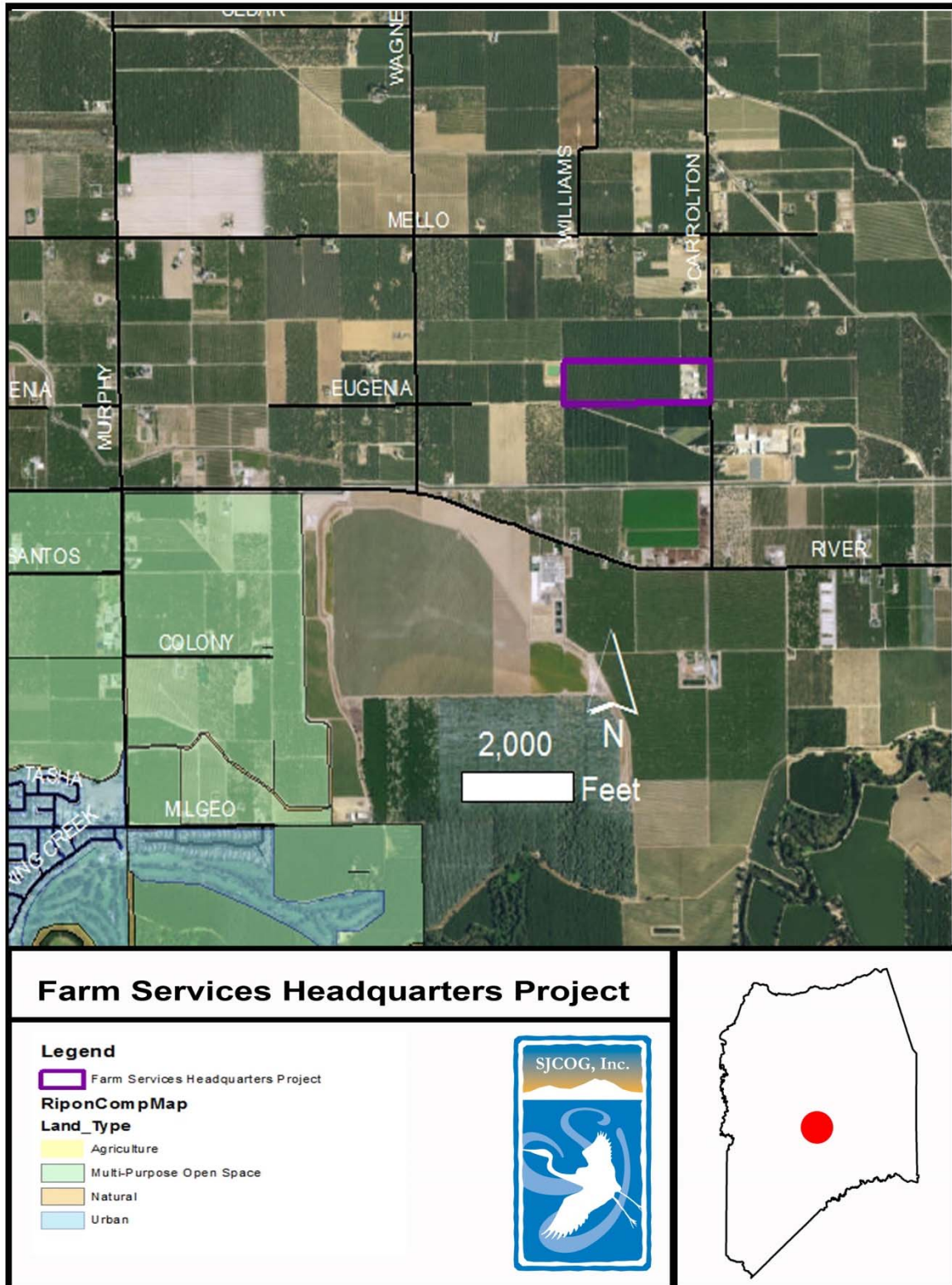
- Habitat Technical Advisory Committee: Recommended Approval
- SJCOG, Inc. Board: Action Required

ATTACHMENTS:

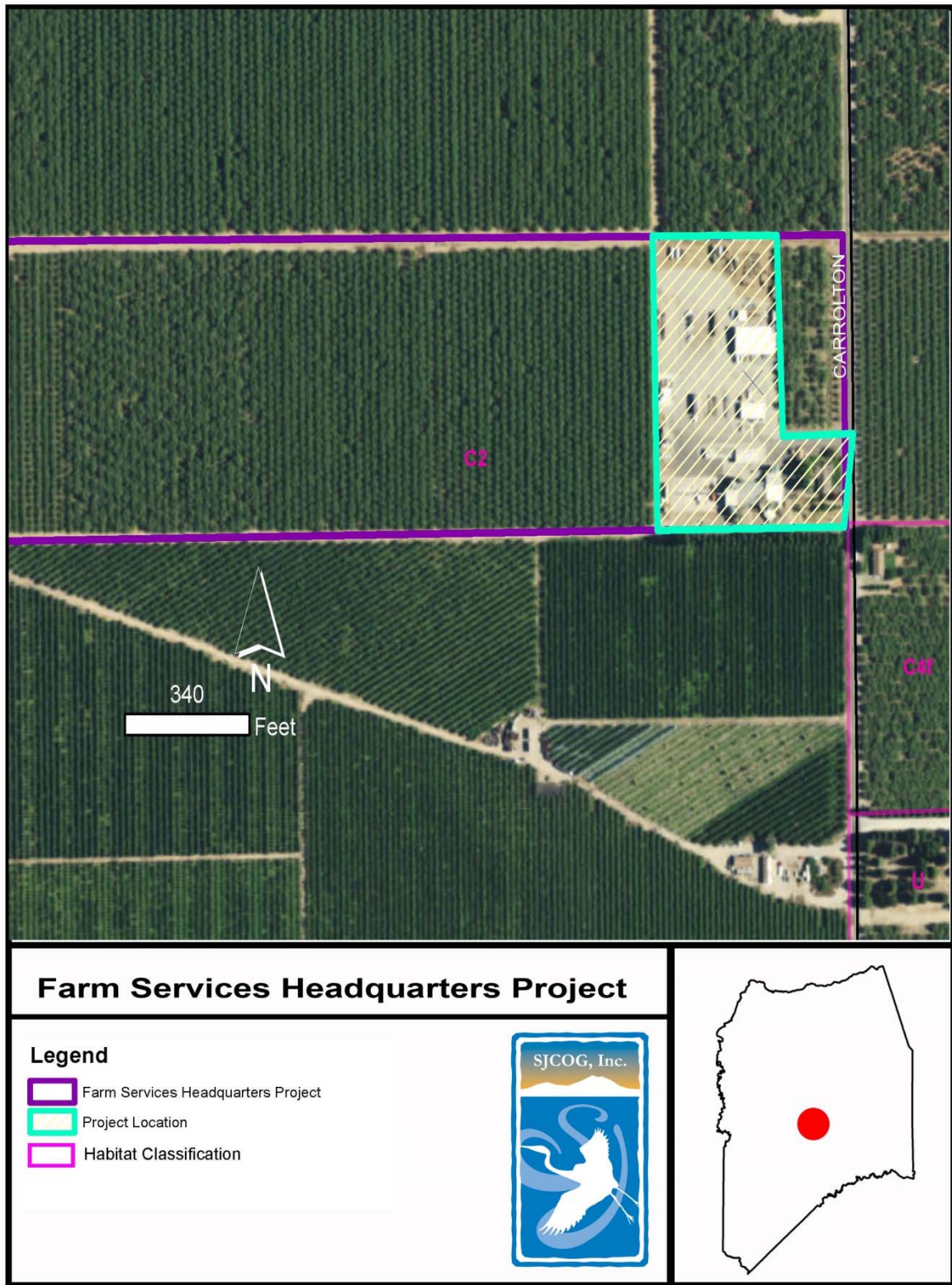
1. General Location Map
2. Project Location Map
3. Project Site Map
4. Aerial Imagery Map – Prior to 2001

Prepared by: Laurel Boyd, Assistant Habitat Planner

ATTACHMENT 1

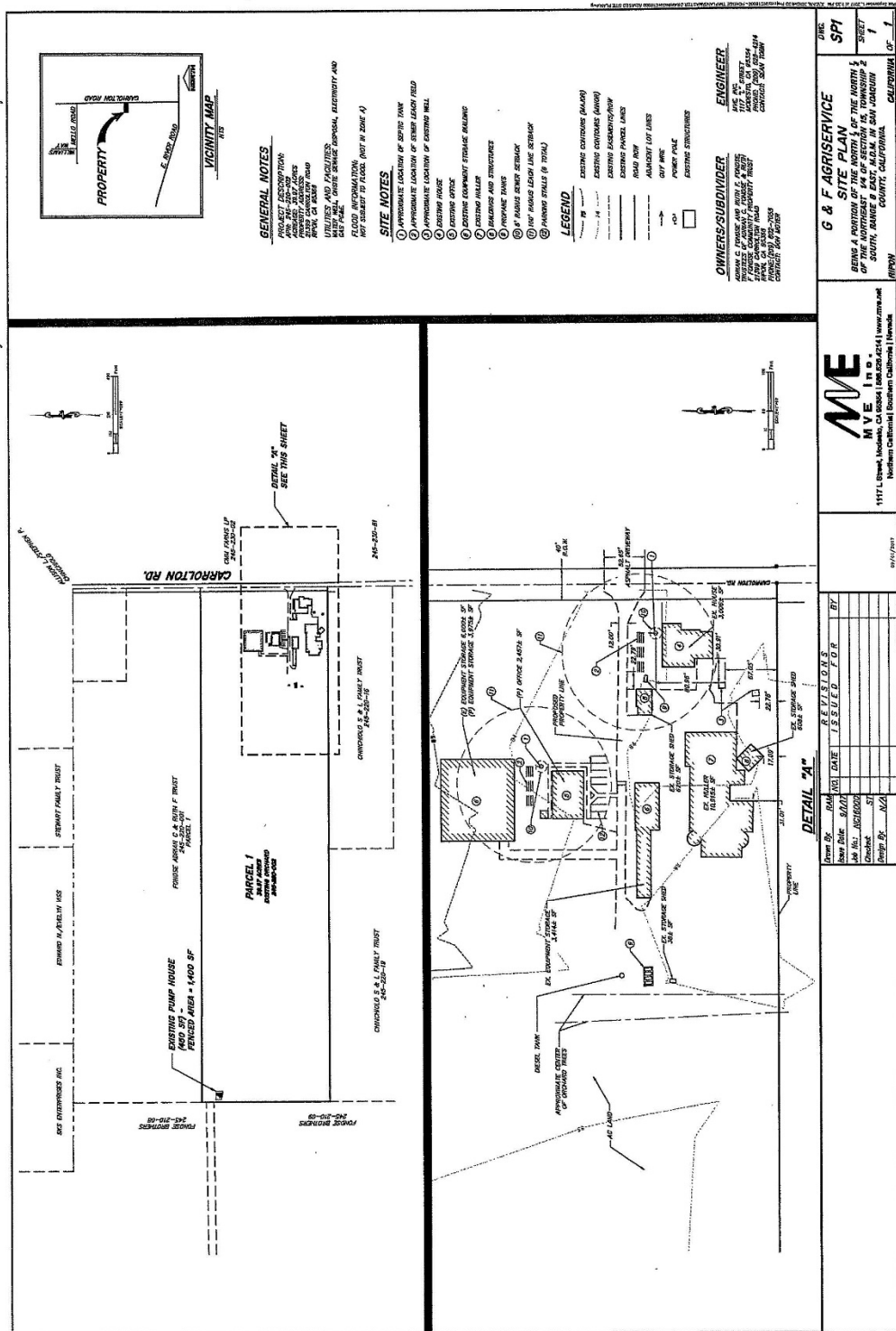


ATTACHMENT 2

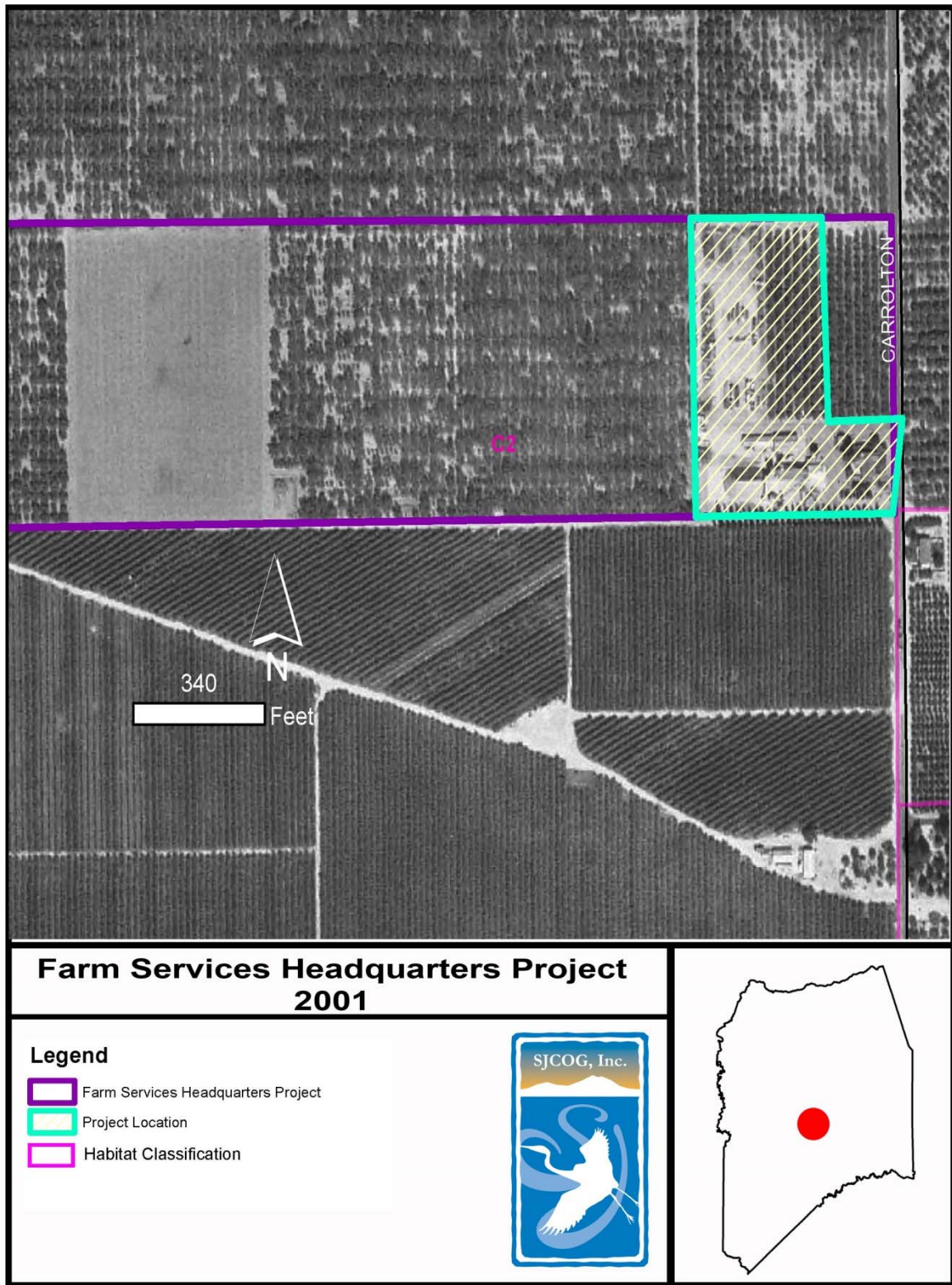


Application # PA1700093

Received By AC On 9-1-17



ATTACHMENT 4



AGENDA ITEM **8.3C**



November 2017
SJCOG, Inc.

STAFF REPORT

- SUBJECT:** Austin Road Excavation Project, Plan Participation and Alteration to the Habitat Classification
- RECOMMENDED ACTION:** Motion to 1) Allow the Austin Road Excavation Project to Participate in the SJMSCP and; 2) Allow an Alteration to the Habitat Type Coverage from Multi-Purpose Open Space (C2) Habitat Land to Urban (U) Habitat Land

DISCUSSION:

SUMMARY:

The project applicant, John Sephos, is requesting coverage under the San Joaquin Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) through the San Joaquin County Community Development Department because the site is in an unmapped area of the Plan. The project site is located on the east side of Austin Road, 1,787 feet south of Louise Avenue, east of Manteca in the Central Zone (attachment 1 & 2).

RECOMMENDATION:

SJCOG, Inc. staff and HTAC recommend SJCOG, Inc. Board to allow the project to participate under the SJMSCP to provide biological coverage for the project impacts to the habitat types under the federal and state permits; 2) allow an alteration to the habitat type coverage from Multi-Purpose Open Space (C2) habitat land to Urban (U) habitat land.

FISCAL IMPACT:

SJCOG, Inc. to be provided mitigation for the project impacts as required under the SJMSCP. If project participation is approved, SJCOG, Inc. will be provided mitigation for the project impacts as required under the SJMSCP for approximately 7.43 acres. The impacts for this project would consist to 7.43 acres of Urban (U) habitat impacts at the appropriate rate of disturbance under the Plan if alteration of habitat type is approved.

BACKGROUND:

This project consists of an Agricultural Excavation application to remove approximately 75,800 cubic yards of sand and soil over 5 years. No on-site processing of materials will take place. The proposed reclamation end use for the project site is an agricultural vineyard (attachment 3).

In accordance with the SJMSCP Planned Land Use Map, the project site is located in the “unmapped” land use area of the plan. Because it is not located on a SJMSCP Compensation Map, the project can request an alteration to the SJMSCP vegetation map habitat classification on a case by case review. The project is seeking an alteration to the habitat classification on the SJMSCP vegetation map based on the SJMSCP Section 8.8.2.1 for a clerical change by the JPA to the habitat classification on the project site based on provided aerial photography imagery dated just prior to SJMSCP permit issuance in 2001 on a case-by-case review by the Habitat TAC for recommendation to the SJCOG, Inc. Board for approval.

Based on the habitat classification by the permitting agencies and local jurisdictions prior to issuance of the SJMSCP permits in 2001 through broader review of habitat types in San Joaquin County, the SJMSCP GIS vegetation map habitat the project site as Multi-Purpose Open Space (C2). However; aerial photography (attachment 4) shows portions of the land within the project footprint as Urban (U) consisting of existing soil piles from a prior and abandoned project. The original SJMSCP habitat classification for this specific area would be re-classified from 7.43 acres of Multi-Purpose Open Space (C2) to 7.43 acres of Urban (U) habitat land.

If allowed to alter the SJMSCP vegetation map habitat classification under the SJMSCP, the total disturbed area of 7.43 acres will consist of 7.43 acres of Urban (U) habitat impacts. The project applicant will be responsible for mitigating for the habitat impacts caused by this project by either paying the appropriate fees at the time of ground disturbance or dedicating land in lieu of a fee at the appropriate SJMSCP ratio.

Adjacent Vegetation and Land Use

Location	SJMSCP Vegetation Map Classification	Habitat Type Category	Actual Use Of Property
Site	Multi-Purpose Open Space (C2), Urban (U)	Multi-Purpose Open Space (C2), Urban (U)	Multi-Purpose Open Space (C2), Urban (U)
North	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
South	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
East	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),
West	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),	Multi-Purpose Open Space (C2),

COMMITTEE ACTIONS:

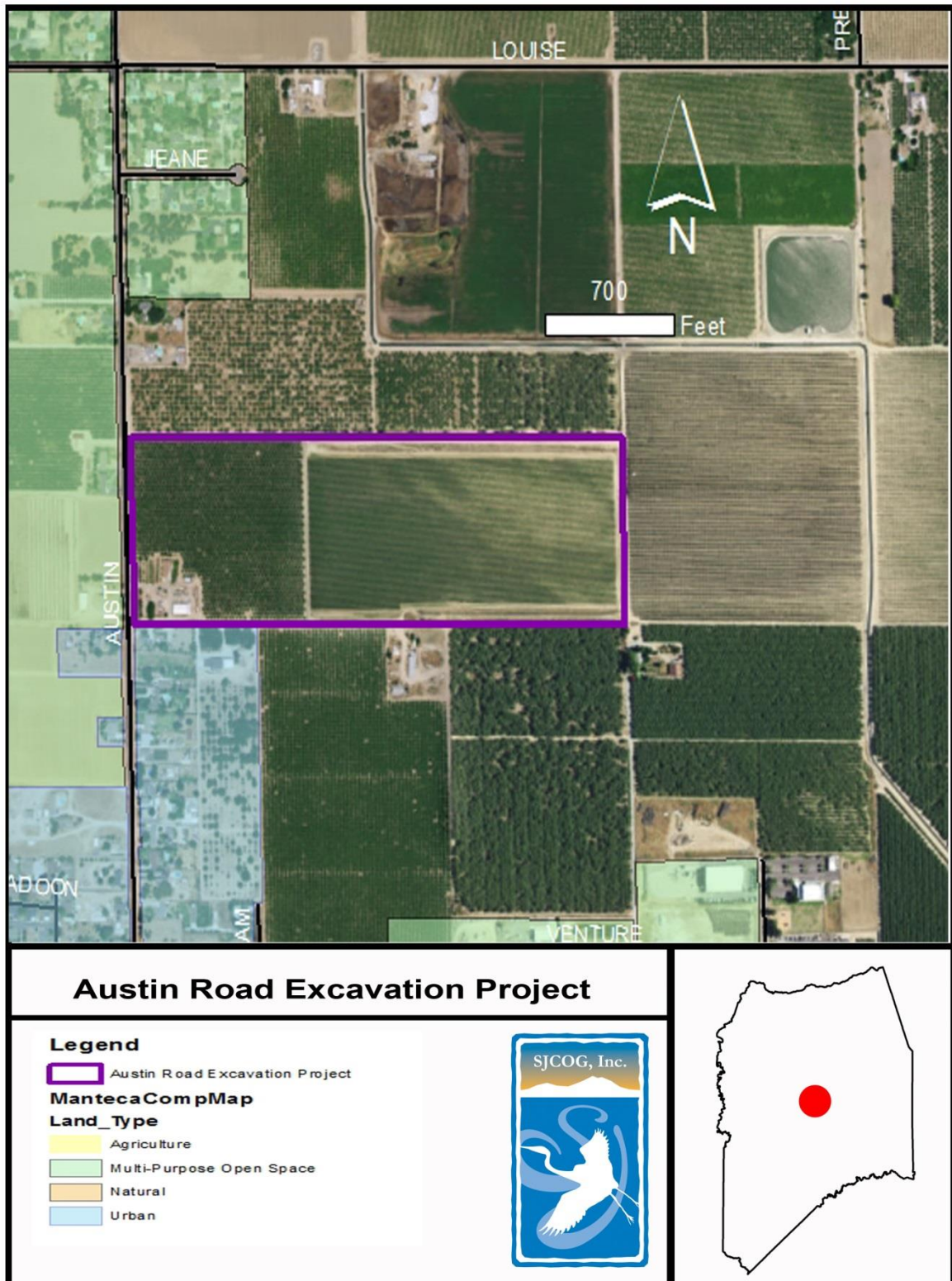
- Habitat Technical Advisory Committee: Recommended Approval
- SJCOG, Inc. Board: Action Required

ATTACHMENTS:

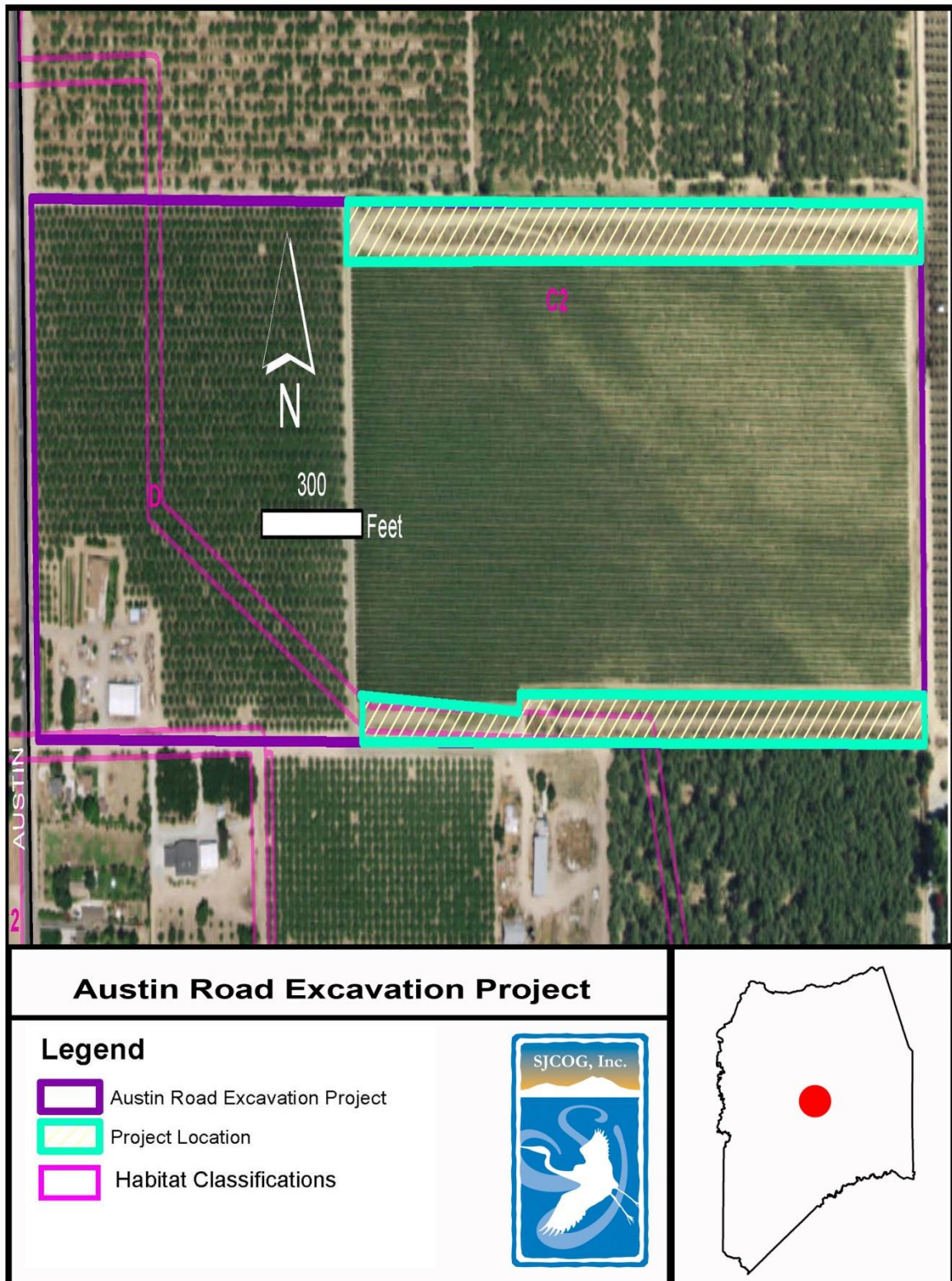
1. General Location Map
2. Project Location Map
3. Project Site Map
4. Aerial Imagery Map – Prior to 2001

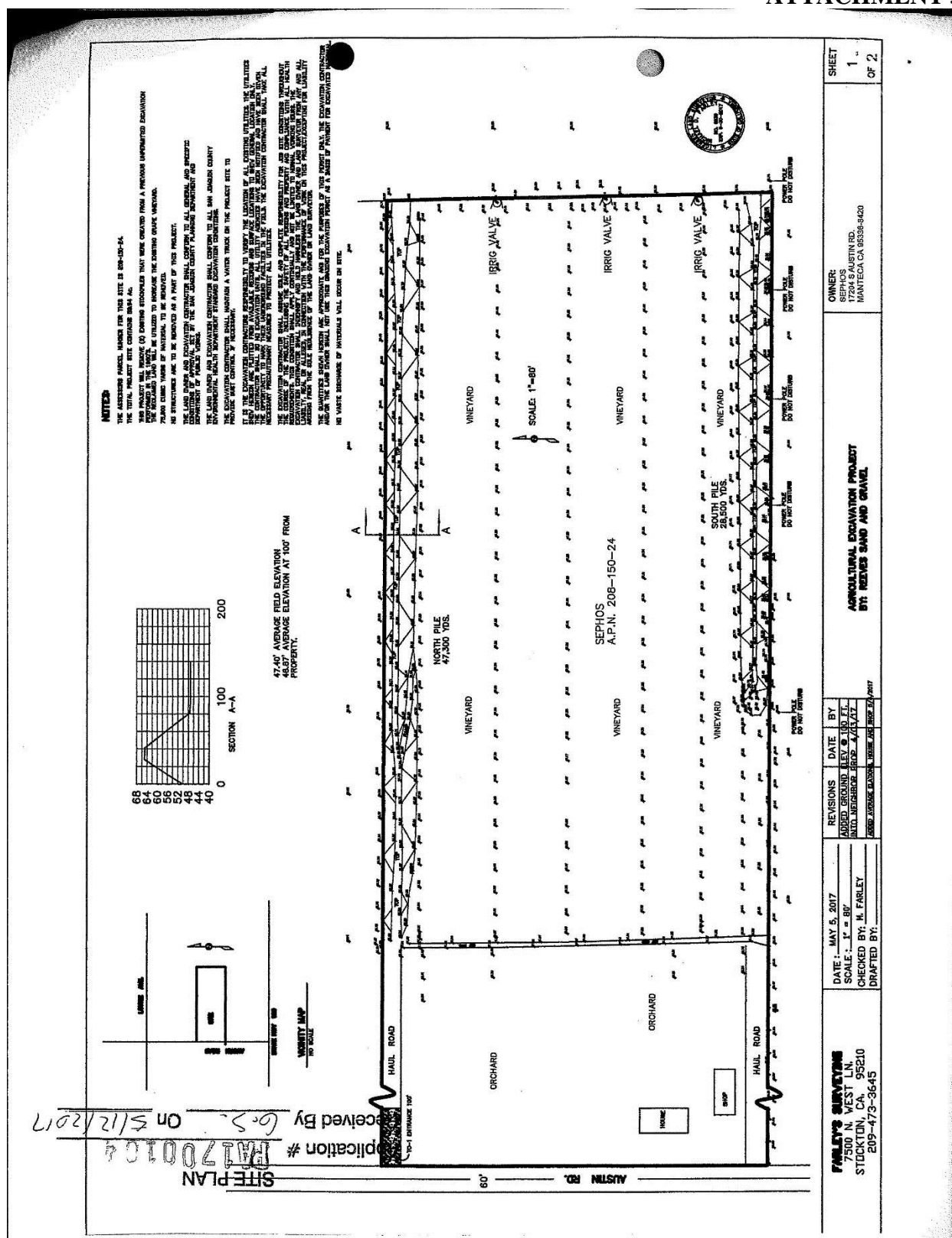
Prepared by: Laurel Boyd, Assistant Habitat Planner

ATTACHMENT 1

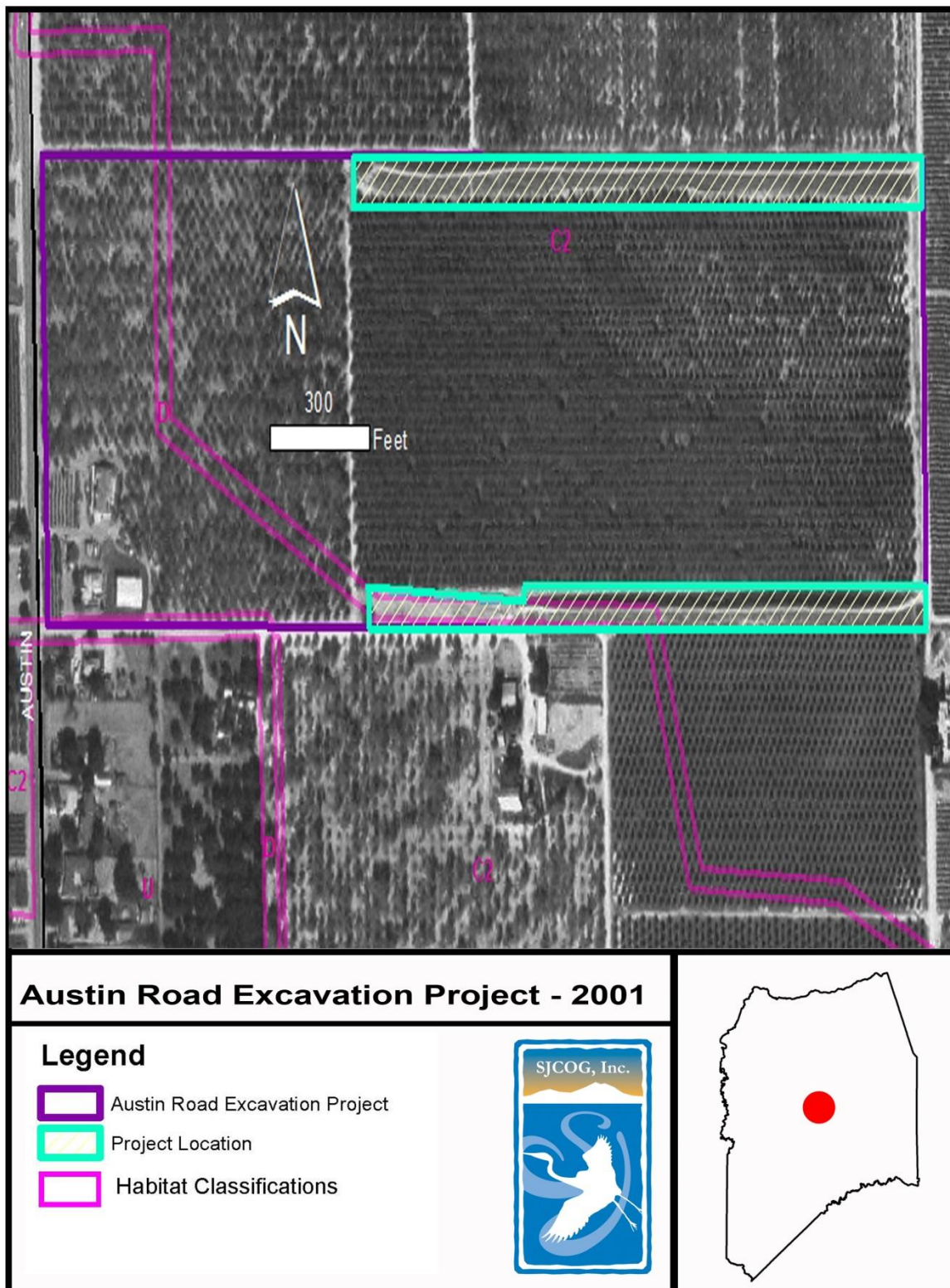


ATTACHMENT 2





ATTACHMENT 4



AGENDA ITEM **8.3D**



November 2017
SJCOG, Inc.

STAFF REPORT

SUBJECT: Calaveras River Bicycle and Pedestrian Bridge Project, Plan Participation and Buffer Reduction

RECOMMENDED ACTION: Motion to 1) Allow the Calaveras River Bicycle and Pedestrian Bridge Project to Participate in the SJMSCP; and 2) Allow a Revision to the Incidental Take Minimization Measures for Giant Garter Snake (GGS) and Western Pond Turtle (WPT) Buffers

DISCUSSION:

SUMMARY:

The project applicant, City of Stockton Public Works Department, is requesting coverage under the San Joaquin Multi-Species Habitat Conservation and Open Space Plan (SJMSCP). Although the project is in a mapped area of the plan, the project needs to participate due to the required USACE Clean Water Act Section 404 permit and the California Department of Fish and Wildlife 1602 Streambed Alteration Agreement permits. The project is located south of March Lane, traversing the Calaveras River and running perpendicular to West Lane, within the city limits of Stockton in the Central Zone (attachments 1 & 2).

RECOMMENDATION:

SJCOG, Inc. staff and HTAC recommend SJCOG, Inc. Board to 1) allow the project to participate under the SJMSCP to provide biological coverage for project impacts to the habitat types under the federal and state permits; and 2) allow a revision to the Incidental Take Minimization Measures for Giant Garter Snake (GGS) and Western Pond Turtle (WPT) buffers.

FISCAL IMPACT:

SJCOG, Inc. to be provided mitigation for the project impacts as required under the SJMSCP. The impacts for this project would consist of 0.13 acres of Urban (U) and 0.02 acres of Natural (W) habitat at the appropriate rate of disturbance under the Plan.

BACKGROUND:

The city of Stockton will improve the existing Calaveras River Bicycle and Pedestrian Bridge by modifying steel joints, repairing concrete at the bridge abutments, replace expansion joints and handrails and touch up paint. To accomplish the improvements, the bridge beams must be shored. The temporary support system will be placed on the dry Calaveras River floodplain, outside the low-flow channel (attachment 3).

The project will impact Giant Garter Snake (GGS) habitat within the suggested 200-foot buffer and Western Pond Turtle (WPT) within the 300-foot buffer. As identified in section 5.59 of the plan, HTAC, on a case by case review, can establish a setback and buffer zone in place of the 200 and 300 feet suggested. Because construction of portions of the project will be within the suggested buffer areas, the project proponent has requested a reduction in the buffer to a 0 setback for the construction site impacts. All other ITMM measures for GGS (*e.g. construction window between May and October and required survey work*) and WPT will remain standard.

The SJMSCP GIS habitat layer classifies the project site as Urban (U) and Natural (W).

If allowed to participate in the SJMSCP with the revised ITMM, the total disturbed area will consist of 0.13 acres of Urban (U) and 0.02 acres of Natural (W) habitat impacts. The project applicant will be responsible for mitigating for the habitat impacts incurred by this project by either paying the appropriate fees at the time of ground disturbance or dedicating land in lieu of a fee at the appropriate SJMSCP ratio.

Adjacent Vegetation and Land Use

Location	SJMSCP Vegetation Map Classification	Habitat Type Category	Actual Use Of Property
Site	Urban (U), Natural (W)	Urban (U), Natural (W)	Urban (U), Natural (W)
North	Urban (U)	Urban (U)	Urban (U)
South	Urban (U)	Urban (U)	Urban (U)
East	Urban (U)	Urban (U)	Urban (U)
West	Urban (U)	Urban (U)	Urban (U)

COMMITTEE ACTIONS:

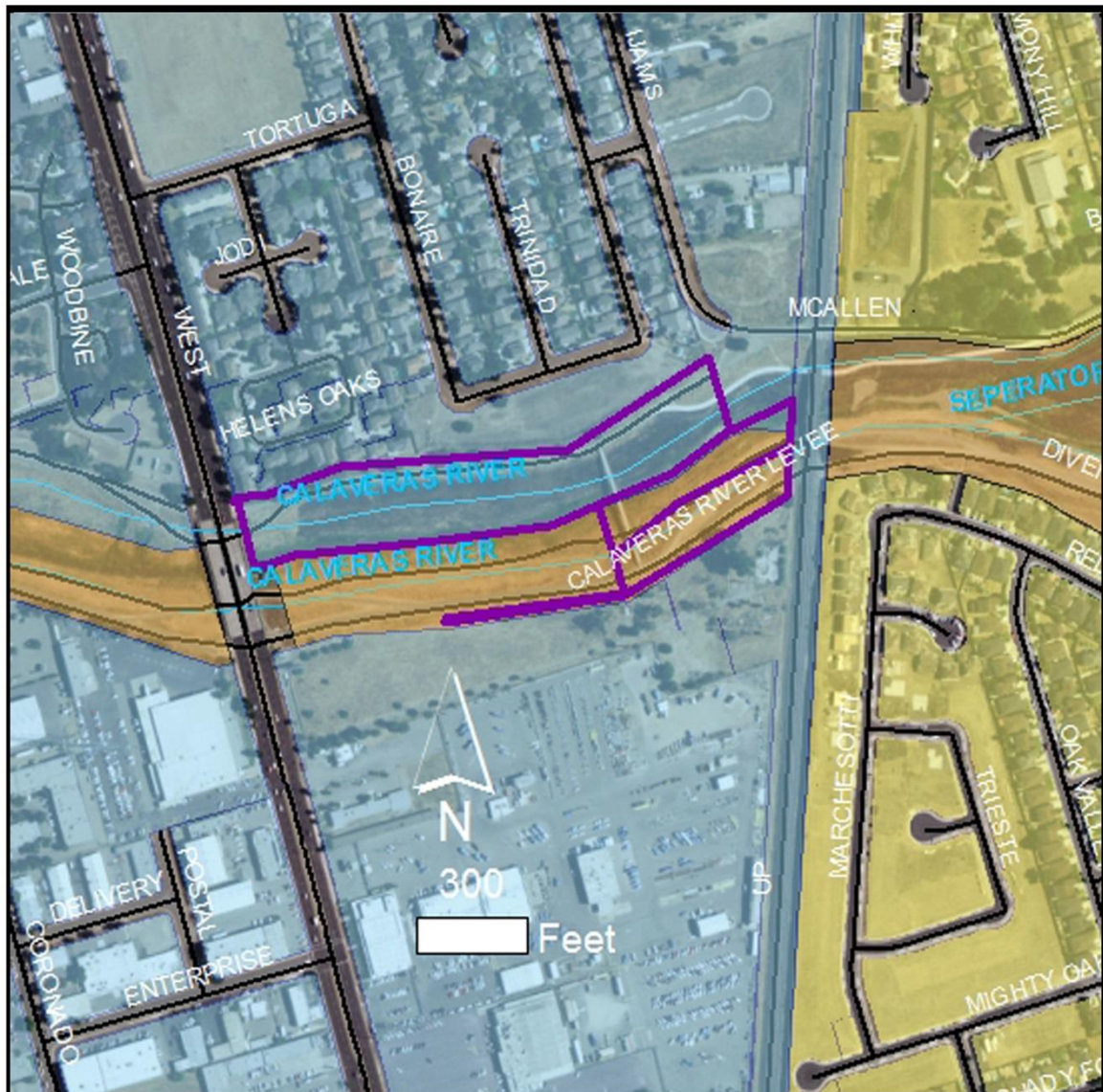
- Habitat Technical Advisory Committee: Recommended Approval
- SJCOG, Inc. Board: Action Required

ATTACHMENTS:

1. General Location Map
2. Project Location Map
3. Project Site Map

Prepared by: Laurel Boyd, Assistant Habitat Planner

ATTACHMENT 1



Calaveras River Bicycle & Pedestrian Bridge Project

Legend

- Calaveras River Bike & Pedestrian Bridge Project
- Waterways

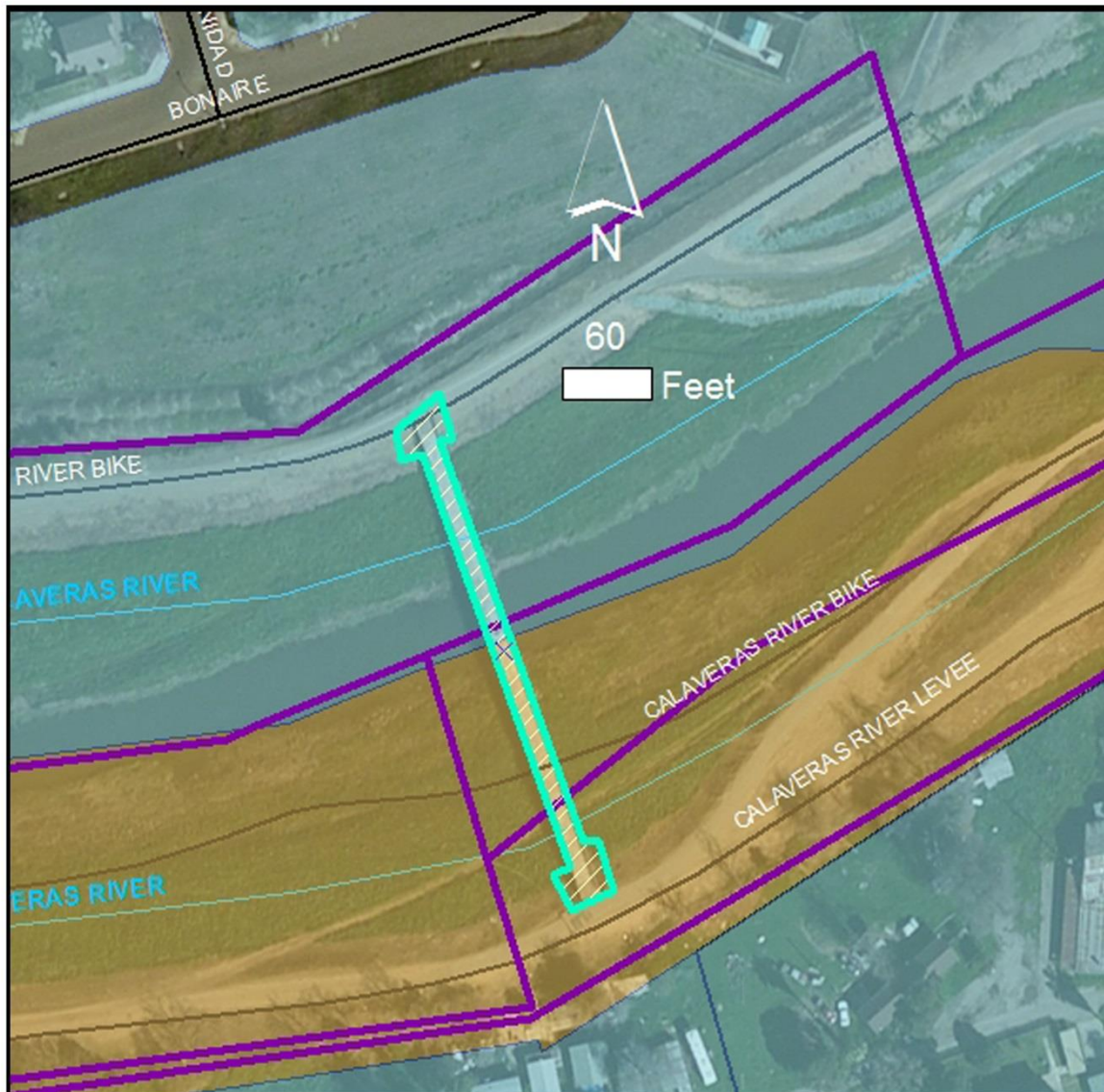
StocktonCompMap_JS_Oct07

Land_Type

- Agriculture
- Multi-Purpose Open Space
- Natural
- Prior Agreement
- Urban



ATTACHMENT 2



Calaveras River Bicycle & Pedestrian Bridge Project

Legend

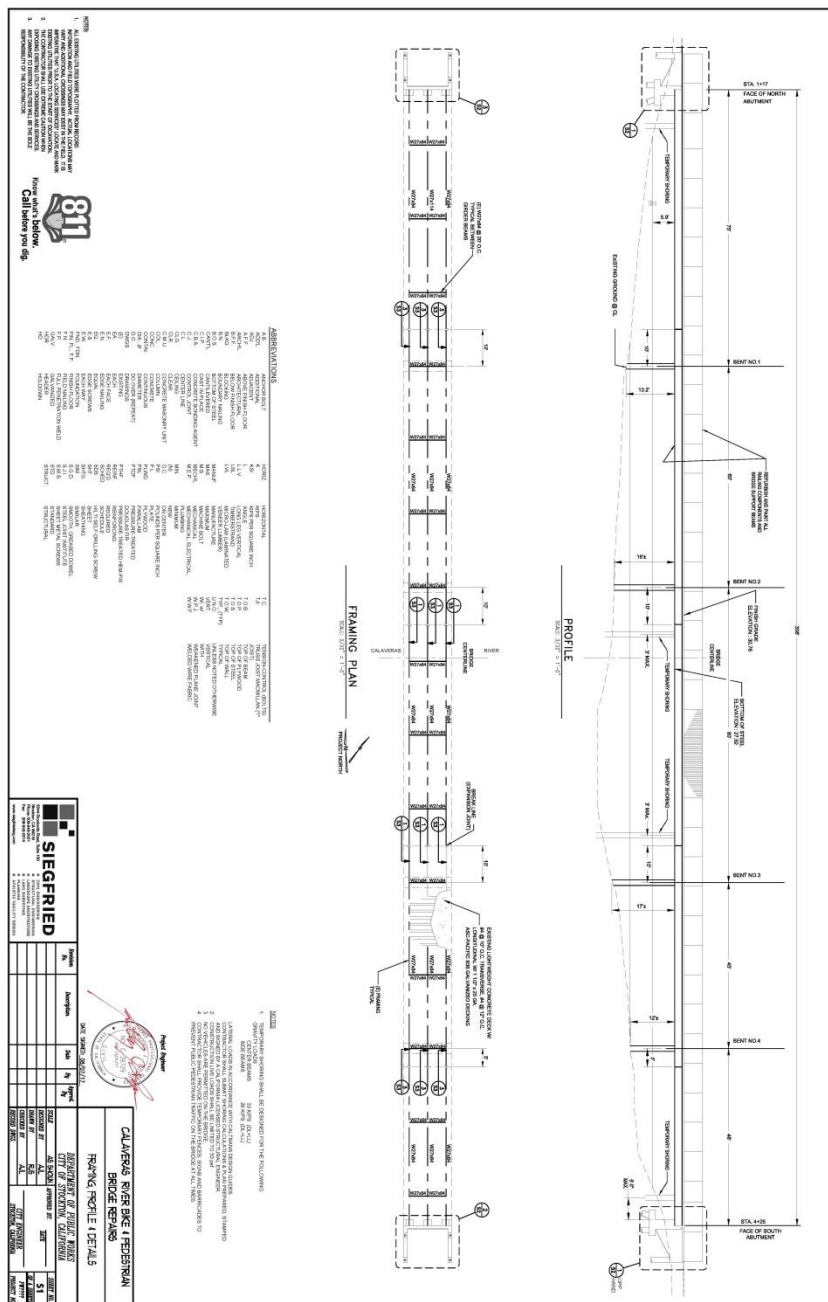
- Calaveras River Bike & Pedestrian Bridge Project
- Project Location
- Waterways

StocktonCompMap_JS_Oct07

Land_Type

- Agriculture
- Multi-Purpose Open Space
- Natural
- Prior Agreement
- Urban





AGENDA ITEM **8.4A**



November 2017
SJCOG, Inc.

STAFF REPORT

SUBJECT: Pescadero Levee Improvements Project

RECOMMENDED ACTION: Motion to (1) Allow the Pescadero Levee Improvements Project to Participate in the SJMSCP and; (2) Allow an Alteration to the Habitat Type Coverage from Agricultural Habitat Land (C34) to Multi-Purpose Open Space (C5) Habitat Land and Urban (U2) Habitat Land

DISCUSSION:

SUMMARY:

The project applicant, the Pescadero Reclamation District 2058, is requesting coverage under the San Joaquin Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) because the site is in an unmapped area of the Plan and the potential need of an Army Corp permit (404/408). The project will be covered for 96 of the 97-species covered under the plan permits but will need to address one specie (riparian brush rabbit) in Section 7 consultation with USFWS. Also, the project will permanently impact part of an existing SJMSCP preserve (Larson/Garcia preserve) agricultural field of approximately 1 acre +/- with the improvement to the current levee repair site which will be replaced by the project at a replacement ratio of 2:1 as agreed to with both permitting agencies. The project site is located north of Interstate 205 and east of MacArthur Drive, northeast of Tracy in the Central Zone (attachments 1).

RECOMMENDATION:

SJCOG, Inc. staff and HTAC recommend SJCOG, Inc. Board to allow the project to participate under the SJMSCP to provide biological coverage for the project impacts to the habitat types under the federal and state permits; 2) allow an alteration to the habitat type coverage from Agricultural (C34) habitat land to Multi-Purpose Open Space (C5) habitat land to Urban (U2) habitat land.

FISCAL IMPACT:

If project participation is approved, SJCOG, Inc. will be provided mitigation for the project impacts as required under the SJMSCP for approximately 21.29 acres +/- . The impacts for this project would consist of 7.27 acres of Agriculture (C34), 8.20 acres of Multi-Purpose Open Space (C5), and 5.82 acres of Urban (U2) habitat impacts at the appropriate rate of disturbance under the Plan.

As a separate action, the levee improvement project will permanently impact an existing SJCOG, Inc. conservation easement (Larson/Garcia preserve) of approximately 1.0 acre by converting an existing agricultural field to the expansion of the existing levee being improved for flood protection. After discussions with the project proponent, SJCOG, Inc. staff, legal counsel and both permitting agencies (USFWS and CDFW), all parties agreed upon easement replacement to be done at a 2:1 replacement ratio for the loss of the existing conservation easement acreage by land dedication located on another existing SJMSCP preserve or selected nearby site. This action will require a transfer of 1-acre +/- of endowment funds already established from the Larson/Garcia account to the new site account by SJCOG, Inc. after completion.

BACKGROUND:

The proposed project consists of three components and a replacement measure: (1) the Levee Critical Repair site, (2) the Levee Crown Rehabilitation site, and (3) the Borrow/Spoils site. Under the Flood System Repair Project (FSRP) guidelines, the District is an eligible Local Maintaining Agency (LMA) for implementing flood risk reduction projects with state cost share. The proposed project will be funded through state disbursement through the Department of Water Resources and District maintenance funding.

The Levee Critical Repair Site: The District is proposing to make critical repairs to eroded sites on the Paradise Cut levee within its maintenance area to restore structural integrity and prevent flooding to adjacent agricultural areas. Critical repair of the erosion site involves reestablishing the full width and section of the levee in this area, at its base, and at the crown, as originally designed and constructed by the U.S. Army Corps of Engineers (USACE). All work would be done on the land side of the levee. This portion of the proposed project would extend from Levee Mileage (LM) Marker 4.43 to 4.69 of the project levee.

Levee Crown Rehabilitation Site: This portion of the proposed project involves re-grading and rehabilitating the levee crown and outer slope to the toe of the levee from LM 4.00 to 4.43 to meet original design specifications constructed by USACE. There would be no increase in the levee footprint in this area.

Borrow/Spoils Site: The designated borrow/spoils site is required for both above projects. The borrow/spoils site is in the vicinity of the projects and is adjacent to Tom Paine Slough just off El Rancho Road. This site is controlled and used by the Pescadero Reclamation District to provide ongoing maintenance of the Tom Paine Slough. No agricultural activity occurs in the borrow area. Approximately 26,500 cubic yards (CY) of fill material would be taken for the borrow/spoils site. While material will be taken from both the north and south sides of the slough, most of the material will be taken from the southerly and easterly side of the slough. The excavated areas would be wide, shallow troughs that will be graded and blended into the existing ground surface at the completion of construction (attachment 2).

Replacement Measure for Impacted Preserve Land: The replacement of the impacted existing SJMSCP preserve land of approximately 1-acre +/- at the project site for the improvement of the levee will be completed at a 2:1 ratio of land dedication at an existing or nearby property with concurrence of the permitting agencies through a conservation easement.

In accordance with the SJMSCP Planned Land Use Map, the project site is located in the “unmapped” land use area of the plan. Because it is not located on an SJMSCP Compensation Map, the project can request an alteration to the SJMSCP vegetation map habitat classification on a case by case review. The project is seeking an alteration to the habitat classification on the SJMSCP vegetation map pursuant to SJMSCP Section 8.8.2.1 for a clerical change by the JPA aerial photography imagery dated just prior to SJMSCP permit issuance in 2001.

Based on the habitat classification by the permitting agencies and local jurisdictions prior to issuance of the SJMSCP permits in 2001 the SJMSCP GIS vegetation map habitat classifies the project site as Agriculture (C34). However; aerial photography (attachment 3) shows portions of the land within the project footprint as Urban (U2) consisting of an existing roadway and Multi-Purpose Open Space (C5) consisting of ruderal lands. The original SJMSCP habitat classification for this specific area would be re-classified from 21.29 acres of Agriculture (C34) to 7.27 acres of Agriculture (C34), 8.20 acres of Multi-Purpose Open Space (C5) and 5.82 acres of Urban (U2) habitat land.

Adjacent Vegetation and Land Use

Location	SJMSCP Vegetation Map Classification	Habitat Type Category	Actual Use Of Property
Site	Agriculture (C34)	Agriculture (C34)	Agriculture (C34), Multi-Purpose Open Space (C2), Urban (U2)
North	Agriculture (C34), Multi-Purpose Open Space (C2), Natural (W4)	Agriculture (C34), Multi-Purpose Open Space (C2), Natural (W4)	Agriculture (C34), Multi-Purpose Open Space (C2), Natural (W4)
South	Agriculture (C34)	Agriculture (C34)	Agriculture (C34)
East	Agriculture (C34), Urban (U)	Agriculture (C34), Urban (U)	Agriculture (C34), Urban (U)
West	Agriculture (C34)	Agriculture (C34)	Agriculture (C34)

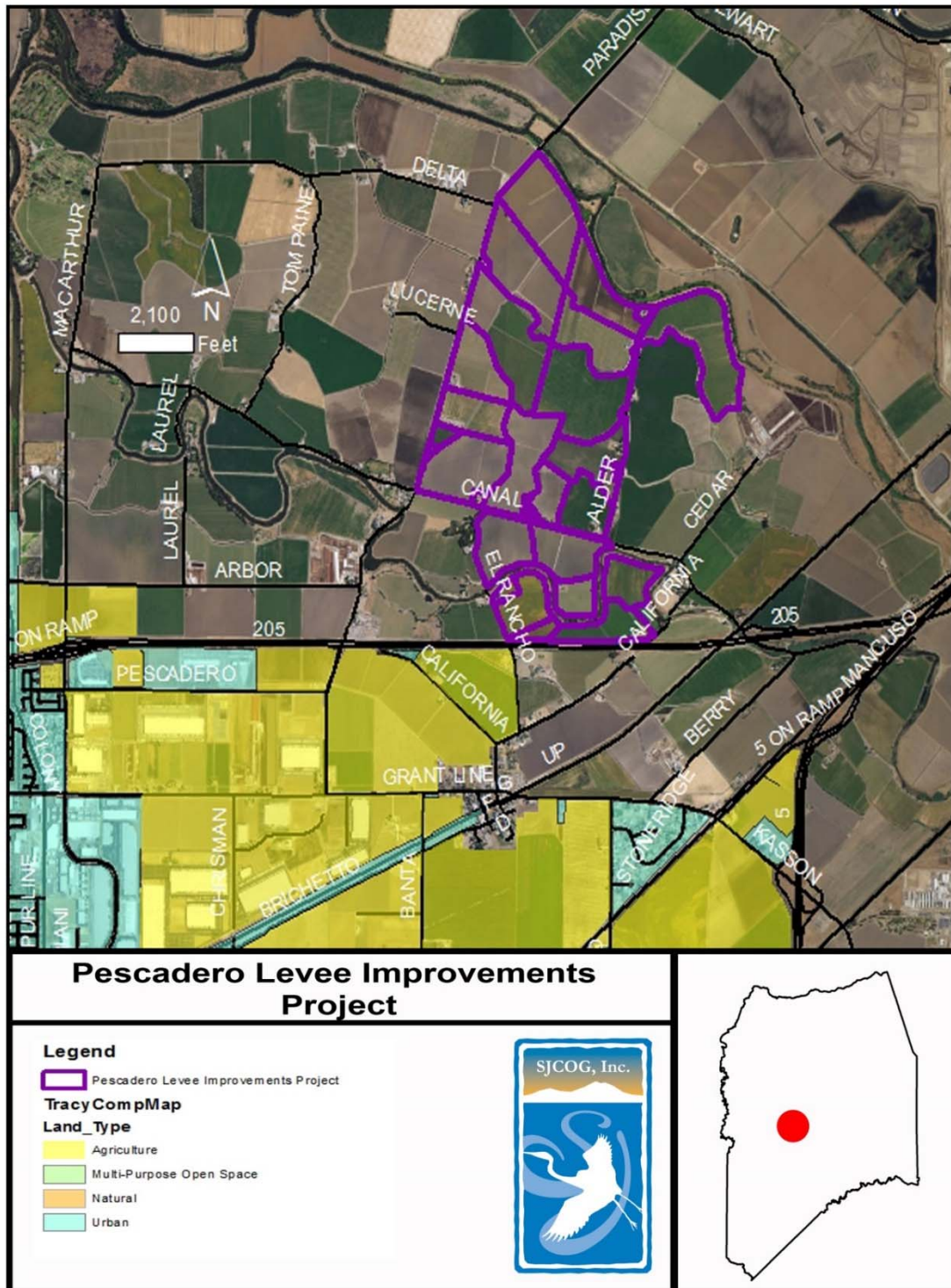
COMMITTEE ACTIONS:

- Habitat Technical Advisory Committee: Recommended Approval
- SJCOG, Inc. Board: Action Required

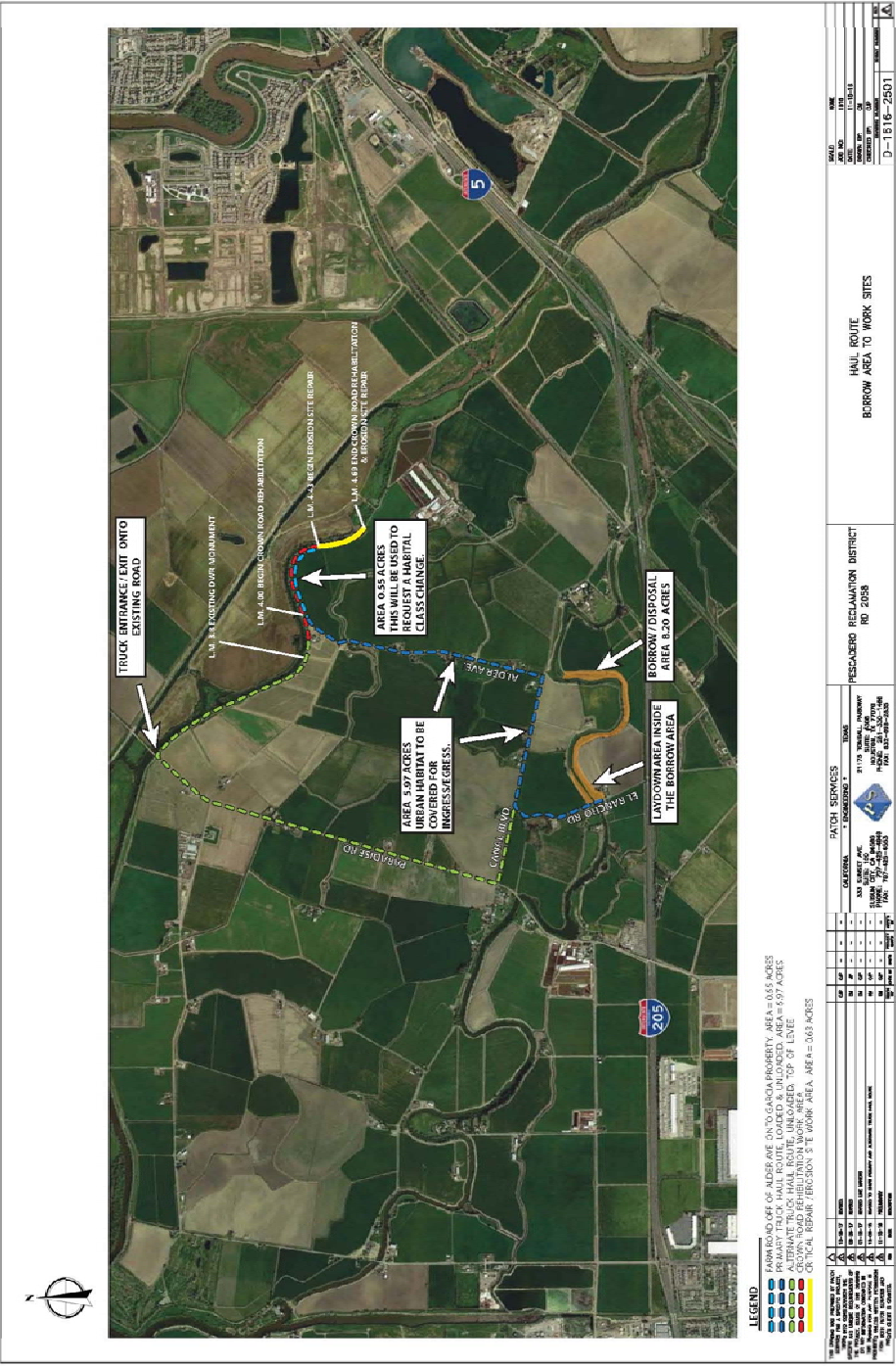
ATTACHMENTS:

1. General Location Map
2. Project Site Map
3. Aerial Imagery Map – Prior to 2001

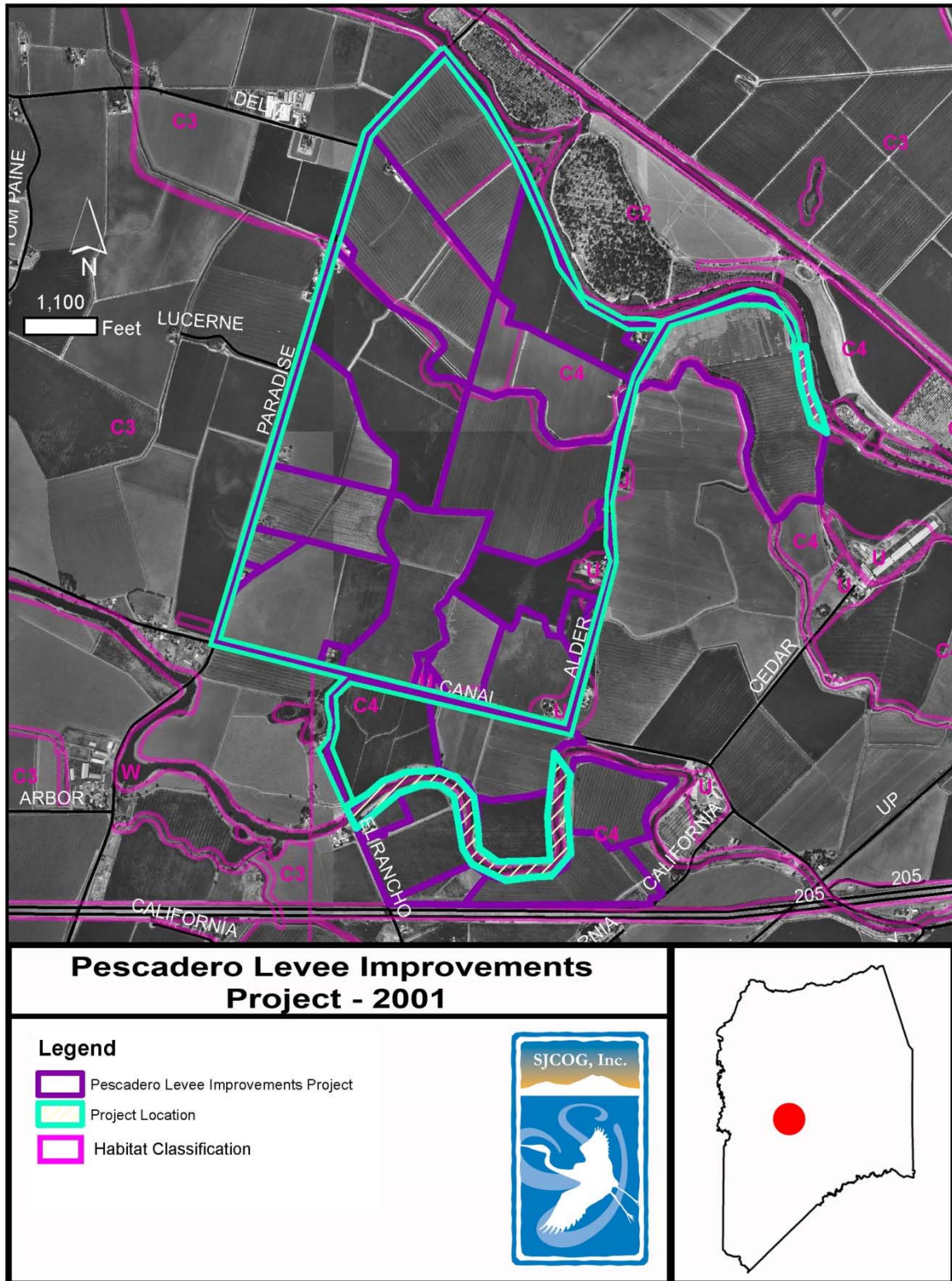
ATTACHMENT 1



ATTACHMENT 2



ATTACHMENT 3



AGENDA ITEM **8.5A**



November 2017
SJCOG, Inc.

STAFF REPORT

SUBJECT: SJMSCP Preserves Habitat Restoration
Projects Update

RECOMMENDED ACTION: Information Only

DISCUSSION:

SUMMARY:

Since the site preparations at the Gwerder and Larson/Garcia preserves were approved (February 2017) and completed, the enhancements in the preserve management plans (PMP) for the Seegers, Perry, Gwerder and Larson/Garcia Preserves have been slated to start this fall. The quick completion of the site preparation on the respective preserves allowed the fall restoration on all four properties to commence collectively.

A Request for Proposals (RFP) to select a sub-contractor to provide restoration services was issued by SJCOG, Inc.'s land manager, ICF, under the existing land management contract for the habitat plan.

RECOMMENDATION:

None.

FISCAL IMPACT:

The restoration work by ICF and their selected sub-consultant, Triangle Properties, will be executed by a work order under the existing land management contract. The restoration project bid for the four current preserve sites will not exceed **\$299,500** plus a 10% contingency for any unforeseen issues. The funds to be obligated to the restoration contract will come from the land management funds associated with the respective preserves (Seegers, Perry, Gwerder and Larson/Garcia).

BACKGROUND:

ICF issued an RFP in September 2017 to select a sub-contractor restoration firm to perform on-going restoration projects in cooperation with ICF under the existing contract for the next three years. The responding firms (see below) were measured for the award based on experience, references and scope of work costing in the RFP by ICF for the preserve enhancements.

1. Triangle Properties (Bid = \$273,500)

2. Habitat Restoration Science (Bid = \$376,236)
3. Hanford A.R.C. (Bid = \$429,915)

ICF staff selected Triangle Properties for the sub-contractor under the land management contract.

The scope of work for the current restoration project includes various site preparations, grading, irrigation installation, revegetation and maintenance activities associated with the SJMSCP preserves and land management services over the course of time. The prevailing wage work would be performed between November 2017 and December 2019 with the maintenance to occur over a 2-year post installation period. The revegetation areas included:

- 650 linear feet (0.12 acres) of an irrigation supply ditch and 0.13-acre border -Perry Preserve.
- 3,626 linear feet (4.6 acres) of the former walnut orchard - Larson/Garcia Preserve.
- 0.35-acre upland patch - Gwerder Preserve.
- 2,200 linear feet (0.26 acre) of agricultural border - Seegers Preserve.
- ICF land management oversight, monitoring and reporting for 2-3 years.

COMMITTEE ACTIONS:

- Habitat Technical Advisory Committee: Information
- SJCOG, Inc. Board: Information

ATTACHMENTS:

1. 2017 Preserve Enhancement Area Figures (Perry, Larson/Garcia, Gwerder, Seegers)

Prepared by: Steven Mayo, Program Manager

ATTACHMENT 1 – Preserve site figures (Perry, Larson/Garcia, Gwerder, Seegers)

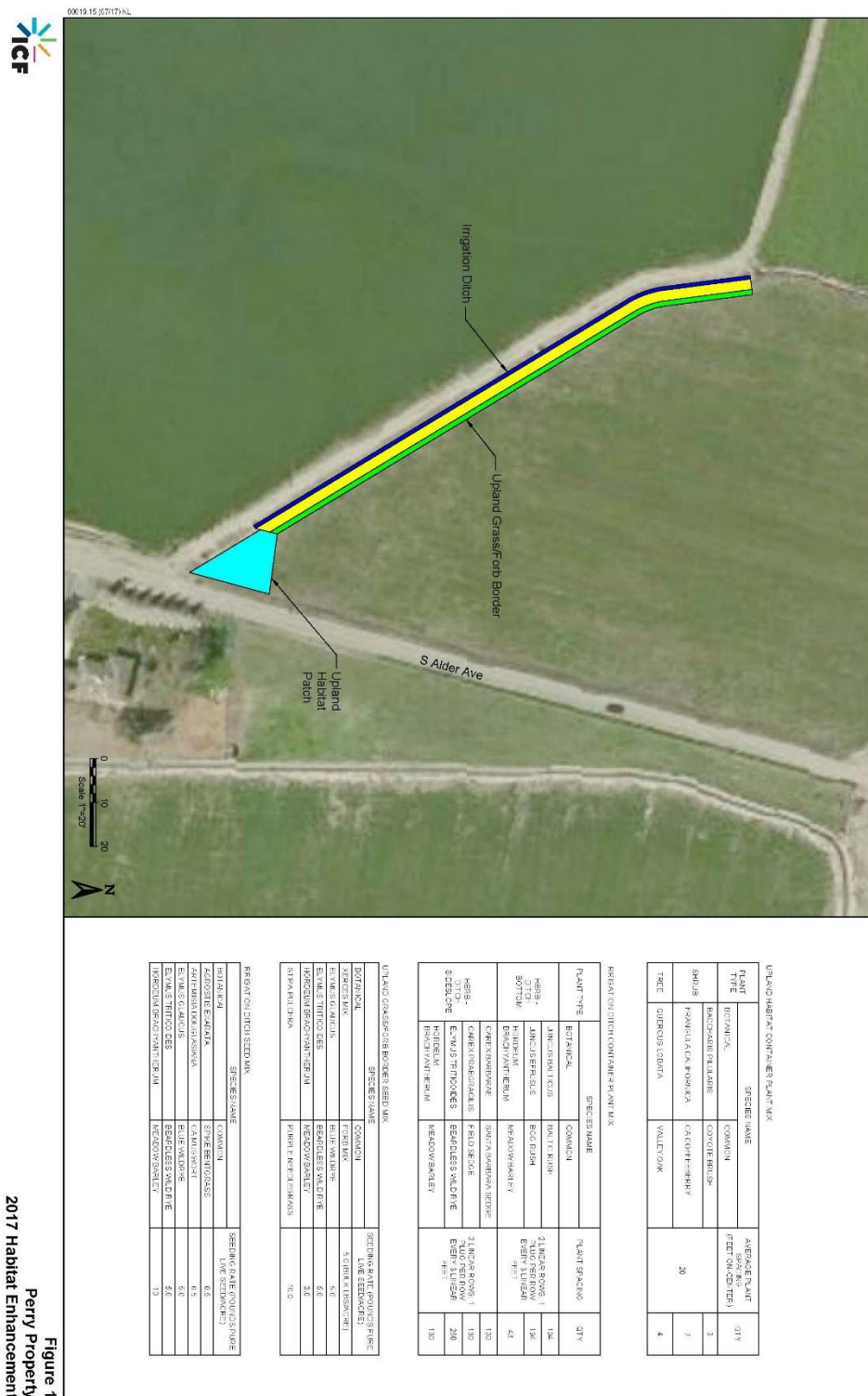


Figure 1
Perry Property
2017 Habitat Enhancement

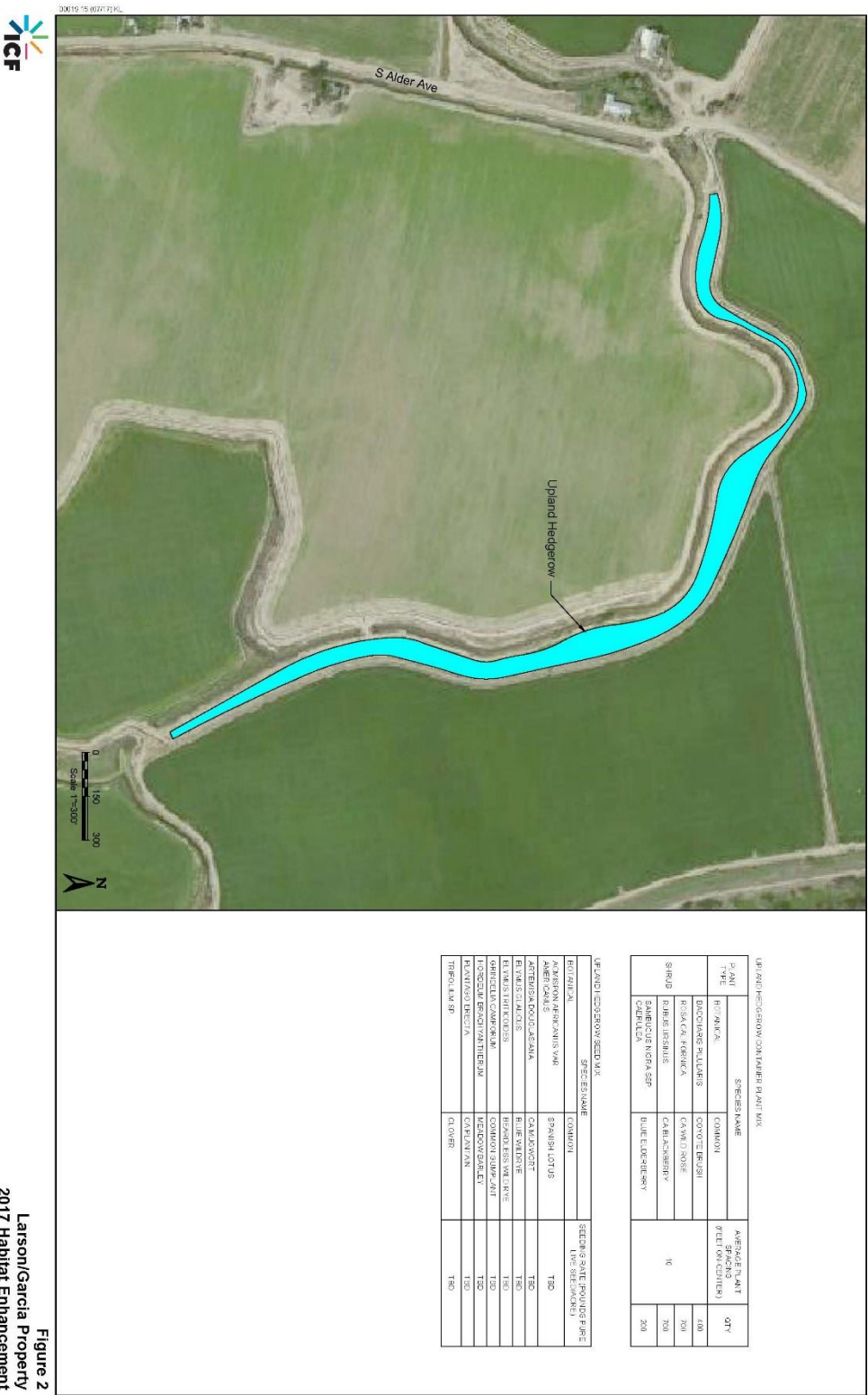


Figure 2
Larson/Garcia Property
2017 Habitat Enhancement



Figure 3
Gwerder Property
2017 Habitat Enhancement

