

STAFF REPORT

SUBJECT: SJCOG Monthly Financial Report & Transportation Authority Monthly Investment Report

RECOMMENDED ACTION: Motion to Receive and File the Reports

Budget Overview

Budget Balance as of October 31, 2021

FY 21-22 Approved Budget

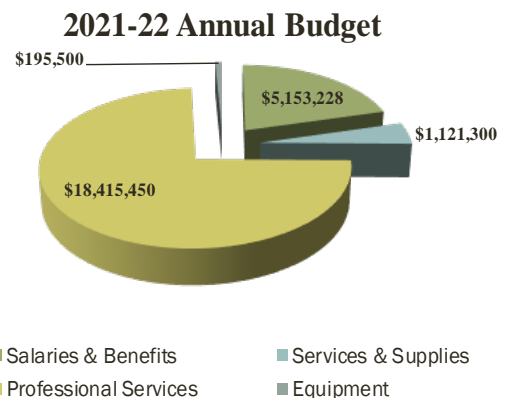
Year to Date Expended

Budget Remaining

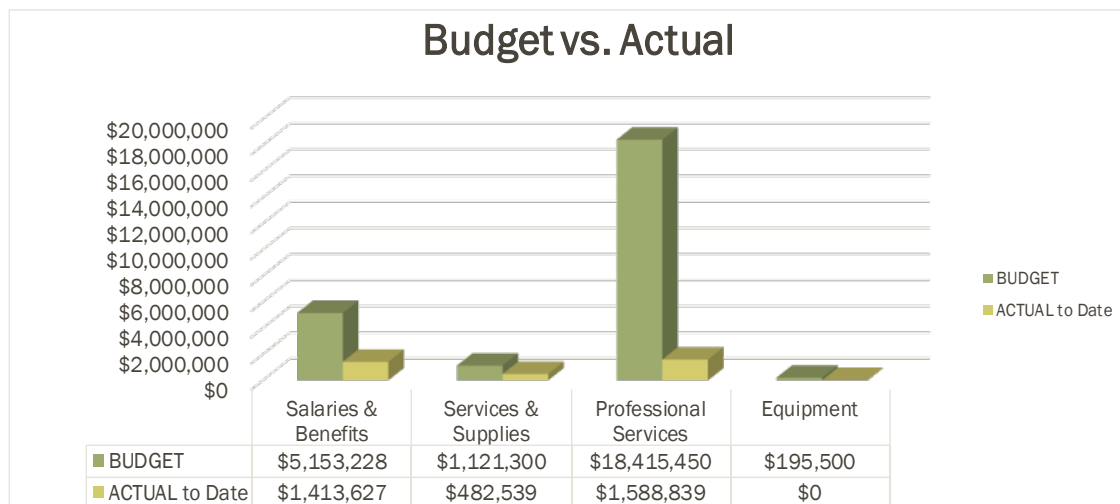
	\$24,885,478
\$	3,485,005
	\$21,400,473

2021-2022 Budget

BUDGET	Salaries & Benefits	\$5,153,228
	Services & Supplies	\$1,121,300
	Professional Services	\$18,415,450
	Equipment	\$195,500
	Total Budget	\$24,885,478
ACTUAL to Date	Salaries & Benefits	\$1,413,627
	Services & Supplies	\$482,539
	Professional Services	\$1,588,839
	Equipment	\$0
	Total Expended to Date	\$ 3,485,005



Budget vs. Actual



SAN JOAQUIN COUNCIL OF GOVERNMENTS
FY 2021/22 ANNUAL FINANCIAL PLAN
(Adopted 3/25/21)
(Amendment #1 10/28/21)
Through October 31, 2021
33.3%

DESCRIPTION	APPROVED BUDGET	THIS MONTH	YTD EXPEND.	% SPENT	BUDGET REMAINING	COMMENT & CONTROL BALANCE
Salaries & Benefits						
Salaries	\$ 3,618,851	\$ 377,804	1,003,193	27.7%	2,615,658	
Benefits	\$ 1,534,377	\$ 130,484	410,434	26.7%	1,123,943	
	5,153,228	508,289	1,413,627	27.4%	3,739,601	
Services & Supplies						
Office Expense	261,500	-772	171,596	65.6%	89,904	>10% HIGH-SEE COMMENTS
Communications	60,000	4,577	16,802	28.0%	43,198	
Memberships	45,000	0	28,736	63.9%	16,264	>10% HIGH-SEE COMMENTS
Maintenance - Equipment	10,000	368	1,174	11.7%	8,826	
Rents & leases - Equipment	125,000	3,433	19,258	15.4%	105,742	
Transportation & Travel	66,000	3,118	6,972	10.6%	59,028	
Publications & Legal Notice	7,500	630	630	8.4%	6,870	
Casualty/Liability/Auto Insurance	146,300	53,165	174,019	118.9%	-27,719	>10% HIGH-SEE COMMENTS
Building Maintenance	200,000	20,135	63,352	31.7%	136,648	
Building Debt Service - Principle & Interest	200,000	0	0	0.0%	200,000	
	1,121,300	84,654	482,539	43.0%		
Miscellaneous						
Miscellaneous	0	0	0	N/A	0	
	0	0	0	N/A	0	
Consultant Contracts						
Professional & Special Services	18,415,450	373,969	1,588,839	8.6%	16,826,611	
	18,415,450	373,969	1,588,839	8.6%	16,826,611	
Fixed Assets						
Equipment	195,500	0	0	0.0%	195,500	
Total	24,885,478	966,912	3,485,004	14.0%	21,400,474	

Summary of Monthly Budget Report (October 31, 2021, 33.3% of FY)

The General Fund had the following expenditures for the month of October 31, 2021.

Office Expenses are high due to annual software license costs.

Memberships are high due to annual memberships paid at the beginning of the fiscal year.

Insurance expenses are high due to annual policies being paid up front at the beginning of the fiscal year.

The budget is below the straight-line target at 14.0%

Investment Recap as of 10/31/2021			
F&M Bank (GF/MK/RTIF)	F&M Bank (SJCOG, Inc.)	LAIF	County Pool
\$ 40,622,523.88	\$ 47,459,878.38	\$ 99,894.41	\$ 1,508,946.00
Raymond James			Exhibit A
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
SJ County LTA 45925876	4/9/2012	\$ 4,529,040.62	
California Asset Management Program (CAMP)			Exhibit B
	Established	Closing Market Value	Yield to Maturity at Cost
2017 Principal 58-30	4/3/2017	\$ 3,180,227.81	
2017 Interest 58-31	4/3/2017	\$ 1,666,444.22	
2011 Released Reserve 58-32	4/3/2017	\$ 9,136,190.22	0.81%
2019 MK Sales Tax Rev Bonds Interest 58-35	3/1/2019	\$ 582,549.75	
2014 MK Tax Rev Bonds Principle 619-01	7/2/2014	\$ 1,048,325.12	
2014 MK Tax Rev Bonds Interest 619-02	7/2/2014	\$ 385,806.41	
RTIF Investment 619-04	2/1/2019	\$ 1,029,212.61	
Total CAMP		\$ 17,028,756.14	
California Asset Management Program (CAMP) SJCOG, Inc.			Exhibit E
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
Habitat Operations & Enhancements 6033-001	3/1/2021	\$ 18,980,074.72	0.38%
Public Financial Management (PFM)-SJCOG INC. POST PERMIT ENDOWMENT			Exhibit C
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
SJCOG, Inc. Post Permit Endowment 54578050	3/1/2021	\$ 5,014,780.89	
Public Financial Management (PFM)			Exhibit D
	Established	Closing Market Value	Yield to Maturity at Cost
Account			
San Joaquin Transportation Authority 76990300	4/30/2011	\$ 106,329,771.97	0.73%
	Closing Cash Balance	\$261,769.55	

LOAN STATUS REPORT AS OF 11/30/21						
		Authorized	Drawn	Loan Balance	Interest Balance	Maturity Date
Rail	Consolidated Loan	21,167,838.34	21,167,838.34	14,700,486.34		7/1/2030
SJRTD	RTD MK Bond Loan	14,500,000.00	14,500,000.00	5,800,000.00		1/1/2032
SJRTD Interest	RTD MK Bond Loan				166,940.09	1/1/2032
Stockton Metro Airport	SMA MK Bond Loan	9,700,000.00	6,949,892.34	6,949,892.34		6/30/2020
Stockton Metro Airport Interest	SMA MK Bond Loan				110,108.58	6/30/2020
City of Stockton-Thornton	Thornton Road Widening Bond Loan	16,030,000.00	16,030,000.01	16,030,000.01		6/30/2026
City of Stockton-Thornton Interest	Thornton Road Widening Bond Loan Interest				2,010,927.92	6/30/2026
			To be deducted from future RA			
City of Stockton-Hammer	Hammer Lane Widening Bond Loan	7,970,000.00	7,957,511.17	7,957,511.17		6/30/2026
City of Stockton-Hammer Interest	Hammer Lane Widening Bond Loan Interest				1,040,572.13	6/30/2026
			To be deducted from future RA			
Loans Paid in Full						
Port of Stockton	Navy Dr Widening	1,297,100.00	1,297,100.00	Paid in Full 07/10/21		7/10/2021
Port of Stockton	Navy Dr Widening Interest			Paid in Full 07/10/21		7/10/2021
Stockton	Sperry Rd Advance	6,320,000.00	5,609,741.49	To be deducted from future RA		10/1/2014
Stockton	French Camp Rd I-5 Interchange	1,290,000.00	800,000.00	Paid in Full 03/31/16		4/1/2016
Stockton Interest	French Camp Rd I-5 Interchange			Paid in Full 03/31/16		4/1/2016
Port of Stockton	MH580 Barge Modification	1,500,000.00	1,500,000.00	Paid in Full 07/01/15		7/1/2015
Port of Stockton Interest	Interest on MH580 Barge Modification			Paid in Full 07/01/15		7/1/2015
Stockton Interest	Sperry Rd Advance Interest			Paid in Full 10/01/14		10/1/2014
Stockton	North Stockton Grade Separation	23,362,173.00	17,307,862.15	Paid in Full 07/01/14		7/1/2021
Rail	MK 10 Year Promissory Note	10,000,000.00	8,256,676.58	Paid in Full 07/01/14		7/1/2021
Rail	Line of Credit	12,000,000.00	12,500,000.00	Paid in Full 07/01/14		7/1/2023
S.J. County	MK LSR Advance	11,500,000.00	9,647,678.00	Paid in Full 06/30/14		6/30/2014
Stockton	MK LSR Advance	6,543,237.84	6,543,237.84	Paid in Full 06/30/14		6/30/2014
Stockton	MKR Otto Drive/ I-5 Interchange	863,589.00	646,792.94	Paid in Full 08/02/13		12/2/2013
Stockton Interest	Interest on MKR Otto Drive/ I-5 Interchange		111,063.04	Paid in Full 08/02/13		12/2/2013
Port of Stockton	Navy Drive/BKF	800,000.00	624,164.15	Paid in Full 07/10/17		2 yr maturity
Port of Stockton Interest	Navy Drive/BKF			Paid in Full 07/10/17		after final draw
Port of Stockton	Sanguinetti Property	602,900.00	602,900.00	Paid in Full 10/10/18		10/10/2018
Port of Stockton	Sanguinetti Property Interest			Paid in Full 10/10/18		10/10/2018
				51,437,889.86	3,328,548.72	

Loan Status Report

Beginning with the original Measure K program, SJCOG has loaned member agencies funds to advance various projects throughout San Joaquin County.

SJCOG and the San Joaquin Regional Rail Commission have structured the two outstanding loan agreements to improve cash flow and there is less reliance on the line of credit. The two outstanding loans refinanced and consolidated were the loan to purchase property for a new ACE maintenance facility and a line of credit to help with cash flow.

The Port of Stockton was approved a \$4 million loan for channel deepening environmental work. This total authorization was repurposed several times, reprogramming \$1.5 million for the Marine Highway 580 barge modification project, the Navy Drive/BNSF design work and a real estate transaction. In April of 2014, the SJCOG Board approved the Port of Stockton to redirect a portion of their previously approved \$4.2 million loan for a real estate transaction at the Port. The original loan was left with \$1,297,100 to be used for previously stated purposes. The Port

requested that the Board approve the remaining \$1,207,100 to be used for the Navy Drive Widening project. The Board approved the modification to the Port's original Measure K loan allowing the Port to use the remaining funds for the Navy Drive Widening project.

On July 2, 2014, the San Joaquin County Transportation Authority, issued Measure K Sales Tax Revenue bonds the par amount of \$49,245,000 to fund a program of projects to advance funding for a number of San Joaquin County projects. San Joaquin RTD requested \$14.5 million to augment funding for the Regional Transit Center. In January of 2019, the Board approved a revised amortization schedule of SJRTD's loan that restructures the term of the agreement from 17 years to 11 years, which will result in a reduction of interest paid by RTD

In addition, Stockton has been approved for \$14.5 million for the Thornton Road widening and \$9.5 million for the Hammer Lane widening regional arterial projects. In May of 2016 the Board authorized the transfer of funding between the two Stockton projects. The agreement increases the Thornton Road Widening to \$16,030,000 and decreases the Hammer Lane widening to \$7,970,000. The Stockton Metro Airport has also been approved for \$9.7 million of funding for terminal expansion and modernization projects.

Investments

In December 2018, the Board, acting as the San Joaquin County Transportation Authority, approved moving forward with a Series 2019 Bond to defease the \$75,000,000 line of credit with BANA. In January 2019, the Board authorized the issuance and sale of Measure K Sales tax Bonds, in a principal amount not to exceed \$70,000,000. The sale was finalized February 22nd, 2019, with the payoff to Bank of America/Merrill Lynch line of credit.

The Portfolio and subsequent investments are in compliance with the Investment Policy established and approved by the San Joaquin Council of Government's Board of Directors. The Policy was last updated May 27, 2021.

SJ Cnty Local Transportation Auth Account Summary

Brokerage

Account No. 45925876

Closing Value \$4,529,040.62



SAN JOAQUIN COUNTY LOCAL
TRANSPORTATION AUTHORITY
555 E WEBER AVE
STOCKTON CA 95202-3016552



BRYAN HICKINGBOTTOM

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Monday - Friday 8 a.m. to 9 p.m. ET

Online Account Access | raymondjames.com/clientaccess

Account Purpose

Wealth Preservation with a conservative risk tolerance and a time horizon less than 5 years.

Activity

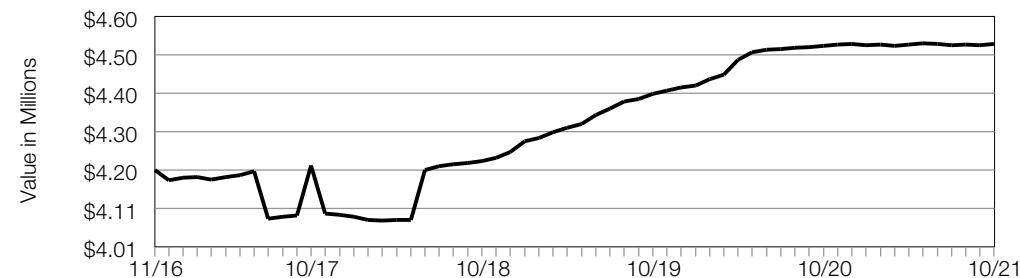
	This Statement		Year to Date	
Beginning Balance	\$	4,527,048.27	\$	4,529,065.99
Deposits	\$	0.00	\$	0.00
Income	\$	5,313.42	\$	36,633.57
Withdrawals	\$	0.00	\$	0.00
Expenses	\$	0.00	\$	0.00
Change in Value	\$	(3,321.07)	\$	(36,658.94)
Ending Balance	\$	4,529,040.62	\$	4,529,040.62

Dollar-Weighted Performance

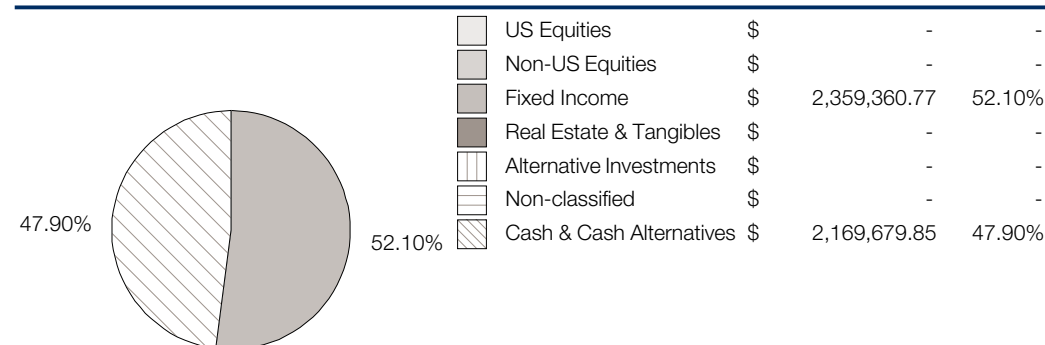
See Understanding Your Statement for important information about these calculations.

Performance	YTD	2020	2019
Inception 04/09/12	(0.03)%	2.42%	3.84%

Value Over Time



Asset Allocation Analysis





Understanding Your Statement

SJ Cnty Local Transportation Auth Account No. 45925876

Need help navigating your statement? Visit <http://raymondjames.com/statements/comp> for a guide.

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Financial Advisor Title & Capacity - Raymond James generally refers to financial professionals as "financial advisors" or "advisors." Your financial professional may have a different title and will disclose the capacity in which the firm and he or she acts when providing services to you.

Form CRS and Important Client Information - For further information about Raymond James, visit <http://www.raymondjames.com/legal-disclosures> to access the relevant Form CRS and Important Client Information.



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

Availability of Free Credit and Bank Deposit Program - You have the right to receive, in the normal course of business and subject to Raymond James having received the funding, any free credit balance, bank deposit program balance, and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

Third Party Payments Disclosure - In addition to the fees you pay directly for the services offered by Raymond James and your advisor, Raymond James receives fees from various sources. For more information on fees and expenses, please see <https://raymondjames.com/legal-disclosures/third-party-payments>.

Cost Basis - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations. "Gain or (loss) Pct" is calculated utilizing total cost basis.

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

For securities classified as Grantor or Royalty Trusts, Master Limited Partnerships or other widely held fixed income trusts, cost basis is not adjusted. These securities receive principal payments or distributions that are classified differently by the issuer at the end of the year. Clients should continue to rely on the issuer information for both cost basis adjustments as well as proceeds adjustments for these securities. For this reason the gain/loss displayed will be unadjusted and is not a true indicator of the investment return. Any adjustment to sales proceeds will be reflected on your 1099.

Unrealized gains or losses are calculated for depreciated gift taxlots. This is referred to as dual basis and is indicated with a "d." The current market value is used to estimate the cost basis and gain/loss until the position has been sold. Once sold, cost basis and gain/loss will be established as the final proceeds are available, and true cost and gain/loss can be determined.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. Amount Invested should not be used for trading purposes, it does not represent taxable cost basis, and gains/losses based on amount invested may vary from actual

realized gain/loss that will appear on year end 1099's. For any security in which a client has elected the average cost reporting method, the Amount Invested will utilize the average cost per share of all tax lots to calculate amount invested.

Mutual fund tax lots are displayed as one total position and may include covered and non-covered tax lots some of which could be adjusted for wash sales. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various."

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Realized Gain/loss – is based upon total cost basis, and includes the cost basis of reinvested shares. Realized gain/loss does not include mutual fund long term capital distribution amounts. For taxable accounts, including those that receive information only 1099's, short term debt instruments that result in a capital gain will generally not appear on the realized gain loss report, as those amounts are reported as ordinary income on the year end 1099. Market Discount is generally not reportable as a realized gain/loss amount, as it is also reportable as ordinary income on the year end 1099.

Cost basis for 529 accounts is provided as informational only and year end 1099Q reports are prepared independently from cost basis data shown on the brokerage statement.

Please refer to the fixed income and alternative investment disclosures for additional cost basis information on those securities.

Client Interest Program - The Client Interest Program (CIP) is an on-demand alternative for client cash that is held for future investment. As required by the U.S. Securities and Exchange Commission Rule 15c3-3, "The Customer Protection Rule," Raymond James segregates client cash from firm cash. The client cash that is set aside is required to be held in bank accounts or in certain high-quality U.S. Government securities. CIP balances are included in the coverage provided by the Securities Investor Protection Corporation (SIPC) and excess SIPC. The CIP rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income. For current rates visit <http://www.raymondjames.com/rates.htm>.

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Dollar-Weighted Performance Reporting - The dollar-weighted performance results represented in this statement are based on performance calculations that take into account the impact of deposits and withdrawals. Because these cash flows are beyond the control of the advisor, they should not be used to evaluate his/her performance. Returns exclude some limited partnerships, unpriced securities and annuity history prior to the annuity being linked to the account. Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Considering these exclusions,



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.

Fixed Income Investments - Fixed income securities, including brokered CDs, are priced using evaluations, which may be matrix- or model-based, and do not necessarily reflect actual trades. These price evaluations suggest current estimated market values, which may be significantly higher or lower than the amount you would pay (receive) in an actual purchase (sale) of the security. These estimates, which are obtained from various sources, assume normal market conditions and are based on large volume transactions. Market prices of fixed income securities may be affected by several risks, including without limitation: interest rate risk - a rise (fall) in interest rates may reduce (increase) the value of your investment, default or credit risk - the issuer's ability to make interest and principal payments, and illiquidity risk - the inability to sell bonds promptly prior to maturity with minimal loss of principal. An overview of these and other risks is available at <https://www.raymondjames.com>, <http://www.finra.org>, <https://emma.msrb.org>, and <http://investinginbonds.com>.

Investors interested in regular updates about individual municipal securities can sign up on EMMA (<https://emma.msrb.org>) to receive e-mail alerts when disclosure documents are posted on the website. Investors who track particular bonds identified by their unique "CUSIP" numbers can receive an e-mail notification from EMMA every time a new disclosure document is posted for that security. These documents can include annual and other periodic financial filings, operating data and other types of material events. To sign up for an alert, enter a nine-digit CUSIP number into the "Muni Search" function of EMMA.

Securities ratings, provided by independent nationally recognized statistical organizations, also called Ratings Agencies, are appraisals of the financial stability of a particular issuer and its ability to pay income and return principal on your investment. Although they can assist investors in evaluating the credit worthiness of an issuer, ratings are not recommendations to buy, sell or hold a security, nor do ratings remove market risk. In addition, ratings are subject to review, revision, suspension, reduction or withdrawal at any time, and any of these changes in ratings may affect the current market value of your investment. A Rating Agency may also place an issuer under review or credit watch which may be another indicator of a future rating change. Generally, higher yields and/or lower ratings reflect higher perceived credit risk. News events relating to a particular issuer may generally impact the market price, and consequently the yield, of that issuer's securities, even if their rating has not yet changed. Securities with the same rating can actually trade at significantly different prices. The absence of a rating may indicate that the issuer has not requested a rating evaluation, insufficient data exists on the issuer to derive a rating, or that a rating request was denied or removed. Non-rated securities are speculative in nature and are less liquid. Raymond James trade confirmations, online accounts and monthly statements display only the ratings of those Rating Agencies to which Raymond James subscribes. For more information on ratings, please visit <https://www.moodys.com>, <http://www.standardandpoors.com> and <https://www.fitchratings.com>. Individual investors may request Moody's and/or S&P credit reports from their financial advisors. Additionally, Fitch reports are available for municipal bonds.

Certificates of Deposit (CDs) purchased through a securities broker and held in a brokerage account are considered deposits with the issuing institution and are insured by the Federal Deposit Insurance Company (FDIC), an independent agency of the U.S. government. FDIC insurance covers up to \$250,000 (including principal and interest) for deposits held in different ownership categories, including single accounts, joint accounts, trust accounts, IRAs, and certain other retirement accounts, per issuer. If you purchased this CD at a premium to par, the premium is not FDIC insured. Certificate

of Deposit Disclosure Statement is available at <https://www.raymondjames.com/liquid.htm>. For more information, please visit <https://www.fdic.gov>.

Mortgage-backed securities and Collateralized Mortgage Obligations (CMOs) are priced based on average life. The actual maturity date may be shorter than stated. For more information, please review FINRA's Investor's Guide to Mortgage Securities and CMOs at <http://www.finra.org>.

Foreign bonds are subject to additional risks, including without limitation, currency fluctuations, differing accounting standards, political and economic instability, and changes in tax laws.

The cost basis for Original Issue Discount (OID) bonds and municipal bonds purchased at a premium may or may not have been adjusted using the constant yield method, providing an approximation of the adjusted cost basis and unrealized gains or losses. Cost basis information is displayed for your information only and should not be relied upon for tax reporting purposes. You should consult your tax advisor to ensure proper tax reporting.

Accrued interest for Fixed Income positions is not included in the total position value or the account summary total. Accrued interest is the interest earned but not yet paid on the bond since the principal investment or since the previous coupon payment if there has been one already. In most cases, it is calculated from the date of the last coupon payment (or dated date) through the last day of the month.

Future Payments - Cash and stock dividends, interest and principal payment information are provided to Raymond James by outside vendors. The information is believed to be accurate; however, because future payments listed may not represent all dividends, interest and/or principal that will be paid into your account, the information should be used as an estimate only. Dividends and interest payments are not included as part of your portfolio value; principal payments are included in your total portfolio value.

Raymond James Bank Deposit Program - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at <https://www.fdic.gov>.

The Raymond James Bank Deposit Program rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income. For current rates visit <http://www.raymondjames.com/rates.htm>.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJBDP participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about the Bank Deposit Program, including the current Bank Priority Lists, is available at <https://www.raymondjames.com/rjbdp>.



Understanding Your Statement (continued)

SJ Cnty Local Transportation Auth Account No. 45925876

As a reminder, Raymond James may modify or amend the Cash Sweep Program including the terms, conditions and availability of any Cash Sweep option at any time in its sole discretion by providing you with thirty (30) days' prior notice, or in some cases, as set forth in your account opening documentation, no prior notice.

Estimated Annual Income and Estimated Income Yield - The Estimated Annual Income (EAI) and Estimated Income Yield (EIY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EIY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. EAI and EIY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EIY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

Pricing - While sources used for pricing publicly traded securities and other investments are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information, vendor evaluations, or other methodologies. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities and other investments are obtained from a variety of sources, including issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

Asset Allocation Analysis - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See <https://clientaccess.rjf.com/faq/#assetallocation> to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.



Cash & Cash Alternatives

Cash / Client Interest Program ♦

Description	(Symbol)	Value	Estimated Income Yield	Estimated Annual Income
CLIENT INTEREST PROGRAM - Selected Sweep Option		\$729,867.34	0.01%	\$72.99
Cash / Client Interest Program Total		\$729,867.34		\$72.99

♦ Please see Client Interest Program on the Understanding Your Statement page.

Raymond James Bank Deposit Program ‡

Description	(Symbol)	Value	Estimated Income Yield	Estimated Annual Income
Raymond James Bank Deposit Program ‡ - Selected Sweep Option			0.01%	\$143.98
Raymond James Bank		\$245,078.06		
Citibank NA		\$245,002.08		
Bank of Baroda		\$245,002.08		
HSBC Bank USA NA		\$245,002.08		
PNC Bank N.A.		\$245,001.34		
Bank Leumi USA		\$212,507.06		
Bank of Hope (frmly Wilshire)		\$2,219.81		
Raymond James Bank Deposit Program Total		\$1,439,812.51		\$143.98

Your bank priority state: CA

Participating banks recently added: Simmons Bank 10/08/2021

‡ Please see the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Estimated Income Yield for RJBDP and CIP were calculated as of 10/25/2021.

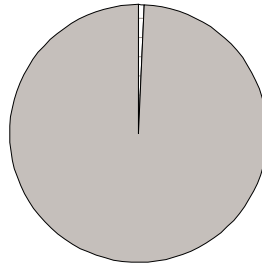
Cash & Cash Alternatives Total		\$2,169,679.85		\$216.97
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Fixed Income *

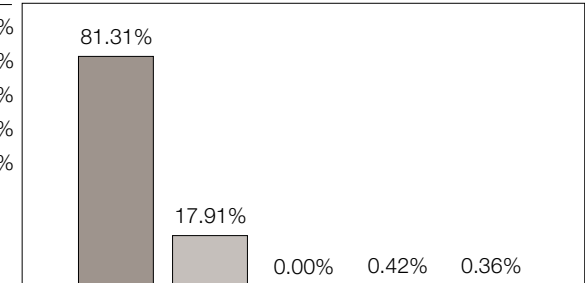
Credit Quality Analysis

Lowest Available *	Current Period Value	Percentage Allocation
U.S. Treasury	\$ 0.00	0.00%
Agency/GSE Debt	\$ 0.00	0.00%
ABS/MBS/CMOs	\$ 18,452.93	0.78%
Certificates of Deposit (CDs)	\$ 2,340,907.84	99.22%
AAA	\$ 0.00	0.00%
AA	\$ 0.00	0.00%
A	\$ 0.00	0.00%
BAA	\$ 0.00	0.00%
Below Investment Grade	\$ 0.00	0.00%
Not Rated	\$ 0.00	0.00%



Maturity Analysis

Maturity	Current Period Value	Percentage Allocation
0 to < 1 yr	\$ 1,918,362.24	81.31%
1 to < 3 yrs	\$ 422,545.60	17.91%
3 to < 7 yrs	\$ 0.00	0.00%
7 to < 14 yrs	\$ 9,808.66	0.42%
14 to > yrs	\$ 8,644.27	0.36%



* Based on Moody's, S&P and Fitch (municipals only) Long Term Rating

Asset-Backed Securities (ABS), Mortgage-Backed Securities (MBS), Collateralized Mortgage Obligations (CMOs)

Description (CUSIP)	Par Value	Remaining Principal Value	Estimated Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis	Gain or (Loss)
FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .07265693 (3136AC4R9) Ratings Information: Not Rated	\$119,000.00	\$8,646.17	\$151.31	02/21/2013	\$99.978	\$8,644.27	\$12.61	\$8,752.54	\$(108.27)
FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .00458623 (31392FMF1) Ratings Information: Not Rated	\$2,000,000.00	\$9,172.46	\$412.76	04/30/2012	\$106.936	\$9,808.66	\$34.38	\$9,906.28	\$(97.62)
Asset-Backed Securities (ABS), Mortgage-Backed Securities (MBS), Collateralized Mortgage Obligations (CMOs) Total	\$2,119,000.00	\$17,818.63	\$564.07			\$18,452.93	\$46.99	\$18,658.82	\$(205.89)



Fixed Income (continued) ♦

Certificates of Deposit (CDs)

Description (Account Number or CUSIP)	Par Value	Estimated Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
BANK OZK FDIC # 110 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 01/31/2022 (06417NXM0) Ratings Information: Not Rated	\$250,000.00	\$625.00	05/26/2020	\$100.044	\$250,110.00		\$250,000.00 \$110.00	\$250,000.00 \$110.00 ^A
COMENITY CAPITAL BANK SALT LAKE CITY, UT FDIC # 57570 CERTIFICATE OF DEPOSIT MONTHLY 2.8000% DUE 04/15/2024 (20033AU38) Ratings Information: Not Rated	\$250,000.00	\$7,000.00	04/03/2019	\$105.364	\$263,410.00	\$287.67	\$250,000.00 \$13,410.00	\$250,000.00 \$13,410.00 ^A
CROSS RIVER BANK FDIC # 58410 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.9000% DUE 10/25/2022 (227563BE2) Ratings Information: Not Rated	\$100,000.00	\$1,900.00	10/18/2019	\$101.709	\$101,709.00	\$26.03	\$100,000.00 \$1,709.00	\$100,000.00 \$1,709.00 ^A
DISCOVER BANK FDIC # 5649 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 12/07/2021 (254672V39) Ratings Information: Not Rated	\$100,000.00	\$2,100.00	12/02/2016	\$100.220	\$100,220.00	\$834.25	\$100,000.00 \$220.00	\$100,000.00 \$220.00 ^A
ENCORE BANK FDIC # 34562 CERTIFICATE OF DEPOSIT MONTHLY .8500% DUE 04/29/2022 (29260MBC8) Ratings Information: Not Rated	\$112,000.00	\$952.00	04/22/2020	\$100.377	\$112,422.24	\$78.25	\$112,000.00 \$422.24	\$112,000.00 \$422.24 ^A
METABANK FDIC # 30776 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 02/25/2022 (59101LJL2) Ratings Information: Not Rated	\$250,000.00	\$625.00	05/22/2020	\$100.054	\$250,135.00	\$5.14	\$250,000.00 \$135.00	\$250,000.00 \$135.00 ^A



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Estimated Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)	
MORGAN STANLEY PRIVATE BANK NA PURCHASE, NY FDIC # 34221 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.6500% DUE 04/04/2022 (61760AYF0) Ratings Information: Not Rated	\$250,000.00	\$6,625.00	04/01/2019	\$101.114	\$252,785.00	\$471.92	\$250,000.00 \$2,785.00	\$250,000.00 \$2,785.00	^A
SALLIE MAE BK FDIC # 58177 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.7500% DUE 11/08/2021 (7954505E1) Ratings Information: Not Rated	\$250,000.00	\$4,375.00	11/01/2019	\$100.047	\$250,117.50	\$2,121.58	\$250,000.00 \$117.50	\$250,000.00 \$117.50	^A
STATE BANK OF INDIA NEW YORK, NY FDIC # 33682 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.8500% DUE 11/29/2021 (856285RR4) Ratings Information: Not Rated	\$250,000.00	\$4,625.00	11/20/2019	\$100.153	\$250,382.50	\$1,976.71	\$250,000.00 \$382.50	\$250,000.00 \$382.50	^A
SYNCHRONY BANK FDIC # 27314 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.1000% DUE 12/09/2021 (87164XPB6) Ratings Information: Not Rated	\$100,000.00	\$2,100.00	12/06/2016	\$100.231	\$100,231.00	\$822.74	\$100,000.00 \$231.00	\$100,000.00 \$231.00	^A
UNITED FIDELITY BANK, F.S.B. FDIC # 29566 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 06/29/2022 (910286DN0) Ratings Information: Not Rated	\$250,000.00	\$625.00	05/27/2020	\$100.100	\$250,250.00		\$250,000.00 \$250.00	\$250,000.00 \$250.00	^A



Fixed Income (continued) ♦

Certificates of Deposit (CDs) (continued)

Description (Account Number or CUSIP)	Par Value	Estimated Annual Income	Date Acquired	Price	Value	Accrued Interest	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
WELLS FARGO NATIONAL BANK WEST FDIC # 27389 CERTIFICATE OF DEPOSIT MONTHLY 1.9000% DUE 01/17/2023 (949495AQ8) Ratings Information: Not Rated	\$156,000.00	\$2,964.00	01/15/2020	\$102.010	\$159,135.60	\$105.57	\$156,000.00 \$3,135.60	\$156,000.00 ^A \$3,135.60
Certificates of Deposit (CDs) Total	\$2,318,000.00	\$34,516.00			\$2,340,907.84	\$6,729.86	\$2,318,000.00 \$22,907.84	\$2,318,000.00 \$22,907.84

^A Adjusted Cost figure may not have been modified with accrued market discount, acquisition premium or bond premium.

Fixed Income Total	\$35,080.07	\$2,359,360.77	\$6,776.85
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♦ Please see Fixed Income Investments on the Understanding Your Statement page.

Portfolio Total \$4,529,040.62

Accrued Interest Total \$6,776.85

Portfolio Total with Accrued Interest \$4,535,817.47

Log in to Client Access at <https://www.raymondjames.com/clientaccess> to view additional position details, filter, sort, or download up to 18 months of activity and see available delivery options for account documents.



Activity Summary

Income			Sales / Redemptions		
Type	This Statement	Year to Date	Type	This Statement	Year to Date
Interest - Taxable	\$5,301.22	\$36,513.94	Principal Payments	\$175.60	\$175.60
Interest at RJ Bank Deposit Program	\$12.20	\$119.63	Redemptions	\$0.00	\$666,000.00
			Return of Capital/ Principal	\$249.25	\$13,719.37
Total Income	\$5,313.42	\$36,633.57	Total Sales/Redemptions	\$424.85	\$679,894.97

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			Beginning Balance				\$2,163,941.58	
10/04/2021	Income	Interest - Taxable	MORGAN STANLEY PRIVATE BANK NA PURCHASE, NY FDIC # 34221 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 2.6500% DUE 04/04/2022 (61760AYF0)			\$3,321.58	\$2,167,263.16	Paid on 250,000
10/12/2021	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$0.73	\$2,167,263.89	
10/15/2021	Income	Interest - Taxable	COMENITY CAPITAL BANK SALT LAKE CITY, UT FDIC # 57570 CERTIFICATE OF DEPOSIT MONTHLY 2.8000% DUE 04/15/2024 (20033AU38)			\$575.34	\$2,167,839.23	Paid on 250,000
10/15/2021	Income	Interest - Taxable	FHLMC REMIC SERIES 3763 NE 2.5000% DUE 05/15/2025 (3137A2ZM6)			\$0.37	\$2,167,839.60	Paid on 185,000
10/15/2021	Sale/ Redemption	Principal Payment	FHLMC REMIC SERIES 3763 NE 2.5000% DUE 05/15/2025 (3137A2ZM6)			\$175.60	\$2,168,015.20	FINAL PAYDOWN
10/18/2021	Income	Interest - Taxable	WELLS FARGO NATIONAL BANK WEST FDIC # 27389 CERTIFICATE OF DEPOSIT MONTHLY 1.9000% DUE 01/17/2023 (949495AQ8)			\$243.62	\$2,168,258.82	Paid on 156,000



Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
10/25/2021	Income	Interest - Taxable	CROSS RIVER BANK FDIC # 58410 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.9000% DUE 10/25/2022 (227563BE2)			\$952.60	\$2,169,211.42	Paid on 100,000
10/25/2021	Income	Interest - Taxable	FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .07265693 (3136AC4R9)			\$12.68	\$2,169,224.10	Paid on 119,000
10/25/2021	Sale/ Redemption	Return of Capital/ Principal	FNMA REMIC TRUST 2013-14 QE 1.7500% DUE 03/25/2043 FACTOR: .07265693 (3136AC4R9)			\$50.83	\$2,169,274.93	PRINCIPAL DISTRIBUTION
10/25/2021	Income	Interest - Taxable	FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .00458623 (31392FMF1)			\$35.14	\$2,169,310.07	Paid on 2,000,000
10/25/2021	Sale/ Redemption	Return of Capital/ Principal	FNMA REMIC TRUST 2002-80 CA 4.5000% DUE 09/25/2032 FACTOR: .00458623 (31392FMF1)			\$198.42	\$2,169,508.49	PRINCIPAL DISTRIBUTION
10/27/2021	Income	Interest - Taxable	METABANK FDIC # 30776 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 02/25/2022 (59101LJL2)			\$51.37	\$2,169,559.86	Paid on 250,000
10/29/2021	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$11.47	\$2,169,571.33	
10/29/2021	Income	Interest - Taxable	Cash held in CIP			\$5.78	\$2,169,577.11	
10/29/2021	Income	Interest - Taxable	BANK OZK FDIC # 110 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 01/31/2022 (06417NXM0)			\$51.37	\$2,169,628.48	Paid on 250,000
10/29/2021	Income	Interest - Taxable	UNITED FIDELITY BANK, F.S.B. FDIC # 29566 CERTIFICATE OF DEPOSIT MONTHLY .2500% DUE 06/29/2022 (910286DN0)			\$51.37	\$2,169,679.85	Paid on 250,000



Future Payments

Future Interest Payments [◇]

Description (Symbol or CUSIP)	Record Date	Payable Date	Estimated Amount	Additional Detail
ENCORE BANK FDIC # 34562 CERTIFICATE OF DEPOSIT MONTHLY .8500% DUE 04/29/2022 (29260MBC8)	10/16/2021	10/30/2021	\$78.25	0.85% coupon x 112,000.000 par value
SALLIE MAE BK FDIC # 58177 CERTIFICATE OF DEPOSIT SEMI-ANNUAL 1.7500% DUE 11/08/2021 (7954505E1)	10/20/2021	11/06/2021	\$2,205.48	1.75% coupon x 250,000.000 par value
Future Interest Payments Total			\$2,283.73	

[◇] Please see Future Payments on the Understanding Your Statement page.



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Exhibit B



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

For the Month Ending
October 31, 2021

San Joaquin County Transportation Authority

Client Management Team

Lauren Brant

Managing Director
44 Montgomery Street, 3rd Floor
San Francisco, CA 94104
415-982-5544
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Managing Director
213 Market Street
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Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

(see inside cover for list of accounts)

Important Messages

CAMP will be closed on 11/11/2021 for Veterans Day.
CAMP will be closed on 11/25/2021 for Thanksgiving.

SAN JOAQUIN COUNTY TRANSPORTATION AUTHORITY
MR. STEVE DIAL
555 E. WEBER AVE
STOCKTON, CA 95202

Online Access www.camponline.com

Customer Service 1-800-729-7665



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

San Joaquin County Transportation Authority

For the Month Ending
October 31, 2021

Accounts included in Statement

58-30	Series 2017 Principal	58-31	Series 2017 interest
58-32	2011 Released Reserve	58-35	2019 Measure K Sales Tax Rev Bonds Interest Acct
619-01	2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU	619-02	2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN
619-04	Regional Transportation Improvement Fee		



Account Statement

For the Month Ending **October 31, 2021**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Consolidated Summary Statement

Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority

Portfolio Summary

Portfolio Holdings	Cash Dividends and Income	Closing Market Value	Current Yield
CAMP Pool	341.67	7,898,843.63	0.05 %
CAMP Managed Account	(2,012.50)	9,129,912.51	* N/A
Total	(\$1,670.83)	\$17,028,756.14	

* Not Applicable

Investment Allocation

Investment Type	Closing Market Value	Percent
Money Market Mutual Fund	7,898,843.63	46.39
U.S. Treasury Bond / Note	9,129,912.51	53.61
Total	\$17,028,756.14	100.00%

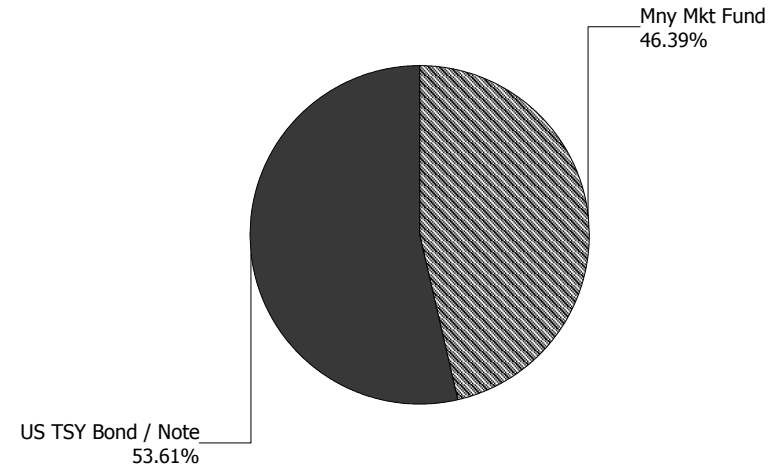
Maturity Distribution (Fixed Income Holdings)

Portfolio Holdings	Closing Market Value	Percent
Under 30 days	7,898,843.63	46.38
31 to 60 days	0.00	0.00
61 to 90 days	0.00	0.00
91 to 180 days	2,821,000.00	16.57
181 days to 1 year	0.00	0.00
1 to 2 years	1,266,428.13	7.44
2 to 3 years	5,042,484.38	29.61
3 to 4 years	0.00	0.00
4 to 5 years	0.00	0.00
Over 5 years	0.00	0.00

Total **\$17,028,756.14** **100.00%**

Weighted Average Days to Maturity **379**

Sector Allocation





Consolidated Summary Statement

Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority

Account Number	Account Name	Opening Market Value	Purchases / Deposits	Redemptions / Sales / Maturities	Unsettled Trades	Change in Value	Closing Market Value	Cash Dividends and Income
58-30	Series 2017 Principal	2,796,352.51	383,875.30	0.00	0.00	0.00	3,180,227.81	125.30
58-31	Series 2017 interest	899,930.93	766,513.29	0.00	0.00	0.00	1,666,444.22	46.62
58-32	2011 Released Reserve	9,180,246.66	6,346,769.06	(6,349,088.15)	0.00	(41,737.35)	9,136,190.22	(1,955.94)
58-35	2019 Measure K Sales Tax Rev Bonds Interest Acct	316,033.40	266,516.35	0.00	0.00	0.00	582,549.75	16.35
619-01	2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU	920,783.85	127,541.27	0.00	0.00	0.00	1,048,325.12	41.27
619-02	2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN	209,587.24	176,219.17	0.00	0.00	0.00	385,806.41	10.84
619-04	Regional Transportation Improvement Fee	1,029,167.88	44.73	0.00	0.00	0.00	1,029,212.61	44.73
Total		\$15,352,102.47	\$8,067,479.17	(\$6,349,088.15)	\$0.00	(\$41,737.35)	\$17,028,756.14	(\$1,670.83)



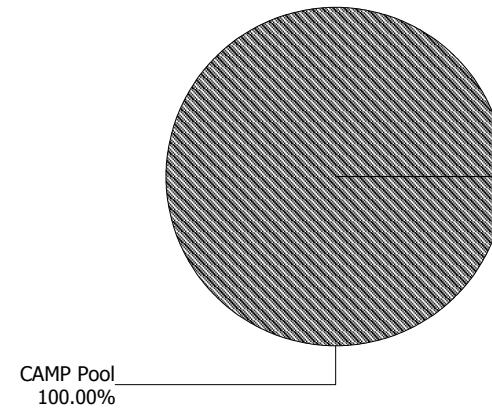
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Series 2017 Principal - 58-30

CAMP Pool	
Opening Market Value	2,796,352.51
Purchases	383,875.30
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$3,180,227.81
Cash Dividends and Income	125.30

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	3,180,227.81	2,796,352.51
Total	\$3,180,227.81	\$2,796,352.51
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Series 2017 Principal - 58-30

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					2,796,352.51
10/25/21	10/25/21	Purchase - Incoming Wires	1.00	383,750.00	3,180,102.51
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	125.30	3,180,227.81
Closing Balance					3,180,227.81

	Month of October	Fiscal YTD January-October		
Opening Balance	2,796,352.51	3,325,746.83	Closing Balance	3,180,227.81
Purchases	383,875.30	3,714,480.98	Average Monthly Balance	2,883,017.86
Redemptions (Excl. Checks)	0.00	(3,860,000.00)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	3,180,227.81	3,180,227.81		
Cash Dividends and Income	125.30	1,147.64		



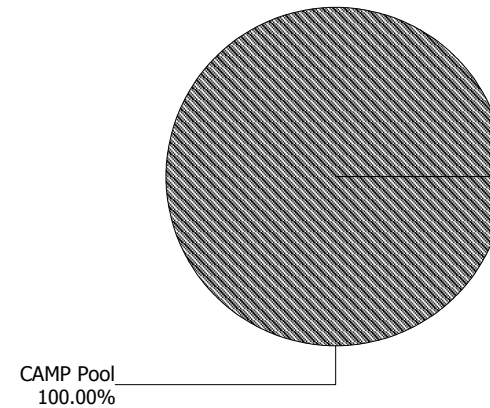
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Series 2017 interest - 58-31

CAMP Pool	
Opening Market Value	899,930.93
Purchases	766,513.29
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$1,666,444.22
Cash Dividends and Income	46.62

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	1,666,444.22	899,930.93
Total	\$1,666,444.22	\$899,930.93
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Series 2017 interest - 58-31

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					899,930.93
10/25/21	10/25/21	Purchase - Incoming Wires	1.00	766,466.67	1,666,397.60
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	46.62	1,666,444.22
Closing Balance					1,666,444.22

	Month of October	Fiscal YTD January-October		
Opening Balance	899,930.93	3,249,554.14	Closing Balance	1,666,444.22
Purchases	766,513.29	7,691,690.08	Average Monthly Balance	1,073,008.56
Redemptions (Excl. Checks)	0.00	(9,274,800.00)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	1,666,444.22	1,666,444.22		
Cash Dividends and Income	46.62	1,290.06		



Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32

CAMP Pool

Opening Market Value	6,355,309.30
Purchases	56.56
Redemptions	(6,349,088.15)
Unsettled Trades	0.00
Change in Value	0.00

Closing Market Value **\$6,277.71**

Cash Dividends and Income 56.56

CAMP Managed Account

Opening Market Value	2,824,937.36
Purchases	6,346,712.50
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	(41,737.35)

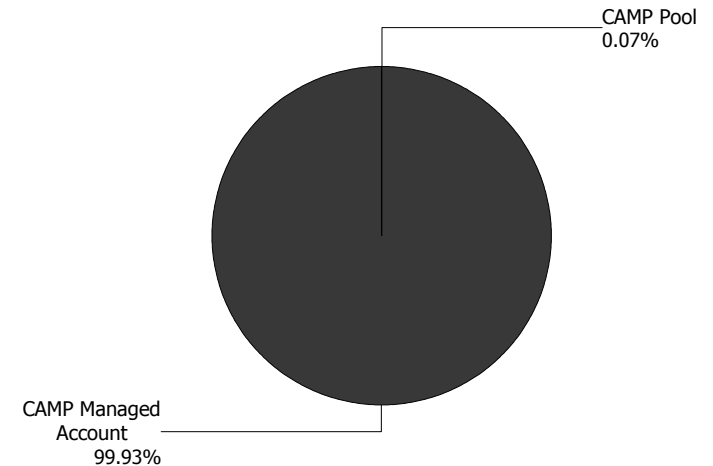
Closing Market Value **\$9,129,912.51**

Cash Dividends and Income (2,012.50)

Asset Summary

	October 31, 2021	September 30, 2021
CAMP Pool	6,277.71	6,355,309.30
CAMP Managed Account	9,129,912.51	2,824,937.36
Total	\$9,136,190.22	\$9,180,246.66

Asset Allocation





Managed Account Summary Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Transaction Summary - Money Market		Transaction Summary - Managed Account		Account Total	
Opening Market Value	\$6,355,309.30	Opening Market Value	\$2,824,937.36	Opening Market Value	\$9,180,246.66
Purchases	56.56	Maturities/Calls	0.00		
Redemptions	(6,349,088.15)	Principal Dispositions	0.00		
		Principal Acquisitions	6,346,712.50		
		Unsettled Trades	0.00		
		Change in Current Value	(41,737.35)		
Closing Market Value	\$6,277.71	Closing Market Value	\$9,129,912.51	Closing Market Value	\$9,136,190.22
Dividend	56.56				

Earnings Reconciliation (Cash Basis) - Managed Account		Cash Balance	
Interest/Dividends/Coupons Received	0.00	Closing Cash Balance	\$0.00
Less Purchased Interest Related to Interest/Coupons	(2,012.50)		
Plus Net Realized Gains/Losses	0.00		
Total Cash Basis Earnings	(\$2,012.50)		

Earnings Reconciliation (Accrual Basis)		Managed Account	Total	Cash Transactions Summary- Managed Account	
Ending Amortized Value of Securities	9,144,796.52	9,151,074.23		Maturities/Calls	0.00
Ending Accrued Interest	13,815.38	13,815.38		Sale Proceeds	0.00
Plus Proceeds from Sales	0.00	6,349,088.15		Coupon/Interest/Dividend Income	0.00
Plus Proceeds of Maturities/Calls/Principal Payments	0.00	0.00		Principal Payments	0.00
Plus Coupons/Dividends Received	0.00	0.00		Security Purchases	(6,348,725.00)
Less Cost of New Purchases	(6,348,725.00)	(6,348,781.56)		Net Cash Contribution	6,348,725.00
Less Beginning Amortized Value of Securities	(2,803,929.15)	(9,159,238.45)		Reconciling Transactions	0.00
Less Beginning Accrued Interest	(144.23)	(144.23)			
Dividends	0.00	56.56			
Total Accrual Basis Earnings	\$5,813.52	\$5,870.08			



Portfolio Summary and Statistics

For the Month Ending **October 31, 2021**

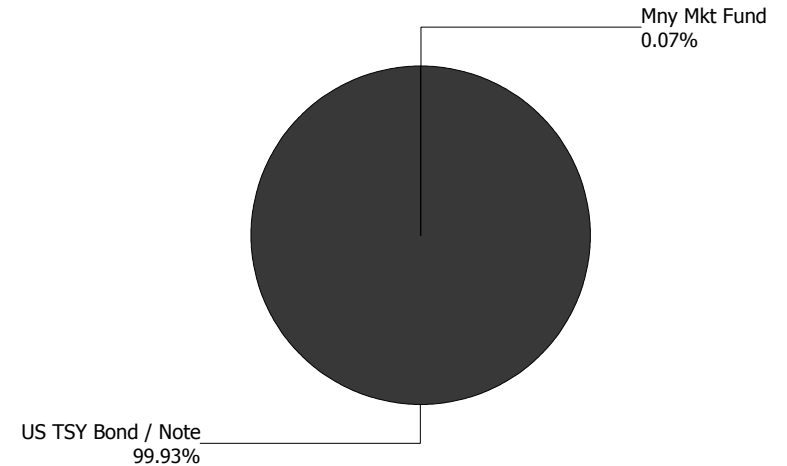
San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Account Summary

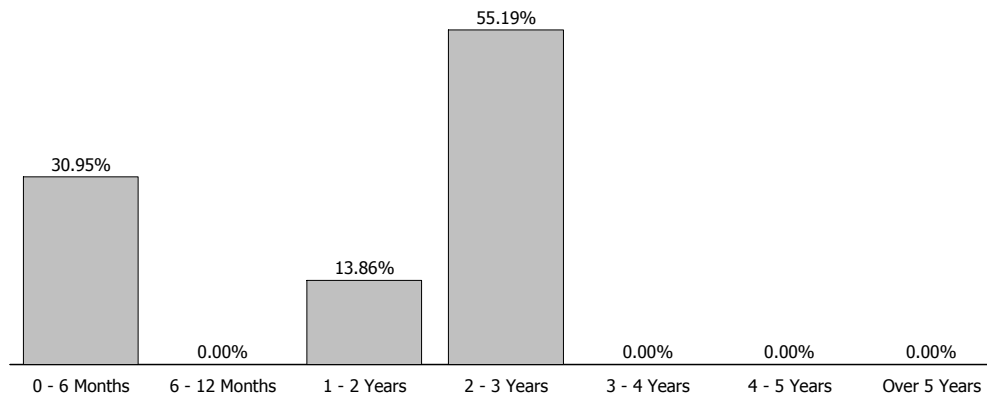
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	8,920,000.00	9,129,912.51	99.93
Managed Account Sub-Total	8,920,000.00	9,129,912.51	99.93%
Accrued Interest		13,815.38	
Total Portfolio	8,920,000.00	9,143,727.89	
CAMP Pool	6,277.71	6,277.71	0.07
Total Investments	8,926,277.71	9,150,005.60	100.00%

Unsettled Trades **0.00** **0.00**

Sector Allocation



Maturity Distribution



Characteristics

Yield to Maturity at Cost	0.81%
Yield to Maturity at Market	0.48%
Weighted Average Days to Maturity	706



Managed Account Issuer Summary

For the Month Ending **October 31, 2021**

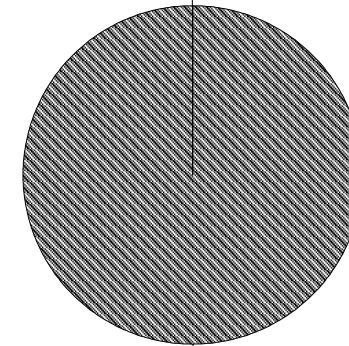
San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Issuer Summary

Issuer	Market Value of Holdings	Percent
CAMP Pool	6,277.71	0.07
UNITED STATES TREASURY	9,129,912.51	99.93
Total	\$9,136,190.22	100.00%

Credit Quality (S&P Ratings)

AAAm
0.07%



AA+
99.93%



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 03/31/2017 1.875% 03/31/2022	912828W89	2,800,000.00	AA+	Aaa	09/25/19	09/26/19	2,819,906.25	1.59	4,615.38	2,803,256.20	2,821,000.00
US TREASURY NOTES DTD 03/31/2021 0.125% 03/31/2023	91282CBU4	1,270,000.00	AA+	Aaa	10/06/21	10/07/21	1,268,610.94	0.20	139.56	1,268,675.25	1,266,428.13
US TREASURY NOTES DTD 10/02/2017 2.125% 09/30/2024	9128282Y5	4,850,000.00	AA+	Aaa	10/06/21	10/07/21	5,078,101.56	0.53	9,060.44	5,072,865.07	5,042,484.38
Security Type Sub-Total		8,920,000.00					9,166,618.75	0.81	13,815.38	9,144,796.52	9,129,912.51
Managed Account Sub-Total		8,920,000.00					9,166,618.75	0.81	13,815.38	9,144,796.52	9,129,912.51
Money Market Mutual Fund											
CAMP Pool		6,277.71	AAAm	NR			6,277.71		0.00	6,277.71	6,277.71
Liquid Sub-Total		6,277.71					6,277.71		0.00	6,277.71	6,277.71
Securities Sub-Total		\$8,926,277.71					\$9,172,896.46	0.81%	\$13,815.38	\$9,151,074.23	\$9,136,190.22
Accrued Interest											\$13,815.38
Total Investments											\$9,150,005.60



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
U.S. Treasury Bond / Note										
US TREASURY NOTES DTD 03/31/2017 1.875% 03/31/2022	912828W89	2,800,000.00	CITIGRP		100.75	2,821,000.00	1,093.75	17,743.80	0.42	0.07
US TREASURY NOTES DTD 03/31/2021 0.125% 03/31/2023	91282CBU4	1,270,000.00	CITIGRP		99.72	1,266,428.13	(2,182.81)	(2,247.12)	1.42	0.32
US TREASURY NOTES DTD 10/02/2017 2.125% 09/30/2024	9128282Y5	4,850,000.00	BARCLAY		103.97	5,042,484.38	(35,617.18)	(30,380.69)	2.84	0.75
Security Type Sub-Total		8,920,000.00				9,129,912.51	(36,706.24)	(14,884.01)	1.90	0.48
Managed Account Sub-Total		8,920,000.00				9,129,912.51	(36,706.24)	(14,884.01)	1.90	0.48
Money Market Mutual Fund										
CAMP Pool		6,277.71			1.00	6,277.71	0.00	0.00	0.00	
Liquid Sub-Total		6,277.71				6,277.71	0.00	0.00	0.00	
Securities Sub-Total		\$8,926,277.71				\$9,136,190.22	(\$36,706.24)	(\$14,884.01)	1.90	0.48%
Accrued Interest						\$13,815.38				
Total Investments						\$9,150,005.60				



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32 - (12512207)

Transaction Type		Security Description	CUSIP	Par	Principal	Accrued	Total	Realized G/L	Realized G/L	Sale
Trade	Settle				Proceeds	Interest		Cost	Amort Cost	Method
BUY										
10/06/21	10/07/21	US TREASURY NOTES DTD 03/31/2021 0.125% 03/31/2023	91282CBU4	1,270,000.00	(1,268,610.94)	(30.53)	(1,268,641.47)			
10/06/21	10/07/21	US TREASURY NOTES DTD 10/02/2017 2.125% 09/30/2024	9128282Y5	4,850,000.00	(5,078,101.56)	(1,981.97)	(5,080,083.53)			
Transaction Type Sub-Total				6,120,000.00	(6,346,712.50)	(2,012.50)	(6,348,725.00)			
Managed Account Sub-Total					(6,346,712.50)	(2,012.50)	(6,348,725.00)			
Total Security Transactions					(\$6,346,712.50)	(\$2,012.50)	(\$6,348,725.00)			



Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2011 Released Reserve - 58-32

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					6,355,309.30
10/07/21	10/07/21	Redemption - Interest 91282CBU4	1.00	(30.53)	6,355,278.77
10/07/21	10/07/21	Redemption - Principal 91282CBU4	1.00	(1,268,610.94)	5,086,667.83
10/07/21	10/07/21	Redemption - Principal 9128282Y5	1.00	(5,078,101.56)	8,566.27
10/07/21	10/07/21	Redemption - Interest 9128282Y5	1.00	(1,981.97)	6,584.30
10/22/21	10/22/21	IP Fees September 2021	1.00	(330.23)	6,254.07
10/22/21	10/22/21	U.S. Bank Fees August 2021	1.00	(32.92)	6,221.15
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	56.56	6,277.71

Closing Balance **6,277.71**

	Month of October	Fiscal YTD January-October		
Opening Balance	6,355,309.30	5,064,788.40	Closing Balance	6,277.71
Purchases	56.56	1,293,914.26	Average Monthly Balance	1,235,258.11
Redemptions (Excl. Checks)	(6,349,088.15)	(6,352,424.95)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	6,277.71	6,277.71		
Cash Dividends and Income	56.56	2,633.00		



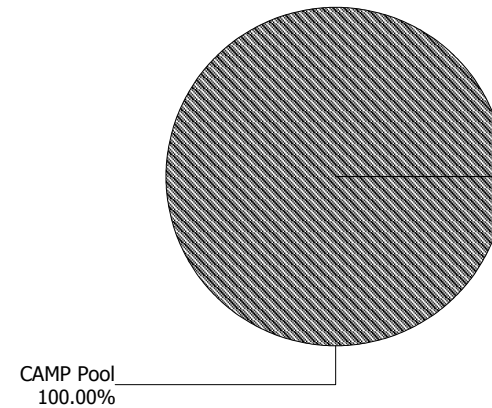
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2019 Measure K Sales Tax Rev Bonds Interest Acct - 58-35

CAMP Pool	
Opening Market Value	316,033.40
Purchases	266,516.35
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$582,549.75
Cash Dividends and Income	16.35

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	582,549.75	316,033.40
Total	\$582,549.75	\$316,033.40
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2019 Measure K Sales Tax Rev Bonds Interest Acct - 58-35

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					316,033.40
10/25/21	10/25/21	Purchase - Incoming Wires	1.00	266,500.00	582,533.40
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	16.35	582,549.75
Closing Balance					582,549.75

	Month of October	Fiscal YTD January-October		
Opening Balance	316,033.40	1,115,103.05	Closing Balance	582,549.75
Purchases	266,516.35	2,665,446.70	Average Monthly Balance	376,212.40
Redemptions (Excl. Checks)	0.00	(3,198,000.00)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	582,549.75	582,549.75		
Cash Dividends and Income	16.35	446.70		



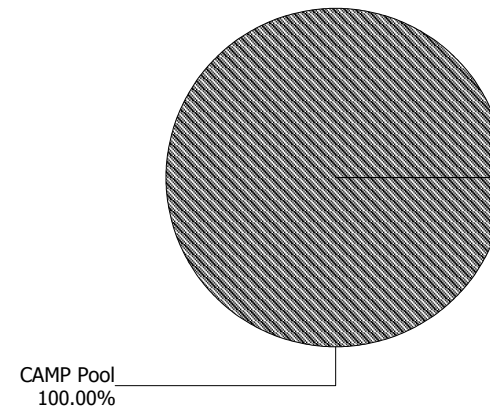
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU - 619-01

CAMP Pool	
Opening Market Value	920,783.85
Purchases	127,541.27
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$1,048,325.12
Cash Dividends and Income	41.27

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	1,048,325.12	920,783.85
Total	\$1,048,325.12	\$920,783.85
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS PRINCIPAL ACCOU - 619-01

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					920,783.85
10/25/21	10/25/21	Purchase - Incoming Wires	1.00	127,500.00	1,048,283.85
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	41.27	1,048,325.12
Closing Balance					1,048,325.12

	Month of October	Fiscal YTD January-October		
Opening Balance	920,783.85	1,161,269.80	Closing Balance	1,048,325.12
Purchases	127,541.27	1,247,055.32	Average Monthly Balance	949,578.17
Redemptions (Excl. Checks)	0.00	(1,360,000.00)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	1,048,325.12	1,048,325.12		
Cash Dividends and Income	41.27	388.66		



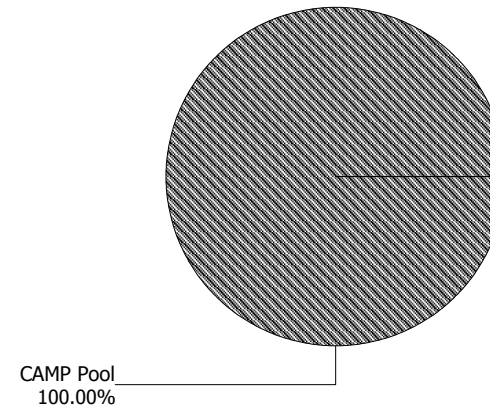
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN - 619-02

CAMP Pool	
Opening Market Value	209,587.24
Purchases	176,219.17
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$385,806.41
Cash Dividends and Income	10.84

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	385,806.41	209,587.24
Total	\$385,806.41	\$209,587.24
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - 2014 MEASURE K SALES TAX REV BONDS INTEREST ACCOUN - 619-02

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					209,587.24
10/25/21	10/25/21	Purchase - Incoming Wires	1.00	176,208.33	385,795.57
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	10.84	385,806.41
Closing Balance					385,806.41

	Month of October	Fiscal YTD January-October		
Opening Balance	209,587.24	756,053.56	Closing Balance	385,806.41
Purchases	176,219.17	1,771,452.85	Average Monthly Balance	249,377.27
Redemptions (Excl. Checks)	0.00	(2,141,700.00)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	385,806.41	385,806.41		
Cash Dividends and Income	10.84	299.33		



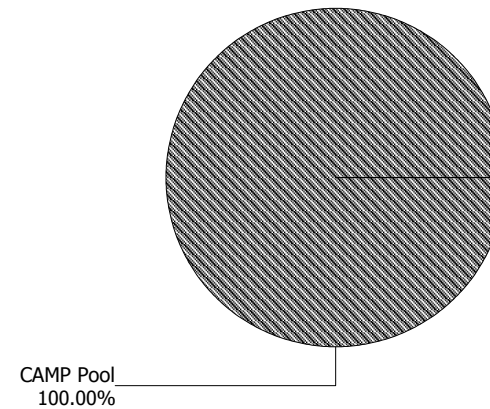
Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Regional Transportation Improvement Fee - 619-04

CAMP Pool	
Opening Market Value	1,029,167.88
Purchases	44.73
Redemptions	0.00
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$1,029,212.61
Cash Dividends and Income	44.73

Asset Summary		
	October 31, 2021	September 30, 2021
CAMP Pool	1,029,212.61	1,029,167.88
Total	\$1,029,212.61	\$1,029,167.88
Asset Allocation		





Account Statement

For the Month Ending **October 31, 2021**

San Joaquin County Transportation Authority - Regional Transportation Improvement Fee - 619-04

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,029,167.88
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	44.73	1,029,212.61
Closing Balance					1,029,212.61

	Month of October	Fiscal YTD January-October		
Opening Balance	1,029,167.88	1,028,646.61	Closing Balance	1,029,212.61
Purchases	44.73	566.00	Average Monthly Balance	1,029,172.21
Redemptions (Excl. Checks)	0.00	0.00	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	1,029,212.61	1,029,212.61		
Cash Dividends and Income	44.73	566.00		

Exhibit C



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

SJCOG, Inc

For the Month Ending
October 31, 2021

Client Management Team

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kingj@pfmam.com

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Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

54578050 SJCOG INC. POST PERMIT ENDOWMENT FUND

SJCOG, INC
MR. STEVE DIAL
555 E. WEBER AVENUE
STOCKTON, CA 95202

Online Access www.pfm.com

Customer Service 1-717-232-2723



Account Statement

For the Month Ending **October 31, 2021**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Managed Account Summary Statement

For the Month Ending **October 31, 2021**

SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Transaction Summary - Managed Account

Opening Market Value	\$4,809,432.60
Maturities/Calls	0.00
Principal Dispositions	(416.67)
Principal Acquisitions	2,648.65
Unsettled Trades	0.00
Change in Current Value	203,116.31
Closing Market Value	\$5,014,780.89

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	416.67
Coupon/Interest/Dividend Income	2,648.65
Principal Payments	0.00
Security Purchases	(2,648.65)
Net Cash Contribution	(416.67)
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	2,648.65
Less Purchased Interest Related to Interest/Coupons	0.00
Plus Net Realized Gains/Losses	0.00
Total Cash Basis Earnings	\$2,648.65

Cash Balance

Closing Cash Balance **\$0.00**

Earnings Reconciliation (Accrual Basis)

	Total
Ending Amortized Value of Securities	4,644,561.07
Ending Accrued Interest	0.00
Plus Proceeds from Sales	416.67
Plus Proceeds of Maturities/Calls/Principal Payments	0.00
Plus Coupons/Dividends Received	2,648.65
Less Cost of New Purchases	(2,648.65)
Less Beginning Amortized Value of Securities	(4,642,329.09)
Less Beginning Accrued Interest	0.00
Total Accrual Basis Earnings	\$2,648.65



Portfolio Summary and Statistics

For the Month Ending **October 31, 2021**

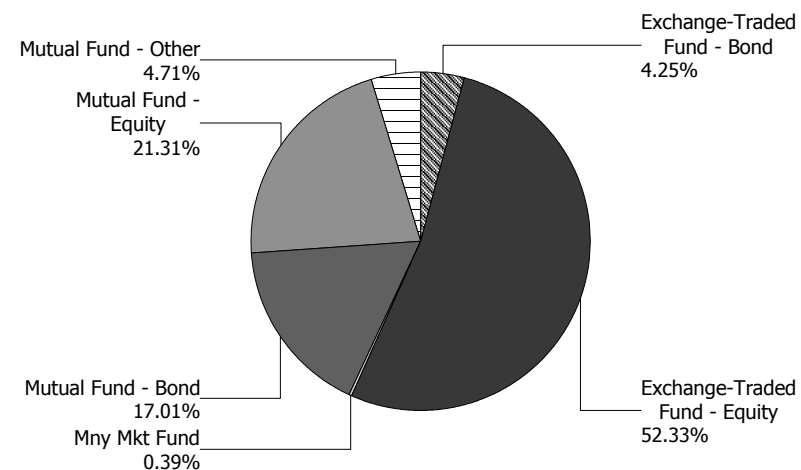
SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Account Summary

Description	Par Value	Market Value	Percent
Mutual Fund - Other	16,371.13	236,174.16	4.71
Mutual Fund - Equity	32,375.61	1,068,434.19	21.31
Mutual Fund - Bond	72,513.93	853,235.47	17.01
Money Market Mutual Fund	19,396.37	19,396.37	0.39
Exchange-Traded Fund - Equity	16,187.00	2,624,403.07	52.33
Exchange-Traded Fund - Bond	4,409.00	213,137.63	4.25
Managed Account Sub-Total	161,253.04	5,014,780.89	100.00%
Accrued Interest		0.00	
Total Portfolio	161,253.04	5,014,780.89	

Unsettled Trades **0.00** **0.00**

Sector Allocation





Detail of Securities Held & Market Analytics

For the Month Ending **October 31, 2021**

SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Ticker	Shares	Average Cost/Share	Original Cost	Market Price	Market Value	Unreal G/L on Cost	Percentage
Exchange-Traded Fund - Bond									
ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	1,019.00	59.00	60,121.00	59.71	60,844.49	723.49	1.21
ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	267.00	109.03	29,111.01	109.89	29,340.63	229.62	0.59
ISHARES PREFERRED&INCOME SECURITIES ETF	464288687	PFF	1,236.00	39.10	48,327.48	39.37	48,661.32	333.84	0.97
ISHARES PREFERRED&INCOME SECURITIES ETF	464288687	PFF	1,887.00	39.22	74,007.39	39.37	74,291.19	283.80	1.48
Security Type Sub-Total			4,409.00		211,566.88	248.34	213,137.63	1,570.75	4.25
Exchange-Traded Fund - Equity									
ISHARES CORE S&P MIDCAP ETF	464287507	IJH	423.00	272.60	115,309.80	278.65	117,868.95	2,559.15	2.35
SPDR BLOOMBERG BARCLAYS CONVERTIBLE SECU	78464A359	CWB	1,101.00	86.67	95,423.56	87.98	96,865.98	1,442.42	1.92
VANGUARD TOTAL INTL STOCK	921909768	VXUS	5,417.00	63.70	345,062.90	65.08	352,538.36	7,475.46	7.03
VANGUARD TOTAL INTL STOCK	921909768	VXUS	468.00	64.35	30,115.80	65.08	30,457.44	341.64	0.61
VANGUARD TOTAL INTL STOCK	921909768	VXUS	308.00	64.72	19,933.76	65.08	20,044.64	110.88	0.40
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	240.00	226.21	54,290.35	236.91	56,858.40	2,568.05	1.13
VANGUARD TOTAL STOCK MKT ETF	922908769	VTI	8,230.00	207.86	1,710,687.80	236.91	1,949,769.30	239,081.50	38.88
Security Type Sub-Total			16,187.00		2,370,823.97	1,035.69	2,624,403.07	253,579.10	52.32
Money Market Mutual Fund									
FIRST AMERICAN GOVERNMENT OBLIGATION - Z	31846V567	FGZXX	19,396.37	1.00	19,396.37	1.00	19,396.37	0.00	0.39
Security Type Sub-Total			19,396.37		19,396.37	1.00	19,396.37	0.00	0.39
Mutual Fund - Bond									
BAIRD CORE PLUS BOND - INST	057071870	BCOIX	21,162.72	11.69	247,441.00	11.79	249,508.41	2,067.41	4.98
DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	10,475.91	11.04	115,665.82	11.04	115,654.09	(11.73)	2.31
MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	4,472.18	5.65	25,268.37	5.61	25,088.91	(179.46)	0.50
PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTRQX	20,701.63	14.34	296,760.13	14.56	301,415.76	4,655.63	6.01



Detail of Securities Held & Market Analytics

For the Month Ending **October 31, 2021**

SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Ticker	Shares	Average Cost/Share	Original Cost	Market Price	Market Value	Unreal G/L on Cost	Percentage
Mutual Fund - Bond									
VOYA INTERMEDIATE BOND-I	92913L684	IICIX	15,701.49	10.24	160,814.87	10.29	161,568.30	753.43	3.22
Security Type Sub-Total			72,513.93		845,950.19	53.29	853,235.47	7,285.28	17.02
Mutual Fund - Equity									
ARTISAN INTL SMALL-MID	04314H576	APHJX	2,799.22	20.47	57,300.00	21.81	61,050.95	3,750.95	1.22
HARDING LOEVNER INTERNATIONAL EQUITY POR	412295107	HLMIX	10,109.60	28.85	291,700.00	30.69	310,263.69	18,563.69	6.19
HRTFRD SCHR EM MRKT EQ-SDR	41665H789	SEMTX	3,554.07	21.29	75,666.25	20.19	71,756.77	(3,909.48)	1.43
JENSEN QUALITY GROWTH-Y	476313408	JENYX	3,855.01	55.79	215,059.35	66.82	257,591.94	42,532.59	5.14
JOHCM INTERNATIONAL SELECT I	46653M849	JOHIX	8,699.24	30.29	263,500.00	32.42	282,029.38	18,529.38	5.62
VIRTUS KAR INTL SMALL MID CAP R6	92828W551	VRISX	3,358.46	23.88	80,200.00	25.53	85,741.46	5,541.46	1.71
Security Type Sub-Total			32,375.61		983,425.60	197.46	1,068,434.19	85,008.59	21.31
Mutual Fund - Other									
COHEN & STEERS INST REALTY SHARES	19247U106	CSRIX	1,343.02	46.73	62,758.32	56.30	75,612.01	12,853.69	1.51
PIMCO COMMODITY REAL RETURN STRATEGY	722005667	PCRIX	12,746.31	6.84	87,169.06	6.58	83,870.71	(3,298.35)	1.67
PRINCIPAL RE SECURITIES INST FUND	74253Q580	PIREX	2,281.80	27.82	63,470.68	33.61	76,691.44	13,220.76	1.53
Security Type Sub-Total			16,371.13		213,398.06	96.49	236,174.16	22,776.10	4.71
Managed Account Sub-Total			161,253.04		4,644,561.07	1,632.27	5,014,780.89	370,219.82	100.00
Securities Sub-Total			\$161,253.04		\$4,644,561.07	\$1,632.27	\$5,014,780.89	\$370,219.82	100.00%
Accrued Interest							\$0.00		
Total Investments							\$5,014,780.89		



Security Transactions & Dividends

For the Month Ending **October 31, 2021**

SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Transaction Type							Realized G/L		Sale	
Trade	Settle	Security Description	CUSIP	Ticker	Shares	Price	Transaction Amount	Interest/ Dividend	Cost	Method
BUY										
10/07/21	10/07/21	FIRST AMERICAN GOVERNMENT OBLIGATION - Z	31846V567	FGZXX	770.44	1.00	(770.44)	0.00		
10/27/21	10/27/21	BAIRD CORE PLUS BOND - INST	057071870	BCOIX	44.29	11.76	(520.81)	0.00		
10/29/21	10/29/21	DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	23.20	11.04	(256.10)	0.00		
10/29/21	10/29/21	VOYA INTERMEDIATE BOND-I	92913L684	IICIX	30.45	10.29	(313.36)	0.00		
10/29/21	10/29/21	PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTROX	46.36	14.56	(674.97)	0.00		
10/29/21	10/29/21	MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	20.08	5.61	(112.64)	0.00		
10/29/21	10/29/21	FIRST AMERICAN GOVERNMENT OBLIGATION - Z	31846V567	FGZXX	0.33	1.00	(0.33)	0.00		
Transaction Type Sub-Total					935.15		(2,648.65)	0.00		
INTEREST, DIVIDENDS & CAPITAL GAINS DISTRIBUTIONS										
10/07/21	10/07/21	ISHARES PREFERRED&INCOME SECURITIES ETF	464288687	PFF	0.00		0.00	464.22		
10/07/21	10/07/21	ISHARES J.P. MORGAN USD EMERGING MARKETS	464288281	EMB	0.00		0.00	92.15		
10/07/21	10/07/21	ISHARES INTERMEDIATE-TERM CO	464288638	IGIB	0.00		0.00	114.49		
10/07/21	10/07/21	SPDR BLOOMBERG BARCLAYS CONVERTIBLE SECU	78464A359	CWB	0.00		0.00	99.58		
10/27/21	10/27/21	BAIRD CORE PLUS BOND - INST	057071870	BCOIX	0.00		0.00	520.81		
10/29/21	10/29/21	VOYA INTERMEDIATE BOND-I	92913L684	IICIX	0.00		0.00	313.36		
10/29/21	10/29/21	FIRST AMERICAN GOVERNMENT OBLIGATION - Z	31846V567	FGZXX	0.00		0.00	0.33		
10/29/21	10/29/21	PRUDENTIAL TOTAL RETRN BND-Q	74440B884	PTROX	0.00		0.00	674.97		
10/29/21	10/29/21	MAINSTAY MACKAY HIGH YIELD CORP BD R6	56063N881	MHYSX	0.00		0.00	112.64		
10/29/21	10/29/21	DOUBLELINE CORE FIXED INCOME I	258620301	DBLFX	0.00		0.00	256.10		
Transaction Type Sub-Total					0.00		0.00	2,648.65		
SELL										



Security Transactions & Dividends

For the Month Ending **October 31, 2021**

SJCOG INC. POST PERMIT ENDOWMENT FUND - 54578050

Transaction Type										
Trade	Settle	Security Description	CUSIP	Ticker	Shares	Price	Transaction Amount	Interest/ Dividend	Realized G/L Cost	Sale Method
SELL										
10/26/21	10/26/21	FIRST AMERICAN GOVERNMENT OBLIGATION - Z	31846V567	FGZXX	416.67	1.00	416.67	0.00	0.00	FIFO
Transaction Type Sub-Total					416.67		416.67	0.00	0.00	
Managed Account Sub-Total							(2,231.98)	2,648.65	0.00	
Total Security Transactions							(\$2,231.98)	\$2,648.65	\$0.00	

Exhibit D



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

SAN JOAQUIN COUNTY TRANSIT AUTHORITY

For the Month Ending
October 31, 2021

Client Management Team

Lauren Brant

Managing Director
44 Montgomery Street, 3rd Floor
San Francisco, CA 94104
415-982-5544
brantl@pfmam.com

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Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

76990300 SAN JOAQUIN COUNTY TRANS AUTHORITY

SAN JOAQUIN COUNTY TRANSIT AUTHORITY
MR. STEVE DIAL
555 EAST WEBER AVE
STOCKTON, CA 95202

Online Access www.pfm.com

Customer Service 1-717-232-2723



Account Statement

For the Month Ending **October 31, 2021**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Managed Account Summary Statement

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Summary - Managed Account

Opening Market Value	\$106,656,406.17
Maturities/Calls	(520,466.72)
Principal Dispositions	(5,389,832.42)
Principal Acquisitions	5,789,883.84
Unsettled Trades	223,167.68
Change in Current Value	(429,386.58)
Closing Market Value	\$106,329,771.97

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	5,400,268.67
Coupon/Interest/Dividend Income	124,204.60
Principal Payments	520,466.72
Security Purchases	(5,790,463.73)
Net Cash Contribution	0.00
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	134,640.85
Less Purchased Interest Related to Interest/Coupons	(579.89)
Plus Net Realized Gains/Losses	34,404.96
Total Cash Basis Earnings	\$168,465.92

Cash Balance

Closing Cash Balance **\$261,769.55**

Earnings Reconciliation (Accrual Basis)

	Total
Ending Amortized Value of Securities	106,398,340.63
Ending Accrued Interest	184,122.68
Plus Proceeds from Sales	5,552,157.58
Plus Proceeds of Maturities/Calls/Principal Payments	520,466.72
Plus Coupons/Dividends Received	124,204.60
Less Cost of New Purchases	(6,165,412.66)
Less Beginning Amortized Value of Securities	(106,249,926.95)
Less Beginning Accrued Interest	(236,236.22)
Total Accrual Basis Earnings	\$127,716.38



Portfolio Summary and Statistics

For the Month Ending **October 31, 2021**

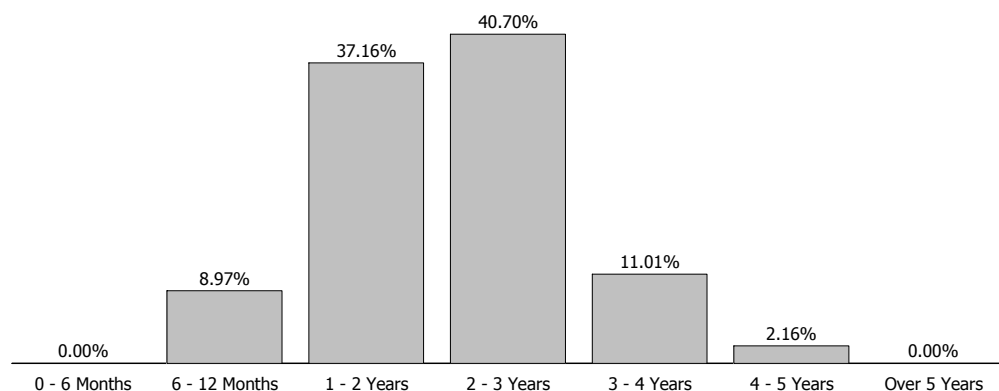
SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Account Summary

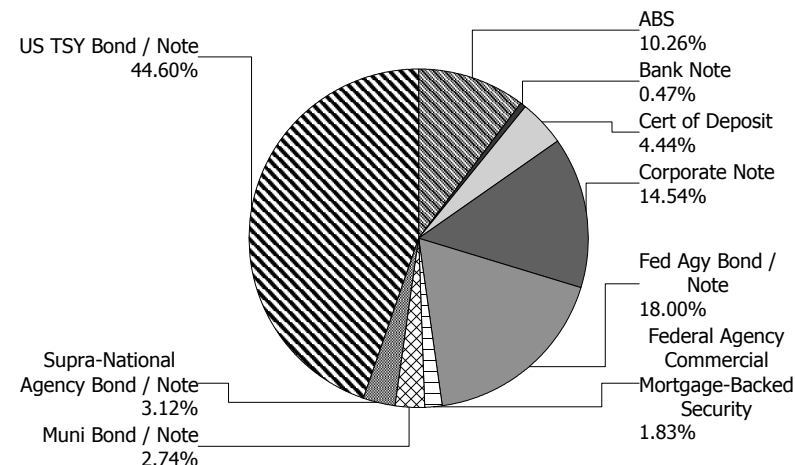
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	47,306,000.00	47,420,409.87	44.60
Supra-National Agency Bond / Note	3,330,000.00	3,317,303.00	3.12
Municipal Bond / Note	2,915,000.00	2,913,901.15	2.74
Federal Agency Commercial Mortgage-Backed Security	1,926,917.86	1,948,640.10	1.83
Federal Agency Bond / Note	19,185,000.00	19,137,279.13	18.00
Corporate Note	15,279,000.00	15,460,849.08	14.54
Certificate of Deposit	4,675,000.00	4,724,377.44	4.44
Bank Note	475,000.00	496,332.73	0.47
Asset-Backed Security	10,893,385.14	10,910,679.47	10.26
Managed Account Sub-Total	105,985,303.00	106,329,771.97	100.00%
Accrued Interest		184,122.68	
Total Portfolio	105,985,303.00	106,513,894.65	

Unsettled Trades **375,000.00** **374,391.83**

Maturity Distribution



Sector Allocation



Characteristics

Yield to Maturity at Cost	0.73%
Yield to Maturity at Market	0.62%
Weighted Average Days to Maturity	774



Managed Account Issuer Summary

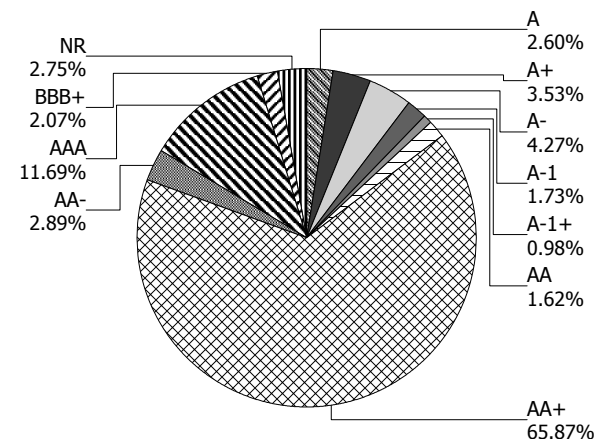
For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Issuer Summary

Issuer	Market Value of Holdings	Percent
ADOBE INC	370,506.76	0.35
ALLY AUTO RECEIVABLES TRUST	75,067.02	0.07
AMAZON.COM INC	1,466,644.64	1.38
AMERICAN HONDA FINANCE	902,119.64	0.85
APPLE INC	336,259.27	0.32
ASTRAZENECA PLC	543,728.52	0.51
BANK OF AMERICA CO	918,442.13	0.86
BMW FINANCIAL SERVICES NA LLC	413,794.70	0.39
BMW VEHICLE OWNER TRUST	230,253.14	0.22
BRISTOL-MYERS SQUIBB CO	188,625.37	0.18
BURLINGTON NORTHERN SANTA FE	264,514.50	0.25
CALIFORNIA EARTHQUAKE AUTHORITY	216,462.00	0.20
CAPITAL ONE FINANCIAL CORP	427,966.31	0.40
CARMAX AUTO OWNER TRUST	1,402,537.81	1.32
CATERPILLAR INC	795,797.23	0.75
CHARLES SCHWAB	329,257.17	0.31
CHEVRON CORPORATION	674,583.98	0.63
CITIGROUP INC	778,650.53	0.73
COMCAST CORP	373,109.80	0.35
CREDIT SUISSE GROUP RK	801,817.60	0.75
DEERE & COMPANY	403,579.67	0.38
DISCOVER FINANCIAL SERVICES	692,571.45	0.65
DNB ASA	1,043,787.23	0.98
EXXON MOBIL CORP	515,806.75	0.49
FANNIE MAE	5,964,640.40	5.61
FIFTH THIRD AUTO TRUST	133,324.52	0.13
FLORIDA STATE BOARD OF ADMIN FIN CORP	255,198.90	0.24
FREDDIE MAC	15,121,278.83	14.22
GENERAL DYNAMICS CORP	390,499.13	0.37
GM FINANCIAL CONSUMER AUTOMOBILE TRUST	734,106.75	0.69
GM FINANCIAL LEASINGTRUST	663,746.80	0.62
GOLDMAN SACHS GROUP INC	855,073.82	0.80

Credit Quality (S&P Ratings)





Managed Account Issuer Summary

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Issuer	Market Value of Holdings	Percent
HARLEY-DAVIDSON MOTORCYCLE TRUST	356,382.65	0.34
HONDA AUTO RECEIVABLES	556,055.53	0.52
HYUNDAI AUTO RECEIVABLES	699,758.47	0.66
IBM CORP	344,794.13	0.32
INTER-AMERICAN DEVELOPMENT BANK	1,834,008.13	1.72
INTL BANK OF RECONSTRUCTION AND DEV	1,483,294.87	1.39
JP MORGAN CHASE & CO	1,065,673.70	1.00
KUBOTA CREDIT OWNER TRUST	633,412.33	0.60
LOS ANGELES COMMUNITY COLLEGE DISTRICT	259,295.40	0.24
MERCEDES-BENZ AUTO LEASE TRUST	139,954.19	0.13
MERCK & CO INC	262,078.75	0.25
MORGAN STANLEY	564,838.70	0.53
NESTLE SA	577,086.08	0.54
NEW JERSEY TURNPIKE AUTHORITY	219,282.80	0.21
NEW YORK ST URBAN DEVELOPMENT CORP	940,658.15	0.88
NISSAN AUTO RECEIVABLES	789,985.52	0.74
NORDEA BANK ABP	1,038,155.88	0.98
PACCAR FINANCIAL CORP	271,881.78	0.26
PEPSICO INC	261,117.22	0.25
PNC FINANCIAL SERVICES GROUP	496,332.73	0.47
SAN JUAN UNIFIED SCHOOL DISTRICT	385,500.50	0.36
SKANDINAVISKA ENSKILDA BANKEN AB	1,038,239.93	0.98
STATE OF CONNECTICUT	112,776.40	0.11
STATE OF MARYLAND	524,727.00	0.49
SUMITOMO MITSUI FINANCIAL GROUP INC	802,376.80	0.75
THE BANK OF NEW YORK MELLON CORPORATION	517,604.27	0.49
Toyota Lease Owner Trust	284,528.90	0.27
TOYOTA MOTOR CORP	1,229,651.39	1.16
UNILEVER PLC	183,014.40	0.17
UNITED STATES TREASURY	47,420,409.87	44.60
UNITEDHEALTH GROUP INC	356,937.84	0.34
VERIZON OWNER TRUST	1,458,198.91	1.37
VOLKSWAGEN AUTO LEASE TURST	135,569.94	0.13



Managed Account Issuer Summary

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Issuer	Market Value of Holdings	Percent
VOLKSWAGEN OF AMERICA	107,444.83	0.10
WORLD OMNI AUTO REC TRUST	694,991.61	0.65
Total	\$106,329,771.97	100.00%



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	500,000.00	AA+	Aaa	12/20/19	12/20/19	495,937.50	1.67	321.09	498,627.43	505,859.40
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	975,000.00	AA+	Aaa	10/31/19	11/04/19	971,381.84	1.50	626.12	973,828.78	986,425.83
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	3,975,000.00	AA+	Aaa	12/02/19	12/04/19	3,947,050.78	1.63	2,552.63	3,965,701.41	4,021,582.23
US TREASURY NOTES DTD 01/15/2020 1.500% 01/15/2023	912828Z29	1,350,000.00	AA+	Aaa	02/03/20	02/05/20	1,356,644.53	1.33	5,997.96	1,352,719.62	1,370,460.87
US TREASURY NOTES DTD 02/01/2016 1.750% 01/31/2023	912828P38	1,800,000.00	AA+	Aaa	01/02/20	01/06/20	1,807,312.50	1.61	7,960.60	1,802,974.58	1,833,468.84
US TREASURY NOTES DTD 02/15/2020 1.375% 02/15/2023	912828Z86	3,075,000.00	AA+	Aaa	03/02/20	03/04/20	3,128,452.15	0.78	8,961.79	3,098,354.33	3,118,722.81
US TREASURY NOTES DTD 08/01/2016 1.250% 07/31/2023	912828S92	546,000.00	AA+	Aaa	05/26/21	05/28/21	558,946.17	0.16	1,724.80	556,386.28	553,848.75
US TREASURY NOTES DTD 09/30/2021 0.250% 09/30/2023	91282CDA6	3,250,000.00	AA+	Aaa	10/01/21	10/06/21	3,248,222.66	0.28	714.29	3,248,286.49	3,236,796.88
US TREASURY NOTES DTD 11/15/2020 0.250% 11/15/2023	91282CAW1	4,350,000.00	AA+	Aaa	12/01/20	12/03/20	4,354,078.13	0.22	5,023.78	4,352,817.20	4,326,210.72
US TREASURY NOTES DTD 12/15/2020 0.125% 12/15/2023	91282CBA8	1,750,000.00	AA+	Aaa	01/06/21	01/07/21	1,745,830.08	0.21	830.77	1,746,989.26	1,734,140.63
US TREASURY NOTES DTD 01/15/2021 0.125% 01/15/2024	91282CBE0	3,000,000.00	AA+	Aaa	02/02/21	02/03/21	2,995,195.31	0.18	1,110.73	2,996,405.41	2,970,468.60
US TREASURY NOTES DTD 02/15/2021 0.125% 02/15/2024	91282CBM2	750,000.00	AA+	Aaa	02/23/21	02/25/21	747,890.63	0.22	198.71	748,374.72	742,265.63
US TREASURY NOTES DTD 02/15/2021 0.125% 02/15/2024	91282CBM2	2,775,000.00	AA+	Aaa	03/01/21	03/03/21	2,762,967.77	0.27	735.22	2,765,677.53	2,746,382.81
US TREASURY NOTES DTD 05/01/2017 2.000% 04/30/2024	912828X70	1,350,000.00	AA+	Aaa	04/01/21	04/05/21	1,417,025.39	0.37	74.59	1,404,469.34	1,395,140.63



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY N/B NOTES DTD 06/15/2021 0.250% 06/15/2024	91282CCG4	275,000.00	AA+	Aaa	06/22/21	06/24/21	273,399.41	0.45	261.10	273,590.83	271,863.30
US TREASURY N/B NOTES DTD 06/15/2021 0.250% 06/15/2024	91282CCG4	3,650,000.00	AA+	Aaa	07/01/21	07/07/21	3,626,332.03	0.47	3,465.51	3,628,910.38	3,608,367.37
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	610,000.00	AA+	Aaa	08/05/21	08/09/21	609,928.52	0.38	677.55	609,934.13	604,662.50
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	1,050,000.00	AA+	Aaa	08/09/21	08/10/21	1,048,892.58	0.41	1,166.27	1,048,978.48	1,040,812.50
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	1,675,000.00	AA+	Aaa	08/04/21	08/06/21	1,676,962.89	0.33	1,860.48	1,676,803.88	1,660,343.75
US TREASURY N/B NOTES DTD 08/15/2021 0.375% 08/15/2024	91282CCT6	2,350,000.00	AA+	Aaa	09/01/21	09/03/21	2,347,429.69	0.41	1,867.87	2,347,570.50	2,328,335.82
US TREASURY N/B NOTES DTD 09/15/2021 0.375% 09/15/2024	91282CCX7	2,050,000.00	AA+	Aaa	10/01/21	10/06/21	2,041,671.88	0.51	998.10	2,041,873.30	2,029,500.00
US TREASURY NOTES DTD 10/31/2019 1.500% 10/31/2024	912828YM6	3,400,000.00	AA+	Aaa	05/04/21	05/06/21	3,524,179.69	0.44	140.88	3,506,732.15	3,474,375.00
US TREASURY NOTES DTD 11/30/2019 1.500% 11/30/2024	912828YV6	950,000.00	AA+	Aaa	06/15/21	06/17/21	984,548.83	0.44	5,995.90	980,798.28	970,484.37
US TREASURY NOTES DTD 11/30/2019 1.500% 11/30/2024	912828YV6	1,850,000.00	AA+	Aaa	06/02/21	06/07/21	1,918,652.34	0.42	11,676.23	1,910,718.46	1,889,890.63
Security Type Sub-Total		47,306,000.00					47,588,933.30	0.59	64,942.97	47,537,522.77	47,420,409.87
Supra-National Agency Bond / Note											
INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	750,000.00	AAA	Aaa	04/13/21	04/20/21	748,447.50	0.23	28.88	748,862.21	747,281.25
INTER-AMERICAN DEVEL BK NOTES DTD 04/24/2020 0.500% 05/24/2023	4581X0DM7	790,000.00	AAA	Aaa	04/17/20	04/24/20	789,731.40	0.51	1,722.64	789,864.15	791,312.98
INTL BK RECON & DEVELOP NOTES DTD 11/24/2020 0.250% 11/24/2023	459058JM6	740,000.00	AAA	Aaa	11/17/20	11/24/20	738,409.00	0.32	806.81	738,905.92	736,013.62



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Supra-National Agency Bond / Note											
INTER-AMERICAN DEVEL BK NOTES DTD 09/23/2021 0.500% 09/23/2024	4581X0DZ8	1,050,000.00	AAA	Aaa	09/15/21	09/23/21	1,049,223.00	0.52	554.17	1,049,250.65	1,042,695.15

Security Type Sub-Total		3,330,000.00					3,325,810.90	0.41	3,112.50	3,326,882.93	3,317,303.00
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Municipal Bond / Note											
CA ST EARTHQUAKE AUTH TXBL REV BONDS DTD 11/24/2020 1.327% 07/01/2022	13017HAJ5	215,000.00	NR	NR	11/13/20	11/24/20	215,000.00	1.33	951.02	215,000.00	216,462.00
NY ST URBAN DEV CORP TXBL REV BONDS DTD 12/23/2020 0.480% 03/15/2023	650036DR4	235,000.00	AA+	NR	12/16/20	12/23/20	235,000.00	0.48	144.13	235,000.00	235,195.05
CT ST TXBL GO BONDS DTD 06/11/2020 2.000% 07/01/2023	20772KJV2	110,000.00	A+	Aa3	05/29/20	06/11/20	110,656.70	1.80	733.33	110,357.50	112,776.40
LOS ANGELES CCD, CA TXBL GO BONDS DTD 11/10/2020 0.444% 08/01/2023	54438CYH9	260,000.00	AA+	Aaa	10/30/20	11/10/20	260,000.00	0.44	288.60	260,000.00	259,295.40
SAN JUAN USD, CA TXBL GO BONDS DTD 10/29/2020 0.499% 08/01/2023	798306WM4	385,000.00	NR	Aa2	10/16/20	10/29/20	385,000.00	0.50	480.29	385,000.00	385,500.50
MD ST TXBL GO BONDS DTD 08/05/2020 0.410% 08/01/2023	574193TP3	525,000.00	AAA	Aaa	07/23/20	08/05/20	525,000.00	0.41	538.13	525,000.00	524,727.00
NY ST URBAN DEV CORP TXBL REV BONDS DTD 12/23/2020 0.620% 03/15/2024	650036DS2	710,000.00	AA+	NR	12/16/20	12/23/20	710,000.00	0.62	562.48	710,000.00	705,463.10
NJ TURNPIKE AUTHORITY TXBL REV BONDS DTD 02/04/2021 0.897% 01/01/2025	646140DN0	220,000.00	A+	A2	01/22/21	02/04/21	220,000.00	0.90	657.80	220,000.00	219,282.80
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	255,000.00	AA	Aa3	09/03/20	09/16/20	255,000.00	1.26	1,069.30	255,000.00	255,198.90

Security Type Sub-Total		2,915,000.00					2,915,656.70	0.71	5,425.08	2,915,357.50	2,913,901.15
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Federal Agency Commercial Mortgage-Backed Security											
FHLMC MULTIFAMILY STRUCTURED P DTD 11/01/2015 2.716% 06/01/2022	3137BLUR7	454,717.97	AA+	Aaa	03/13/19	03/18/19	454,152.25	2.76	1,029.18	454,615.55	458,181.42



Managed Account Detail of Securities Held

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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Commercial Mortgage-Backed Security											
FHLMC MULTIFAMILY STRUCTURED P DTD 12/01/2012 2.355% 07/01/2022	3137AVXN2	466,894.09	AA+	Aaa	06/12/19	06/17/19	468,608.47	2.23	916.28	467,267.86	471,774.66
FHLMC MULTIFAMILY STRUCTURED P DTD 05/01/2013 2.510% 11/01/2022	3137B1BS0	500,000.00	AA+	Aaa	08/13/19	08/16/19	508,281.25	1.98	1,045.83	502,576.86	508,233.99
FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGO4	188,081.09	AA+	Aaa	09/11/19	09/16/19	189,253.00	2.08	357.35	188,476.06	189,817.43
FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGO4	236,498.00	AA+	Aaa	09/04/19	09/09/19	239,596.36	1.86	449.35	237,536.04	238,681.31
FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	13,520.39	AA+	Aaa	12/07/18	12/17/18	13,520.35	3.20	36.09	13,520.38	13,931.81
FHMS KJ27 A1 DTD 11/01/2019 2.092% 07/01/2024	3137FQ3V3	67,206.32	AA+	Aaa	11/20/19	11/26/19	67,204.72	2.09	117.16	67,205.39	68,019.48
Security Type Sub-Total		1,926,917.86					1,940,616.40	2.23	3,951.24	1,931,198.14	1,948,640.10

Federal Agency Bond / Note											
FREDDIE MAC NOTES DTD 04/20/2020 0.375% 04/20/2023	3137EAEQ8	2,600,000.00	AA+	Aaa	04/17/20	04/20/20	2,593,500.00	0.46	297.92	2,596,824.20	2,601,214.20
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	700,000.00	AA+	Aaa	06/03/20	06/04/20	700,530.60	0.35	1,283.33	700,274.02	700,020.30
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	1,960,000.00	AA+	Aaa	05/05/20	05/07/20	1,959,176.80	0.39	3,593.34	1,959,585.76	1,960,056.84
FANNIE MAE NOTES DTD 05/22/2020 0.250% 05/22/2023	3135G04Q3	700,000.00	AA+	Aaa	06/03/20	06/04/20	697,676.00	0.36	772.92	698,782.16	698,662.30
FANNIE MAE NOTES DTD 05/22/2020 0.250% 05/22/2023	3135G04Q3	2,075,000.00	AA+	Aaa	05/20/20	05/22/20	2,068,754.25	0.35	2,291.14	2,071,765.90	2,071,034.68
FREDDIE MAC NOTES DTD 06/26/2020 0.250% 06/26/2023	3137EAES4	1,910,000.00	AA+	Aaa	06/24/20	06/26/20	1,904,422.80	0.35	1,657.99	1,906,933.81	1,904,873.56



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Federal Agency Bond / Note											
FANNIE MAE NOTES DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	775,000.00	AA+	Aaa	10/07/20	10/08/20	774,736.50	0.26	597.40	774,838.49	772,610.68
FANNIE MAE NOTES DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	2,000,000.00	AA+	Aaa	07/08/20	07/10/20	1,995,700.00	0.32	1,541.66	1,997,581.00	1,993,834.00
FREDDIE MAC NOTES DTD 08/21/2020 0.250% 08/24/2023	3137EAEV7	1,900,000.00	AA+	Aaa	08/19/20	08/21/20	1,898,062.00	0.28	884.03	1,898,833.32	1,892,694.50
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	700,000.00	AA+	Aaa	10/07/20	10/08/20	699,776.00	0.26	257.64	699,857.82	696,994.20
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	825,000.00	AA+	Aaa	09/02/20	09/04/20	825,150.65	0.24	303.65	825,092.67	821,457.45
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	1,300,000.00	AA+	Aaa	09/02/20	09/04/20	1,299,571.00	0.26	478.47	1,299,736.12	1,294,417.80
FREDDIE MAC NOTES DTD 12/04/2020 0.250% 12/04/2023	3137EAFA2	1,740,000.00	AA+	Aaa	12/02/20	12/04/20	1,738,277.40	0.28	1,776.25	1,738,799.69	1,729,408.62
Security Type Sub-Total		19,185,000.00					19,155,334.00	0.34	15,735.74	19,168,904.96	19,137,279.13
Corporate Note											
BANK OF NY MELLON CORP NOTES (CALLABLE) DTD 01/28/2020 1.850% 01/27/2023	06406RAM9	250,000.00	A	A1	01/21/20	01/28/20	249,825.00	1.87	1,207.64	249,927.76	253,866.25
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	115,000.00	A+	A2	01/22/20	02/03/20	114,842.45	1.75	488.75	114,934.19	116,735.01
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	250,000.00	A+	A2	01/23/20	02/03/20	249,920.00	1.71	1,062.50	249,966.58	253,771.75
EXXON MOBIL CORPORATION CORPORATE NOTES DTD 04/15/2020 1.571% 04/15/2023	30231GBL5	250,000.00	AA-	Aa2	05/11/20	05/13/20	253,225.00	1.12	174.56	251,601.92	253,823.00
PEPSICO INC CORPORATE NOTES DTD 05/01/2020 0.750% 05/01/2023	713448EY0	260,000.00	A+	A1	04/29/20	05/01/20	259,485.20	0.82	975.00	259,743.31	261,117.22



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Corporate Note											
AMERICAN HONDA FINANCE DTD 01/10/2020 1.950% 05/10/2023	02665WDH1	670,000.00	A-	A3	01/07/20	01/10/20	669,752.10	1.96	6,205.88	669,886.85	683,579.56
CHEVRON CORP CORPORATE NOTES DTD 05/11/2020 1.141% 05/11/2023	166764BV1	155,000.00	AA-	Aa2	05/07/20	05/11/20	155,000.00	1.14	835.15	155,000.00	156,381.98
APPLE INC CORPORATE NOTES DTD 05/11/2020 0.750% 05/11/2023	037833DV9	335,000.00	AA+	Aa1	05/04/20	05/11/20	334,088.80	0.84	1,186.46	334,537.33	336,259.27
GENERAL DYNAMICS CORP NOTES DTD 05/11/2018 3.375% 05/15/2023	369550BD9	375,000.00	A-	A3	05/11/20	05/13/20	401,025.00	1.02	5,835.94	387,927.13	390,499.13
AMAZON.COM INC CORPORATE NOTES DTD 06/03/2020 0.400% 06/03/2023	023135BP0	560,000.00	AA	A1	06/01/20	06/03/20	559,216.00	0.45	920.89	559,585.45	559,424.88
CHEVRON CORP DTD 06/24/2013 3.191% 06/24/2023	166764AH3	500,000.00	AA-	Aa2	02/25/20	02/27/20	525,365.00	1.62	5,628.57	512,546.58	518,202.00
JOHN DEERE CAPITAL CORP CORPORATE NOTES DTD 06/04/2020 0.700% 07/05/2023	24422EVH9	250,000.00	A	A2	06/01/20	06/04/20	249,795.00	0.73	563.89	249,888.76	250,538.25
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 07/08/2020 0.650% 07/07/2023	14913R2D8	275,000.00	A	A2	07/06/20	07/08/20	274,846.00	0.67	566.04	274,913.71	275,718.58
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 11/19/2020 0.627% 11/17/2023	38141GXL3	315,000.00	BBB+	A2	11/16/20	11/19/20	315,000.00	0.63	899.75	315,000.00	314,319.92
IBM CORP NOTES DTD 02/12/2014 3.625% 02/12/2024	459200HU8	325,000.00	A-	A3	10/02/20	10/06/20	357,282.25	0.62	2,585.33	346,969.86	344,794.13
GOLDMAN SACHS CORP NOTES DTD 03/03/2014 4.000% 03/03/2024	38141GVM3	250,000.00	BBB+	A2	01/21/21	01/25/21	275,560.00	0.67	1,611.11	269,243.32	266,995.25
CHARLES SCHWAB CORP NOTES (CALLABLE) DTD 03/18/2021 0.750% 03/18/2024	808513BN4	330,000.00	A	A2	03/16/21	03/18/21	329,835.00	0.77	295.63	329,869.32	329,257.17



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Corporate Note											
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	200,000.00	BBB+	A1	04/19/21	04/22/21	200,000.00	0.73	105.59	200,000.00	199,832.80
COMCAST CORP (CALLABLE) CORPORATE NOTES DTD 10/05/2018 3.700% 04/15/2024	20030NCR0	350,000.00	A-	A3	05/07/20	05/11/20	383,456.50	1.20	575.56	370,612.44	373,109.80
MORGAN STANLEY CORP NOTES DTD 04/24/2018 3.737% 04/24/2024	61744YAO1	350,000.00	BBB+	A1	10/02/20	10/06/20	376,659.50	1.52	254.32	368,616.39	365,005.90
AMAZON.COM INC CORPORATE NOTES DTD 05/12/2021 0.450% 05/12/2024	023135BW5	915,000.00	AA	A1	05/10/21	05/12/21	913,664.10	0.50	1,932.94	913,874.97	907,219.76
CITIGROUP INC CORPORATE NOTES DTD 05/14/2020 1.678% 05/15/2024	172967MR9	75,000.00	BBB+	A3	10/02/20	10/06/20	76,853.25	0.98	580.31	76,303.04	76,123.57
CITIGROUP INC CORPORATE NOTES DTD 05/14/2020 1.678% 05/15/2024	172967MR9	325,000.00	BBB+	A3	05/07/20	05/14/20	325,000.00	1.68	2,514.67	325,000.00	329,868.83
UNITEDHEALTH GROUP INC (CALLABLE) CORP N DTD 05/19/2021 0.550% 05/15/2024	91324PEB4	360,000.00	A+	A3	05/17/21	05/19/21	359,625.60	0.59	891.00	359,682.51	356,937.84
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 05/17/2021 0.450% 05/17/2024	14913R2L0	525,000.00	A	A2	05/10/21	05/17/21	524,296.50	0.50	1,076.25	524,404.34	520,078.65
ASTRAZENECA FINANCE LLC (CALLABLE) CORP DTD 05/28/2021 0.700% 05/28/2024	04636NAC7	545,000.00	A-	A3	05/25/21	05/28/21	544,950.95	0.70	1,621.38	544,957.98	543,728.52
JPMORGAN CHASE & CO CORPORATE NOTES DTD 05/27/2020 1.514% 06/01/2024	46647PBO8	300,000.00	A-	A2	05/19/20	05/27/20	300,000.00	1.51	1,892.50	300,000.00	303,863.10
JOHN DEERE CAPITAL CORP CORPORATE NOTES DTD 06/10/2021 0.450% 06/07/2024	24422EVQ9	155,000.00	A	A2	06/07/21	06/10/21	154,806.25	0.49	273.19	154,831.78	153,041.42



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Corporate Note											
BANK OF AMERICA CORP NOTES DTD 07/23/2018 3.864% 07/23/2024	06051GHL6	375,000.00	A-	A2	09/28/20	10/01/20	406,556.25	1.58	3,944.50	397,775.60	394,137.75
BRISTOL MYERS SQUIBB CO CORP NOTES (CALL DTD 01/26/2020 2.900% 07/26/2024	110122CM8	179,000.00	A+	A2	10/05/20	10/07/20	193,801.51	0.69	1,369.85	189,550.71	188,625.37
AMERICAN HONDA FINANCE CORPORATE NOTES DTD 09/09/2021 0.750% 08/09/2024	02665WDY4	220,000.00	A-	A3	09/07/21	09/09/21	219,854.80	0.77	238.33	219,862.03	218,540.08
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 08/09/2021 0.500% 08/09/2024	69371RR40	275,000.00	A+	A1	08/03/21	08/09/21	274,851.50	0.52	313.19	274,862.88	271,881.78
UNILEVER CAPITAL CORP (CALLABLE) CORPORA DTD 08/12/2021 0.626% 08/12/2024	904764BN6	185,000.00	A+	A1	08/09/21	08/12/21	185,000.00	0.63	254.14	185,000.00	183,014.40
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	220,000.00	A	A2	08/09/21	08/12/21	219,980.20	0.75	362.08	219,981.66	219,001.42
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 06/10/2021 0.657% 09/10/2024	38141GYE8	275,000.00	BBB+	A2	06/07/21	06/10/21	275,000.00	0.66	255.96	275,000.00	273,758.65
TOYOTA MOTOR CREDIT CORP CORPORATE NOTES DTD 09/13/2021 0.625% 09/13/2024	89236TJN6	350,000.00	A+	A1	09/08/21	09/13/21	349,835.50	0.64	291.67	349,842.85	346,997.00
NESTLE HOLDINGS INC CORP NOTES (CALLABLE DTD 09/14/2021 0.606% 09/14/2024	641062AU8	580,000.00	AA-	Aa3	09/07/21	09/14/21	580,000.00	0.61	458.88	580,000.00	577,086.08
BANK OF AMERICA CORP (CALLABLE) CORPORAT DTD 10/21/2020 0.810% 10/24/2024	06051GJH3	525,000.00	A-	A2	10/16/20	10/21/20	525,000.00	0.81	82.69	525,000.00	524,304.38
MERCK & CO INC CORP NOTES DTD 02/10/2015 2.750% 02/10/2025	58933YAR6	250,000.00	A+	A1	03/09/21	03/11/21	266,960.00	0.98	1,546.88	263,985.67	262,078.75



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Corporate Note											
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	375,000.00	A+	A1	09/23/21	09/27/21	385,391.25	0.96	1,462.50	385,096.76	382,624.88
JPMORGAN CHASE & CO CORP NOTES (CALLABLE DTD 02/16/2021 0.563% 02/16/2025	46647PBY1	265,000.00	A-	A2	02/09/21	02/16/21	265,000.00	0.56	310.82	265,000.00	262,129.26
EXXON MOBIL CORP CORPORATE NT (CALLABLE) DTD 03/06/2015 2.709% 03/06/2025	30231GAF9	250,000.00	AA-	Aa2	03/26/21	03/30/21	265,480.00	1.10	1,034.69	262,997.68	261,983.75
BURLINGTN NORTH SANTA FE CORP NOTES (CAL DTD 03/09/2015 3.000% 04/01/2025	12189LAV3	250,000.00	AA-	A3	03/05/21	03/09/21	269,157.50	1.07	625.00	265,900.45	264,514.50
BANK OF NY MELLON (CALLABLE) CORP NOTES DTD 04/24/2020 1.600% 04/24/2025	06406RAN7	260,000.00	A	A1	03/09/21	03/11/21	266,167.20	1.01	80.89	265,183.96	263,738.02
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	17296MX6	375,000.00	BBB+	A3	04/28/21	05/04/21	375,982.50	0.91	1,808.72	375,860.53	372,658.13
JPMORGAN CHASE & CO CORPORATE NOTES DTD 06/01/2021 0.824% 06/01/2025	46647PCH7	505,000.00	A-	A2	05/24/21	06/01/21	505,000.00	0.82	1,733.83	505,000.00	499,681.34
Security Type Sub-Total		15,279,000.00					15,562,393.66	0.94	58,935.42	15,475,726.30	15,460,849.08
Certificate of Deposit											
SUMITOMO MITSUI BANK NY CERT DEPOS DTD 07/14/2020 0.700% 07/08/2022	86565CKU2	800,000.00	A-1	P-1	07/10/20	07/14/20	800,000.00	0.70	1,804.44	800,000.00	802,376.80
NORDEA BANK ABP NEW YORK CERT DEPOS DTD 08/29/2019 1.850% 08/26/2022	65558TLL7	1,025,000.00	A-1+	P-1	08/27/19	08/29/19	1,025,000.00	1.84	3,529.13	1,025,000.00	1,038,155.88
SKANDINAV ENSKILDA BANK LT CD DTD 09/03/2019 1.860% 08/26/2022	83050PDR7	1,025,000.00	A-1	P-1	08/29/19	09/03/19	1,025,000.00	1.85	3,548.21	1,025,000.00	1,038,239.93



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For the Month Ending **October 31, 2021**

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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Certificate of Deposit											
DNB BANK ASA/NY LT CD DTD 12/06/2019 2.040% 12/02/2022	23341VZT1	1,025,000.00	AA-	Aa2	12/04/19	12/06/19	1,025,000.00	2.03	8,828.67	1,025,000.00	1,043,787.23
CREDIT SUISSE NEW YORK CERT DEPOS DTD 03/23/2021 0.590% 03/17/2023	22552G3C2	800,000.00	A+	A1	03/19/21	03/23/21	800,000.00	0.59	2,923.78	800,000.00	801,817.60

Security Type Sub-Total		4,675,000.00					4,675,000.00	1.48	20,634.23	4,675,000.00	4,724,377.44
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Bank Note											
PNC BANK NA CORP NOTES DTD 06/08/2018 3.500% 06/08/2023	69353RFL7	475,000.00	A	A2	02/11/20	02/13/20	500,721.25	1.81	6,603.82	475,324.05	496,332.73

Security Type Sub-Total		475,000.00					500,721.25	1.81	6,603.82	475,324.05	496,332.73
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Asset-Backed Security											
VWALT 2019-A A3 DTD 10/04/2019 1.990% 11/21/2022	92867XAD8	135,115.69	AAA	NR	10/01/19	10/04/19	135,113.56	1.99	82.16	135,114.97	135,569.94
HAROT 2019-1 A3 DTD 02/27/2019 2.830% 03/20/2023	43814WAC9	48,182.90	AAA	NR	02/19/19	02/27/19	48,181.62	2.83	49.24	48,182.46	48,557.18
VALET 2018-2 A3 DTD 11/21/2018 3.250% 04/20/2023	92869BAD4	106,906.40	AAA	Aaa	11/15/18	11/21/18	106,901.91	3.25	106.16	106,904.91	107,444.83
GMCAR 2018-3 A3 DTD 07/18/2018 3.020% 05/16/2023	36255JAD6	57,001.12	AAA	NR	07/11/18	07/18/18	56,987.83	3.03	71.73	56,996.89	57,243.64
CARMAX AUTO OWNER TRUST DTD 07/25/2018 3.130% 06/15/2023	14313FAD1	79,448.02	AAA	NR	07/18/18	07/25/18	79,437.19	3.13	110.52	79,444.44	79,852.98
HYUNDAI AUTO RECEIVABLES TRUST DTD 04/10/2019 2.660% 06/15/2023	44932NAD2	129,715.88	AAA	NR	04/03/19	04/10/19	129,698.80	2.66	153.35	129,709.27	130,573.65
TAOT 2019-A A3 DTD 02/13/2019 2.910% 07/15/2023	89239AAD5	148,622.38	AAA	Aaa	02/05/19	02/13/19	148,595.30	2.91	192.22	148,611.95	149,966.12
HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	161,884.06	AAA	Aaa	08/20/19	08/27/19	161,882.72	1.78	128.07	161,883.46	162,979.56



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security											
GMALT 2020-3 A3 DTD 09/29/2020 0.450% 08/21/2023	362569AC9	265,000.00	AAA	Aaa	09/22/20	09/29/20	264,974.61	0.45	36.44	264,984.18	265,131.04
ALLYA 2019-1 A3 DTD 02/13/2019 2.910% 09/15/2023	02004WAC5	74,503.73	NR	Aaa	02/05/19	02/13/19	74,494.73	2.91	96.36	74,500.06	75,067.02
CARMAX AUTO OWNER TRUST DTD 10/24/2018 3.360% 09/15/2023	14315EAC4	120,725.90	AAA	NR	10/17/18	10/24/18	120,724.79	3.36	180.28	120,725.48	121,856.93
COPAR 2019-1 A3 DTD 05/30/2019 2.510% 11/15/2023	14042WAC4	117,665.63	AAA	Aaa	05/21/19	05/30/19	117,641.79	2.51	131.26	117,654.75	118,703.75
MBALT 2020-B A3 DTD 09/23/2020 0.400% 11/15/2023	58769EAC2	140,000.00	AAA	NR	09/15/20	09/23/20	139,992.90	0.40	24.89	139,995.40	139,954.19
GMCAR 2019-1 A3 DTD 01/16/2019 2.970% 11/16/2023	36256XAD4	126,842.52	NR	Aaa	01/08/19	01/16/19	126,828.47	2.97	156.97	126,836.59	127,704.68
FIFTH THIRD AUTO TRUST DTD 05/08/2019 2.640% 12/15/2023	31680YAD9	132,200.98	AAA	Aaa	04/30/19	05/08/19	132,171.93	2.65	155.12	132,187.61	133,324.52
BMWLT 2021-1 A3 DTD 03/10/2021 0.290% 01/25/2024	05591RAC8	195,000.00	AAA	Aaa	03/02/21	03/10/21	194,993.82	0.29	9.43	194,995.21	194,793.28
HDMOT 2019-A A3 DTD 06/26/2019 2.340% 02/15/2024	41284WAC4	181,719.77	NR	Aaa	06/19/19	06/26/19	181,705.70	2.34	188.99	181,712.83	182,739.25
CARMX 2019-2 A3 DTD 04/17/2019 2.680% 03/15/2024	14316LAC7	179,488.30	AAA	NR	04/09/19	04/17/19	179,469.96	2.68	213.79	179,479.46	181,565.45
TLOT 2021-A A3 DTD 04/21/2021 0.390% 04/22/2024	89238EAC0	285,000.00	AAA	Aaa	04/13/21	04/21/21	284,966.74	0.39	33.96	284,972.62	284,528.90
VZOT 2019-C A1A DTD 10/08/2019 1.940% 04/22/2024	92348AAA3	650,000.00	AAA	NR	10/01/19	10/08/19	649,949.89	1.94	385.31	649,972.71	655,444.99
GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	400,000.00	AAA	NR	05/18/21	05/26/21	399,937.20	0.35	41.56	399,946.36	398,615.76
NAROT 2019-C A3 DTD 10/23/2019 1.930% 07/15/2024	65479JAD5	376,132.70	AAA	Aaa	10/16/19	10/23/19	376,112.84	1.93	322.64	376,121.35	379,406.56



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security											
NAROT 2020-B A3 DTD 06/30/2020 0.550% 07/15/2024	65479CAD0	410,000.00	AAA	Aaa	06/23/20	06/30/20	409,988.77	0.55	100.22	409,992.49	410,578.96
VZOT 2020-A A1A DTD 01/29/2020 1.850% 07/22/2024	92348TAA2	250,000.00	AAA	Aaa	01/21/20	01/29/20	249,970.73	1.85	141.32	249,982.22	252,694.85
HDMOT 2020-A A3 DTD 01/29/2020 1.870% 10/15/2024	41284UAD6	172,229.16	AAA	Aaa	01/21/20	01/29/20	172,191.60	1.87	143.14	172,205.61	173,643.40
TAOT 2020-C A3 DTD 07/27/2020 0.440% 10/15/2024	89237VAB5	350,000.00	AAA	Aaa	07/21/20	07/27/20	349,973.05	0.44	68.44	349,981.13	350,063.39
DCENT 2019-A3 A DTD 10/31/2019 1.890% 10/15/2024	254683CM5	385,000.00	NR	Aaa	10/24/19	10/31/19	384,917.30	1.89	323.40	384,950.73	390,860.51
HAROT 2020-3 A3 DTD 09/29/2020 0.370% 10/18/2024	43813KAC6	345,000.00	AAA	NR	09/22/20	09/29/20	344,949.32	0.37	46.10	344,962.95	344,518.79
BMWOT 2020-A A3 DTD 07/15/2020 0.480% 10/25/2024	09661RAD3	230,000.00	AAA	NR	07/08/20	07/15/20	229,982.64	0.48	18.40	229,987.90	230,253.14
CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	285,000.00	AAA	NR	01/14/20	01/22/20	284,944.08	1.89	239.40	284,964.35	288,216.08
HART 2020-B A3 DTD 07/22/2020 0.480% 12/16/2024	44933FAC0	345,000.00	AAA	NR	07/14/20	07/22/20	344,935.42	0.48	73.60	344,954.18	345,432.04
VZOT 2020-B A DTD 08/12/2020 0.470% 02/20/2025	92290BAA9	550,000.00	NR	Aaa	08/04/20	08/12/20	549,884.50	0.47	78.99	549,915.66	550,059.07
GMCAR 2020-3 A3 DTD 08/19/2020 0.450% 04/16/2025	362590AC5	360,000.00	NR	Aaa	08/11/20	08/19/20	359,917.63	0.46	67.50	359,938.89	360,038.95
WOART 2020-B A3 DTD 06/24/2020 0.630% 05/15/2025	98163WAC0	320,000.00	AAA	NR	06/16/20	06/24/20	319,974.91	0.63	89.60	319,981.86	320,599.78
KCOT 2021-1A A3 DTD 04/14/2021 0.620% 08/15/2025	50117TAC5	240,000.00	NR	Aaa	04/06/21	04/14/21	239,950.90	0.62	66.13	239,957.13	238,261.08
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	225,000.00	AAA	NR	04/20/21	04/28/21	224,976.33	0.38	38.00	224,979.09	223,752.78



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security											
KCOT 2021-2A A3 DTD 07/28/2021 0.560% 11/17/2025	50117XAE2	400,000.00	NR	Aaa	07/20/21	07/28/21	399,984.92	0.56	99.56	399,985.84	395,151.25
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314QAC8	245,000.00	AAA	NR	04/13/21	04/21/21	244,947.20	0.52	56.62	244,953.01	244,299.28
CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	490,000.00	AAA	Aaa	07/21/21	07/28/21	489,919.40	0.55	119.78	489,923.74	486,747.09
DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	305,000.00	AAA	Aaa	09/20/21	09/27/21	304,934.70	0.58	78.62	304,935.96	301,710.94
COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	310,000.00	AAA	Aaa	10/19/21	10/27/21	309,994.14	0.77	26.52	309,994.16	309,262.56
GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	190,000.00	AAA	Aaa	10/13/21	10/21/21	189,995.16	0.68	35.89	189,995.19	189,119.48
WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	375,000.00	AAA	NR	10/26/21	11/03/21	374,948.93	0.81	0.00	374,948.93	374,391.83
Security Type Sub-Total		10,893,385.14					10,892,045.93	1.15	4,781.68	10,892,423.98	10,910,679.47
Managed Account Sub-Total		105,985,303.00					106,556,512.14	0.73	184,122.68	106,398,340.63	106,329,771.97
Securities Sub-Total		\$105,985,303.00					\$106,556,512.14	0.73%	\$184,122.68	\$106,398,340.63	\$106,329,771.97
Accrued Interest											\$184,122.68
Total Investments											\$106,513,894.65

Bolded items are forward settling trades.



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
U.S. Treasury Bond / Note										
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	500,000.00	NOMURA		101.17	505,859.40	9,921.90	7,231.97	0.96	0.15
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	975,000.00	JPM_CHAS		101.17	986,425.83	15,043.99	12,597.05	0.96	0.15
US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	3,975,000.00	DEUTSCHE		101.17	4,021,582.23	74,531.45	55,880.82	0.96	0.15
US TREASURY NOTES DTD 01/15/2020 1.500% 01/15/2023	912828Z29	1,350,000.00	MORGAN_S		101.52	1,370,460.87	13,816.34	17,741.25	1.20	0.24
US TREASURY NOTES DTD 02/01/2016 1.750% 01/31/2023	912828P38	1,800,000.00	MORGAN_S		101.86	1,833,468.84	26,156.34	30,494.26	1.24	0.26
US TREASURY NOTES DTD 02/15/2020 1.375% 02/15/2023	912828Z86	3,075,000.00	HSBC		101.42	3,118,722.81	(9,729.34)	20,368.48	1.29	0.27
US TREASURY NOTES DTD 08/01/2016 1.250% 07/31/2023	912828S92	546,000.00	JPM_CHA		101.44	553,848.75	(5,097.42)	(2,537.53)	1.74	0.42
US TREASURY NOTES DTD 09/30/2021 0.250% 09/30/2023	91282CDA6	3,250,000.00	NOMURA		99.59	3,236,796.88	(11,425.78)	(11,489.61)	1.92	0.46
US TREASURY NOTES DTD 11/15/2020 0.250% 11/15/2023	91282CAW1	4,350,000.00	NOMURA		99.45	4,326,210.72	(27,867.41)	(26,606.48)	2.04	0.52
US TREASURY NOTES DTD 12/15/2020 0.125% 12/15/2023	91282CBA8	1,750,000.00	BARCLAY		99.09	1,734,140.63	(11,689.45)	(12,848.63)	2.12	0.55
US TREASURY NOTES DTD 01/15/2021 0.125% 01/15/2024	91282CBE0	3,000,000.00	JPM_CHA		99.02	2,970,468.60	(24,726.71)	(25,936.81)	2.21	0.57
US TREASURY NOTES DTD 02/15/2021 0.125% 02/15/2024	91282CBM2	750,000.00	LOOP		98.97	742,265.63	(5,625.00)	(6,109.09)	2.29	0.58
US TREASURY NOTES DTD 02/15/2021 0.125% 02/15/2024	91282CBM2	2,775,000.00	CITIGRP		98.97	2,746,382.81	(16,584.96)	(19,294.72)	2.29	0.58
US TREASURY NOTES DTD 05/01/2017 2.000% 04/30/2024	912828X70	1,350,000.00	CITIGRP		103.34	1,395,140.63	(21,884.76)	(9,328.71)	2.43	0.65
US TREASURY N/B NOTES DTD 06/15/2021 0.250% 06/15/2024	91282CCG4	275,000.00	CABRERA		98.86	271,863.30	(1,536.11)	(1,727.53)	2.62	0.69
US TREASURY N/B NOTES DTD 06/15/2021 0.250% 06/15/2024	91282CCG4	3,650,000.00	JPM_CHA		98.86	3,608,367.37	(17,964.66)	(20,543.01)	2.62	0.69
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	610,000.00	NOMURA		99.13	604,662.50	(5,266.02)	(5,271.63)	2.70	0.70



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U.S. Treasury Bond / Note										
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	1,050,000.00	CITIGRP		99.13	1,040,812.50	(8,080.08)	(8,165.98)	2.70	0.70
US TREASURY N/B NOTES DTD 07/15/2021 0.375% 07/15/2024	91282CCL3	1,675,000.00	CITIGRP		99.13	1,660,343.75	(16,619.14)	(16,460.13)	2.70	0.70
US TREASURY N/B NOTES DTD 08/15/2021 0.375% 08/15/2024	91282CCT6	2,350,000.00	JPM_CHA		99.08	2,328,335.82	(19,093.87)	(19,234.68)	2.78	0.71
US TREASURY N/B NOTES DTD 09/15/2021 0.375% 09/15/2024	91282CCX7	2,050,000.00	MERRILL		99.00	2,029,500.00	(12,171.88)	(12,373.30)	2.86	0.73
US TREASURY NOTES DTD 10/31/2019 1.500% 10/31/2024	912828YM6	3,400,000.00	MERRILL		102.19	3,474,375.00	(49,804.69)	(32,357.15)	2.93	0.76
US TREASURY NOTES DTD 11/30/2019 1.500% 11/30/2024	912828YV6	950,000.00	NOMURA		102.16	970,484.37	(14,064.46)	(10,313.91)	3.01	0.79
US TREASURY NOTES DTD 11/30/2019 1.500% 11/30/2024	912828YV6	1,850,000.00	JPM_CHA		102.16	1,889,890.63	(28,761.71)	(20,827.83)	3.01	0.79
Security Type Sub-Total		47,306,000.00				47,420,409.87	(168,523.43)	(117,112.90)	2.13	0.53
Supra-National Agency Bond / Note										
INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	750,000.00	TD		99.64	747,281.25	(1,166.25)	(1,580.96)	1.47	0.37
INTER-AMERICAN DEVEL BK NOTES DTD 04/24/2020 0.500% 05/24/2023	4581X0DM7	790,000.00	RBC		100.17	791,312.98	1,581.58	1,448.83	1.56	0.39
INTL BK RECON & DEVELOP NOTES DTD 11/24/2020 0.250% 11/24/2023	459058JM6	740,000.00	TD		99.46	736,013.62	(2,395.38)	(2,892.30)	2.06	0.51
INTER-AMERICAN DEVEL BK NOTES DTD 09/23/2021 0.500% 09/23/2024	4581X0DZ8	1,050,000.00	JPM_CHA		99.30	1,042,695.15	(6,527.85)	(6,555.50)	2.88	0.74
Security Type Sub-Total		3,330,000.00				3,317,303.00	(8,507.90)	(9,579.93)	2.07	0.52
Municipal Bond / Note										
CA ST EARTHQUAKE AUTH TXBL REV BONDS DTD 11/24/2020 1.327% 07/01/2022	13017HAJ5	215,000.00	CITIGRP		100.68	216,462.00	1,462.00	1,462.00	0.67	0.31



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Municipal Bond / Note										
NY ST URBAN DEV CORP TXBL REV BONDS DTD 12/23/2020 0.480% 03/15/2023	650036DR4	235,000.00	WELLS_F		100.08	235,195.05	195.05	195.05	1.37	0.42
CT ST TXBL GO BONDS DTD 06/11/2020 2.000% 07/01/2023	20772KJV2	110,000.00	SIEBERT		102.52	112,776.40	2,119.70	2,418.90	1.64	0.48
LOS ANGELES CCD, CA TXBL GO BONDS DTD 11/10/2020 0.444% 08/01/2023	54438CYH9	260,000.00	MERRILL		99.73	259,295.40	(704.60)	(704.60)	1.75	0.60
SAN JUAN USD, CA TXBL GO BONDS DTD 10/29/2020 0.499% 08/01/2023	798306WM4	385,000.00	KEYBANC		100.13	385,500.50	500.50	500.50	1.75	0.42
MD ST TXBL GO BONDS DTD 08/05/2020 0.410% 08/01/2023	574193TP3	525,000.00	JPM_CHA		99.95	524,727.00	(273.00)	(273.00)	1.75	0.44
NY ST URBAN DEV CORP TXBL REV BONDS DTD 12/23/2020 0.620% 03/15/2024	650036DS2	710,000.00	WELLS_F		99.36	705,463.10	(4,536.90)	(4,536.90)	2.36	0.89
NJ TURNPIKE AUTHORITY TXBL REV BONDS DTD 02/04/2021 0.897% 01/01/2025	646140DN0	220,000.00	CITIGRP		99.67	219,282.80	(717.20)	(717.20)	3.13	1.00
FL ST BOARD OF ADMIN TXBL REV BONDS DTD 09/16/2020 1.258% 07/01/2025	341271AD6	255,000.00	MERRILL		100.08	255,198.90	198.90	198.90	3.59	1.24

Security Type Sub-Total	2,915,000.00				2,913,901.15	(1,755.55)	(1,456.35)	2.05	0.66
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Federal Agency Commercial Mortgage-Backed Security										
FHLMC MULTIFAMILY STRUCTURED P DTD 11/01/2015 2.716% 06/01/2022	3137BLUR7	454,717.97	JPM_CHAS		100.76	458,181.42	4,029.17	3,565.87	0.43	1.41
FHLMC MULTIFAMILY STRUCTURED P DTD 12/01/2012 2.355% 07/01/2022	3137AVXN2	466,894.09	WELLS_FA		101.05	471,774.66	3,166.19	4,506.80	0.65	0.79
FHLMC MULTIFAMILY STRUCTURED P DTD 05/01/2013 2.510% 11/01/2022	3137B1BS0	500,000.00	CANT_FIT		101.65	508,233.99	(47.26)	5,657.13	0.95	0.86
FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGO4	188,081.09	BAIRD		100.92	189,817.43	564.43	1,341.37	1.08	1.42
FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGO4	236,498.00	BAIRD		100.92	238,681.31	(915.05)	1,145.27	1.08	1.42
FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	13,520.39	CSFB		103.04	13,931.81	411.46	411.43	1.65	1.36



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Federal Agency Commercial Mortgage-Backed Security										
FHMS KJ27 A1 DTD 11/01/2019 2.092% 07/01/2024	3137FO3V3	67,206.32	JPM_CHAS		101.21	68,019.48	814.76	814.09	1.23	1.63
Security Type Sub-Total		1,926,917.86				1,948,640.10	8,023.70	17,441.96	0.80	1.13
Federal Agency Bond / Note										
FREDDIE MAC NOTES DTD 04/20/2020 0.375% 04/20/2023	3137EAEQ8	2,600,000.00	CITIGRP		100.05	2,601,214.20	7,714.20	4,390.00	1.47	0.34
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	700,000.00	RBS		100.00	700,020.30	(510.30)	(253.72)	1.51	0.37
FREDDIE MAC NOTES DTD 05/07/2020 0.375% 05/05/2023	3137EAER6	1,960,000.00	WELLS_F		100.00	1,960,056.84	880.04	471.08	1.51	0.37
FANNIE MAE NOTES DTD 05/22/2020 0.250% 05/22/2023	3135G04O3	700,000.00	WELLS_F		99.81	698,662.30	986.30	(119.86)	1.56	0.37
FANNIE MAE NOTES DTD 05/22/2020 0.250% 05/22/2023	3135G04O3	2,075,000.00	CITIGRP		99.81	2,071,034.68	2,280.43	(731.22)	1.56	0.37
FREDDIE MAC NOTES DTD 06/26/2020 0.250% 06/26/2023	3137EAES4	1,910,000.00	TD		99.73	1,904,873.56	450.76	(2,060.25)	1.65	0.41
FANNIE MAE NOTES DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	775,000.00	HSBC		99.69	772,610.68	(2,125.82)	(2,227.81)	1.69	0.43
FANNIE MAE NOTES DTD 07/10/2020 0.250% 07/10/2023	3135G05G4	2,000,000.00	CITIGRP		99.69	1,993,834.00	(1,866.00)	(3,747.00)	1.69	0.43
FREDDIE MAC NOTES DTD 08/21/2020 0.250% 08/24/2023	3137EAEV7	1,900,000.00	CITIGRP		99.62	1,892,694.50	(5,367.50)	(6,138.82)	1.82	0.46
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	700,000.00	CITIGRP		99.57	696,994.20	(2,781.80)	(2,863.62)	1.85	0.48
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	825,000.00	NOMURA		99.57	821,457.45	(3,693.20)	(3,635.22)	1.85	0.48
FREDDIE MAC NOTES DTD 09/04/2020 0.250% 09/08/2023	3137EAEW5	1,300,000.00	WELLS_F		99.57	1,294,417.80	(5,153.20)	(5,318.32)	1.85	0.48
FREDDIE MAC NOTES DTD 12/04/2020 0.250% 12/04/2023	3137EAFA2	1,740,000.00	CITIGRP		99.39	1,729,408.62	(8,868.78)	(9,391.07)	2.09	0.54
Security Type Sub-Total		19,185,000.00				19,137,279.13	(18,054.87)	(31,625.83)	1.69	0.42



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Corporate Note										
BANK OF NY MELLON CORP NOTES (CALLABLE) DTD 01/28/2020 1.850% 01/27/2023	06406RAM9	250,000.00	MORGAN_S	01/02/23	101.55	253,866.25	4,041.25	3,938.49	1.16	0.60
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	115,000.00	MERRILL		101.51	116,735.01	1,892.56	1,800.82	1.24	0.49
ADOBE INC CORP NOTE DTD 02/03/2020 1.700% 02/01/2023	00724PAA7	250,000.00	BAIRD		101.51	253,771.75	3,851.75	3,805.17	1.24	0.49
EXXON MOBIL CORPORATION CORPORATE NOTES DTD 04/15/2020 1.571% 04/15/2023	30231GBL5	250,000.00	MITSU		101.53	253,823.00	598.00	2,221.08	1.45	0.52
PEPSICO INC CORPORATE NOTES DTD 05/01/2020 0.750% 05/01/2023	713448EY0	260,000.00	GOLDMAN		100.43	261,117.22	1,632.02	1,373.91	1.49	0.46
AMERICAN HONDA FINANCE DTD 01/10/2020 1.950% 05/10/2023	02665WDH1	670,000.00	SOCGEN		102.03	683,579.56	13,827.46	13,692.71	1.50	0.62
CHEVRON CORP CORPORATE NOTES DTD 05/11/2020 1.141% 05/11/2023	166764BV1	155,000.00	JPM_CHA		100.89	156,381.98	1,381.98	1,381.98	1.52	0.56
APPLE INC CORPORATE NOTES DTD 05/11/2020 0.750% 05/11/2023	037833DV9	335,000.00	JPM_CHA		100.38	336,259.27	2,170.47	1,721.94	1.52	0.50
GENERAL DYNAMICS CORP NOTES DTD 05/11/2018 3.375% 05/15/2023	369550BD9	375,000.00	CSFB	04/15/23	104.13	390,499.13	(10,525.87)	2,572.00	1.42	0.68
AMAZON.COM INC CORPORATE NOTES DTD 06/03/2020 0.400% 06/03/2023	023135BP0	560,000.00	GOLDMAN		99.90	559,424.88	208.88	(160.57)	1.59	0.46
CHEVRON CORP DTD 06/24/2013 3.191% 06/24/2023	166764AH3	500,000.00	CITIGRP		103.64	518,202.00	(7,163.00)	5,655.42	1.61	0.96
JOHN DEERE CAPITAL CORP CORPORATE NOTES DTD 06/04/2020 0.700% 07/05/2023	24422EVH9	250,000.00	HSBC		100.22	250,538.25	743.25	649.49	1.67	0.57
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 07/08/2020 0.650% 07/07/2023	14913R2D8	275,000.00	BARCLAY		100.26	275,718.58	872.58	804.87	1.68	0.49
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 11/19/2020 0.627% 11/17/2023	38141GXL3	315,000.00	GOLDMAN		99.78	314,319.92	(680.08)	(680.08)	2.03	0.73



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Corporate Note										
IBM CORP NOTES DTD 02/12/2014 3.625% 02/12/2024	459200HU8	325,000.00	WELLS_F		106.09	344,794.13	(12,488.12)	(2,175.73)	2.20	0.92
GOLDMAN SACHS CORP NOTES DTD 03/03/2014 4.000% 03/03/2024	38141GVM3	250,000.00	TD		106.80	266,995.25	(8,564.75)	(2,248.07)	2.25	1.05
CHARLES SCHWAB CORP NOTES (CALLABLE) DTD 03/18/2021 0.750% 03/18/2024	808513BN4	330,000.00	CSFB	02/18/24	99.77	329,257.17	(577.83)	(612.15)	2.29	0.85
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	200,000.00	MORGAN_	04/05/23	99.92	199,832.80	(167.20)	(167.20)	1.43	0.77
COMCAST CORP (CALLABLE) CORPORATE NOTES DTD 10/05/2018 3.700% 04/15/2024	20030NCR0	350,000.00	CITIGRP	03/15/24	106.60	373,109.80	(10,346.70)	2,497.36	2.30	0.98
MORGAN STANLEY CORP NOTES DTD 04/24/2018 3.737% 04/24/2024	61744YAO1	350,000.00	CSFB		104.29	365,005.90	(11,653.60)	(3,610.49)	2.40	1.96
AMAZON.COM INC CORPORATE NOTES DTD 05/12/2021 0.450% 05/12/2024	023135BW5	915,000.00	JPM_CHA		99.15	907,219.76	(6,444.34)	(6,655.21)	2.52	0.79
CITIGROUP INC CORPORATE NOTES DTD 05/14/2020 1.678% 05/15/2024	172967MR9	75,000.00	CSFB		101.50	76,123.57	(729.68)	(179.47)	2.48	1.08
CITIGROUP INC CORPORATE NOTES DTD 05/14/2020 1.678% 05/15/2024	172967MR9	325,000.00	CITIGRP		101.50	329,868.83	4,868.83	4,868.83	2.48	1.08
UNITEDHEALTH GROUP INC (CALLABLE) CORP N DTD 05/19/2021 0.550% 05/15/2024	91324PEB4	360,000.00	CITIGRP	05/15/22	99.15	356,937.84	(2,687.76)	(2,744.67)	0.54	0.89
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 05/17/2021 0.450% 05/17/2024	14913R2L0	525,000.00	JPM_CHA		99.06	520,078.65	(4,217.85)	(4,325.69)	2.53	0.82
ASTRAZENECA FINANCE LLC (CALLABLE) CORP DTD 05/28/2021 0.700% 05/28/2024	04636NAC7	545,000.00	MORGAN_	05/28/22	99.77	543,728.52	(1,222.43)	(1,229.46)	0.58	0.79
JPMORGAN CHASE & CO CORPORATE NOTES DTD 05/27/2020 1.514% 06/01/2024	46647PBO8	300,000.00	JPM_CHA	06/01/23	101.29	303,863.10	3,863.10	3,863.10	1.57	1.01



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Corporate Note										
JOHN DEERE CAPITAL CORP CORPORATE NOTES DTD 06/10/2021 0.450% 06/07/2024	24422EVO9	155,000.00	LOOP		98.74	153,041.42	(1,764.83)	(1,790.36)	2.59	0.94
BANK OF AMERICA CORP NOTES DTD 07/23/2018 3.864% 07/23/2024	06051GHL6	375,000.00	MERRILL		105.10	394,137.75	(12,418.50)	(3,637.85)	2.60	1.94
BRISTOL MYERS SQUIBB CO CORP NOTES (CALL DTD 01/26/2020 2.900% 07/26/2024	110122CM8	179,000.00	HSBC	06/26/24	105.38	188,625.37	(5,176.14)	(925.34)	2.56	0.91
AMERICAN HONDA FINANCE CORPORATE NOTES DTD 09/09/2021 0.750% 08/09/2024	02665WDY4	220,000.00	BNP_PAR		99.34	218,540.08	(1,314.72)	(1,321.95)	2.75	0.99
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 08/09/2021 0.500% 08/09/2024	69371RR40	275,000.00	MITSU		98.87	271,881.78	(2,969.72)	(2,981.10)	2.76	0.91
UNILEVER CAPITAL CORP (CALLABLE) CORPORA DTD 08/12/2021 0.626% 08/12/2024	904764BN6	185,000.00	DEUTSCH	08/12/22	98.93	183,014.40	(1,985.60)	(1,985.60)	0.78	1.02
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	220,000.00	GOLDMAN		99.55	219,001.42	(978.78)	(980.24)	2.76	0.92
GOLDMAN SACHS GROUP INC CORPORATE NOTES DTD 06/10/2021 0.657% 09/10/2024	38141GYE8	275,000.00	GOLDMAN		99.55	273,758.65	(1,241.35)	(1,241.35)	2.84	0.82
TOYOTA MOTOR CREDIT CORP CORPORATE NOTES DTD 09/13/2021 0.625% 09/13/2024	89236TJN6	350,000.00	JPM_CHA		99.14	346,997.00	(2,838.50)	(2,845.85)	2.85	0.93
NESTLE HOLDINGS INC CORP NOTES (CALLABLE DTD 09/14/2021 0.606% 09/14/2024	641062AU8	580,000.00	JPM_CHA	09/14/23	99.50	577,086.08	(2,913.92)	(2,913.92)	1.87	0.78
BANK OF AMERICA CORP (CALLABLE) CORPORAT DTD 10/21/2020 0.810% 10/24/2024	06051GJH3	525,000.00	MERRILL	10/24/23	99.87	524,304.38	(695.62)	(695.62)	1.97	0.86
MERCK & CO INC CORP NOTES DTD 02/10/2015 2.750% 02/10/2025	58933YAR6	250,000.00	MERRILL	11/10/24	104.83	262,078.75	(4,881.25)	(1,906.92)	2.91	1.24



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Corporate Note										
TOYOTA MOTOR CREDIT CORP CORP NOTES DTD 02/13/2020 1.800% 02/13/2025	89236TGT6	375,000.00	TD		102.03	382,624.88	(2,766.37)	(2,471.88)	3.20	1.17
JPMORGAN CHASE & CO CORP NOTES (CALLABLE DTD 02/16/2021 0.563% 02/16/2025	46647PBY1	265,000.00	JPM_CHA	02/16/24	98.92	262,129.26	(2,870.74)	(2,870.74)	2.28	0.90
EXXON MOBIL CORP CORPORATE NT (CALLABLE) DTD 03/06/2015 2.709% 03/06/2025	30231GAF9	250,000.00	MORGAN_	12/06/24	104.79	261,983.75	(3,496.25)	(1,013.93)	2.99	1.24
BURLINGTN NORTH SANTA FE CORP NOTES (CAL DTD 03/09/2015 3.000% 04/01/2025	12189LAV3	250,000.00	OPCO	01/01/25	105.81	264,514.50	(4,643.00)	(1,385.95)	3.05	1.26
BANK OF NY MELLON (CALLABLE) CORP NOTES DTD 04/24/2020 1.600% 04/24/2025	06406RAN7	260,000.00	MORGAN_	03/24/25	101.44	263,738.02	(2,429.18)	(1,445.94)	3.33	1.18
CITIGROUP INC CORPORATE NOTES DTD 05/04/2021 0.981% 05/01/2025	172967MX6	375,000.00	MERRILL		99.38	372,658.13	(3,324.37)	(3,202.40)	3.44	1.16
JPMORGAN CHASE & CO CORPORATE NOTES DTD 06/01/2021 0.824% 06/01/2025	46647PCH7	505,000.00	JPM_CHA		98.95	499,681.34	(5,318.66)	(5,318.66)	3.53	1.12

Security Type Sub-Total		15,279,000.00				15,460,849.08	(101,544.58)	(14,877.22)	2.12	0.90
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Certificate of Deposit										
SUMITOMO MITSUI BANK NY CERT DEPOS DTD 07/14/2020 0.700% 07/08/2022	86565CKU2	800,000.00	SMBC		100.30	802,376.80	2,376.80	2,376.80	0.70	0.26
NORDEA BANK ABP NEW YORK CERT DEPOS DTD 08/29/2019 1.850% 08/26/2022	65558TLL7	1,025,000.00	MERRILL		101.28	1,038,155.88	13,155.88	13,155.88	0.83	0.27
SKANDINAV ENSKILDA BANK LT CD DTD 09/03/2019 1.860% 08/26/2022	83050PDR7	1,025,000.00	BARCLAYS		101.29	1,038,239.93	13,239.93	13,239.93	0.83	0.27
DNB BANK ASA/NY LT CD DTD 12/06/2019 2.040% 12/02/2022	23341VZT1	1,025,000.00	GOLDMAN		101.83	1,043,787.23	18,787.23	18,787.23	1.08	0.34



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Certificate of Deposit										
CREDIT SUISSE NEW YORK CERT DEPOS DTD 03/23/2021 0.590% 03/17/2023	22552G3C2	800,000.00	CSFB		100.23	801,817.60	1,817.60	1,817.60	1.37	0.42
Security Type Sub-Total		4,675,000.00				4,724,377.44	49,377.44	49,377.44	0.95	0.31
Bank Note										
PNC BANK NA CORP NOTES DTD 06/08/2018 3.500% 06/08/2023	69353RFL7	475,000.00	PNC	11/09/21	104.49	496,332.73	(4,388.52)	21,008.68	0.01	0.68
Security Type Sub-Total		475,000.00				496,332.73	(4,388.52)	21,008.68	0.01	0.68
Asset-Backed Security										
VWALT 2019-A A3 DTD 10/04/2019 1.990% 11/21/2022	92867XAD8	135,115.69	JPM_CHAS		100.34	135,569.94	456.38	454.97	0.22	1.67
HAROT 2019-1 A3 DTD 02/27/2019 2.830% 03/20/2023	43814WAC9	48,182.90	MERRILL		100.78	48,557.18	375.56	374.72	0.31	2.26
VALET 2018-2 A3 DTD 11/21/2018 3.250% 04/20/2023	92869BAD4	106,906.40	CITIGRP		100.50	107,444.83	542.92	539.92	0.20	2.90
GMCAR 2018-3 A3 DTD 07/18/2018 3.020% 05/16/2023	36255JAD6	57,001.12	JPM_CHAS		100.43	57,243.64	255.81	246.75	0.17	2.74
CARMAX AUTO OWNER TRUST DTD 07/25/2018 3.130% 06/15/2023	14313FAD1	79,448.02	BARCLAYS		100.51	79,852.98	415.79	408.54	0.20	2.81
HYUNDAI AUTO RECEIVABLES TRUST DTD 04/10/2019 2.660% 06/15/2023	44932NAD2	129,715.88	SOCGEN		100.66	130,573.65	874.85	864.38	0.28	2.25
TAOT 2019-A A3 DTD 02/13/2019 2.910% 07/15/2023	89239AAD5	148,622.38	MITSU		100.90	149,966.12	1,370.82	1,354.17	0.35	2.37
HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	161,884.06	SOCGEN		100.68	162,979.56	1,096.84	1,096.10	0.46	1.40
GMALT 2020-3 A3 DTD 09/29/2020 0.450% 08/21/2023	362569AC9	265,000.00	WELLS_F		100.05	265,131.04	156.43	146.86	0.64	0.42
ALLYA 2019-1 A3 DTD 02/13/2019 2.910% 09/15/2023	02004WAC5	74,503.73	JPM_CHAS		100.76	75,067.02	572.29	566.96	0.32	2.50
CARMAX AUTO OWNER TRUST DTD 10/24/2018 3.360% 09/15/2023	14315EAC4	120,725.90	MERRILL		100.94	121,856.93	1,132.14	1,131.45	0.34	2.85



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Asset-Backed Security										
COPAR 2019-1 A3 DTD 05/30/2019 2.510% 11/15/2023	14042WAC4	117,665.63	JPM_CHAS		100.88	118,703.75	1,061.96	1,049.00	0.37	2.07
MBALT 2020-B A3 DTD 09/23/2020 0.400% 11/15/2023	58769EAC2	140,000.00	MIZUHO		99.97	139,954.19	(38.71)	(41.21)	0.98	0.42
GMCAR 2019-1 A3 DTD 01/16/2019 2.970% 11/16/2023	36256XAD4	126,842.52	RBC		100.68	127,704.68	876.21	868.09	0.27	2.63
FIFTH THIRD AUTO TRUST DTD 05/08/2019 2.640% 12/15/2023	31680YAD9	132,200.98	CSFB		100.85	133,324.52	1,152.59	1,136.91	0.34	2.23
BMWLT 2021-1 A3 DTD 03/10/2021 0.290% 01/25/2024	05591RAC8	195,000.00	MIZUHO		99.89	194,793.28	(200.54)	(201.93)	1.12	0.34
HDMOT 2019-A A3 DTD 06/26/2019 2.340% 02/15/2024	41284WAC4	181,719.77	BARCLAYS		100.56	182,739.25	1,033.55	1,026.42	0.27	2.09
CARMX 2019-2 A3 DTD 04/17/2019 2.680% 03/15/2024	14316LAC7	179,488.30	RBC		101.16	181,565.45	2,095.49	2,085.99	0.48	2.18
TLOT 2021-A A3 DTD 04/21/2021 0.390% 04/22/2024	89238EAC0	285,000.00	JPM_CHA		99.83	284,528.90	(437.84)	(443.72)	1.39	0.46
VZOT 2019-C A1A DTD 10/08/2019 1.940% 04/22/2024	92348AAA3	650,000.00	BARCLAYS		100.84	655,444.99	5,495.10	5,472.28	0.53	1.59
GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	400,000.00	SOCGEN		99.65	398,615.76	(1,321.44)	(1,330.60)	1.36	0.48
NAROT 2019-C A3 DTD 10/23/2019 1.930% 07/15/2024	65479JAD5	376,132.70	MIZUHO		100.87	379,406.56	3,293.72	3,285.21	0.51	1.60
NAROT 2020-B A3 DTD 06/30/2020 0.550% 07/15/2024	65479CAD0	410,000.00	MIZUHO		100.14	410,578.96	590.19	586.47	0.63	0.50
VZOT 2020-A A1A DTD 01/29/2020 1.850% 07/22/2024	92348TAA2	250,000.00	MERRILL		101.08	252,694.85	2,724.12	2,712.63	0.75	1.45
HDMOT 2020-A A3 DTD 01/29/2020 1.870% 10/15/2024	41284UAD6	172,229.16	CITIGRP		100.82	173,643.40	1,451.80	1,437.79	0.51	1.59
TAOT 2020-C A3 DTD 07/27/2020 0.440% 10/15/2024	89237VAB5	350,000.00	SMBC		100.02	350,063.39	90.34	82.26	0.88	0.43
DCENT 2019-A3 A DTD 10/31/2019 1.890% 10/15/2024	254683CM5	385,000.00	MERRILL		101.52	390,860.51	5,943.21	5,909.78	0.96	1.36
HAROT 2020-3 A3 DTD 09/29/2020 0.370% 10/18/2024	43813KAC6	345,000.00	BARCLAY		99.86	344,518.79	(430.53)	(444.16)	1.09	0.42



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Asset-Backed Security										
BMWOT 2020-A A3 DTD 07/15/2020 0.480% 10/25/2024	09661RAD3	230,000.00	JPM_CHA		100.11	230,253.14	270.50	265.24	0.74	0.44
CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	285,000.00	MERRILL		101.13	288,216.08	3,272.00	3,251.73	0.78	1.52
HART 2020-B A3 DTD 07/22/2020 0.480% 12/16/2024	44933FAC0	345,000.00	CITIGRP		100.13	345,432.04	496.62	477.86	0.81	0.44
VZOT 2020-B A DTD 08/12/2020 0.470% 02/20/2025	92290BAA9	550,000.00	RBC		100.01	550,059.07	174.57	143.41	1.27	0.47
GMCAR 2020-3 A3 DTD 08/19/2020 0.450% 04/16/2025	362590AC5	360,000.00	CITIGRP		100.01	360,038.95	121.32	100.06	0.97	0.45
WOART 2020-B A3 DTD 06/24/2020 0.630% 05/15/2025	98163WAC0	320,000.00	WELLS_F		100.19	320,599.78	624.87	617.92	0.83	0.58
KCOT 2021-1A A3 DTD 04/14/2021 0.620% 08/15/2025	50117TAC5	240,000.00	JPM_CHA		99.28	238,261.08	(1,689.82)	(1,696.05)	2.17	0.81
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	225,000.00	BARCLAY		99.45	223,752.78	(1,223.55)	(1,226.31)	1.77	0.52
KCOT 2021-2A A3 DTD 07/28/2021 0.560% 11/17/2025	50117XAE2	400,000.00	MITSU		98.79	395,151.25	(4,833.67)	(4,834.59)	2.54	0.86
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	245,000.00	MERRILL		99.71	244,299.28	(647.92)	(653.73)	1.46	0.59
CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	490,000.00	RBC		99.34	486,747.09	(3,172.31)	(3,176.65)	2.34	0.70
DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	305,000.00	CITIGRP		98.92	301,710.94	(3,223.76)	(3,225.02)	2.85	0.81
COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	310,000.00	JPM_CHA		99.76	309,262.56	(731.58)	(731.60)	2.48	0.82
GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	190,000.00	JPM_CHA		99.54	189,119.48	(875.68)	(875.71)	2.45	0.78
WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	375,000.00	WELLS_F		99.84	374,391.83	(557.10)	(557.10)	2.42	0.84
Security Type Sub-Total		10,893,385.14				10,910,679.47	18,633.54	18,255.49	1.15	1.04



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Security Type/Description	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Managed Account Sub-Total		105,985,303.00				106,329,771.97	(226,740.17)	(68,568.66)	1.86	0.62
Securities Sub-Total		\$105,985,303.00				\$106,329,771.97	(\$226,740.17)	(\$68,568.66)	1.86	0.62%
Accrued Interest						\$184,122.68				
Total Investments						\$106,513,894.65				

Bolded items are forward settling trades.



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
BUY											
	10/01/21	10/06/21	US TREASURY NOTES DTD 09/30/2021 0.250% 09/30/2023	91282CDA6	3,250,000.00	(3,248,222.66)	(133.93)	(3,248,356.59)			
	10/01/21	10/06/21	US TREASURY N/B NOTES DTD 09/15/2021 0.375% 09/15/2024	91282CCX7	2,050,000.00	(2,041,671.88)	(445.96)	(2,042,117.84)			
	10/13/21	10/21/21	GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	190,000.00	(189,995.16)	0.00	(189,995.16)			
	10/19/21	10/27/21	COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	310,000.00	(309,994.14)	0.00	(309,994.14)			
	10/26/21	11/03/21	WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	375,000.00	(374,948.93)	0.00	(374,948.93)			

Transaction Type Sub-Total					6,175,000.00	(6,164,832.77)	(579.89)	(6,165,412.66)			
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INTEREST											
	10/01/21	10/01/21	BURLINGTN NORTH SANTA FE CORP NOTES (CAL DTD 03/09/2015 3.000% 04/01/2025	12189LAV3	250,000.00	0.00	3,750.00	3,750.00			
	10/01/21	10/01/21	MONEY MARKET FUND	MONEY0002	0.00	0.00	1.02	1.02			
	10/01/21	10/25/21	FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGO4	516,286.99	0.00	1,362.14	1,362.14			
	10/01/21	10/25/21	FHLMC MULTIFAMILY STRUCTURED P DTD 12/01/2012 2.355% 07/01/2022	3137AVXN2	468,221.58	0.00	918.88	918.88			
	10/01/21	10/25/21	FHLMC MULTIFAMILY STRUCTURED P DTD 11/01/2015 2.716% 06/01/2022	3137BLUR7	455,577.33	0.00	1,031.12	1,031.12			
	10/01/21	10/25/21	FHMS KJ27 A1 DTD 11/01/2019 2.092% 07/01/2024	3137FO3V3	148,531.52	0.00	2,367.25	2,367.25			
	10/01/21	10/25/21	FHLMC MULTIFAMILY STRUCTURED P DTD 05/01/2013 2.510% 11/01/2022	3137B1BS0	500,000.00	0.00	1,045.83	1,045.83			
	10/01/21	10/25/21	FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	37,620.31	0.00	100.41	100.41			
	10/05/21	10/05/21	MORGAN STANLEY CORP NOTES (CALLABLE) DTD 04/22/2021 0.731% 04/05/2024	61772BAA1	200,000.00	0.00	661.96	661.96			



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
INTEREST										
10/15/21	10/15/21	KCOT 2021-2A A3 DTD 07/28/2021 0.560% 11/17/2025	50117XAE2	400,000.00	0.00	186.67	186.67			
10/15/21	10/15/21	HART 2020-B A3 DTD 07/22/2020 0.480% 12/16/2024	44933FAC0	345,000.00	0.00	138.00	138.00			
10/15/21	10/15/21	HYUNDAI AUTO RECEIVABLES TRUST DTD 04/10/2019 2.660% 06/15/2023	44932NAD2	149,960.94	0.00	332.41	332.41			
10/15/21	10/15/21	DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	305,000.00	0.00	88.45	88.45			
10/15/21	10/15/21	HDMOT 2020-A A3 DTD 01/29/2020 1.870% 10/15/2024	41284UAD6	187,486.15	0.00	292.17	292.17			
10/15/21	10/15/21	CARMAX AUTO OWNER TRUST DTD 10/24/2018 3.360% 09/15/2023	14315EAC4	137,100.48	0.00	383.88	383.88			
10/15/21	10/15/21	KCOT 2021-1A A3 DTD 04/14/2021 0.620% 08/15/2025	50117TAC5	240,000.00	0.00	124.00	124.00			
10/15/21	10/15/21	CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	490,000.00	0.00	224.58	224.58			
10/15/21	10/15/21	TAOT 2020-C A3 DTD 07/27/2020 0.440% 10/15/2024	89237VAB5	350,000.00	0.00	128.33	128.33			
10/15/21	10/15/21	HDMOT 2019-A A3 DTD 06/26/2019 2.340% 02/15/2024	41284WAC4	209,421.47	0.00	408.37	408.37			
10/15/21	10/15/21	CARMX 2019-2 A3 DTD 04/17/2019 2.680% 03/15/2024	14316LAC7	195,890.94	0.00	437.49	437.49			
10/15/21	10/15/21	COMCAST CORP (CALLABLE) CORPORATE NOTES DTD 10/05/2018 3.700% 04/15/2024	20030NCR0	350,000.00	0.00	6,475.00	6,475.00			
10/15/21	10/15/21	EXXON MOBIL CORPORATION CORPORATE NOTES DTD 04/15/2020 1.571% 04/15/2023	30231GBL5	250,000.00	0.00	1,963.75	1,963.75			
10/15/21	10/15/21	US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	5,675,000.00	0.00	39,015.63	39,015.63			
10/15/21	10/15/21	NAROT 2020-B A3 DTD 06/30/2020 0.550% 07/15/2024	65479CAD0	410,000.00	0.00	187.92	187.92			
10/15/21	10/15/21	FIFTH THIRD AUTO TRUST DTD 05/08/2019 2.640% 12/15/2023	31680YAD9	149,463.84	0.00	328.82	328.82			



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
INTEREST										
10/15/21	10/15/21	CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314QAC8	245,000.00	0.00	106.17	106.17			
10/15/21	10/15/21	TAOT 2019-A A3 DTD 02/13/2019 2.910% 07/15/2023	89239AAD5	166,373.30	0.00	403.46	403.46			
10/15/21	10/15/21	CARMAX AUTO OWNER TRUST DTD 07/25/2018 3.130% 06/15/2023	14313FAD1	97,776.28	0.00	255.03	255.03			
10/15/21	10/15/21	COPAR 2019-1 A3 DTD 05/30/2019 2.510% 11/15/2023	14042WAC4	131,538.79	0.00	275.14	275.14			
10/15/21	10/15/21	HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	225,000.00	0.00	71.25	71.25			
10/15/21	10/15/21	CARMX 2020-1 A3 DTD 01/22/2020 1.890% 12/16/2024	14315XAC2	285,000.00	0.00	448.88	448.88			
10/15/21	10/15/21	WOART 2020-B A3 DTD 06/24/2020 0.630% 05/15/2025	98163WAC0	320,000.00	0.00	168.00	168.00			
10/15/21	10/15/21	ALLYA 2019-1 A3 DTD 02/13/2019 2.910% 09/15/2023	02004WAC5	85,098.89	0.00	206.36	206.36			
10/15/21	10/15/21	NAROT 2019-C A3 DTD 10/23/2019 1.930% 07/15/2024	65479JAD5	406,862.84	0.00	654.37	654.37			
10/15/21	10/15/21	DCENT 2019-A3 A DTD 10/31/2019 1.890% 10/15/2024	254683CM5	385,000.00	0.00	606.38	606.38			
10/15/21	10/15/21	HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	178,236.88	0.00	264.38	264.38			
10/15/21	10/15/21	MBALT 2020-B A3 DTD 09/23/2020 0.400% 11/15/2023	58769EAC2	140,000.00	0.00	46.67	46.67			
10/16/21	10/16/21	GMCAR 2020-3 A3 DTD 08/19/2020 0.450% 04/16/2025	362590AC5	360,000.00	0.00	135.00	135.00			
10/16/21	10/16/21	GMCAR 2018-3 A3 DTD 07/18/2018 3.020% 05/16/2023	36255JAD6	71,485.17	0.00	179.90	179.90			
10/16/21	10/16/21	GMCAR 2019-1 A3 DTD 01/16/2019 2.970% 11/16/2023	36256XAD4	146,170.01	0.00	361.77	361.77			
10/18/21	10/18/21	HAROT 2019-1 A3 DTD 02/27/2019 2.830% 03/20/2023	43814WAC9	55,548.15	0.00	131.00	131.00			
10/18/21	10/18/21	HAROT 2020-3 A3 DTD 09/29/2020 0.370% 10/18/2024	43813KAC6	345,000.00	0.00	106.38	106.38			



Managed Account Security Transactions & Interest

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SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
INTEREST										
10/20/21	10/20/21	GMALT 2020-3 A3 DTD 09/29/2020 0.450% 08/21/2023	362569AC9	265,000.00	0.00	99.38	99.38			
10/20/21	10/20/21	VZOT 2020-A A1A DTD 01/29/2020 1.850% 07/22/2024	92348TAA2	250,000.00	0.00	385.42	385.42			
10/20/21	10/20/21	VZOT 2019-C A1A DTD 10/08/2019 1.940% 04/22/2024	92348AAA3	650,000.00	0.00	1,050.83	1,050.83			
10/20/21	10/20/21	VZOT 2020-B A DTD 08/12/2020 0.470% 02/20/2025	92290BAA9	550,000.00	0.00	215.42	215.42			
10/20/21	10/20/21	VWALT 2019-A A3 DTD 10/04/2019 1.990% 11/21/2022	92867XAD8	166,466.98	0.00	276.06	276.06			
10/20/21	10/20/21	INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	750,000.00	0.00	472.50	472.50			
10/20/21	10/20/21	VALET 2018-2 A3 DTD 11/21/2018 3.250% 04/20/2023	92869BAD4	134,650.88	0.00	364.68	364.68			
10/20/21	10/20/21	TLOT 2021-A A3 DTD 04/21/2021 0.390% 04/22/2024	89238EAC0	285,000.00	0.00	92.63	92.63			
10/20/21	10/20/21	GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	400,000.00	0.00	113.33	113.33			
10/20/21	10/20/21	FREDDIE MAC NOTES DTD 04/20/2020 0.375% 04/20/2023	3137EAE08	2,600,000.00	0.00	4,875.00	4,875.00			
10/24/21	10/24/21	BANK OF NY MELLON (CALLABLE) CORP NOTES DTD 04/24/2020 1.600% 04/24/2025	06406RAN7	260,000.00	0.00	2,080.00	2,080.00			
10/24/21	10/24/21	MORGAN STANLEY CORP NOTES DTD 04/24/2018 3.737% 04/24/2024	61744YAO1	350,000.00	0.00	6,539.75	6,539.75			
10/24/21	10/24/21	BANK OF AMERICA CORP (CALLABLE) CORPORAT DTD 10/21/2020 0.810% 10/24/2024	06051GJH3	525,000.00	0.00	2,126.25	2,126.25			
10/25/21	10/25/21	BMWOT 2020-A A3 DTD 07/15/2020 0.480% 10/25/2024	09661RAD3	230,000.00	0.00	92.00	92.00			
10/25/21	10/25/21	BMWLT 2021-1 A3 DTD 03/10/2021 0.290% 01/25/2024	05591RAC8	195,000.00	0.00	47.13	47.13			
10/31/21	10/31/21	US TREASURY NOTES DTD 10/31/2019 1.500% 10/31/2024	912828YM6	3,400,000.00	0.00	25,500.00	25,500.00			



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SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
INTEREST											
	10/31/21	10/31/21	US TREASURY NOTES DTD 05/01/2017 2.000% 04/30/2024	912828X70	1,350,000.00	0.00	13,500.00	13,500.00			
Transaction Type Sub-Total					28,425,769.72	0.00	124,204.60	124,204.60			
PAYDOWNS											
	10/01/21	10/25/21	FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	24,099.92	24,099.92	0.00	24,099.92	0.08	0.00	
	10/01/21	10/25/21	FHLMC MULTIFAMILY STRUCTURED P DTD 11/01/2015 2.716% 06/01/2022	3137BLUR7	859.36	859.36	0.00	859.36	1.07	0.00	
	10/01/21	10/25/21	FHMS KJ27 A1 DTD 11/01/2019 2.092% 07/01/2024	3137FO3V3	81,325.20	81,325.20	0.00	81,325.20	1.94	0.00	
	10/01/21	10/25/21	FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGQ4	40,624.99	40,624.99	0.00	40,624.99	(253.13)	0.00	
	10/01/21	10/25/21	FNA 2013-M7 A2 DTD 05/01/2013 2.280% 12/01/2022	3136AEGQ4	51,082.91	51,082.91	0.00	51,082.91	(669.23)	0.00	
	10/01/21	10/25/21	FHLMC MULTIFAMILY STRUCTURED P DTD 12/01/2012 2.355% 07/01/2022	3137AVXN2	1,327.49	1,327.49	0.00	1,327.49	(4.87)	0.00	
	10/15/21	10/15/21	HYUNDAI AUTO RECEIVABLES TRUST DTD 04/10/2019 2.660% 06/15/2023	44932NAD2	20,245.06	20,245.06	0.00	20,245.06	2.66	0.00	
	10/15/21	10/15/21	CARMAX AUTO OWNER TRUST DTD 07/25/2018 3.130% 06/15/2023	14313FAD1	18,328.26	18,328.26	0.00	18,328.26	2.50	0.00	
	10/15/21	10/15/21	CARMAX AUTO OWNER TRUST DTD 10/24/2018 3.360% 09/15/2023	14315EAC4	16,374.58	16,374.58	0.00	16,374.58	0.15	0.00	
	10/15/21	10/15/21	COPAR 2019-1 A3 DTD 05/30/2019 2.510% 11/15/2023	14042WAC4	13,873.16	13,873.16	0.00	13,873.16	2.81	0.00	
	10/15/21	10/15/21	HDMOT 2019-A A3 DTD 06/26/2019 2.340% 02/15/2024	41284WAC4	27,701.70	27,701.70	0.00	27,701.70	2.14	0.00	
	10/15/21	10/15/21	ALLYA 2019-1 A3 DTD 02/13/2019 2.910% 09/15/2023	02004WAC5	10,595.16	10,595.16	0.00	10,595.16	1.28	0.00	
	10/15/21	10/15/21	HDMOT 2020-A A3 DTD 01/29/2020 1.870% 10/15/2024	41284UAD6	15,256.99	15,256.99	0.00	15,256.99	3.33	0.00	
	10/15/21	10/15/21	HAROT 2019-3 A3 DTD 08/27/2019 1.780% 08/15/2023	43815NAC8	16,352.82	16,352.82	0.00	16,352.82	0.14	0.00	



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
PAYDOWNS											
	10/15/21	10/15/21	TAOT 2019-A A3 DTD 02/13/2019 2.910% 07/15/2023	89239AAD5	17,750.92	17,750.92	0.00	17,750.92	3.23	0.00	
	10/15/21	10/15/21	CARMX 2019-2 A3 DTD 04/17/2019 2.680% 03/15/2024	14316LAC7	16,402.64	16,402.64	0.00	16,402.64	1.68	0.00	
	10/15/21	10/15/21	FIFTH THIRD AUTO TRUST DTD 05/08/2019 2.640% 12/15/2023	31680YAD9	17,262.86	17,262.86	0.00	17,262.86	3.79	0.00	
	10/15/21	10/15/21	NAROT 2019-C A3 DTD 10/23/2019 1.930% 07/15/2024	65479JAD5	30,730.14	30,730.14	0.00	30,730.14	1.62	0.00	
	10/16/21	10/16/21	GMCAR 2018-3 A3 DTD 07/18/2018 3.020% 05/16/2023	36255JAD6	14,484.05	14,484.05	0.00	14,484.05	3.38	0.00	
	10/16/21	10/16/21	GMCAR 2019-1 A3 DTD 01/16/2019 2.970% 11/16/2023	36256XAD4	19,327.49	19,327.49	0.00	19,327.49	2.14	0.00	
	10/18/21	10/18/21	HAROT 2019-1 A3 DTD 02/27/2019 2.830% 03/20/2023	43814WAC9	7,365.25	7,365.25	0.00	7,365.25	0.20	0.00	
	10/20/21	10/20/21	VALET 2018-2 A3 DTD 11/21/2018 3.250% 04/20/2023	92869BAD4	27,744.48	27,744.48	0.00	27,744.48	1.17	0.00	
	10/20/21	10/20/21	VWALT 2019-A A3 DTD 10/04/2019 1.990% 11/21/2022	92867XAD8	31,351.29	31,351.29	0.00	31,351.29	0.50	0.00	
Transaction Type Sub-Total					520,466.72	520,466.72	0.00	520,466.72	(891.42)	0.00	
SELL											
	10/01/21	10/06/21	US TREASURY NOTES DTD 08/31/2017 1.625% 08/31/2022	9128282S8	1,475,000.00	1,495,338.87	2,383.63	1,497,722.50	23,277.35	21,200.51	FIFO
	10/01/21	10/06/21	US TREASURY NOTES DTD 07/31/2017 1.875% 07/31/2022	9128282P4	900,000.00	913,148.44	3,072.35	916,220.79	10,089.85	12,337.53	FIFO
	10/01/21	10/06/21	US TREASURY NOTES DTD 08/31/2017 1.625% 08/31/2022	9128282S8	2,000,000.00	2,027,578.12	3,232.05	2,030,810.17	11,171.87	22,495.58	FIFO
	10/04/21	10/06/21	CLOROX COMPANY CORP NOTE (CALLABLE) DTD 09/13/2012 3.050% 09/15/2022	189054AT6	400,000.00	407,756.00	711.67	408,467.67	(10,532.00)	1,731.72	FIFO
	10/04/21	10/06/21	BRISTOL-MYERS SQUIBB CO (CALLABLE) CORP DTD 11/13/2020 0.537% 11/13/2023	110122DT2	470,000.00	470,094.00	1,002.55	471,096.55	94.00	94.00	FIFO



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SAN JOAQUIN COUNTY TRANS AUTHORITY - 76990300

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
SELL										
10/26/21	10/27/21	US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	75,000.00	75,916.99	34.00	75,950.99	1,195.31	1,008.30	FIFO
10/28/21	11/03/21	US TREASURY NOTES DTD 10/15/2019 1.375% 10/15/2022	912828YK0	150,000.00	151,781.25	107.66	151,888.91	2,337.89	1,960.24	FIFO
Transaction Type Sub-Total				5,470,000.00	5,541,613.67	10,543.91	5,552,157.58	37,634.27	60,827.88	
Managed Account Sub-Total					(102,752.38)	134,168.62	31,416.24	36,742.85	60,827.88	
Total Security Transactions					(\$102,752.38)	\$134,168.62	\$31,416.24	\$36,742.85	\$60,827.88	

Bolded items are forward settling trades.



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

SJCOG, INC.

For the Month Ending
October 31, 2021

Client Management Team

Lauren Brant

Managing Director
44 Montgomery Street, 3rd Floor
San Francisco, CA 94104
415-982-5544
brantl@pfmam.com

Contents

Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

6033-001 SJCOG Inc Operations and Enhancements

Important Messages

CAMP will be closed on 11/11/2021 for Veterans Day.
CAMP will be closed on 11/25/2021 for Thanksgiving.

SJCOG, INC.
MR. STEVE DIAL
555 E. WEBER AVE
STOCKTON, CA 95202

Online Access www.camponline.com

Customer Service 1-800-729-7665



Account Statement

For the Month Ending **October 31, 2021**

Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by Refinitiv or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for a registered investment company, local government investment program, or TERM funds is contained in the appropriate fund offering documentation or information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Account Statement - Transaction Summary

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001

CAMP Pool

Opening Market Value	1,880,866.29
Purchases	1,716,531.81
Redemptions	(2,641,266.95)
Unsettled Trades	0.00
Change in Value	0.00

Closing Market Value **\$956,131.15**

Cash Dividends and Income 114.06

CAMP Managed Account

Opening Market Value	17,094,477.24
Purchases	2,638,083.63
Redemptions	(1,710,000.00)
Unsettled Trades	69,990.47
Change in Value	(68,607.77)

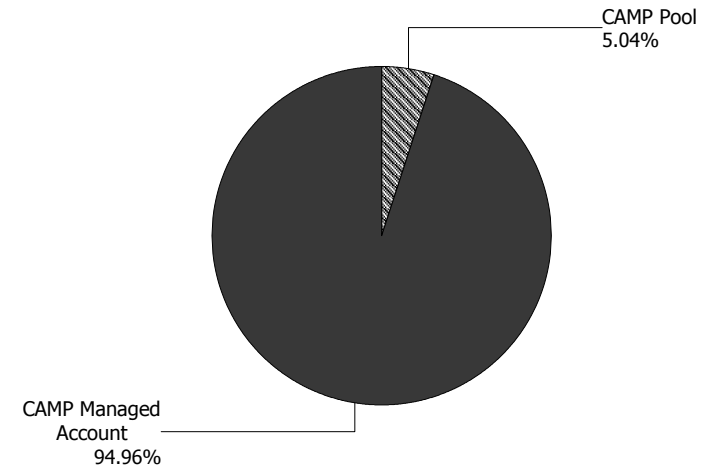
Closing Market Value **\$18,023,943.57**

Cash Dividends and Income 5,606.93

Asset Summary

	October 31, 2021	September 30, 2021
CAMP Pool	956,131.15	1,880,866.29
CAMP Managed Account	18,023,943.57	17,094,477.24
Total	\$18,980,074.72	\$18,975,343.53

Asset Allocation





Managed Account Summary Statement

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Transaction Summary - Money Market		Transaction Summary - Managed Account		Account Total	
Opening Market Value	\$1,880,866.29	Opening Market Value	\$17,094,477.24	Opening Market Value	\$18,975,343.53
Purchases	1,716,531.81	Maturities/Calls	(1,710,000.00)		
Redemptions	(2,641,266.95)	Principal Dispositions	0.00		
		Principal Acquisitions	2,638,083.63		
		Unsettled Trades	69,990.47		
		Change in Current Value	(68,607.77)		
Closing Market Value	\$956,131.15	Closing Market Value	\$18,023,943.57	Closing Market Value	\$18,980,074.72
Dividend	114.06				

Earnings Reconciliation (Cash Basis) - Managed Account		Cash Balance	
Interest/Dividends/Coupons Received	6,417.75	Closing Cash Balance	\$0.00
Less Purchased Interest Related to Interest/Coupons	(1,816.87)		
Plus Net Realized Gains/Losses	1,006.05		
Total Cash Basis Earnings	\$5,606.93		

Earnings Reconciliation (Accrual Basis)		Managed Account	Total	Cash Transactions Summary- Managed Account	
Ending Amortized Value of Securities	18,092,137.00	19,048,268.15		Maturities/Calls	1,710,513.00
Ending Accrued Interest	51,029.33	51,029.33		Sale Proceeds	0.00
Plus Proceeds from Sales	0.00	2,641,266.95		Coupon/Interest/Dividend Income	5,904.75
Plus Proceeds of Maturities/Calls/Principal Payments	1,710,513.00	1,710,513.00		Principal Payments	0.00
Plus Coupons/Dividends Received	5,904.75	5,904.75		Security Purchases	(2,639,900.50)
Less Cost of New Purchases	(2,709,890.97)	(4,426,422.78)		Net Cash Contribution	923,482.75
Less Beginning Amortized Value of Securities	(17,105,604.88)	(18,986,471.17)		Reconciling Transactions	0.00
Less Beginning Accrued Interest	(39,449.35)	(39,449.35)			
Dividends	0.00	114.06			
Total Accrual Basis Earnings	\$4,638.88	\$4,752.94			

Exhibit E



Portfolio Summary and Statistics

For the Month Ending **October 31, 2021**

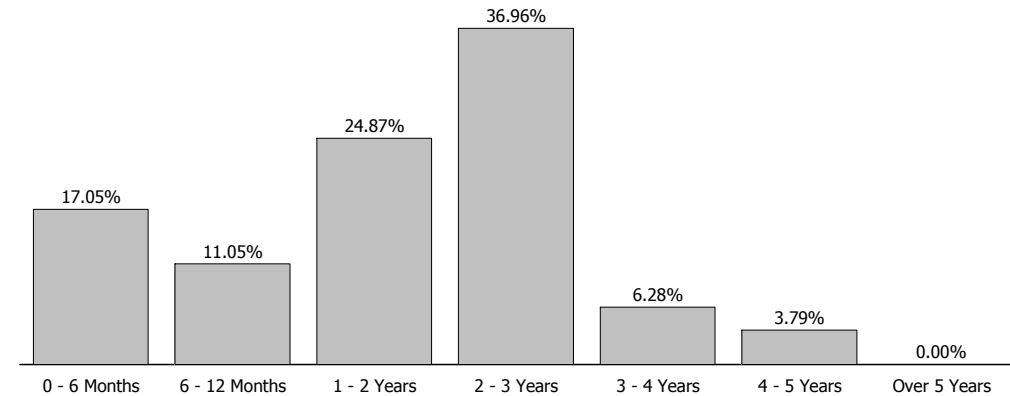
SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Account Summary

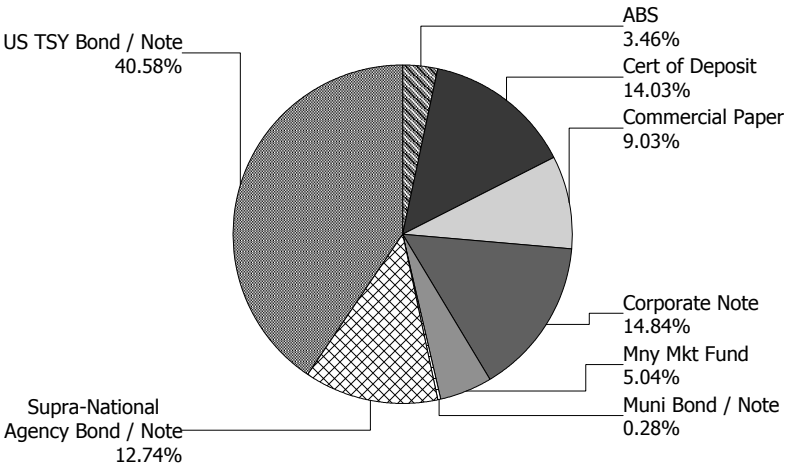
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	7,580,000.00	7,701,052.43	40.58
Supra-National Agency Bond / Note	2,390,000.00	2,418,080.27	12.74
Municipal Bond / Note	55,000.00	53,809.25	0.28
Corporate Note	2,740,000.00	2,817,181.70	14.84
Commercial Paper	1,715,000.00	1,713,813.86	9.03
Certificate of Deposit	2,665,000.00	2,663,425.15	14.03
Asset-Backed Security	660,000.00	656,580.91	3.46
Managed Account Sub-Total	17,805,000.00	18,023,943.57	94.96%
Accrued Interest		51,029.33	
Total Portfolio	17,805,000.00	18,074,972.90	
CAMP Pool	956,131.15	956,131.15	5.04
Total Investments	18,761,131.15	19,031,104.05	100.00%

Unsettled Trades **70,000.00** **69,886.47**

Maturity Distribution



Sector Allocation



Characteristics

Yield to Maturity at Cost	0.38%
Yield to Maturity at Market	0.55%
Weighted Average Days to Maturity	704



Managed Account Issuer Summary

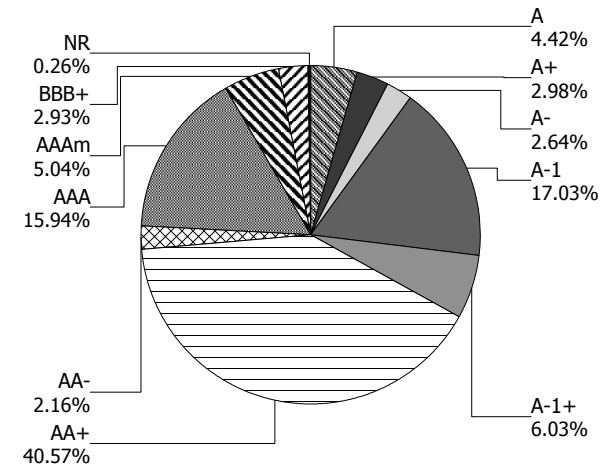
For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Issuer Summary

Issuer	Market Value of Holdings	Percent
AMERICAN HONDA FINANCE	129,137.32	0.68
BANK OF AMERICA CO	139,385.48	0.73
BANK OF MONTREAL	574,151.54	3.03
BANK OF NOVA SCOTIA	569,948.31	3.00
BMW FINANCIAL SERVICES NA LLC	198,747.99	1.05
CALIFORNIA STATE UNIVERSITY	53,809.25	0.28
CAMP Pool	956,131.15	5.04
CAPITAL ONE FINANCIAL CORP	54,869.16	0.29
CARMAX AUTO OWNER TRUST	184,017.46	0.97
CATERPILLAR INC	138,153.73	0.73
CHARLES SCHWAB	139,684.86	0.74
CITIGROUP INC	139,147.71	0.73
COLLAT COMM PAPER V CO	569,954.97	3.00
CROWN POINT CAPITAL CO	573,954.08	3.02
DEERE & COMPANY	135,462.47	0.71
DISCOVER FINANCIAL SERVICES	108,813.78	0.57
EXXON MOBIL CORP	136,526.78	0.72
GM FINANCIAL CONSUMER AUTOMOBILE TRUST	49,768.29	0.26
GM FINANCIAL LEASINGTRUST	99,653.94	0.53
GOLDMAN SACHS GROUP INC	138,837.53	0.73
HYUNDAI AUTO RECEIVABLES	39,778.27	0.21
INTER-AMERICAN DEVELOPMENT BANK	1,476,505.89	7.79
INTL BANK OF RECONSTRUCTION AND DEV	941,574.38	4.96
JP MORGAN CHASE & CO	232,503.06	1.22
MITSUBISHI UFJ FINANCIAL GROUP INC	374,741.98	1.97
MORGAN STANLEY	139,634.74	0.74
NATIXIS NY BRANCH	569,904.81	3.00
NESTLE SA	218,894.72	1.15
PACCAR FINANCIAL CORP	138,563.88	0.73
PNC FINANCIAL SERVICES GROUP	138,995.87	0.73
STATE STREET CORPORATION	138,260.33	0.73
SVENSKA HANDELSBANKEN AB	569,986.61	3.00

Credit Quality (S&P Ratings)





Managed Account Issuer Summary

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Issuer	Market Value of Holdings	Percent
TEXAS INSTRUMENTS INC	148,804.80	0.78
THE BANK OF NEW YORK MELLON CORPORATION	138,581.69	0.73
TOYOTA MOTOR CORP	139,866.58	0.74
UNITED STATES TREASURY	7,701,052.43	40.58
UNITEDHEALTH GROUP INC	137,785.70	0.73
WESTPAC BANKING CORP	574,596.71	3.03
WORLD OMNI AUTO REC TRUST	69,886.47	0.37
Total	\$18,980,074.72	100.00%



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 06/30/2016 1.375% 06/30/2023	912828S35	750,000.00	AA+	Aaa	04/09/21	04/09/21	769,716.80	0.19	3,474.86	764,714.75	762,187.50
US TREASURY NOTES DTD 09/15/2020 0.125% 09/15/2023	91282CAK7	750,000.00	AA+	Aaa	04/09/21	04/09/21	748,154.30	0.23	121.72	748,581.99	745,312.50
US TREASURY NOTES DTD 10/01/2018 2.875% 09/30/2023	9128285D8	680,000.00	AA+	Aaa	04/12/21	04/14/21	723,854.69	0.25	1,718.68	714,049.58	710,812.50
US TREASURY NOTES DTD 01/03/2017 2.250% 12/31/2023	912828V23	1,565,000.00	AA+	Aaa	04/12/21	04/14/21	1,647,284.77	0.30	11,865.08	1,630,595.33	1,621,486.80
US TREASURY NOTES DTD 03/15/2021 0.250% 03/15/2024	91282CBR1	825,000.00	AA+	Aaa	04/09/21	04/09/21	823,066.41	0.33	267.78	823,438.32	817,781.25
US TREASURY NOTES DTD 06/30/2017 2.000% 06/30/2024	912828XX3	1,010,000.00	AA+	Aaa	04/09/21	04/09/21	1,061,367.97	0.41	6,806.52	1,052,385.12	1,045,034.38
US TREASURY N/B NOTES DTD 09/15/2021 0.375% 09/15/2024	91282CCX7	1,000,000.00	AA+	Aaa	09/23/21	09/24/21	996,015.63	0.51	486.88	996,154.92	990,000.00
US TREASURY NOTES DTD 02/29/2020 1.125% 02/28/2025	912828ZC7	1,000,000.00	AA+	Aaa	10/28/21	10/28/21	1,009,218.75	0.84	1,926.80	1,009,188.50	1,008,437.50
Security Type Sub-Total		7,580,000.00					7,778,679.32	0.39	26,668.32	7,739,108.51	7,701,052.43
Supra-National Agency Bond / Note											
INTER-AMERICAN DEVELOPMENT BANK NOTE DTD 01/18/2018 2.500% 01/18/2023	4581X0DA3	1,250,000.00	AAA	Aaa	04/09/21	04/13/21	1,301,025.00	0.18	8,940.97	1,285,045.08	1,282,862.50
INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	945,000.00	AAA	Aaa	04/13/21	04/20/21	943,043.85	0.23	36.38	943,566.38	941,574.38
INTER-AMERICAN DEVEL BK NOTES DTD 09/23/2021 0.500% 09/23/2024	4581X0DZ8	195,000.00	AAA	Aaa	09/15/21	09/23/21	194,855.70	0.52	102.92	194,860.83	193,643.39
Security Type Sub-Total		2,390,000.00					2,438,924.55	0.23	9,080.27	2,423,472.29	2,418,080.27



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Municipal Bond / Note											
CA ST UNIV TXBL REV BONDS DTD 07/29/2021 0.862% 11/01/2025	13077DQD7	55,000.00	AA-	Aa2	07/09/21	07/29/21	55,000.00	0.86	121.16	55,000.00	53,809.25
Security Type Sub-Total		55,000.00					55,000.00	0.86	121.16	55,000.00	53,809.25
Corporate Note											
TOYOTA MOTOR CREDIT CORP CORPORATE NOTES DTD 08/14/2020 0.500% 08/14/2023	89236THF5	140,000.00	A+	A1	04/08/21	04/12/21	140,233.80	0.43	149.72	140,178.22	139,866.58
BANK OF NY MELLON (CALLABLE) NOTES DTD 08/16/2016 2.200% 08/16/2023	06406FAD5	135,000.00	A	A1	04/12/21	04/14/21	140,454.00	0.46	618.75	139,071.59	138,581.69
STATE STREET BANK & TR CORP NOTES DTD 11/19/2013 3.700% 11/20/2023	857477AM5	130,000.00	A	A1	04/12/21	04/14/21	141,105.90	0.39	2,151.14	138,756.13	138,260.33
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 01/25/2021 0.529% 01/25/2024	6174468W2	140,000.00	BBB+	A1	04/09/21	04/13/21	140,002.80	0.53	197.49	140,001.93	139,634.74
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 02/02/2021 0.350% 02/02/2024	69371RR24	140,000.00	A+	A1	04/09/21	04/13/21	139,746.60	0.42	121.14	139,796.54	138,563.88
UNITEDHEALTH GROUP INC CORP NOTES DTD 12/17/2018 3.500% 02/15/2024	91324PDM1	130,000.00	A+	A3	04/09/21	04/13/21	140,921.30	0.52	960.56	138,795.96	137,785.70
GOLDMAN SACHS CORP NOTES DTD 03/03/2014 4.000% 03/03/2024	38141GVM3	130,000.00	BBB+	A2	04/09/21	04/13/21	142,113.40	0.73	837.78	139,794.06	138,837.53
JOHN DEERE CAPITAL CORP CORP NOTES DTD 06/07/2019 2.600% 03/07/2024	24422EUX5	130,000.00	A	A2	04/08/21	04/12/21	137,841.60	0.50	507.00	136,339.86	135,462.47
EXXON MOBIL CORPORATION (CALLABLE) CORP DTD 03/20/2014 3.176% 03/15/2024	30231GAC6	130,000.00	AA-	Aa2	04/09/21	04/13/21	139,258.60	0.71	527.57	137,342.37	136,526.78
CHARLES SCHWAB CORP NOTES (CALLABLE) DTD 03/18/2021 0.750% 03/18/2024	808513BN4	140,000.00	A	A2	04/09/21	04/13/21	140,833.00	0.55	125.42	140,671.36	139,684.86



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
BANK OF AMERICA CORP NOTES DTD 04/01/2014 4.000% 04/01/2024	06051GFF1	130,000.00	A-	A2	04/09/21	04/13/21	142,750.40	0.66	433.33	140,374.40	139,385.48
PNC FINANCIAL SERVICES CORP NOTE (CALLAB DTD 04/28/2014 3.900% 04/29/2024	693475AP0	130,000.00	BBB+	A3	04/09/21	04/13/21	142,347.40	0.74	28.17	140,040.12	138,995.87
JP MORGAN CHASE BANK CORP NOTE DTD 05/13/2014 3.625% 05/13/2024	46625HJX9	130,000.00	A-	A2	04/09/21	04/13/21	141,940.50	0.61	2,199.17	139,798.42	138,875.62
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 06/09/2014 3.300% 06/09/2024	14912L6C0	130,000.00	A	A2	04/08/21	04/12/21	141,336.00	0.51	1,692.17	139,341.89	138,153.73
CITIGROUP INC CORP NOTES DTD 06/16/2014 3.750% 06/16/2024	172967HT1	130,000.00	BBB+	A3	04/09/21	04/13/21	142,027.60	0.79	1,828.13	139,933.14	139,147.71
AMERICAN HONDA FINANCE CORPORATE NOTES DTD 09/09/2021 0.750% 08/09/2024	02665WDY4	130,000.00	A-	A3	09/07/21	09/09/21	129,914.20	0.77	140.83	129,918.47	129,137.32
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	45,000.00	A	A2	08/10/21	08/12/21	45,054.45	0.71	74.06	45,050.43	44,795.74
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	55,000.00	A	A2	08/09/21	08/12/21	54,995.05	0.75	90.52	54,995.42	54,750.36
NESTLE HOLDINGS INC CORP NOTES (CALLABLE DTD 09/14/2021 0.606% 09/14/2024	641062AU8	220,000.00	AA-	Aa3	09/07/21	09/14/21	220,000.00	0.61	174.06	220,000.00	218,894.72
JPMORGAN CHASE & CO CORPORATE NOTES DTD 08/10/2021 0.768% 08/09/2025	46647PCM6	95,000.00	A-	A2	08/03/21	08/10/21	95,000.00	0.77	164.16	95,000.00	93,627.44
BMW US CAPITAL LLC (CALLABLE) CORPORATE DTD 08/12/2021 1.250% 08/12/2026	05565EBW4	50,000.00	A	A2	08/12/21	08/16/21	49,976.00	1.26	137.15	49,977.01	49,408.35



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
TEXAS INSTRUMENTS INC CORP NOTES (CALLAB DTD 09/15/2021 1.125% 09/15/2026	882508BK9	150,000.00	A+	Aa3	09/15/21	09/17/21	150,717.00	1.03	215.63	150,699.01	148,804.80
Security Type Sub-Total		2,740,000.00					2,858,569.60	0.63	13,373.95	2,835,876.33	2,817,181.70
Commercial Paper											
COLLAT COMM PAPER V CO COMM PAPER DTD 04/12/2021 0.000% 11/09/2021	19424HY95	570,000.00	A-1	P-1	04/12/21	04/12/21	569,298.43	0.21	0.00	569,973.40	569,954.97
NATIXIS NY BRANCH COMM PAPER DTD 04/06/2021 0.000% 12/27/2021	63873JZT0	570,000.00	A-1	P-1	04/09/21	04/09/21	569,170.33	0.20	0.00	569,822.67	569,904.81
CROWN POINT CAPITAL CO COMM PAPER DTD 10/04/2021 0.000% 07/01/2022	2284K1G15	575,000.00	A-1	P-1	10/05/21	10/05/21	574,097.73	0.21	0.00	574,188.29	573,954.08
Security Type Sub-Total		1,715,000.00					1,712,566.49	0.21	0.00	1,713,984.36	1,713,813.86
Certificate of Deposit											
SVENSKA HANDELSBANKEN NY CERT DEPOS DTD 04/06/2021 0.235% 04/06/2022	86959RPP9	570,000.00	A-1+	P-1	04/09/21	04/09/21	570,028.57	0.23	777.65	570,012.31	569,986.61
BANK OF NOVA SCOTIA HOUS CERT DEPOS DTD 04/09/2021 0.220% 04/08/2022	06417MKN7	570,000.00	A-1	P-1	04/09/21	04/09/21	570,000.00	0.22	717.57	570,000.00	569,948.31
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 09/27/2021 0.210% 09/27/2022	06367CLU9	575,000.00	A-1	P-1	09/27/21	09/27/21	575,000.00	0.21	117.40	575,000.00	574,151.54
MUFG BANK LTD/NY CERT DEPOS DTD 10/20/2021 0.300% 10/19/2022	55380TYB0	375,000.00	A-1	P-1	10/19/21	10/20/21	375,000.00	0.30	37.50	375,000.00	374,741.98
WESTPAC BANKING CORP NY CERT DEPOS DTD 10/26/2021 0.300% 10/26/2022	96130ALA4	575,000.00	A-1+	P-1	10/28/21	10/29/21	574,769.46	0.34	28.75	574,771.37	574,596.71
Security Type Sub-Total		2,665,000.00					2,664,798.03	0.26	1,678.87	2,664,783.68	2,663,425.15
Asset-Backed Security											



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Asset-Backed Security											
GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	100,000.00	AAA	NR	05/18/21	05/26/21	99,984.30	0.35	10.39	99,986.59	99,653.94
BMWLT 2021-2 A3 DTD 09/15/2021 0.330% 12/26/2024	09690AAC7	50,000.00	NR	Aaa	09/08/21	09/15/21	49,994.84	0.33	2.75	49,995.04	49,793.54
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	40,000.00	AAA	NR	04/20/21	04/28/21	39,995.79	0.38	6.76	39,996.28	39,778.27
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314QAC8	65,000.00	AAA	NR	04/13/21	04/21/21	64,985.99	0.52	15.02	64,987.53	64,814.09
CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	120,000.00	AAA	Aaa	07/21/21	07/28/21	119,980.26	0.55	29.33	119,981.32	119,203.37
COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	55,000.00	AAA	Aaa	10/19/21	10/27/21	54,998.96	0.77	4.71	54,998.96	54,869.16
DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	110,000.00	AAA	Aaa	09/20/21	09/27/21	109,976.45	0.58	28.36	109,976.90	108,813.78
GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	50,000.00	AAA	Aaa	10/13/21	10/21/21	49,998.73	0.68	9.44	49,998.74	49,768.29
WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	70,000.00	AAA	NR	10/26/21	11/03/21	69,990.47	0.81	0.00	69,990.47	69,886.47
Security Type Sub-Total		660,000.00					659,905.79	0.55	106.76	659,911.83	656,580.91
Managed Account Sub-Total		17,805,000.00					18,168,443.78	0.38	51,029.33	18,092,137.00	18,023,943.57
Money Market Mutual Fund											
CAMP Pool		956,131.15	AAAm	NR			956,131.15		0.00	956,131.15	956,131.15
Liquid Sub-Total		956,131.15					956,131.15		0.00	956,131.15	956,131.15



Managed Account Detail of Securities Held

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Securities Sub-Total	\$18,761,131.15	\$19,124,574.93	0.38%	\$51,029.33	\$19,048,268.15	\$18,980,074.72
Accrued Interest						\$51,029.33
Total Investments						\$19,031,104.05

Bolded items are forward settling trades.



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
U.S. Treasury Bond / Note										
US TREASURY NOTES DTD 06/30/2016 1.375% 06/30/2023	912828S35	750,000.00	NOMURA		101.63	762,187.50	(7,529.30)	(2,527.25)	1.65	0.40
US TREASURY NOTES DTD 09/15/2020 0.125% 09/15/2023	91282CAK7	750,000.00	JPM_CHA		99.38	745,312.50	(2,841.80)	(3,269.49)	1.88	0.46
US TREASURY NOTES DTD 10/01/2018 2.875% 09/30/2023	9128285D8	680,000.00	JPM_CHA		104.53	710,812.50	(13,042.19)	(3,237.08)	1.88	0.49
US TREASURY NOTES DTD 01/03/2017 2.250% 12/31/2023	912828V23	1,565,000.00	JPM_CHA		103.61	1,621,486.80	(25,797.97)	(9,108.53)	2.12	0.57
US TREASURY NOTES DTD 03/15/2021 0.250% 03/15/2024	91282CBR1	825,000.00	CABRERA		99.13	817,781.25	(5,285.16)	(5,657.07)	2.37	0.62
US TREASURY NOTES DTD 06/30/2017 2.000% 06/30/2024	912828XX3	1,010,000.00	NOMURA		103.47	1,045,034.38	(16,333.59)	(7,350.74)	2.60	0.68
US TREASURY N/B NOTES DTD 09/15/2021 0.375% 09/15/2024	91282CCX7	1,000,000.00	CABRERA		99.00	990,000.00	(6,015.63)	(6,154.92)	2.86	0.73
US TREASURY NOTES DTD 02/29/2020 1.125% 02/28/2025	912828ZC7	1,000,000.00	JPM_CHA		100.84	1,008,437.50	(781.25)	(751.00)	3.28	0.87
Security Type Sub-Total		7,580,000.00				7,701,052.43	(77,626.89)	(38,056.08)	2.37	0.62
Supra-National Agency Bond / Note										
INTER-AMERICAN DEVELOPMENT BANK NOTE DTD 01/18/2018 2.500% 01/18/2023	4581X0DA3	1,250,000.00	TD		102.63	1,282,862.50	(18,162.50)	(2,182.58)	1.20	0.33
INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	945,000.00	TD		99.64	941,574.38	(1,469.47)	(1,992.00)	1.47	0.37
INTER-AMERICAN DEVEL BK NOTES DTD 09/23/2021 0.500% 09/23/2024	4581X0DZ8	195,000.00	JPM_CHA		99.30	193,643.39	(1,212.31)	(1,217.44)	2.88	0.74
Security Type Sub-Total		2,390,000.00				2,418,080.27	(20,844.28)	(5,392.02)	1.44	0.38
Municipal Bond / Note										
CA ST UNIV TXBL REV BONDS DTD 07/29/2021 0.862% 11/01/2025	13077DOD7	55,000.00	JPM_CHA		97.84	53,809.25	(1,190.75)	(1,190.75)	3.94	1.42
Security Type Sub-Total		55,000.00				53,809.25	(1,190.75)	(1,190.75)	3.94	1.42



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Corporate Note										
TOYOTA MOTOR CREDIT CORP CORPORATE NOTES DTD 08/14/2020 0.500% 08/14/2023	89236THF5	140,000.00	JPM_CHA		99.90	139,866.58	(367.22)	(311.64)	1.78	0.55
BANK OF NY MELLON (CALLABLE) NOTES DTD 08/16/2016 2.200% 08/16/2023	06406FAD5	135,000.00	MORGAN_	06/16/23	102.65	138,581.69	(1,872.31)	(489.90)	1.60	0.71
STATE STREET BANK & TR CORP NOTES DTD 11/19/2013 3.700% 11/20/2023	857477AM5	130,000.00	TD		106.35	138,260.33	(2,845.57)	(495.80)	1.97	0.59
MORGAN STANLEY CORP NOTES (CALLABLE) DTD 01/25/2021 0.529% 01/25/2024	6174468W2	140,000.00	JSEB	01/25/23	99.74	139,634.74	(368.06)	(367.19)	1.23	0.65
PACCAR FINANCIAL CORP CORPORATE NOTES DTD 02/02/2021 0.350% 02/02/2024	69371RR24	140,000.00	MKTX		98.97	138,563.88	(1,182.72)	(1,232.66)	2.25	0.81
UNITEDHEALTH GROUP INC CORP NOTES DTD 12/17/2018 3.500% 02/15/2024	91324PDM1	130,000.00	JSEB		105.99	137,785.70	(3,135.60)	(1,010.26)	2.21	0.85
GOLDMAN SACHS CORP NOTES DTD 03/03/2014 4.000% 03/03/2024	38141GVM3	130,000.00	MORGAN_		106.80	138,837.53	(3,275.87)	(956.53)	2.25	1.05
JOHN DEERE CAPITAL CORP CORP NOTES DTD 06/07/2019 2.600% 03/07/2024	24422EUX5	130,000.00	CITIGRP		104.20	135,462.47	(2,379.13)	(877.39)	2.29	0.79
EXXON MOBIL CORPORATION (CALLABLE) CORP DTD 03/20/2014 3.176% 03/15/2024	30231GAC6	130,000.00	MERRILL	12/15/23	105.02	136,526.78	(2,731.82)	(815.59)	2.07	1.03
CHARLES SCHWAB CORP NOTES (CALLABLE) DTD 03/18/2021 0.750% 03/18/2024	808513BN4	140,000.00	GOLDMAN	02/18/24	99.77	139,684.86	(1,148.14)	(986.50)	2.29	0.85
BANK OF AMERICA CORP NOTES DTD 04/01/2014 4.000% 04/01/2024	06051GFF1	130,000.00	MKTX		107.22	139,385.48	(3,364.92)	(988.92)	2.33	0.97
PNC FINANCIAL SERVICES CORP NOTE (CALLAB DTD 04/28/2014 3.900% 04/29/2024	693475AP0	130,000.00	MKTX	03/29/24	106.92	138,995.87	(3,351.53)	(1,044.25)	2.33	1.08
JP MORGAN CHASE BANK CORP NOTE DTD 05/13/2014 3.625% 05/13/2024	46625HJX9	130,000.00	CITIGRP		106.83	138,875.62	(3,064.88)	(922.80)	2.41	0.90



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Corporate Note										
CATERPILLAR FINL SERVICE CORPORATE NOTES DTD 06/09/2014 3.300% 06/09/2024	14912L6C0	130,000.00	MKTX		106.27	138,153.73	(3,182.27)	(1,188.16)	2.50	0.86
CITIGROUP INC CORP NOTES DTD 06/16/2014 3.750% 06/16/2024	172967HT1	130,000.00	JPM_CHA		107.04	139,147.71	(2,879.89)	(785.43)	2.50	1.03
AMERICAN HONDA FINANCE CORPORATE NOTES DTD 09/09/2021 0.750% 08/09/2024	02665WDY4	130,000.00	BNP_PAR		99.34	129,137.32	(776.88)	(781.15)	2.75	0.99
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	45,000.00	MKTX		99.55	44,795.74	(258.71)	(254.69)	2.76	0.92
BMW US CAPITAL LLC CORPORATE NOTES DTD 08/12/2021 0.750% 08/12/2024	05565EBU8	55,000.00	GOLDMAN		99.55	54,750.36	(244.69)	(245.06)	2.76	0.92
NESTLE HOLDINGS INC CORP NOTES (CALLABLE DTD 09/14/2021 0.606% 09/14/2024	641062AU8	220,000.00	JPM_CHA	09/14/23	99.50	218,894.72	(1,105.28)	(1,105.28)	1.87	0.78
JPMORGAN CHASE & CO CORPORATE NOTES DTD 08/10/2021 0.768% 08/09/2025	46647PCM6	95,000.00	JPM_CHA		98.56	93,627.44	(1,372.56)	(1,372.56)	3.72	1.16
BMW US CAPITAL LLC (CALLABLE) CORPORATE DTD 08/12/2021 1.250% 08/12/2026	05565EBW4	50,000.00	MKTX	07/12/26	98.82	49,408.35	(567.65)	(568.66)	4.57	1.51
TEXAS INSTRUMENTS INC CORP NOTES (CALLAB DTD 09/15/2021 1.125% 09/15/2026	882508BK9	150,000.00	MKTX	08/15/26	99.20	148,804.80	(1,912.20)	(1,894.21)	4.67	1.29
Security Type Sub-Total		2,740,000.00				2,817,181.70	(41,387.90)	(18,694.63)	2.39	0.90
Commercial Paper										
COLLAT COMM PAPER V CO COMM PAPER DTD 04/12/2021 0.000% 11/09/2021	19424HY95	570,000.00	RBC		99.99	569,954.97	656.54	(18.43)	0.03	0.32
NATIXIS NY BRANCH COMM PAPER DTD 04/06/2021 0.000% 12/27/2021	63873JZT0	570,000.00	NATIXIS		99.98	569,904.81	734.48	82.14	0.16	0.11
CROWN POINT CAPITAL CO COMM PAPER DTD 10/04/2021 0.000% 07/01/2022	2284K1G15	575,000.00	CSFB		99.82	573,954.08	(143.65)	(234.21)	0.68	0.27



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Security Type Sub-Total		1,715,000.00				1,713,813.86	1,247.37	(170.50)	0.29	0.23
Certificate of Deposit										
SVENSKA HANDELSBANKEN NY CERT DEPOS DTD 04/06/2021 0.235% 04/06/2022	86959RPP9	570,000.00	MERRILL		100.00	569,986.61	(41.96)	(25.70)	0.44	0.24
BANK OF NOVA SCOTIA HOUS CERT DEPOS DTD 04/09/2021 0.220% 04/08/2022	06417MKN7	570,000.00	MERRILL		99.99	569,948.31	(51.69)	(51.69)	0.45	0.24
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 09/27/2021 0.210% 09/27/2022	06367CLU9	575,000.00	BMO		99.85	574,151.54	(848.46)	(848.46)	0.93	0.37
MUFG BANK LTD/NY CERT DEPOS DTD 10/20/2021 0.300% 10/19/2022	55380TYB0	375,000.00	WELLS_F		99.93	374,741.98	(258.02)	(258.02)	0.99	0.37
WESTPAC BANKING CORP NY CERT DEPOS DTD 10/26/2021 0.300% 10/26/2022	96130ALA4	575,000.00	MERRILL		99.93	574,596.71	(172.75)	(174.66)	1.01	0.37
Security Type Sub-Total		2,665,000.00				2,663,425.15	(1,372.88)	(1,358.53)	0.75	0.31
Asset-Backed Security										
GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	100,000.00	SOCGEN		99.65	99,653.94	(330.36)	(332.65)	1.36	0.48
BMWLT 2021-2 A3 DTD 09/15/2021 0.330% 12/26/2024	09690AAC7	50,000.00	WELLS_F		99.59	49,793.54	(201.30)	(201.50)	1.67	0.46
HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	40,000.00	BARCLAY		99.45	39,778.27	(217.52)	(218.01)	1.77	0.52
CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	65,000.00	MERRILL		99.71	64,814.09	(171.90)	(173.44)	1.46	0.59
CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	120,000.00	RBC		99.34	119,203.37	(776.89)	(777.95)	2.34	0.70
COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	55,000.00	JPM_CHA		99.76	54,869.16	(129.80)	(129.80)	2.48	0.82
DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	110,000.00	CITIGRP		98.92	108,813.78	(1,162.67)	(1,163.12)	2.85	0.81
GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	50,000.00	JPM_CHA		99.54	49,768.29	(230.44)	(230.45)	2.45	0.78



Managed Account Fair Market Value & Analytics

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	YTM at Mkt
Asset-Backed Security										
WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	70,000.00	WELLS_F		99.84	69,886.47	(104.00)	(104.00)	2.42	0.84
Security Type Sub-Total		660,000.00				656,580.91	(3,324.88)	(3,330.92)	2.13	0.68
Managed Account Sub-Total		17,805,000.00				18,023,943.57	(144,500.21)	(68,193.43)	1.81	0.55
Money Market Mutual Fund										
CAMP Pool		956,131.15			1.00	956,131.15	0.00	0.00	0.00	
Liquid Sub-Total		956,131.15				956,131.15	0.00	0.00	0.00	
Securities Sub-Total		\$18,761,131.15				\$18,980,074.72	(\$144,500.21)	(\$68,193.43)	1.81	0.55%
Accrued Interest						\$51,029.33				
Total Investments						\$19,031,104.05				

Bolded items are forward settling trades.



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Transaction Type		Security Description	CUSIP	Par	Principal	Accrued	Total	Realized G/L	Realized G/L	Sale
Trade	Settle				Proceeds	Interest		Cost	Amort Cost	
BUY										
10/05/21	10/05/21	CROWN POINT CAPITAL CO COMM PAPER DTD 10/04/2021 0.000% 07/01/2022	2284K1G15	575,000.00	(574,097.73)	0.00	(574,097.73)			
10/13/21	10/21/21	GMCAR 2021-4 A3 DTD 10/21/2021 0.680% 09/16/2026	362554AC1	50,000.00	(49,998.73)	0.00	(49,998.73)			
10/19/21	10/20/21	MUFG BANK LTD/NY CERT DEPOS DTD 10/20/2021 0.300% 10/19/2022	55380TYB0	375,000.00	(375,000.00)	0.00	(375,000.00)			
10/19/21	10/27/21	COPAR 2021-1 A3 DTD 10/27/2021 0.770% 09/15/2026	14044CAC6	55,000.00	(54,998.96)	0.00	(54,998.96)			
10/26/21	11/03/21	WOART 2021-D A3 DTD 11/03/2021 0.810% 10/15/2026	98163KAC6	70,000.00	(69,990.47)	0.00	(69,990.47)			
10/28/21	10/28/21	US TREASURY NOTES DTD 02/29/2020 1.125% 02/28/2025	912828ZC7	1,000,000.00	(1,009,218.75)	(1,802.49)	(1,011,021.24)			
10/28/21	10/29/21	WESTPAC BANKING CORP NY CERT DEPOS DTD 10/26/2021 0.300% 10/26/2022	96130ALA4	575,000.00	(574,769.46)	(14.38)	(574,783.84)			
Transaction Type Sub-Total				2,700,000.00	(2,708,074.10)	(1,816.87)	(2,709,890.97)			
INTEREST										
10/01/21	10/01/21	BANK OF AMERICA CORP NOTES DTD 04/01/2014 4.000% 04/01/2024	06051GFF1	130,000.00	0.00	2,600.00	2,600.00			
10/15/21	10/15/21	HART 2021-A A3 DTD 04/28/2021 0.380% 09/15/2025	44933LAC7	40,000.00	0.00	12.67	12.67			
10/15/21	10/15/21	DCENT 2021-A1 A1 DTD 09/27/2021 0.580% 09/15/2026	254683CP8	110,000.00	0.00	31.90	31.90			
10/15/21	10/15/21	CARMX 2021-3 A3 DTD 07/28/2021 0.550% 06/15/2026	14317DAC4	120,000.00	0.00	55.00	55.00			
10/15/21	10/15/21	CARMX 2021-2 A3 DTD 04/21/2021 0.520% 02/17/2026	14314OAC8	65,000.00	0.00	28.17	28.17			
10/20/21	10/20/21	INTL BK OF RECON AND DEV NOTE DTD 04/20/2021 0.126% 04/20/2023	459058JV6	945,000.00	0.00	595.35	595.35			
10/20/21	10/20/21	GMALT 2021-2 A3 DTD 05/26/2021 0.340% 05/20/2024	380144AC9	100,000.00	0.00	28.33	28.33			



Managed Account Security Transactions & Interest

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001 - (12512211)

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L	Realized G/L	Sale Method
Trade	Settle							Cost	Amort Cost	
INTEREST										
10/25/21	10/25/21	BMWLT 2021-2 A3	09690AAC7	50,000.00	0.00	18.33	18.33			
		DTD 09/15/2021 0.330% 12/26/2024								
10/29/21	10/29/21	PNC FINANCIAL SERVICES CORP NOTE	693475AP0	130,000.00	0.00	2,535.00	2,535.00			
		(CALLAB								
		DTD 04/28/2014 3.900% 04/29/2024								
Transaction Type Sub-Total				1,690,000.00	0.00	5,904.75	5,904.75			
MATURITY										
10/01/21	10/01/21	ABN AMRO FUNDING USA LLC COMM	00084BX17	570,000.00	570,000.00	0.00	570,000.00	498.75	0.00	
		PAPER								
		DTD 01/05/2021 0.000% 10/01/2021								
10/04/21	10/04/21	MIZUHO BANK LTD/NY COMM PAPER	60689FX45	570,000.00	570,000.00	0.00	570,000.00	507.30	0.00	
		DTD 04/07/2021 0.000% 10/04/2021								
10/04/21	10/04/21	SKANDINAV ENSKILDA BK NY CERT	83050PTN9	570,000.00	570,000.00	513.00	570,513.00	0.00	0.00	
		DEPOS								
		DTD 04/07/2021 0.180% 10/04/2021								
Transaction Type Sub-Total				1,710,000.00	1,710,000.00	513.00	1,710,513.00	1,006.05	0.00	
Managed Account Sub-Total						(998,074.10)	4,600.88	(993,473.22)	1,006.05	0.00
Total Security Transactions						(\$998,074.10)	\$4,600.88	(\$993,473.22)	\$1,006.05	\$0.00

Bolded items are forward settling trades.



Account Statement

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,880,866.29
10/01/21	10/01/21	Purchase - Interest 06051GFF1	1.00	2,600.00	1,883,466.29
10/01/21	10/01/21	Purchase - Principal 00084BX17	1.00	570,000.00	2,453,466.29
10/04/21	10/04/21	Purchase - Principal 60689FX45	1.00	570,000.00	3,023,466.29
10/04/21	10/04/21	Purchase - Principal 83050PTN9	1.00	570,513.00	3,593,979.29
10/05/21	10/05/21	Redemption - Principal 2284K1G15	1.00	(574,097.73)	3,019,881.56
10/15/21	10/15/21	Purchase - Interest 14314QAC8	1.00	28.17	3,019,909.73
10/15/21	10/15/21	Purchase - Interest 14317DAC4	1.00	55.00	3,019,964.73
10/15/21	10/15/21	Purchase - Interest 254683CP8	1.00	31.90	3,019,996.63
10/15/21	10/15/21	Purchase - Interest 44933LAC7	1.00	12.67	3,020,009.30
10/20/21	10/20/21	Purchase - Interest 380144AC9	1.00	28.33	3,020,037.63
10/20/21	10/20/21	Purchase - Interest 459058JV6	1.00	595.35	3,020,632.98
10/20/21	10/20/21	Redemption - Principal 55380TYB0	1.00	(375,000.00)	2,645,632.98
10/21/21	10/21/21	Redemption - Principal 362554AC1	1.00	(49,998.73)	2,595,634.25
10/22/21	10/22/21	IP Fees September 2021	1.00	(1,267.49)	2,594,366.76
10/22/21	10/22/21	U.S. Bank Fees August 2021	1.00	(98.96)	2,594,267.80
10/25/21	10/25/21	Purchase - Interest 09690AAC7	1.00	18.33	2,594,286.13
10/27/21	10/27/21	Redemption - Principal 14044CAC6	1.00	(54,998.96)	2,539,287.17
10/28/21	10/28/21	Redemption - Principal 912828ZC7	1.00	(1,009,218.75)	1,530,068.42
10/28/21	10/28/21	Redemption - Interest 912828ZC7	1.00	(1,802.49)	1,528,265.93
10/29/21	10/29/21	Purchase - Interest 693475AP0	1.00	2,535.00	1,530,800.93
10/29/21	10/29/21	Redemption - Principal 96130ALA4	1.00	(574,769.46)	956,031.47



Account Statement

For the Month Ending **October 31, 2021**

SJCOG, INC. - SJCOG Inc Operations and Enhancements - 6033-001

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
10/29/21	10/29/21	Redemption - Interest 96130ALA4	1.00	(14.38)	956,017.09
10/29/21	11/01/21	Accrual Income Div Reinvestment - Distributions	1.00	114.06	956,131.15
Closing Balance					956,131.15

	Month of October	Fiscal YTD January-October		
Opening Balance	1,880,866.29	0.00	Closing Balance	956,131.15
Purchases	1,716,531.81	21,396,317.10	Average Monthly Balance	2,625,865.47
Redemptions (Excl. Checks)	(2,641,266.95)	(20,440,185.95)	Monthly Distribution Yield	0.05%
Check Disbursements	0.00	0.00		
Closing Balance	956,131.15	956,131.15		
Cash Dividends and Income	114.06	1,252.81		