

## STAFF REPORT

**SUBJECT:** **REVISED FY 2019-20 Local  
Transportation Fund Revenue Estimate &  
Apportionment Schedule**

**RECOMMENDED ACTION:** Motion to Approve R-20-10 Adopting the  
FY 2019-20 Revised Revenue Estimate and  
Apportionment Schedule

### **DISCUSSION:**

#### BACKGROUND

In February of this year, the SJCOG Board approved a preliminary FY 19-20 Local Transportation Fund estimate of \$34,800,000 and apportionment schedule.

The final revenues for FY 18-19 came in 9.06% higher than anticipated at \$36,120,515, \$1,302,516 over the adopted estimate. Based on the previous year's increased revenue, SJCOG staff proposes raising the FY 19-20 revenue estimate to the actual FY 18-19 level, plus an additional 3%.

The new revenue estimate would be \$37,204,131, \$2,404,131 more than the adopted estimate and \$1,083,615 more than the actual received in 18/19. The table below shows the proposed revenue estimate, the final revenue for FY 18/19, the current adopted FY 19/20 estimate and the differential between the FY 19-20 proposed and the FY 19-20 adopted and the differential between FY 19-20 proposed and FY 18-19 final.

						19/20 R- 19/20 A	19/20R-18/19F
			3.00%	18-19 Final	19-20 Adopted	▲	▲
ESTIMATED REVENUE FY 2019-20		\$ 37,204,131	\$ 36,120,516	\$ 34,800,000	\$ 2,404,131	\$ 1,083,615	

#### OFF THE TOP ALLOCATIONS

Per the Transportation Development Act (TDA), allocations are made “off-the-top” to pay the county auditor-controller to account for and distribute LTF warrants. The allocation of \$2,000 is not increasing as a result of the proposed revenue increase. The regional planning agency is allocating funding to administer LTF including processing claims, making statutory findings and conducting audits of the claimants. The existing \$290,000 allocation also is not increasing with the revenue increase.

The regional planning agency, SJCOG, allocated funds for planning activities. The SJCOG Board allocated 2.9% of the revenue estimate and this will increase 3% with the revenue estimate.

The net available for three more “off-the-top” categories is \$35,833,212. The table below compares the allocations for the proposed FY 19-20, FY 18-19 Final and FY 19-20 adopted revenues.

						19/20 R- 19/20 A	19/20R-18/19F
		3.00%	18-19 Final	19-20 Adopted		▲	▲
<b>I. LESS ADMINISTRATIVE ALLOCATIONS</b>							
COUNTY AUDITOR*	2,000		2,000	2,000	\$	-	\$ -
COG TDA ADMINISTRATION*	290,000		290,000	290,000	\$	-	\$ -
<b>A. COG TRANSPORTATION PLANNING</b>							
2.9% PLANNING							
APPORTIONMENT**	1,078,920		1,047,495	1,009,200	\$	69,720	\$ 31,425
		35,833,212	34,781,021	33,498,800	\$	2,334,412	\$ 1,052,191

After taking those allocations “off-the-top”, TDA requires 2% or \$716,664 to be apportioned for Bicycle and Pedestrian purposes. By SJCOG policy, these funds are apportioned to the cities and the county based on population. That distribution is identified in table 2.

Next TDA allows commuter rail services to request allocations for operations. Currently, the San Joaquin Regional Rail Commission (SJRRC) is receiving 11.5% of the net (after the off the top allocations), totaling \$4,038,403.

The last allocation before making the area apportionments is Article 4.5 for the Consolidated Transportation Service Agency (CTSA). San Joaquin Regional Transit (SJRTD) is the designated CTSA. SJRTD continues to request 2% which is \$621,563.

The table below details those transactions:

						19/20 R- 19/20 A	19/20R-18/19F
		3.00%	18-19 Final	19-20 Adopted		▲	▲
		35,833,212	34,781,021	33,498,800	\$	2,334,412	\$ 1,052,191
<b>B. COUNTY AND CITIES</b>							
2% BICYCLE/PEDESTRIAN**	716,664		695,620	669,976	\$	46,688	\$ 21,044
APPORTIONMENT		35,116,547	34,085,401	32,828,824	\$	2,287,723	\$ 1,031,147
<b>C. SAN JOAQUIN REGIONAL RAIL</b>							
COMMISSION **	4,038,403		3,919,821	3,775,315	\$	263,088	\$ 118,582
		31,078,144	30,165,580	29,053,509	\$	2,024,635	\$ 912,565
<b>D. ARTICLE 4.5 -Consolidated Transportation Service Agency</b>							
2% APPORTIONMENT	621,563		603,312	581,070	\$	40,493	\$ 18,251
<b>II. BALANCE AVAILABLE FOR</b>							
2018-19 BY AREA APPORTIONMENT							
ARTICLE 4 AND ARTICLE 8 PURPOSES**		30,456,582	29,562,268	28,472,439	\$	1,984,143	\$ 894,314

The remaining funds, \$30,456,582 are then apportioned based on population to SJRTD, the cities and the county. This amount is actually 3.03% higher than the adopted FY 19-20 estimate because the allocations to the county auditor and TDA administration did not use the 3% increase. That incremental amount increased the total available by slightly more 3%. The population basis for the area apportionments in the proposed action is the same as the adopted schedule.

The table below details the area apportionments with a comparative analysis to the right showing the change between the proposed and FY 19-20 adopted and FY 18-19 Final. The change from FY 19-20 adopted is 6.7%, 3.03 from the FY 18-19 Final. Note the 4.99 and -.31 percentage changes for the Unincorporated area (San Joaquin County) and Stockton. This is due to a change in the population basis in FY 18-19. Stockton's is negative due to a restating of population by the Department of Finance.

TABLE 2									
LOCAL TRANSPORTATION FUND AREA APPORTIONMENT									
REVISED FISCAL YEAR: 2019-20									
CLAIMANTS	POPULATION ESTIMATE*	%	BIKE/PED APPT.	ARTICLE 4 OR 8	TOTAL APPT.	19/20 A	19/20R-19/20A	19/20R-1819F	△
SAN JOAQUIN REGIONAL TRANSIT DISTRICT **	470,052	0.61951	0	18,868,257	18,868,257	17,639,055	6.97%	18,314,218	3.03%
LATHROP	24,268	0.03198	22,922	974,137	974,137	910,675	6.97%	945,533	3.03%
LODI	67,121	0.08846	63,398	2,694,290	2,694,290	2,518,766	6.97%	2,615,176	3.03%
MANTECA	81,345	0.10721	76,834	3,265,252	3,265,252	3,052,532	6.97%	3,169,373	3.03%
TRACY	92,553	0.12198	87,420	3,715,150	3,715,150	3,473,121	6.97%	3,606,060	3.03%
RIPON	15,847	0.02089	14,968	636,111	636,111	594,671	6.97%	617,433	3.03%
ESCALON	7,558	0.00996	7,139	303,384	303,384	283,620	6.97%	294,476	3.03%
UNINCORPORATED**	0	0.00000	146,356	0	146,356	136,821	6.97%	139,402	4.99%
SAN JOAQUIN REG. RAIL COMM	0	0.00000	0	4,038,403	4,038,403	3,775,315	6.97%	3,919,821	3.03%
STOCKTON	0	0.00000	297,627	0	297,627	278,238	6.97%	298,559	-0.31%

### WHY ONLY INCREASE 3%?

The annual revenue process is already two months into the year. The increases in those two months has already been captured in the FY 18-19 final. Therefore, the actual FY 19-20 revenues will be generated over the next 12 months. Due to a number of factors, the chance of a recession and spending slowdown remains a risk. Adopting this revised revenue estimate provides a substantial increase in LTF spending without the risk. If sales tax continues to grow, that will mean the end-of-the-year final revenue estimate will be higher and available upon adoption in September 2020.

### FISCAL IMPACT

The increase will provide an additional \$2,404,131 for FY19-20. Which amounts to an increase of \$69,720 for COG's 2% planning apportionment, an additional \$46,688 for Ped/Bike allocation, an additional \$263,088 for the Rail's 11.5% apportionment and an increase of \$40,493 for RTD's 4.5 Consolidated Transportation Service Agency 2% allocation. The balance available for area apportionment for Article 4 and Article 8 purposes will see an overall increase of \$1,984,143.

### RECOMMENDATION

The TAC and M&F Committees recommend along with COG staff that the Board adopts R-20-10 approving the attached Local Transportation Fund Final revenue estimate and apportionment schedule for Fiscal Year 2019-20. (The Executive Committee had not met at the time of Board agenda mail-out. This will provide an additional \$2,404,131. Further, that the Board adopts the apportionment schedule as identified in Tables 1 and 2, attached.

### ATTACHMENTS

1. LTF Apportionment Tables 1 & 2

TABLE 1							
LOCAL TRANSPORTATION FUND							
<u>REVISED</u> REVENUE ESTIMATE AND APPORTIONMENT							
FISCAL YEAR: 2019-20						19/20 R- 19/20 A	19/20R-18/19F
		3.00%	18-19 Final	19-20 Adopted		▲	▲
ESTIMATED REVENUE FY 2019-20		\$ 37,204,131	\$ 36,120,516	\$ 34,800,000	\$ 2,404,131	\$ 1,083,615	
RECOMMENDATIONS							
I. LESS ADMINISTRATIVE ALLOCATIONS							
COUNTY AUDITOR*	2,000		2,000	2,000	\$ -	\$ -	
COG TDA ADMINISTRATION*	290,000		290,000	290,000	\$ -	\$ -	
A. COG TRANSPORTATION PLANNING							
2.9% PLANNING APPORTIONMENT**	1,078,920		1,047,495	1,009,200	\$ 69,720	\$ 31,425	
		35,833,212	34,781,021	33,498,800	\$ 2,334,412	\$ 1,052,191	
B. COUNTY AND CITIES							
2% BICYCLE/PEDESTRIAN**	716,664		695,620	669,976	\$ 46,688	\$ 21,044	
APPORTIONMENT		35,116,547	34,085,401	32,828,824	\$ 2,287,723	\$ 1,031,147	
C. SAN JOAQUIN REGIONAL RAIL							
COMMISSION **	4,038,403		3,919,821	3,775,315	\$ 263,088	\$ 118,582	
		31,078,144	30,165,580	29,053,509	\$ 2,024,635	\$ 912,565	
D. ARTICLE 4.5 -Consolidated Transportation Service Agency							
2% APPORTIONMENT		621,563	603,312	581,070	\$ 40,493	\$ 18,251	
II. BALANCE AVAILABLE FOR							
2018-19 BY AREA APPORTIONMENT							
ARTICLE 4 AND ARTICLE 8 PURPOSES**		30,456,582	29,562,268	28,472,439	\$ 1,984,143	\$ 894,314	
*Upon adoption by the COG Board, these amounts are fixed and will be apportioned "off the top".							
**These amounts will vary with actual revenues received.							
See Table 2 for breakdown of 2% bicycle/pedestrian funds and general purpose revenues.							

TABLE 2					
LOCAL TRANSPORTATION FUND AREA APPORTIONMENT					
REVISED FISCAL YEAR: 2019-20					
CLAIMANTS	POPULATION ESTIMATE*	%	BIKE/PED APPT.	ARTICLE 4 OR 8	TOTAL APPT.
SAN JOAQUIN REGIONAL TRANSIT DISTRICT **	470,052	0.61951	0	18,868,257	18,868,257
LATHROP	24,268	0.03198	22,922	974,137	974,137
LODI	67,121	0.08846	63,398	2,694,290	2,694,290
MANTECA	81,345	0.10721	76,834	3,265,252	3,265,252
TRACY	92,553	0.12198	87,420	3,715,150	3,715,150
RIPON	15,847	0.02089	14,968	636,111	636,111
ESCALON	7,558	0.00996	7,139	303,384	303,384
UNINCORPORATED**	0	0.00000	146,356	0	146,356
SAN JOAQUIN REG. RAIL COMM	0	0.00000	0	4,038,403	4,038,403
STOCKTON	0	0.00000	297,627	0	297,627
COUNCIL OF GOVT'S TRANSPORTATION PLANNING		1.00000 0.00000			
TOTAL	758,744	2.00000	716,664	34,494,985	34,938,968
SAN JOAQUIN RTD		POP.	PCT.		
CITY OF STOCKTON		315,103	0.6704		
CO. OF SAN JOAQUIN (24%)		37,188	0.0791		
CO. OF SAN JOAQUIN - CAT (76%)		117,761	0.2505		
		-----	-----		
TOTAL		470,052	1.00		
*State Department of Finance population estimates (January, 2018).					
**Funds apportioned in the unincorporated area outside the Stockton Urbanized will continue to be split 76/24% per previous agreement					
The 24% will be apportioned to RTD based upon a new 3-year agreement b/w SJCO & RTD					
Total Unincorporated population (used to determine bike/ped apportionment) =					



**RESOLUTION  
SAN JOAQUIN COUNCIL OF GOVERNMENTS**

**R-20-10**

**RESOLUTION ADOPTING  
REVISED TRANSPORTATION DEVELOPMENT ACT  
APPORTIONMENTS FOR FY 2019-2020  
LOCAL TRANSPORTATION FUNDS**

WHEREAS, the San Joaquin Council of Governments (COG) has been designated the Transportation Planning Agency with responsibility of administering the distribution of Transportation Development Act (1971) funds in San Joaquin County, including Local Transportation Funds; and

WHEREAS, the COG must adopt an annual estimate of Local Transportation Funds to be received from the State during the coming fiscal year 2019-2020; and

WHEREAS, the COG must adopt specific LTF apportionments based on the annual revenue estimate, in accordance with the requirements of State law and the COG's own adopted policies and rules; and

WHEREAS, adoption of an LTF estimate and apportionment schedule will allocate LTF revenue based on the estimated revenues to be received.

WHEREAS, the San Joaquin Council of Governments hereby adopted the annual Local Transportation Fund revenue estimate of \$34,800,000 in February of 2019, for fiscal year 2019-2020.

WHEREAS, since the adoption of the revenue estimate, revenues have come in higher than anticipated. SJCOG has detailed a revised estimate based on the increase in revenue.

NOW, THEREFORE BE IT RESOLVED, the San Joaquin Council of Governments hereby adopt the revised Local Transportation Fund estimated revenue and apportionments listed in Tables 1 and 2.

PASSED AND ADOPTED this 26<sup>th</sup> day of September, 2019 by the following vote of the San Joaquin Council of Governments, to wit:

AYES:

NOES

ABSENT:

\_\_\_\_\_  
DOUG KUEHNE  
Chair

TABLE 1							
LOCAL TRANSPORTATION FUND							
REVISED REVENUE ESTIMATE AND APPORTIONMENT							
FISCAL YEAR: 2019-20						19/20 R- 19/20 A	19/20R-18/19F
		3.00%		18-19 Final	19-20 Adopted	▲	▲
ESTIMATED REVENUE FY 2019-20		\$ 37,204,131		\$ 36,120,516	\$ 34,800,000	\$ 2,404,131	\$ 1,083,615
RECOMMENDATIONS							
I. LESS ADMINISTRATIVE ALLOCATIONS							
COUNTY AUDITOR*		2,000		2,000	2,000	\$ -	\$ -
COG TDA ADMINISTRATION*		290,000		290,000	290,000	\$ -	\$ -
A. COG TRANSPORTATION PLANNING							
2.9% PLANNING APPORTIONMENT**		1,078,920		1,047,495	1,009,200	\$ 69,720	\$ 31,425
		35,833,212		34,781,021	33,498,800	\$ 2,334,412	\$ 1,052,191
B. COUNTY AND CITIES							
2% BICYCLE/PEDESTRIAN** APPORTIONMENT		716,664		695,620	669,976	\$ 46,688	\$ 21,044
		35,116,547		34,085,401	32,828,824	\$ 2,287,723	\$ 1,031,147
C. SAN JOAQUIN REGIONAL RAIL COMMISSION **							
		11.50%					
		4,038,403		3,919,821	3,775,315	\$ 263,088	\$ 118,582
		31,078,144		30,165,580	29,053,509	\$ 2,024,635	\$ 912,565
D. ARTICLE 4.5 -Consolidated Transportation Service Agency							
2% APPORTIONMENT		621,563		603,312	581,070	\$ 40,493	\$ 18,251
II. BALANCE AVAILABLE FOR							
2018-19 BY AREA APPORTIONMENT							
ARTICLE 4 AND ARTICLE 8 PURPOSES**		30,456,582		29,562,268	28,472,439	\$ 1,984,143	\$ 894,314
*Upon adoption by the COG Board, these amounts are fixed and will be apportioned "off the top".							
**These amounts will vary with actual revenues received.							
See Table 2 for breakdown of 2% bicycle/pedestrian funds and general purpose revenues.							

TABLE 2									
LOCAL TRANSPORTATION FUND AREA APPORTIONMENT									
REVISED FISCAL YEAR: 2019-20									
	POPULATION		BIKE/PED	ARTICLE	TOTAL				
CLAIMANTS	ESTIMATE*	%	APPT.	4 OR 8	APPT.	19/20 A	19/20R-19/20A	19/20R-1819F	△
SAN JOAQUIN REGIONAL									
TRANSIT DISTRICT **	470,052	0.61951	0	18,868,257	18,868,257	17,639,055	6.97%	18,314,218	3.03%
LATHROP	24,268	0.03198	22,922	974,137	974,137	910,675	6.97%	945,533	3.03%
LODI	67,121	0.08846	63,398	2,694,290	2,694,290	2,518,766	6.97%	2,615,176	3.03%
MANTECA	81,345	0.10721	76,834	3,265,252	3,265,252	3,052,532	6.97%	3,169,373	3.03%
TRACY	92,553	0.12198	87,420	3,715,150	3,715,150	3,473,121	6.97%	3,606,060	3.03%
RIPON	15,847	0.02089	14,968	636,111	636,111	594,671	6.97%	617,433	3.03%
ESCALON	7,558	0.00996	7,139	303,384	303,384	283,620	6.97%	294,476	3.03%
UNINCORPORATED**	0	0.00000	146,356	0	146,356	136,821	6.97%	139,402	4.99%
SAN JOAQUIN REG. RAIL COMM	0	0.00000	0	4,038,403	4,038,403	3,775,315	6.97%	3,919,821	3.03%
STOCKTON	0	0.00000	297,627	0	297,627	278,238	6.97%	298,559	-0.31%
COUNCIL OF GOVT'S		1.00000							
TRANSPORTATION PLANNING		0.00000							
TOTAL	758,744	2.00000	716,664	34,494,985	34,938,968				
SAN JOAQUIN RTD		POP.	PCT.						
CITY OF STOCKTON		315,103	0.6704						
CO. OF SAN JOAQUIN (24% )		37,188	0.0791						
CO. OF SAN JOAQUIN - CAT (76% )		117,761	0.2505						
		-----	-----						
TOTAL		470,052	1.00						
*State Department of Finance population estimates (January, 2018).									
**Funds apportioned in the unincorporated area outside the Stockton Urbanized will continue to be split 76/24% per previous agreement.									
The 24% will be apportioned to RTD based upon a new 3-year agreement b/w SJCO & RTD									
Total Unincorporated population (used to determine bike/ped apportionment) =						154,949			