

STAFF REPORT

SUBJECT: Draft Fiscal Year 2020/2021 Overall Work Program (OWP)

RECOMMENDED ACTION: Board Adoption of Draft FY 2020/21 Overall Work Program for the Purpose of Submitting to Federal and State Agencies

SUMMARY:

This staff report summarizes revenue highlights for FY 2020/21 and significant changes proposed for next year's work program. The proposed draft document will be available at www.sjcog.org

RECOMMENDATION:

That the Board adopt the Draft FY 2020/21 Overall Work Program for the purpose of submitting to federal and state agencies.

FISCAL IMPACT:

Approval of the Draft OWP identifies \$13,693,493.84 in revenue for SJCOG operations in FY 20/21. This is an increase of \$2,666,492.14 from the fiscal year 2019/20 amended OWP (amendment 1 adopted September 2019). This is a preliminary estimate that undoubtedly will change between the adoption of the draft OWP this month and the adoption of the final OWP in March.

BACKGROUND:

The Overall Work Program is a management tool identifying the tasks and products that the San Joaquin Council of Governments will undertake to deliver during fiscal year 2020/21. The OWP also identifies the funding sources and staff resources necessary to complete the overall work program.

The Overall Work Program is broken down into work elements that are unique to specific subject areas. For example, one work element is dedicated to the preparation and update of the Regional Transportation Plan. Each work element:

- Identifies work previously completed under that work element,
- The purpose of that work element in the subject matter,
- Tasks to be undertaken,

- A listing of products and the schedule for delivery of those products,
- The funding sources for each work element,
- The responsible agency,
- And the level of effort (staff allocation) required to undertake and deliver those tasks and products.

Revenue

For this draft, net SJCOG revenues are estimated to increase by approximately \$2,666,492.14 from the amended FY 2019/20 OWP, from \$ 11,027,001.70 to \$13,693,493.84 or a 24.18% increase. By the time of the final approval of the OWP, this amount will undoubtedly change. As noted below, several significant work efforts are completed or nearing completion of the funding sources for them having been spent down and revenue associated with funding those work products has decreased. Significant revenue sources:

- Federal Highway Administration MPO Planning funds are increased by \$86,262.00.
- Federal Transit Administration Section 5303 funds are increased by \$29,639.00.
- The Stanislaus Association of Governments and Merced County Association of Governments (MCAG) are expected to once again contract with SJCOG's **dibs** Program to operate a Transportation Demand Management program in their respective areas.
- Freeway Service Patrol on I-205 will continue to be fully funded. This year's budget reflects a decrease of \$368,996.58 as previous grants have been exhausted.
- SB1 funding for new Freeway Service Patrol routes is budgeted at \$1,120,026.84
- STIP for Planning and Program Management did not receive an allocation for FY 19/20 but resumed receiving it in FY 20/21 reflecting a budget increase of \$342,000.00
- SJCOG continues to receive a return on vehicle registration SAFE (Service Authority for Freeway Emergencies) funds to be used for Freeway Service Patrol match.
- Federal and local funding for the Project Approval and Environmental Document phases of the I-205 managed lanes widening from 6 to 8 lanes. The I-205 Project development anticipates an expenditure of \$2,421,802.00 in federal funds plus \$313,771.00 of local match from the Regional Transportation Impact Fee. The net budget increase on this item is \$2,735,573.00

Again, the net change between the proposed draft and the adopted FY 20-21 OWP is an increase of \$2,666,492.14.

Overall Work Program

Highlights of projects, activities, and/or planning documents at or nearing completion in FY 19/20 OWP period:

- Amendments to the Federal Transportation Improvement Program and the Regional Transportation Plan.

- 2019/20 Measure K Ordinance and Expenditure Plan Process and any approved amendments by SJCOG Board.
- Implementation of I-205, SR-120, SR 99, and I-5 Freeway Service Patrol (this is an ongoing activity that will continue into FY 20/21).
- Implementation of the Congestion Management Program, Airport Land Use Commission, and Habitat Conservation Plan.
- Operations of the dibs program for the three-county area (San Joaquin, Stanislaus, Merced).
- FY 2019/20 Unmet Transit Needs Report.
- Completion of Annual Reports for **dibs** (Travel Demand Management Program), Measure K, Freeway Service Patrol, and Regional Transportation Impact Fee.
- Participation with Valley Councils of Government (COG's) on interregional modeling, transportation planning, and legislative issues (this is an ongoing activity that will continue into FY 20/21).
- Representation of SJCOG on California Councils of Governments, Regional Transportation Planning Agencies Group, and Self-Help Coalition (this is an ongoing activity that will continue into FY 20/21).
- Participation in the SJCOG-SACOG-MTC Mega-Region Group (this is a multi-year activity that will continue into FY 20/21).
- Publications of census and research information, in collaboration with the University of the Pacific and completed public workshop/seminars (this is an activity that will continue into FY 20/21).
- Completed trips to Washington D.C. for SJCOG One Voice® and Valley Voice DC as well as Valley Voice Sacramento.
- Adoption of the 2020 Federal Legislative Platform.
- Approval of Regional Transportation Impact Fee annual fee adjustment and third-party costs.
- Completion of (the SJCOG led) Sustainable Communities Strategy Implementation Study, Mega-Regional Economic Model, Climate Change Planning Study, and the Public Health Impact Analysis Study.
- Selection of a vendor for a regional Integrated Ticketing app.
- Approval of the state Congestion Management Program Opt out Process.
- Initiation of a partnership with Institute of Local Government for the BOOST Program.
- Approval of funding list for the 2019 Congestion Mitigation and Air Quality Program.
- Update of the Measure K Strategic Plan.

Project Delivery- activities of capital projects that will continue in Fiscal Year 2020-21
OWP:

- Project development (design) work will continue on Highway 99/120 and Route 99/Turner Road.
- Project development activities will continue on Measure K (and/or state Active Transportation Program) funded bike/pedestrian/safe routes to school or smart growth projects funded by Measure K.
- Monitoring of Measure K regional roadway projects.
- PA & ED for I-205 Managed Lanes

Major New Studies and/or Ongoing Products Proposed for Fiscal Year 2020-21

The new work products (below) are in addition to on-going programs and services, which will be either begin or continue in FY 2020/21 by SJCOG. These include:

- Participation in the Tri-Valley – San Joaquin Valley Regional Rail Authority Technical Advisory Group.
- Continue Partnership with the University of Pacific for economic analysis and modeling activities to various SJCOG planning efforts.
- Continue funding of Freeway Service Patrol (FSP) operations for I-205, SR 120, SR 99, and I-5 and preparation of the FSP Annual Report.
- Process amendments to the Federal Transportation Improvement Program and continue to provide technical assistance to local agencies on federal-aid project obligation and delivery.
- Continue efforts on two new planning studies—Gentrification and Displacement Risk Assessment of San Joaquin County, San Joaquin County Transportation Innovation Planning Study.
- Continue with I-205 Managed Lanes PA & ED.
- Work with the City of Lodi to assist in the award of a construction contract for Route 99/Turner Road.
- Three County Model update and enhancements for the Regional Transportation Plan/Sustainable Communities Strategy update.
- Completion of partnership with Institute of Local Governments on homelessness and affordable housing issues in San Joaquin County.

Next steps: Draft FY 2020-21 OWP Review Process

Upon adoption of the draft, the OWP will then be submitted for review by Caltrans, the Federal Highway Administration, and the Federal Transit Administration.

SJCOG's member agencies and partners are encouraged to review the draft and submit comments by February 15, 2020.

Comments will be considered in preparing the final FY 2020-21 OWP. Also, at the March SJCOG Board meeting, the FY 2020-21 Annual Financial Plan will be presented for adoption.

COMMITTEE RECOMMENDATIONS

- TAC unanimously recommended the Board approve the draft FY 2020-2021 OWP.
- Management and Finance unanimously recommended the Board approve the draft FY 2020-2021 OWP.
- The Executive Committee had not met at the time of this mailout

Revenues By Funding Source
FISCAL YEAR 2020/21
Draft January 23, 2020

Funding Sources	FY 2019-20 Final	FY 2019-20 Amendment #1	FY 2019-20 Amendment #2	FY 2020-21 Draft	+/- \$ Change
Annual FHWA PL	1,320,000.00	1,320,000.00	1,320,000.00	1,406,262.00	86,262.00
Annual FTA MPO Planning (Section 5303)	316,633.00	316,633.00	316,633.00	346,272.00	29,639.00
17/18 Carryover Caltrans Sustainable Transp. Planning Grant (SB) 1	193,000.00	225,994.12	225,994.12	-	(225,994.12)
18/19 Caltrans Sustainable Transportation Planning Grant (SB) 1	264,650.00	305,637.51	305,637.51	185,000.00	(120,637.51)
19/20 Caltrans Sustainable Transportation Planning Grant (SB) 1	353,812.00	353,812.00	353,812.00	125,000.00	(228,812.00)
19/20 Caltrans Adaptation Planning Grant (SB)1		200,000.00	200,000.00	100,000.00	(100,000.00)
20/21 Caltrans Sustainable Transportation Planning Grant (SB) 1				341,671.00	341,671.00
Caltrans Rural Planning Assistance (RPA) FY 2019-2022	250,000.00	150,000.00	50,000.00	50,000.00	(100,000.00)
StanCOG-CMAQ TDM	200,000.00	200,000.00	200,000.00	200,000.00	-
Merced CAG TDM CMAQ	-	85,000.00	85,000.00	75,000.00	(10,000.00)
STIP Planning & Programming 20/21	-	-	-	342,000.00	342,000.00
Affordable Housing & Sustainable Comm. FY 18/19 carryover (Enterprise)	30,998.40	19,074.00	11,139.58	-	(19,074.00)
Affordable Housing & Sustainable Comm. FY 19/20 carryover (Enterprise)		20,000.00	20,000.00	5,000.00	(15,000.00)
Affordable Housing & Sustainable Comm. FY 20/21 (Enterprise)				20,000.00	20,000.00
RSTP SR99 and SR120 Ramps : STPL-6088(057)	100,000.00	100,000.00	100,000.00	-	(100,000.00)
RPSTCML 6088(068) I-205 Managed Lanes Widening from 6 to 8 lanes			1,770,600.00	2,421,802.00	2,421,802.00
Local Planning Contribution (LTF) (2.9%-2020/21)	1,009,200.00	1,009,200.00	1,009,200.00	1,111,289.00	102,089.00
TDA Administration	290,000.00	290,000.00	290,000.00	290,000.00	-
Local Transportation Authority (Project Management)	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00	-
Local Transportation Authority (1% Administration)	662,000.00	662,000.00	662,000.00	680,000.00	18,000.00
Local Transportation Authority (Dibs Rideshare)				695,000.00	695,000.00
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	491,524.00	398,750.58	398,750.58	200,000.00	(198,750.58)
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)	491,524.00	570,246.00	570,246.00	400,000.00	(170,246.00)
Caltrans-FSP18SB1-6088(063) Carryover	437,740.00	403,021.65	403,021.65	200,000.00	(203,021.65)
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	500,000.00	429,380.51	429,380.51	429,380.51	-
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation	500,000.00	490,646.33	490,646.33	490,646.33	-
Valley MPOs	181,100.00	181,100.00	181,100.00	181,100.00	-
Tri-County Travel Demand(San Joaquin, Merced, Stanislaus)	13,189.00	13,189.00	13,189.00	13,189.00	-
COG Fees and Services	10,000.00	10,000.00	10,000.00	10,000.00	-
ALUC				29,000.00	29,000.00
RTIF	27,720.00	27,720.00	257,120.00	341,491.00	313,771.00
State Transit Assistance	88,597.00	88,597.00	88,597.00	134,391.00	45,794.00
SJCOGI (Habitat)	561,000.00	605,000.00	605,000.00	605,000.00	-
Congestion Mitigation/Air Quality (6088-067)	1,100,000.00	1,100,000.00	1,280,000.00	845,000.00	(255,000.00)
Merced TDM CMAQ grant	75,000.00	75,000.00	85,000.00	43,000.00	(32,000.00)
SAFE (511 & FSP)	207,000.00	207,000.00	207,000.00	207,000.00	-
SACOG TDM(Trip Planning System)	95,000.00	150,000.00	150,000.00	150,000.00	-
Calaveras COG: RTPA Technical Support					-
Reimbursement on COI for 2018 Bond Refunding					-
Interest/Other	20,000.00	20,000.00	20,000.00	20,000.00	-
SJCOG Total	10,789,687.40	11,027,001.70	13,109,067.28	13,693,493.84	2,666,492.14
SJRTD FTA 5307					-
SJRTD Planning Funds					-
SJRTD Match(STA)					-
Tracy FTA 5307					-
Tracy Planning Funds					-
Lodi FTA 5307					-
Lodi Planning Funds					-
SJRRC FTA 5307					-
SJRRC Planning Funds					-
Manteca FTA 5307					-
Manteca Planning Funds					-
Grand Total	10,789,687.40	11,027,001.70	13,109,067.28	13,693,493.84	2,666,492.14
SJCOG FTA 5303 Toll Credits			-	39,717.40	
SJCOG FHWA PL Toll Credits			-	161,298.25	

Transportation Expenditures by Funding Source
FISCAL YEAR 2020/21
Draft January 23, 2020

WORK ELEMENT		FHWA-PL	FTA 5303	Rural Planning Assistance grant	CT(RPSTCML)	RTIF	CT	Sustainable Transportation Planning Grant	Sustainable Transportation Planning Grant	Sustainable Transportation Planning Grant	Adaptation Planning Grant		STANCOG	MCAG	CT(FSP)	LTF		LTA-MK		TDA	ALUC	Tri-County	FEE/SNT	CMAQ	MCAG	SAFE	SJCOGI	VALLEY	STATE	STATE	STA	TOTAL	
		FY 1920	FY 1920	CALCOG: Subrecipient	10-6088(068)	COG	STIP PPM	MPO Formula 1819 Senate Bill (SB) 1	MPO Formula 1990 Senate Bill (SB) 1	MPO Formula 2021 Senate Bill (SB) 1	Competitive 1920 Senate Bill (SB) 1	FSP Senate Bill (SB) 1	COG	COG	10-6088(064)	COG	CONSULTANT	COG	PM	Diba 50% CMAQ match	COG	COG	COG	COG	COG	COG	COG	COG	COG	COG	COG		
601.01	Regional Transportation Plan	183,609.00	156,292.00													116,000.00		248,500.00	80,000.00													784,401.00	
601.011	Affordable Housing																												5,000.00	20,000.00		25,000.00	
601.013	SB1 MPO Sustainable Communities Formula Grant Awarded in FY 1819 (Integrated Ticketing Study for SJC and I-205/SR-120/SR-99 Congested Corridor Plan)							185,000.00								40,000.00																225,000.00	
601.014	SB1 MPO Sustainable Communities Formula Grant Awarded in FY 1920 (Gentrification & Displacement Risk Assessment of SJC, San Joaquin Transportation Innovation Planning Study)								125,000.00							104,000.00																229,000.00	
601.015	SB1 Adaptation Planning Grant Awarded in F 1920 (Regional Resiliency Implementation Plan & Adaption Guidance)									341,671.00	100,000.00					32,300.00																	132,300.00
601.016	SB1 MPO Sustainable Communities Formula Grant Awarded in FY 2021															46,000.00																	387,671.00
601.02	Regional Planning Studies	79,000.00																40,957.00	73,000.00													192,957.00	
602.01	Transportation Improvement Plan	160,000.00																27,000.00														187,000.00	
603.01	Roads & Streets Planning						342,000.00																									594,089.00	
603.015	I-205 Managed Lanes Project Developmet				2,421,802.00	313,771.00										-	102,089.00		150,000.00													2,735,573.00	
603.02	Transit Planning		189,980.00																60,000.00											134,391.00		384,371.00	
603.03	Trans. Air Quality Planning/Modeling	200,000.00																	210,000.00			13,189.00	-					181,100.00				604,289.00	
603.04	Goods Movement	45,000.00																-	55,543.00													100,543.00	
701.01	Technical Assistance	23,000.00																	11,000.00													34,000.00	
801.01	Intergovernmental Coordination	82,000.00															88,453.00	86,000.00	150,000.00				18,000.00									424,453.00	
801.012	Intergovernmental Coord.(Rural Planning Assistance)			50,000.00																												50,000.00	
801.02	Projections & Forecasts	90,000.00																62,000.00	-													152,000.00	
801.03	Aviation and Land Use															19,000.00			-			29,000.00										48,000.00	
801.04	Congestion Management Prog/Sys	150,000.00																	37,000.00													187,000.00	
801.05	Regional Planning	50,000.00														28,000.00							5,000.00									83,000.00	
801.06	Valley MPO Coordination	32,000.00															70,000.00															102,000.00	
801.09	Habitat Implementation																										605,000.00					605,000.00	
901.01	Measure K Administration																	150,000.00	160,000.00				5,500.00									315,500.00	
901.02	Regional Transportation Impact Fee					27,720.00										-		100,000.00														127,720.00	
901.03	Smart Growth																		17,000.00													17,000.00	
1,001.01	COG OWP Management & Admin.	103,553.00														21,447.00		40,000.00														165,000.00	
1,001.02	TDA Administration															239,000.00		-			290,000.00		1,500.00								530,500.00		
1,001.03	Community Involvement	80,000.00																70,000.00														150,000.00	
1,101.01	Transportation Demand Management												200,000.00	43,000.00						695,000.00				845,000.00	75,000.00	150,000.00					2,008,000.00		
1,201.01	Freeway Service Patrol											1,120,026.84				600,000.00											207,000.00					1,927,026.84	
1,201.03	SJCOG Intern	72,100.00															41,000.00															113,100.00	
1,301.01	Performance Based Planning and Programming	56,000.00															16,000.00															72,000.00	
Total		1,406,262.00	346,272.00	50,000.00	2,421,802.00	341,491.00	342,000.00	185,000.00	125,000.00	341,671.00	100,000.00	1,120,026.84	200,000.00	43,000.00	600,000.00	791,200.00	320,089.00	826,457.00	853,543.00	695,000.00	290,000.00	29,000.00	13,189.00	30,000.00	845,000.00	75,000.00	357,000.00	605,000.00	181,100.00	5,000.00	20,000.00	134,391.00	13,693,493.84
SJCOG FTA 5303 Toll Credit Match				39,717.40																												13,693,493.84	
SJCOG FHWA PL Toll Credit Match		161,298.25																															