

STAFF REPORT

SUBJECT: Fiscal Year 2019-2020 LTF/STA
Transportation Development Act (TDA)
Claim from the San Joaquin Regional
Transit District

RECOMMENDED ACTION: Motion to Endorse Executive Director's
Action Approving the Claim and Adopt
Resolution #R-20-20 that Documents Board
Actions

DISCUSSION:



The San Joaquin Regional Transit District has submitted a Transportation Development Act (TDA) Claim for Fiscal Year 2019-20. The total claim is for \$22,550,358 in Local Transportation Funds (LTF), which includes \$3,060,538 of previous year's unclaimed, \$621,563 of Article 4.5 funds and \$9,186,307 in STA funds, which includes, \$175,000 of Weekend Service for BART and \$3,548,916 of unexpended carryover and \$63,000 of Previous Year's unclaimed STA.

To summarize, SJRTD's TDA claim is for the following purposes and amounts:

Local Transportation Fund/State Transit Assistance

TOTAL LTF/STA FUNDS CLAIMED by SJRTD	\$31,736,665
Less: Unexpended Carryover*	<u>(\$3,548,916)</u>
Net Funds Due to SJRTD	<u>\$28,187,749</u>

*Unexpended carryover is funds claimed in a previous year and already paid to RTD but hasn't yet been spent.

Local Transportation Funds

Article 4 (99260) \$18,868,257
Operating-Current Year Allocation

Article 4 (99260) \$3,060,538
Operating- Previous Year's Unclaimed

Article 4.5 (99275) \$621,563

State Transit Assistance Funds

CCR Section 6730 (a) \$5,399,391
Public Transit Operating

CCR Section 6730 (a) \$1,197,551
Transit Operating Unexpended Carryover

CCR Section 6730 (a) \$2,351,365
Public Transit Capital-Unexpended Carryover

CCR Section 6730 (a) \$63,000
Public Transit Operating-Previous Year's
Unclaimed

Weekend Service for BART \$175,000



TRIENNIAL PERFORMANCE AUDIT RECOMMENDATIONS FOLLOW UP

TDA Program Compliance Recommendations		Importance	Time line
1	RTD should continue to use the proper definition of 'full-time equivalent' (FTE) when calculating Employees for reporting to the State Controller	Medium	FY2018/19

SJRTD RESPONSE to TPA Recommendation June 2019: In FY16 and FY17, RTD reported FTE to its State Controller's Report using National Transit Database's (NTD) FTE definition. For its FY18 report, RTD learned that State Controller's Report requirement for FTE should follow TDA FTE definition, so RTD complied with the requirements in its FY18 State Controller's Report. We will continue to report FTE calculation in our State Controller's Report using the TDA FTE definition in upcoming report years.

Follow up ~ In FY18 SJRTD had complied with the above recommendation. SJCOG will again follow up in FY19 to verify the correct TDA FTE definition is used.

A review of the most recent fiscal and compliance audit for SJRTD revealed nothing that would preclude approving the present allocation. The Transportation Development Act requires that the Board makes specific findings before allocating TDA funds. These findings, as they apply to SJRTD, are identified and stated in the resolution to approve the claim

The Executive Director has approved the claim subject to SJCOG Board endorsement. It is recommended that the SJCOG Board endorse the Executive Director's action.

FISCAL IMPACT:

This claim represents a primary source of funding for transit services for the City of Stockton, San Joaquin County and the region.



**RESOLUTION
SAN JOAQUIN COUNCIL OF GOVERNMENTS**

**R-20-20
RESOLUTION ALLOCATING
2019-20 LOCAL TRANSPORTATION AND STATE TRANSIT
ASSISTANCE FUNDS TO THE SAN JOAQUIN
REGIONAL TRANSIT DISTRICT**

WHEREAS, the San Joaquin Council of Governments is the regional transportation planning agency; and

WHEREAS, under law and regulation in the Public Utilities Code, Sections 99230 and 99235, and California Administrative Code, Sections 6659, 6752 and 6753, the San Joaquin Council of Governments is authorized to accept claims against and make allocations from the Local Transportation (LTF) Funds and the San Joaquin State Transit Assistance (STA) Fund; and

WHEREAS, the above cited laws and regulations require that certain details be specified in the adopting resolution; and,

NOW THEREFORE, BE IT RESOLVED that the San Joaquin Regional Transit District be allocated, per their fiscal year 2019-20 TDA Claim, the indicated amounts for the indicated purposes:

BE IT FURTHER RESOLVED that these funds, less unexpended carryover will be paid to the claimant when sufficient funds become available and are deposited with the San Joaquin County Treasurer.

BE IT FURTHER RESOLVED THAT the following findings have been made by this Board in accordance with the Public Utilities Code, Division 10., Part 11, Article 8, Section 99400(c) and 99401.6 and California Code of Regulations, Title 21, Chapter 3, Subchapter 2.5, Section 6754:

1. The transportation services contracted for under Article 8 (99400 (c) of this claim responds to a transportation need not otherwise being met within the claimants' jurisdictions.
2. The claimant's proposed expenditures are in conformity with the Regional Transportation Plan.
3. The claimants are making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended.

SAN JOAQUIN REGIONAL TRANSIT DISTRICT 2019-20 STA CLAIM		
Fund	Amount	Purpose
LTF	\$18,868,257	Article 4 (99260) Operator
LTF	\$3,060,538	Article 4 (99260) Operator Previous Year's Unclaimed
LTF	\$621,563	Article 4.5 (99275) Operator
STA	\$5,399,391	CCR Section 6730 (a) Public Transit (Operating)
STA	\$1,197,551	CCR Section 6730 (a) Public Transit (Operating) Unexpended Carryover
STA	\$63,000	CCR Section 6730 (a) Public Transit (Operating) Previous Year's Unclaimed
STA	\$2,351,365	CCR Section 6730 (a) Public Transit (Capital) Unexpended Carryover
STA	\$175,000	Weekend Service for BART
Total TDA Claimed	\$31,736,665	Total Claimed
Less:	<u>(3,548,916)</u>	Unexpended Carryover
Net Funds Due:	\$28,187,749	Net STA Funds to be paid to Claimant

4. The sum of the claimant's allocation from State Transit Assistance Fund and from the Local Transportation Fund does not exceed the amount the claimant is eligible to receive during the fiscal year
5. Priority consideration has been given to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or area wide public transportation needs.
6. The San Joaquin Council of Governments has reviewed its most recent analysis of Unmet Transit Needs and determined there are no unmet needs that are reasonable to meet.
7. Further, more than 20 days have elapsed since the Department of Transportation acknowledged receipt of San Joaquin Council of Governments most recent Unmet Transit Needs findings.
8. The level of passenger fares and charges is sufficient to enable the operator or transit service claimant to meet their fare revenue and match requirements of Public Utilities Code Sections 99268.2, 99268.3, 99268.4, 99268.5, and 99268.9, as they may be applicable to the claimant.
9. Claimants who are transit operators have made a reasonable effort to implement the productivity improvements recommended pursuant to Public Utilities Code Section 99244. Documentation of these efforts is reflected in the minutes of the annual productivity improvement committee meetings. Productivity improvements include transit system planning, marketing and public information efforts, and use of ad hoc committees composed of transit riders for direct feedback on productivity improvements.

10. Each transit operator has received certification from the Department of the California Highway Patrol, within the last thirteen months, that it is in compliance with Section 1808.1 of the Vehicle Code, as required in Public Utilities Code Section 99251.
11. Each transit operator is in compliance with the eligibility requirements of Public Utilities Code Section 99314.6 and 99314.7.

PASSED AND ADOPTED this 23rd day of January 2020, to wit:

AYES:

NOES:

ABSENT:

DOUG KUEHNE
Chair